

## Copy PIA (Privacy Impact Assessment)

Do you want to copy this PIA ?

Please select the user, who would be submitting the copied PIA.

## Instructions


Review the following steps to complete this questionnaire:

- 1) Answer questions.** Select the appropriate answer to each question. Question specific help text may be available via the  icon. If your answer dictates an explanation, a required text box will become available for you to add further information.
- 2) Add Comments.** You may add question specific comments or attach supporting evidence for your answers by clicking on the  icon next to each question. Once you have saved the comment, the icon will change to the  icon to show that a comment has been added.
- 3) Change the Status.** You may keep the questionnaire in the "In Process" status until you are ready to submit it for review. When you have completed the assessment, change the Submission Status to "Submitted". This will route the assessment to the proper reviewer. Please note that all values list questions must be answered before submitting the questionnaire.
- 4) Save/Exit the Questionnaire.** You may use any of the four buttons at the top and bottom of the screen to save or exit the questionnaire. The button allows you to complete the questionnaire. The button allows you to save your work and close the questionnaire. The button allows you to save your work and remain in the questionnaire. The button closes the questionnaire without saving your work.

### Acronyms

ATO - Authorization to Operate  
CAC - Common Access Card  
FISMA - Federal Information Security Management Act  
ISA - Information Sharing Agreement  
HHS - Department of Health and Human Services  
MOU - Memorandum of Understanding  
NARA - National Archives and Record Administration  
OMB - Office of Management and Budget  
PIA - Privacy Impact Assessment  
PII - Personally Identifiable Information  
POC - Point of Contact  
PTA - Privacy Threshold Assessment  
SORN - System of Records Notice  
SSN - Social Security Number  
URL - Uniform Resource Locator

## General Information

<b>PIA Name:</b>	OS - Grants.gov Cloud - QTR2 - 2025 - OS2683891	<b>PIA ID:</b>	3233176
<b>Name of Component:</b>	OS - OS - OS - Grants.gov Cloud	<b>Name of ATO Boundary:</b>	Grants.gov Cloud
<b>Overall Status:</b>		<b>PIA Queue:</b>	
<b>Submitter:</b>		<b># Days Open:</b>	56
<b>Submission Status:</b>	Submitted	<b>Submit Date:</b>	6/5/2025
<b>Next Assessment Date:</b>	N/A	<b>Expiration Date:</b>	6/23/2028
<b>Office:</b>		<b>OPDIV:</b>	OS
<b>Security Categorization:</b>	Moderate	<b>OpDiv PIA ID:</b>	OS2683891
<b>Legacy PIA ID:</b>		<b>Make PIA available to Public?:</b>	Yes
<b>1:</b>	Identify the Enterprise Performance Lifecycle Phase of the system.		Operations and Maintenance
<b>2:</b>	Is this a FISMA-Reportable system?		Yes
<b>3:</b>	Does the system have or is it covered by a Security Authorization to Operate (ATO)?		Yes
<b>4:</b>	ATO Date or Planned ATO Date.		9/7/2025
<b>5:</b>	Is the system or electronic information collection, agency or contractor operated?		Contractor

## PTA

<b>PTA</b>		
<b>PTA - 2:</b>	Indicate the following reason(s) for this PTA. Choose from the following options.	PIA Validation (PIA Refresh)
<b>PTA - 2A:</b>	Describe in further detail any changes to the system that have occurred since the last PIA.	The Grants.gov is renewing the Authority-to-Operate (ATO), as well as, implementing an Artificial Intelligence (AI) Search. Released date January 14, 2025. Grants.gov also utilizes Login.gov. Grants.gov also replaced legacy front-end system with Storyblok a headless Content Management System (CMS) with Application Programming Interface (API) first technology.
<b>PTA - 3:</b>	Is the data contained in the system owned by the agency or contractor?	Agency
<b>PTA - 4:</b>	Please give a brief overview and purpose of the system by describing what the functions of the system are and how the system carries out those functions.	Grants.gov is an E-Government initiative operating under the governance of the Office of Management and Budget (OMB). Under the President's Management Agenda, the office was chartered to deliver a system that provides a centralized location for grant seekers to find and apply for federal funding. Grants.gov has deployed two government-wide grant functions: FIND and APPLY. The FIND feature allows Federal agencies to post funding opportunities on Grants.gov where potential applicants can search for and view grant opportunities. Grants.gov system houses information on over 1,000 grants for 26 federal

grant making agencies. Due to the sheer number and diversity of the grants they cannot be listed. However, examples of the type of information provided for each grant is as follows: Funding opportunity number and title, posted, created, and close dates, estimated total program funding etc. No authentication or login is required to access and use this feature. The APPLY option allows agencies to post their grant application packages on Grants.gov, where applicants can download the packages, complete them offline, and submit them online through Grants.gov. In order to post funding opportunities or apply for grants (as an individual or as an organization), users are required to register with the site. When registering as an individual it means the applicant is submitting the grant applications on their own behalf, not representing an organization, institution, or government. To do so registration information is required to create a Grants.gov account, such as: email; phone number; address; secret question/answer; username, and password. Users create their own secret questions and answers, there is no preset list. When registering as an Organization it means the applicant will contribute to or submit an application on behalf of the group, such as a state government, nonprofit organization, or a private business. To do so registration information is required, such as: email; username; phone number; secret question/answer; password; and a Data Universal Numbering System (DUNS) Number, DUNS Number is a unique nine-character identification number provided by the commercial company Dun & Bradstreet (D&B). Users must also be registered with the System for Award Management (SAM) that is a government-wide registry for vendors doing business with the Federal government. SAM is a system maintained and operated by the General Services Administration (GSA). When registering as a grantor (Federal agencies who need to perform grant-making functions (i.e. posting and managing funding opportunities) on behalf of the agency, account registration information is required, such as: point of contact; job title; agency; phone number; email; secret question/answer; agency code; username, and password. Additionally, Grants.gov provides a system-to-system (S2S) web service interface that allows organizations to submit grant applications directly from their systems into Grants.gov. User credentials are required (e.g. user identification (ID); password; email; and name). Grants.gov uses an email service called Sendy to send emails. This service allows Grants.gov to communicate with users about system changes, user impacts, daily subscription notifications, transactional notifications, and other system-related information that may impact their use of the system and their ability to meet critical grant application deadlines. The Grants.gov Application (App) is designed to bring convenience to critical applicant tasks. It offers applicants a convenient way to search for and subscribe to federal grant opportunities and also allows users with submit

privileges to sign and submit completed applications while on the go. It provides Grants.gov applicants a way to receive real-time notification

**PTA - 5:**

List and/or describe all the types of information that are collected (into), maintained, and/or shared in the system regardless of whether that information is PII and how long that information is stored.

The FIND function provides information on available grants for each agency. The APPLY function requires users to include individuals and organizations to register with the site. Grant applications can contain user's information such as, name, address, telephone number, job title, project description, area affected by project, Data Universal Numbering System (DUNS) number, and other grant information that is requested by the grantor. This information cannot be listed because it varies depending on what grants are being offered and applied for. User registration information for individuals who serve as point of contact (POC) for grantor agencies and grantees is also included, regardless of whether the grantee is an entity or an individual. Submission of the requested information (registration and grant information) is voluntary. Contact information may be personal or professional/institutional, at the individual's discretion. Social Security Number (SSN), Financial Account Info, Taxpayer Identification, etc., are collected for a small subset of applications. These personally identifiable information (PII) items are only collected for application packages where the grantor agency has requested it. All forms used in the application packages are OMB approved forms. Contact information, such as name, email address, mailing address, and phone number, is also collected for user credentials for direct contractors, system users, and database administrators. (Since Grants.gov is a publicly accessible site, Health and Human Services (HHS) credentials are not required for access). Users of the website are randomly selected to complete Foresee surveys soliciting their feedback on elements of the website. The Foresee survey asks users to rate different aspects of the site like system performance, look and feel, functionality, navigation etc. This allows the program to gauge user satisfaction with the website. Users can opt out of completing the surveys. If they do complete the surveys, all responses are anonymous and no PII is collected or maintained in the system. Grants.gov email service (hosted on The Federal Risk and Authorization Management Program [FedRAMP] certified Amazon Web Services (AWS)) uses Grants.gov system's users' Email address, First Name and Last Name to provide enhanced service to users. To use Grants.gov system users are required to provide their Login.gov username and password (with two factor authentication (2FA)) to login so that the App can retrieve information specific to the user from the Grants.gov system. Username and password are encrypted and stored in Login.gov and mapped to the App. Grants.gov's data retention period is 3 years..

**PTA - 5A:**

Are user credentials used to access the system?

Yes

**PTA - 5B:**

Please identify the type of user credentials used to access the system.

HHS User Credentials

HHS/OpDiv PIV Card

Non-HHS User Credentials

Username

Password

Email Address

CAC Card

**PTA - 6:**

Describe why all types of information is collected (into), maintained, and/or shared with another system. This description should specify what information is collected about each category of individual.

The system provides a centralized location for grant seekers and grantors to find, apply, and present funding opportunities. It includes implementation of the Storyblok Portal, which is a web-based platform that allows users to find content that is relevant with ease and simplicity. The FIND function provides information on available grants for each agency. The APPLY function requires users to include individuals and organizations to register with the site. Grant applications can contain user's information, such as name, address, telephone number, job title, project description, area affected by project, DUNS number, and other grant information that is requested by the grantor. This information cannot be listed because it varies depending on what grants are being offered and applied for. User registration information for individuals who serve as POC for grantor agencies and grantees is also included, regardless of whether the grantee is an entity or an individual. Submission of the requested information (registration and grant information) is voluntary. Contact information may be personal or professional/institutional, at the individual's discretion. Financial Account Info, Taxpayer Identification, etc. are collected for a small subset of applications. These PII items are only collected for application packages where the grantor agency has requested it. All forms used in the application packages are OMB approved forms. Grants.gov will share users' Email address, First Name and Last Name with Grants.gov Sendy listserv service (which is now included within the security boundary of the Grants.gov system). When registering on Grants.gov, users provide an Email address, First Name, and Last Name. On the registration form, users are provided with a check box to opt-in or opt-out and receive or not receive emails. Existing users are opted in by default but also have the option to opt out of receiving email notifications. The users' email address and first/last name is sent to the Sendy tool via a one-way API (from the Grants.gov system to the Sendy system). All data sent from Grants.gov to Sendy is encrypted. To use some of the Grants.gov Application features, users are required to provide their Login.gov username and password to login so that the App can retrieve information specific to the user from the Grants.gov system. Information collected by the Application is not shared with external parties. Username and password are encrypted and stored in the App. Effective April 21, 2018, data is not purged.

<b>PTA - 7:</b>	Does the system collect, maintain, use or share PII?	Yes
<b>PTA - 7A:</b>	Does this include Sensitive PII as defined by HHS?	Yes
<b>PTA - 8:</b>	Does the system include a website or online application?	Yes
<b>PTA - 8A:</b>	Are any of the URLs listed accessible by the general public (to include publicly accessible log in and internet websites/online applications)?	Yes
<b>PTA - 9:</b>	Describe the purpose of the website, who has access to it, and how users access the web site (via public URL, log in, etc.). Please address each element in your response.	Grants.gov is an E-Government initiative operating under the governance of the Office of Management and Budget (OMB). Under the President's Management Agenda, the office was chartered to deliver a system that provides a centralized location for grant seekers to find and apply for federal funding. Grants.gov has deployed two government-wide grant functions: FIND and APPLY. The site is accessible to the general public via <a href="http://www.grants.gov">www.grants.gov</a> .
<b>PTA - 10:</b>	Does the website have a posted privacy notice?	Yes
<b>PTA - 11:</b>	Does the website contain links to non-federal government websites external to HHS?	No
<b>PTA - 11A:</b>	Is a disclaimer notice provided to users that follow external links to websites not owned or operated by HHS?	
<b>PTA - 12:</b>	Does the website use web measurement and customization technology?	Yes
<b>PTA - 12A:</b>	Select the type(s) of website measurement and customization technologies in use and if it is used to collect PII.	Session Cookies - Does Not Collect PII
<b>PTA - 13:</b>	Does the website have any information or pages directed at children under the age of thirteen?	No
<b>PTA - 13A:</b>	Does the website collect PII from children under the age thirteen?	
<b>PTA - 13B:</b>	Is there a unique privacy policy for the website and does the unique privacy policy address the process for obtaining parental consent if any information is collected?	
<b>PTA - 14:</b>	Does the system have a mobile application?	Yes
<b>PTA - 14A:</b>	Is the mobile application HHS developed and managed or a third-party application?	HHS
<b>PTA - 15:</b>	Describe the purpose of the mobile application, who has access to it, and how users access it. Please address each element in your response.	The Mobile app is used primarily for searching grantor opportunities. Users' login with the same methods mentioned in 5B. The mobile app is in the process of being deprecated. It has been removed from the app store and there are no further updates being pushed/support.  Grants.gov mobile code and mobile code technologies are implemented using react native for both Apple and Android. Only users with active Grants.gov applicant accounts may sign-in to the grants.gov Portal using a mobile app. The mobile code is controlled via business rules set by HHS and secured via the mobile app siloed environment in the Grants.gov ecosystem. The mobile app does not collect any data from the user.
<b>PTA - 16:</b>	Does the mobile application/ have a privacy notice?	Yes
<b>PTA - 17:</b>	Does the mobile application contain links to non-federal government websites external to HHS?	No

<b>PTA - 17A:</b>	Is a disclaimer notice provided to users that follow external links to resources not owned or operated by HHS?	
<b>PTA - 18:</b>	Does the mobile application use measurement and customization technology?	No
<b>PTA - 18A:</b>	Describe the type(s) of measurement and customization technologies or techniques in use and what information is collected.	
<b>PTA - 19:</b>	Does the mobile application have any information or pages directed at children under the age of thirteen?	No
<b>PTA - 19A:</b>	Does the mobile application collect PII from children under the age thirteen?	
<b>PTA - 19B:</b>	Is there a unique privacy policy for the mobile application and does the unique privacy policy address the process for obtaining parental consent if any information is collected?	
<b>PTA - 20:</b>	Is there a third-party website or application (TPWA) associated with the system?	No
<b>PTA - 21:</b>	Does this system use artificial intelligence (AI) tools or technologies?	Yes

<b>PIA</b>		
<b>PIA</b>		
<b>PIA - 1:</b>	Indicate the type(s) of personally identifiable information (PII) that the system will collect, maintain, or share.	Social Security Number Name Email Address Phone numbers Education Records Taxpayer ID Mailing Address Financial Account Info User Credentials Others - Chart No., TIN, DUNS, Provider License #
<b>PIA - 2:</b>	Indicate the categories of individuals about whom PII is collected, maintained or shared.	Business Partners/Contacts (Federal, state, local agencies) Employees/ HHS Direct Contractors Members of the public
<b>PIA - 3:</b>	Indicate the approximate number of individuals whose PII is maintained in the system.	Above 2000

<p><b>PIA - 4:</b></p>	<p>For what primary purpose is the PII used?</p>	<p>PII is collected for application packages where the grantor agency requests it. Also, point-of-contact (POC) user information, names, emails, telephone numbers, secret questions and answers, username/identification, and password are used when registering for Grants.gov. Lastly PII is used for credentialing purposes to allow contractors, database and administrators access to the system.</p> <p>Grants uses artificial intelligence (AI) for a clustering algorithm used by Solr for Similar Opportunities. The algorithm looks at the characteristics of the Opportunity being applied for and suggests similar matches. That is not based on any user information, but the opportunity itself. The use case is suggesting similar opportunities the user could possibly be eligible to apply for.</p> <p>The Grants ChatBot under development leverages Generative AI (ChatGPT 4o) with Retrieval Augmented Generation (RAG). Rather than being fine-tuned on Grants.gov help content, it retrieves relevant data from a semantic database via a system prompt. An alternative model under consideration would allow the chatbot to dynamically look up answers. The tool is also designed to retrieve grant opportunity information. The primary use case is user support, focusing on responding to help content inquiries rather than identifying specific users.</p> <p>The Privacy Impact Assessment (PIA) will be updated to reflect any future AI use cases that introduce new privacy risks.</p>
<p><b>PIA - 5:</b></p>	<p>Describe any secondary uses for which the PII will be used (e.g. testing, training or research).</p>	<p>none</p>

**PIA - 6:**

Describe the function of the SSN, Truncated SSN, and/or Taxpayer ID.

There are forms where SSN may potentially be provided. The first form is titled 'Automated Clearing House (ACH) Vendor/Miscellaneous Payment Enrollment Form.' The Office of Management and Budget (OMB) form number is 1510-0056. This form is not included in every application. It is only included when Department of Treasury requests it. From July 2020 through October 2025, this form was required in two opportunities a month. A very small subset of opportunities contains this form, so it is important to note that SSN is not collected on every grant opportunity. Also, SSN would not be provided in every case on this form. The form requests SSN or Taxpayer Identification Number (TIN). The SSN is only likely to be provided when the grant or a portion of the grant is awarded to an individual (less than 1% of all opportunities) and not an institution. This form is used for ACH payments with an addendum record that contains payment-related information processed through the Vendor Express Program. All information collected on this form is required under the provisions of 31 U.S.C. 3322 and 31 Code of Federal Regulation (CFR) 210. This information will be used by the Treasury Department to transmit payment data by electronic means to a vendor's financial institution. It is important to note that Grants.gov is NOT a financial system and no payments are processed through Grants.gov. Information on this form is collected at the grantor agency's request, downloaded and retrieved by the grantor agency. Submission of the requested information is required when this form is included in an application package. The second form is titled 'Housing and Urban Development (HUD) Applicant/Recipient Disclosure/Update Report.' The OMB form number is 2510-0011. This form is not included in every application. It is only included for HUD related opportunities where HUD includes it in the application package. From July 2013 through October 2013, this form was required in seven opportunities a week. A very small subset of opportunities contains this form, so it is important to note that SSN is not collected on every grant opportunity. HUD is authorized to collect this information under the Housing and Community Development Act of 1987 42 U.S.C.3543 (a). Submission of SSNs and employer identification number (EINs) is optional when this form is included in an application package. SSN is not used by the Grants.gov system or HHS. It is only collected in applications where the grant making agency requests it.

**PIA - 6A:**

Cite the legal authority to use the SSN, Truncated SSN, and/or Taxpayer ID.

Data is collected using OMB approved forms: 1510-0056 and 2510-0011. All information collected on the ACH Vendor/Miscellaneous Payment Enrollment Form is required under the provisions of 31 U.S.C. 3322 and 31 CFR 210. HUD is authorized to collect this information under the Housing and Community Development Act of 1987 42 U.S.C.3543 (a).

<b>PIA - 7:</b>	Identify legal authorities governing information use and disclosure specific to the system and program.	Grants.gov is mandated by the 2002 President's Management Agenda and Public Law 106-107. On November 20, 1999, the President signed Public Law 106-107, the Federal Financial Assistance Management Improvement Act. The purposes of this law are to: improve the effectiveness and performance of Federal grant programs; simplify grant application and reporting requirements; improve the delivery of services to the public; and facilitate greater coordination among those responsible for delivering such services.
<b>PIA - 8:</b>	Are records in the system retrieved by one or more PII data elements?	Yes
<b>PIA - 8A:</b>	Please specify which PII data elements are used to retrieve records.	The PII data elements used to retrieve records are taxpayer ID, email address, name, address, and financial account information.
<b>PIA - 8B:</b>	Provide the number, title, and URL of the Privacy Act System of Records Notice (SORN) that is being used to cover the system or indicate whether a new or revised SORN is in development.	NA. Grants.gov Cloud is not a system of records.
<b>PIA - 9:</b>	Identify the sources of PII in the system.	<p>Directly from an individual about whom the information pertains</p> <ul style="list-style-type: none"> <li>Email</li> <li>Online</li> </ul> <p>Government Sources</p> <ul style="list-style-type: none"> <li>Within the OPDIV</li> <li>Other HHS OPDIV</li> <li>State/Local/Tribal</li> <li>Other Federal Entities</li> </ul> <p>Non-Government Sources</p> <ul style="list-style-type: none"> <li>Members of the Public</li> <li>Private Sector</li> </ul>
<b>PIA - 10:</b>	Is there an Office of Management and Budget (OMB) information collection approval number?	Yes
<b>PIA - 10A:</b>	Provide the information collection approval number.	There are >100 forms. Each form is reviewed and approved by OMB. The form number and expiration date for each form can be found on the Grants.gov website, <a href="https://www.grants.gov/forms">https://www.grants.gov/forms</a> .
<b>PIA - 10B:</b>	Identify the OMB information collection approval number expiration date.	10/31/2027
<b>PIA - 10C:</b>	Explain why an OMB information collection approval number is not required.	
<b>PIA - 11:</b>	Is the PII shared with other organizations outside the system's Operating Division?	Yes
<b>PIA - 11A:</b>	Identify with whom the PII is shared or disclosed.	<p>Other Federal Agency/Agencies</p> <p>Within HHS</p>

**PIA - 11B:**

Please provide the purpose(s) for the disclosures described in PIA - 11A.

Within HHS Comment: Applications are shared/made available to the applicable grant-offering-agency within HHS. ;Other Federal Agency/Agencies Comment: Applications are shared/made available to the applicable grant-offering-agency.

A grantor Interconnection Security Agreement (ISA) and Memorandum of Understanding (MOU) is in place with agencies that use the S2S interface to retrieve completed applications. The purpose of the ISA/MOU is to establish a management and interconnection agreement regarding the establishment, management, operation, and security of a connection between Grants.gov and the Agency system.

**PIA - 11C:**

List any agreements in place that authorizes the information sharing or disclosure (e.g., Computer Matching Agreement (CMA), Memorandum of Understanding (MOU), or Information Sharing Agreement (ISA)).

Grants.gov Cloud- U.S. Department of Treasury (USDT) Social Impact Partnership to Pay for Results Act (SIPPRA) ISA, Grants-U.S. Department of Transportation (DOT) Federal Transit Administration (FTA) Transit Integrated Appian Development (TriAD) Platform Discretionary Grants System (DGS) ISA, Grants-U.S. Department of Defense (DOD) Congressionally Directed Medical Research Programs (CDMRP) electronic Biomedical Research Application Portal ( eBRAP) , Grants.gov Cloud - U.S Department of Agriculture (USDA) National Institute of Food and Agriculture (NIFA) Grants Management and Reporting System (GMRS) ISA, ASFR Grants.gov Cloud - U.S. Department of Energy (DOE) Office of Science (SC) Portfolio Analysis and Management System (PAMS) ISA, ASFR Grants.gov Cloud - National Science Foundation (NSF) Research.gov ISA, Grants.gov Cloud - National Institutes of Health (NIH) Extramural Research Administration (eRA) ISA, ASFR Grants.gov Cloud - National Endowment for the Arts (NEA) Applicant Portal ISA , Grants.gov Cloud - DOE Strategic Integrated Procurement Enterprise System (STRIPES) ISA, Grants.gov Cloud- National Endowment for Humanities (NEH) Grants Management System (GMS) ISA, Grants.gov Cloud- National Institute of Standards and Technology (NIST) Grants Management Information System (GMIS), Grants.gov Cloud - United States Department of Agriculture (USDA) Financial Management Modernization Initiative (FMMI) ISA, ASFR Grants.gov Cloud - Federal Emergency Management Agency (FEMA) Non-Disaster Grants Cloud Management System (NDGC) ISA, Grants.gov Cloud - Health Resources and Services Administration (HRSA)\_ Electronic Handbooks ISA, Grants.gov Cloud - Identity and Access Management System (IAM) IAM@HHS Memorandum of Understanding (MOU), Grants.gov Cloud and USDT Awards Management Information System (AMIS) ISA, Grants.gov Cloud - U.S. Department of the Interior (DOI) Business Integration Office (BIO) Financial and Business Management (FBMS) - Cloud ISA, ASFR Grants.gov Cloud - Office of Finance and Operations (OFO) Education Central Automated Processing System (EDCAPS) ISA, Grants.gov Cloud - U.S. Nuclear Regulatory Commission (NRC) Strategic Acquisition System (STAQS) ISA, Grants.gov Cloud - DOT Enterprise Services Center Prism (ESC) PRISM MOU, Grants.gov Cloud - U.S. Department of Housing and Urban Development (HUD) Office of the Chief Financial Officer (OCFO) Financial Data Mart (FDM) ISA, FY24\_001Mike Monroney Aeronautical Center (MMAC) Trusted Internet Connection (TIC ) - HHS Grants MOU, Grants.gov Cloud- USDA Food and Nutrition Service (FNS) Grants Information Management System (GIMS) ISA, Grants.gov Cloud - U.S. Department of State (DoS) MyGrants ISA, Grants.gov Cloud - GrantSolutions MOU, A2406-075-047-063047\_0(1)

<b>PIA - 11D:</b>	Describe process and procedures for logging/tracking/accounting for the sharing and/or disclosing of PII. If no process or procedures are in place, please explain why not.	Disclosures from this system are unlikely to be made, except in furtherance of the primary purpose of the system. If any nonstandard disclosures were to be made for any unanticipated reason, the system owner would maintain a record of the disclosures with the required data elements such as date of the disclosure and recipient of the disclosure to include mailing address, content of the disclosure, and purpose of the disclosure.
<b>PIA - 12:</b>	Is the submission of PII by individuals voluntary or mandatory?	Voluntary
<b>PIA - 12A:</b>	If PII submission is mandatory, provide the specific legal requirement that requires individuals to provide information or face potential civil or criminal penalties.	
<b>PIA - 13:</b>	Describe the method for notifying individuals that their information will be collected and how they can opt-out of the collection or use of their PII. If there is no option to object to the information collection, provide a reason.	Individuals are notified during the registration process that their PII will be collected. Additionally, the application package notifies users if and how their PII will be used. Individuals using the system are notified through system privacy policy. If users do not want their PII used, they can choose not to complete the registration process. There is no opt-out option once a person's PII is already in the system.
<b>PIA - 14:</b>	Describe the process to notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of original collection). Alternatively, describe why they cannot be notified or have their consent obtained.	No major changes that would affect data subject's rights or interests are anticipated, but such changes could be reported using the contact information contained in the system.
<b>PIA - 15:</b>	Describe the process in place to resolve an individual's concerns when they believe their PII has been inappropriately obtained, used, or disclosed, or that the PII is inaccurate. If no process exists, explain why not.	Users can contact the Grants.gov contact center to submit a complaint. The contact center can be contacted by phone or email (contact information is provided on the website). If necessary, the contact center will escalate the issue to the Grants.gov Program Management Office (PMO) for further consideration, investigation, and resolution
<b>PIA - 16:</b>	Describe the process in place for periodic reviews of PII contained in the system to ensure the data's integrity, availability, accuracy and relevancy. Please address each element in your response. If no processes are in place, explain why not.	The Grants.gov personnel (staff and direct contractors), systems, and processes comply with the National Institute of Standards and Technology (NIST) 800-53 controls for a Moderate Application which include administrative, technical, and physical controls. These controls are in place to ensure integrity, availability, accuracy, and relevancy of the data. In order to limit access to the data, reviews are conducted by Grants.gov personnel only when requested.
<b>PIA - 17:</b>	Identify who will have access to the PII in the system.	Users Administrators Developers Contractors
<b>PIA - 17A:</b>	Select the type of contractor.	HHS/OpDiv Direct Contractors
<b>PIA - 17B:</b>	Do contracts include Federal Acquisition Regulation (FAR) and other appropriate clauses ensuring adherence to privacy provisions and practices?	Yes

<b>PIA - 18:</b>	Provide the reason why each of the groups identified in PIA - 17 needs access to PII.	<p>Users Reasoning: Agency representatives may have a need to view registered users for a particular organization. Organization eBiz (primary contact) users may have a need to view all registered users for their organization. These users are responsible for approving all new user registrations.</p> <p>Administrators Reasoning: For Lightweight Directory Access Protocol (LDAP) maintenance etc.</p> <p>Developers Reasoning: For implementing code changes when new functionality is added to the system.</p> <p>Contractors Reasoning: System administration and Help desk direct contractors may have a need to view user information if a user submits a support request or contacts the help desk via phone.</p>
<b>PIA - 19:</b>	Describe the administrative procedures in place to determine which system users (administrators, developers, contractors, etc.) may access PII.	The program regularly completes a review (every 60 days) of the list of administrators and developers that have access to PII to determine if they still need access. As a result of these reviews, the number of resources with access to PII has been reduced. Access is now limited to four members of the Operations and Maintenance (O&M) team. These resources still require access as they provide Tier two and Tier three help desk support to users. Access privileges are removed immediately when personnel changes occur.
<b>PIA - 20:</b>	Describe the technical methods in place to allow those with access to PII to only access the minimum amount of information necessary to perform their job.	A system of access levels is implemented. Privileges are assigned on a need to know basis.
<b>PIA - 21:</b>	Identify the general security and privacy awareness training provided to system users (system owners, managers, operators, contractors and/or program managers) using the system to make them aware of their responsibilities for protecting the information being collected and maintained.	All personnel are required to complete Information Systems Security Awareness and Privacy Awareness Training annually
<b>PIA - 22:</b>	Describe the training system users receive (above and beyond general security and privacy awareness training).	None
<b>PIA - 23:</b>	Describe the process and guidelines in place with regard to the retention and destruction of PII. Cite specific National Archives and Records Administration (NARA) records retention schedule(s) and include the retention period(s).	<p>Grants.gov current policy is to purge application packages from the system after five years of receipt from the applicant. The records retention schedule is General Records Schedule (GRS) 1.2. Item 010, Disposition Authority: DAA-GRS2013-0008-0007. Destroy 3 years after final action is taken on the file, but longer retention is authorized if required for business use.</p> <p>Grants.gov is not a system of record. It is a conduit enabling "find and apply" processes only. The systems of records that perform the actual project management are downstream and retrieve these supporting informational pieces from Grants.gov.</p>

**PIA - 24:**

Describe how the PII will be secured in the system using administrative, technical, and physical controls. Please address each element in your response.

The Grants.gov personnel (staff and contractors), systems and processes comply with National Institute of Standards and Technology (NIST) 800-53 controls for a Moderate Application which include administrative, technical, and physical controls. Administrative Controls: System security plan File backup User manuals Security Awareness and Training Contractor Agreements Least Privilege Access PII Policies Technical Controls Identification Passwords Firewall Encryption Intrusion Detection System (IDS) Public Key Infrastructure Physical Controls Guards Identification Badges Key Cards Cipher Locks Bio-metrics.

### Review & Comments

#### Privacy Analyst Review

**OpDiv Privacy Analyst Review Status:**

Approved

**Privacy Analyst Review Date:**

6/5/2025

**Privacy Analyst Comments:**

Re-approving this as it was auto-rejected by Archer once.

**Privacy Analyst Days Open:**

Vanessa,

This PIA is ready for your review. All necessary questions have been answered.

Thank you,

Jon

#### SOP Review

**SOP Review Status:**

Approved

**SOP Signature:**

**SOP Comments:**

**SOP Review Date:**

6/6/2025

**SOP Days Open:**

1

### Agency Privacy Analyst Review

<b>Agency Privacy Analyst Review Status:</b>	Approved	<b>Agency Privacy Analyst Review Date:</b>	6/10/2025
<b>Agency Privacy Analyst Review Comments:</b>	<p>Reviewer: Nestor Villafuerte</p> <p>6/10/2025 PIA comment has been addressed. Ready for SAOP review and approval.</p> <p>6/4/2025 Please see comment and update accordingly.</p> <p>PIA-4: Please revise the third paragraph to remove more colloquial language.</p> <p>I recommend revising to read "The Grants ChatBot under development leverages Generative AI (ChatGPT-4o) with Retrieval Augmented Generation (RAG). Rather than being fine-tuned on Grants.gov help content, it retrieves relevant data from a semantic database via a system prompt. An alternative model under consideration would allow the chatbot to dynamically look up answers. The tool is also designed to retrieve grant opportunity information. The primary use case is user support, focusing on responding to help content inquiries rather than identifying specific users."</p>	<b>Agency Privacy Analyst Days Open:</b>	4

### SAOP Review

<b>SAOP Review Status:</b>	Approved	<b>SAOP Signature:</b>	Archer Signature_Bridget Guenther.docx
<b>SAOP Comments:</b>		<b>SAOP Review Date:</b>	6/24/2025
		<b>SAOP Days Open:</b>	14

### Supporting Document(s)

Name	Size	Type	Upload Date	Downloads
5-28-2025 OS Grantgov Cloud PIA- VW.rtf	889037	.rtf	6/4/2025 8:43 AM	0

### Comments

Question Name	Submitter	Date	Comment	Attachment
PIA - 1	Data Feed Service, piafrmos_Release	5/21/2025	Please include 'SSN', 'user credentials', and 'Others - Chart No., TIN, DUNS, Provider License #' as these are listed in the PTA as being collected/used by the system.	
PIA - 4	Data Feed Service, piafrmos_Release	5/21/2025	In addition to what is already listed in this response, because you indicated the system will be using a new AI feature, we have some additional information we would like included	

in this response field.

In this response, please include the following:

- Please describe, to the best of your ability, any known AI use cases.
- Please include the following statement "The Privacy Impact Assessment (PIA) will be updated to reflect any future AI use cases that introduce new privacy risks."
- Please indicate if the AI tool be trained using the PII collected in the system.

If you have any questions, please reach out to us and we can assist.

PIA - 9	Data Feed Service, piafrmos_Release	5/21/2025	<p>The previous version of the PIA also included the following selections in this response:</p> <p>Directly from an individual about whom the information pertains</p> <p>Email Online</p> <p>If these are still applicable, please update the selections in the response.</p>
PIA - 13	Data Feed Service, piafrmos_Release	5/21/2025	<p>Please append the following, if it is still applicable to the system, to the end of the response:</p> <p>'If users do not want their PII used, they can choose not to complete the registration process. There is no opt-out option once a person's PII is already in the system.'</p>
PIA - 23	Data Feed Service, piafrmos_Release	5/21/2025	<p>I was unable to locate the listed retention schedule N1-468-04-1 online, and could only find a pending request for comment from 2005.</p> <p>Is the listed retention schedule from the previous PIA no longer applicable?</p> <p>General Records Schedule (GRS) 1.2. Item 010, Disposition Authority: DAA-GRS2013-0008-0007. Destroy 3 years after final action is taken on the file, but longer retention is authorized if required for business use.</p> <p>Please doublecheck and adjust the response accordingly.</p>

PIA - 1	BLAND, CRYSTAL	5/28/2025	5/28/2025 On the next iteration of the PTA, please list the PII elements listed in PIA-1 in PTA-5 (i.e. Education Records, Job title, Agency, Agency Code and Security questions)
PIA - 4	BLAND, CRYSTAL	6/4/2025	<p>Please revise the third paragraph to remove more colloquial language.</p> <p>I recommend revising to read "The Grants ChatBot under development leverages Generative AI (ChatGPT-4o) with Retrieval Augmented Generation (RAG). Rather than being fine-tuned on Grants.gov help content, it retrieves relevant data from a semantic database via a system prompt. An alternative model under consideration would allow the chatbot to dynamically look up answers. The tool is also designed to retrieve grant opportunity information. The primary use case is user support, focusing on responding to help content inquiries rather than identifying specific users."</p>

### Admin Section

<b>Is OpDiv Privacy Analyst Approved ?:</b>	1	<b>Is OpDiv Privacy Analyst Return ? :</b>	0
<b>Is Agency Privacy Analyst Approve ?:</b>	1	<b>Is SOP Return ?:</b>	0
<b>Is SAOP Approved?:</b>	1	<b>Is Agency Privacy Analyst Return ?:</b>	0
<b>Total Approved:</b>	4	<b>Is SAOP Return ?:</b>	0
<b>Total Approval Required:</b>	4	<b>Total Return:</b>	0

### Miscellaneous Fields

<b>Last Updated:</b>	6/24/2025 2:36 PM	<b>History Log:</b>	<a href="#">View History Log</a>
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