

General Information

PTA / PIA Name:	OS - ECAPE - QTR3 - 2025 - OS3125372	PTA / PIA ID:	4248501
Component Name:	OS - OS - Electronic Case Adjudication and Processing Environment	ATO Boundary Name:	Electronic Case Adjudication and Processing Environment
Overall Status:	Complete 	# of Days - Open:	149
Submitter:		Submit Date:	2/11/2026
Next Assessment Date:	02/25/2029	Expiration Date:	2/25/2029
Office:		OpDiv:	OS
Security Categorization:	Moderate		
Make PIA available to Public?:	No	PIA Required:	Yes
General 01:	Identify the Enterprise Performance Lifecycle Phase of the system.		Operations and Maintenance
General 02:	Is this a FISMA-Reportable system?		Yes
General 03:	Does the system have or is it covered by a Security Authorization to Operate (ATO)?		Yes
General 04:	ATO Date or Planned ATO Date.		9/29/2025
General 05:	Is the system or electronic information collection, agency or contractor operated?		Contractor
History Log:	View History Log		

Privacy Threshold Analysis

Privacy Threshold Analysis

PTA 01:	Point of Contact (POC) Name	Linzie Oliver
PTA 01A:	POC Title and Organization	Director of Management Information & Technology Division (MITD)
PTA 01B:	POC Email Address	linzie.oliver@hhs.gov
PTA 01C:	POC Phone Number	470-633-3468
PTA 02:	Indicate the following reason(s) for this PTA. Choose from the following options.	PIA Validation (PIA Refresh)

PTA 02A:	Describe in further detail any changes to the system that have occurred since the last PIA.	Increased the Oracle database table structure from small to large, that has helped us in significant database size reduction. No other changes.
PTA 03:	Is the data contained in the system owned by the agency or contractor?	Agency
PTA 04:	Please give a brief overview of the purpose of the system by describing what the functions of the system are and how the system carries out those functions in support of HHS.	The Electronic Case Adjudication and Processing Environment (ECAPE) is a comprehensive solution that fully supports the Electronic Case and Adjudication of Level 3 appeals by the Office of Medicare Hearings and Appeals (OMHA). It allows OMHA to process appeals electronically from filing to closure. ECAPE automates several aspects of OMHA's business process, especially in the areas of managing and handling documents, case processing workflow, generating correspondence, scheduling and managing hearings, supporting the decision process, management information, and providing a public portal for appellants to file an appeal and submit evidence. ECAPE interfaces with the Centers for Medicare and Medicaid Services' (CMS) Medicare Appeals System (MAS) to retrieve Level 1 and 2 appeal data and documents and pass Level 3 (OMHA) data and documents back to MAS for viewing by other appeal levels. Any party to the initial claim determination that is dissatisfied with the decision may request a redetermination. A redetermination is a review of the claim by Medicare Administrative Contractor (MAC) personnel not involved in the initial claim determination. This is called Level 1 appeal. Any party to the redetermination that is dissatisfied with the decision may request a reconsideration. A reconsideration is an independent review of the administrative record, including the initial determination and redetermination, by a Qualified Independent Contractor (QIC). This is called Level 2 appeal. Any party that is dissatisfied with the Qualified Independent Contractor's (QIC's) reconsideration decision may request a hearing before an Administrative Law Judge (ALJ), or a review of the administrative record by an attorney adjudicator within the Office of Medicare Hearings and Appeals (OMHA). If the adjudication period for the QIC to complete its reconsideration has elapsed and the QIC is unable to complete the reconsideration by the deadline (with allowance for extensions), the appellant party has the opportunity to escalate the appeal to an ALJ or attorney adjudicator. This is called Level 3 appeal. The MAS is the System of Records for all Medicare appeals. There is also a System of Records for the Administrative Law Judge (ALJ) Working Files associated with each appeal. With reference to the explanation of level 1, 2 and 3 appeals, Level 1 and 2 are handled within CMS. Their system is MAS. If the party that is dissatisfied with the outcome of level 1 and level 2 appeals,

they can escalate the case for a hearing before a judge. That is where level 3 and ECAPE comes into play. The ACLJ is sent all the documentation of the level 1 and 2 appeals through electronic exchange of documents so the ECAPE can present the documents for the OMHA legal teams.

OMHA receives Request For Hearings (RFHs) and other material on paper from appellants. The paper is converted to electronic files by OMHA's scanning contractor, and the resulting PDF files are electronically moved from the scanning contractor's facility to the ECAPE Cloud Service Provider (CSP) facility for import into ECAPE

ECAPE operates on a SaS (Software as service) contract and is supported by a Cloud Service provider. It's managed by the vendor on vendors servers.

ECAPE collects and maintains Personally Identifiable Information (PII) in order to record and adjudicate appeals of Medicare claims and services in dispute. To initiate and adjudicate an appeal, ECAPE retrieves and stores information from the CMS Medicare Appeals System (MAS) related to the appeal, including prior appeal case data and legal documents, which may contain medical evidence. The system collects, stores, and transmits information including, but not limited to: appellant and beneficiary names, dates of birth (DOBs), contact information (phone numbers, email addresses, and street addresses), Social Security Numbers (SSNs), Taxpayer Identification Numbers (TINs), Medicare Beneficiary Identifiers (MBIs), Health Insurance Claim Numbers (HICNs), patient identification numbers (including medical record numbers), physician names, financial account information, employment status, and, where applicable, driver's license numbers and military-related information submitted as part of the appeal record.

ECAPE also collects and maintains medical records and medical notes associated with appeals, which may include written test results, treatment details, prescriptions, electrocardiograms (EKGs), diagnostic images (e.g., x-rays), justifications for medical necessity, expert opinions, and other clinical documentation. Additional evidence may include employment or work-related information, insurance documentation, and other records deemed relevant by the appellant or their representative.

Although OMHA does not solicit supplemental evidence beyond required appeal documentation, appellants and/or their representatives may voluntarily submit additional information, including medical records and supporting documentation, which is incorporated into the case file and used to process the appeal. Such information may be submitted via paper Request for Hearing (RFH) forms, supplemental paper

PTA 05:

List and/or describe all the types of information that are collected, maintained, and/or shared by the system regardless of whether that information is PII and how long that information is stored.

submissions, CD/DVD media, or electronic submission through the ECAPE e-Appeals Portal. Appellant submission of additional information through the portal is voluntary; however, in certain cases, the absence of such information may adversely impact appeal resolution.

ECAPE also maintains user credentials for OMHA personnel and contractors authorized to access the system. OMHA personnel credentials are established and maintained through the HHS Access Management System (AMS), with a temporal copy stored in ECAPE. Contractor user credentials are maintained within ECAPE solely for system operation, maintenance, and support. No mother's maiden name information is directly solicited by OMHA; however, such data may appear in supporting documentation submitted by appellants as part of medical or identity records.

Once a final disposition is reached, all Level 3 appeal case data is transmitted back to the CMS MAS system. This transmission may include new or updated PII and medical information acquired during adjudication. Access to ECAPE is restricted to authorized OMHA personnel and contractors. All records are retained in accordance with approved records schedules for a period of seven years.

Yes, but the user credentials are maintained in a separate system (e.g., AD, AMS) and not collected or maintained by this system.

Access Management System (AMS)

PTA 05A: Are user credentials used to access the system?

PTA 05C: Please identify the system that maintains the user credentials or controls access to this system.

PTA 06:

Describe why each type of information is collected, maintained, and/or shared by the system. Specify what information is collected about each category of individual.

ECAPE supports the end-to-end business process for the adjudication of Medicare claim appeals by the OMHA ALJs. ECAPE retrieves and stores MAS data only for a specific appeal in addition to (and upon receipt of) collecting and storing data via a RFH to adjudicate the appeals. ECAPE collects and maintains the following PII in order to record and adjudicate appeals of Medicare claims and services in dispute. The type of information includes contact phone numbers, email addresses, street addresses, appellant name(s), beneficiary name(s), DOBs, Appellant or Representative name(s), physician name(s), SSNs, MRNs, MBI, HICN, and other pertinent evidence (not submitted in previous appeals). The system also maintains the ALJ working case file that may include administrative records and legal documents (such as, ALJ notes, case analyses, attorney work product, recordings, RFH, notices, decisions, exhibit lists, responses, instructional sheets, and post adjudicative materials). The system also stores other pertinent medical evidence that may include written test results, medical notes, prescriptions, letters for the record, EKGs, treatments, x-rays, justification for medical need, etc. Although OMHA does not ask for the additional evidence, this information is often supplied by the appellant and is typically used to process the appeal. ECAPE receives other pertinent information from either the existing paper RFH form submitted by appellants, by supplemental submissions on paper or on compact disc (CD)/digital video disc (DVD), or by electronic data entry of the RFH information via the ECAPE web portal. Submission of this information and data is purely voluntary. However, the lack of such information could adversely impact the resolution of the appeal. The system also maintains employee AMS/ECAPE user credentials. The primary source of the data stored in ECAPE is acquired from the CMS MAS. The other source of ECAPE data comes from either the paper submissions by appellants and/or the ECAPE e-Appeal Portal, as described above. The ECAPE system retrieves data electronically from MAS once OMHA receives an RFH. ECAPE is only accessible by OMHA personnel and contractors. OMHA personnel credentials are established and maintained by the HHS AMS with a temporal copy stored in ECAPE. OMHA contracted technical personnel have access to ECAPE to maintain its operation and support modifications; their credentials are stored in ECAPE. The ECAPE e-Appeal Portal will be accessible by all members of the public to file a request for a hearing and to transmit supplemental information and evidence to support their appeal. Once the appeal is finalized and adjudicated, OMHA shares back with CMS MAS system an updated version of the appeals file, which would include any additional information captured by ECAPE during the course of the appeal process

PTA 07:

Does the system collect, maintain, use, or share PII?

Yes

PTA 08:	Does the system include a website or online application?	Yes
PTA 08A:	Provide the URL(s).	https://hhs-escape-sp.entellitrak.com/etk-hhs-escape-prod5/home.do
PTA 08B:	Are any of the website or online applications accessible by the public (including publicly accessible log in pages)?	Yes
PTA 09:	Describe the purpose of the website, who has access to it, and how users access the web site (via public URL, log in, etc.). Please address each element in your response.	ECAPE automates several aspects of OMHA's business process, especially in the areas of managing and handling documents, case processing workflow, generating correspondence, scheduling and managing hearings, supporting the decision process, management information and providing a public portal for appellants to file an appeal and submit evidence. Appellant's use the website/portal to request medical appeals and provide the required information documents required for the appeal.
PTA 10:	Does the website have a posted privacy notice?	Yes
PTA 11:	Does the website contain links to non-federal government websites external to HHS?	No
PTA 12:	Does the website use web measurement and customization technology?	No
PTA 13:	Does the website have any information or pages directed at children under the age of thirteen?	No
PTA 14:	Does the system have a mobile application?	No
PTA 20:	Are any third-party websites or applications (TPWA) associated with the system?	No
PTA 21:	Does this system use artificial intelligence (AI) tools or technologies?	No

Privacy Impact Assessment

Privacy Impact Assessment

PIA 22:	Indicate the type(s) of personally identifiable information (PII) that the system will collect, maintain, or share.	Identifying Numbers - Social Security Number - Truncated SSN - Taxpayer ID Number (TIN) - Driver's License Number - Financial Account Information (e.g., account numbers, credit card numbers) Biographical Information - Name - Date of Birth - User Credentials - Mother Maiden Name - Employment Status/History - Legal Documents - Military Status/History Contact Information - Email Address (Personal) - Mailing Address (Personal) - Phone Numbers (Personal) - Email Address (Business) - Mailing Address (Business) - Phone Numbers (Business) Medical Information - Medical Records - Medical Records Number - Patient ID Number Other - Other
PIA 22A:	Identify the "other" type(s) of personally identifiable information (PII) not mentioned in the above list.	Narrative and diagnostic medical evidence submitted in support of Medicare appeals, which may include written test results, medical notes, prescriptions, letters for the record, electrocardiograms (EKGs), diagnostic images (e.g., X-rays), MBI (Medicare Beneficiary Identifier), HICN (Health Insurance Claim Number), treatment details, justifications for medical necessity, expert opinions, and other case-specific medical documentation provided voluntarily by appellants or their authorized representatives. These materials are maintained as part of the appeal case record and are used solely to support adjudication activities.

PIA 23:	Indicate the categories of individuals about whom PII is collected, maintained, or shared.	Business Partners/Contacts (Federal state, local agencies) Employees/HHS Direct Contractors Grantees Patients Members of the public Vendors/Suppliers/Third-Party Contractors (Contractors other than HHS Direct Contractors)
PIA 24:	Indicate the approximate number of individuals whose PII is maintained in the system.	500 – 4,999
PIA 25:	For what primary purpose is the PII used?	PII is used for the adjudication of Medical Appeals
PIA 26:	Describe any secondary uses for which the PII will be used (e.g., testing, training, or research).	PII is not being used for secondary purposes.
PIA 27:	Describe the function of the SSN, Truncated SSN, and/or Taxpayer ID. If the Taxpayer IDs collected are only for businesses include that in your response.	The SSN currently serves as the unique identifier for Medicare beneficiaries. The SSN is a part of the Health Insurance Claim Number (HICN) which is Medicare beneficiary's identification number submitted in the request for hearings and used by Office of Medicare Hearings and Appeals (OMHA) to uniquely identify claimants who are a party to the appeal. The SSN may appear in other parts of the case file due to the variety of documents that can be incorporated into the case file depending on the facts and the complexity of the case.
PIA 27A:	Cite the legal authority to use the SSN, Truncated SSN, and/or Taxpayer ID. If the Taxpayer IDs collected are only for businesses, you may respond N/A.	The collection and use of the SSN information is authorized by the Social Security Act (section 1155 of Title XI and sections 1852(g)(5), 1860D-4(h)(1), 1869(b)(1), and 1876 of Title XVIII), 42 CFR 405.1014 also describes the requirement to collect the HICN
PIA 28:	Identify legal authorities, governing information use and disclosure specific to the system and program.	Authority for this system is given under 205 of Title II, 1155 and 1156 of Title XI, 1812, 1814, 1816, 1842, 1869, and 1872 of Title XVIII of the Social Security Act (the Act), as amended (42 United States Code (U.S.C.) sections 405, 1320c-4, 1320c-5, 1395d, 1395f, 1395h, 1395u, 1395ff, and 1395ii). Additional authority for this system is given under Title IX, Subtitle D of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Public Law (Pub. L.)
PIA 29:	Are records in the system retrieved by one or more PII data elements?	Yes
PIA 29A:	Please specify which PII data elements are used to retrieve records.	Records are retrieved by Name, SSN, and Medical Appeal Case number.
PIA 29B:	Provide the number, title, and URL of the Privacy Act System of Records Notice (SORN) that is being used to cover the system or indicate whether a new or revised SORN is in development.	File SORN 09-90-1501 MAS (Medicare Appeal System) system of records notice (SORN) 09-70-0566

PIA 30:	Identify the sources of PII in the system.	Directly from an individual about whom the information pertains - In-person - Hard Copy Mail/Fax - Phone - Email - Online Government Sources - Within the OPDIV - Other HHS OPDIV - State/Local/Tribal - Other Federal Entities Non-Government Sources - Members of the Public - Public Media/Internet - Private Sector
PIA 31:	Is there an Office of Management and Budget (OMB) information collection approval number?	No
PIA 31B:	Explain why an OMB information collection approval number is not required.	An Request For Hearing (RFH) comes from beneficiaries, patients, and the public and these contain PII. Electronic Case Appeal and Processing Environment (ECAPE) maintains and stores whatever the public provides Office of Medicare Hearing and Appeals (OMHA) on their RFHs. The Office of Management and Budget (OMB) information and collection approval number is non-applicable to OMHA since OMHA is exempt under the Paperwork Reduction Act Of 1995 Statutory Exemption 44 U.S.C. 3518(c) (5 CFR 1320.4)
PIA 32:	Is the PII in the system shared directly with other organizations outside the system's Operating Division?	Yes
PIA 32A:	Identify with whom the PII is shared or disclosed.	Other Federal Agency/Agencies Private Sector State or Local Agency/Agencies
PIA 32B:	For each disclosure, name the organizations/systems the system shares PII with and the purpose(s) of the disclosure.	An Information Security Agreement (ISA) is in place between Office of Medical Hearings and Appeals (OMHA) and Center for Medicare/Medicaid Services (CMS), the owner of the Medical Appeals System (MAS). Procedures for accounting for disclosures is provided in the MAS SORN (09-70-0566). Disclosure of MAS information to OMHA/ECAPE will only be to the extent necessary to adjudicate Level 3 appeal requests. If an authorization for the disclosure has been obtained from the data subject, then no routine use is needed. The Privacy Act allows for disclosures with the prior written consent of the data
PIA 32C:	List any agreements in place that authorize the information sharing or disclosure (e.g., Computer Matching Agreement (CMA), Memorandum of Understanding (MOU), or Information Sharing Agreement (ISA)).	An information Security Agreement (ISA) is in place between OMHA and CMS.

PIA 32D:	Describe process and procedures for logging/tracking/accounting for the sharing and/or disclosing of PII. If no process or procedures are in place, please explain why not.	Primary roles have been created as a part of the ECAPE system. Each User is assigned a role, or roles to that control access to the Appeals system. A complete list of Access Control privilege's in managed in the HHS ECAPE Create/Read/Update/Delete/Assignments/Search (CRUDAS) spreadsheet. ECAPE only allows access to Privacy Act data to OMHA employees and contractor staff performing ECAPE operational support. ECAPE does not provide access to Privacy Act information outside of HHS or ECAPE support contractors. ECAPE contractor's contracts include OCIO (Office of the Chief Information Officer) (approved language for the protection of PII/PHI (Protected Health Information)). ECAPE adjudicatory team user's send notices and other appeals documents to appeal parties as part of the appeal adjudicatory process as IAW (in accordance with) applicable laws governing the Medicare appeals process. Providing PII/PHI to parties of an appeal as part of the appeal adjudication process is authorized by § 205 of Title II, §§ 1155 and 1156 of Title XI, §§ 1812, 1814, 1816, 1842, 1869, and 1872 of Title XVIII of the Social Security Act (the Act), as amended (42 United States Code (U.S.C.) sections 405, 1320c-4, 1320c-5, 1395d, 1395f, 1395h, 1395u, 1395ff, and 1395ii). Additional authority for this system is given under Title IX, Subtitle D of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (Public Law (Pub. L.) 108-173). This is controlled by the OMHA Case Processing Manual (OCPM) and Chief Judge Bulletin (CJB) 17-002 on handling of PII. The CJB defines PII, outlines the duty of all OMHA employees and contractors with regard to PII, explains how OMHA employees and contractors protect PII in the workplace and while teleworking (if applicable), provides the breach notification protocol in the event of an actual or suspected breach, and outlines penalties associated with violation of the Privacy Act and regulations. The OCPM details procedures for contact with appeal parties in general and refers employees to the CJB for PII aspects. All contact with appeal parties and a record of what was provided is logged in ECAPE by the adjudicatory staff. For external data requests not related to the adjudication of an appeal, OMHA Appeals Policy and Operations Division (APOD) manages those requests as required by Chief Judge Bulletin and logs any PII/PHI provided outside HHS.
PIA 33:	Is the submission of PII by individuals voluntary or mandatory as defined in the Privacy Act?	Voluntary

PIA 34:	Describe the method in place to notify and obtain consent from individuals whose PII will be collected. If no prior notice is given or consent cannot be obtained, explain why.	Individuals wishing to 'opt-out' of the collection or use of their PII by MAS should contact the MAS system manager as described in the MAS SORN (09-70-0566).
PIA 35:	Describe the process to notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of original collection). If they cannot be notified or have their consent obtained, explain why.	Individuals would be notified directly of major system changes that affect their rights or interests, but no such changes are anticipated. Major changes would also be reflected by updates to the SORN.
PIA 36:	Describe the process in place to resolve an individual's concerns when they believe their PII has been inappropriately obtained, used, or disclosed, or that the PII is inaccurate. If no process exists, explain why not.	The subject individual should contact the CMS Privacy Office, and reasonably identify the records and specify the information to be contested. In addition the individual should state the corrective action sought and the reasons for the correction with supporting justification. These Procedures are in accordance with Department regulation 45 CFR 5b.7.
PIA 37:	Describe the process in place for periodic reviews of the system to ensure the integrity, availability, accuracy, and relevancy of the PII in the system. Please address each element in your response. If no processes are in place, explain why not.	OMHA employs a disciplined change management process, which includes OMHA appeal processing experts and senior management, to periodically review the entire ECAPE system and its PII data requirements. Additionally, the integrity and accuracy of the PII in the ECAPE system is reviewed as part of OMHA's adjudication process. OMHA staff reviews the information, which includes PII as described above, for each appeal during the adjudication process.
PIA 38:	Identify who will have access to the PII in the system.	Users Administrators Developers Contractors
PIA 38A:	Select the type of contractor.	Third-Party Contractor (Contractors other than HHS Direct Contractors)
PIA 38B:	Do contracts include Federal Acquisition Regulation (FAR) and other appropriate clauses ensuring adherence to privacy provisions and practices?	Yes
PIA 39:	Provide the reason why each of the groups identified in 38 needs access to PII.	OMHA employs the principle of least privilege, allowing only authorized accesses for which are necessary to accomplish assigned tasks in accordance with organizational missions and business functions. The groups listed have key roles in the support of the Medical Appeals system.
PIA 40:	Describe the administrative procedures in place to determine which system users (administrators, developers, contractors, etc.) may access PII.	OMHA has written procedures based on system requirements that identify specific roles which require PII access along with the appropriate level of access.
PIA 41:	Describe the technical methods in place to allow those with access to PII to access only the minimum amount of information necessary to perform their job.	ECAPE includes role-based security and access control built into the system

PIA 42:	Identify the general security and privacy awareness training provided to system users (system owners, managers, operators, contractors and/or program managers) to make them aware of their responsibilities for protecting the information being collected and maintained.	OMHA personnel and contractors are required to complete the yearly mandatory HHS Annual Privacy and Security Training.
PIA 43:	Describe the training system users receive above and beyond general security and privacy awareness training.	HHS' Annual Privacy and Security training courses are comprehensive courses that are reviewed and updated annually by HHS, and are deemed to cover all of OMHA's needs regarding the ECAPE system.
PIA 44:	Describe the process and guidelines in place for the retention and destruction of PII. Cite specific National Archives and Records Administration (NARA) records retention schedule(s) and include the retention period(s).	ECAPE System Of Record (SORN) No. 09-90-1501 entitled "Administrative Law Judge (ALJ) Working File, Office of Medicare Hearings and Appeals," to cover OMHA ALJ working files previously maintained as part of the Social Security Administration's (SSA) ALJ Working File system of records 60-0005 (last published at 74 FR 19617). The working files covered under new System of Records Notice (SORN) 09-90-1501 are created and used by OMHA ALJs and members of their staffs for internal purposes, to document actions taken by OMHA at the hearing level in each Medicare appeal case that OMHA reviews. The working files are separate from the official case files, which are covered under other SORNs (i.e., HHS SORN 09-70-0566 covers case files on Medicare claims appeals, and SSA SORN 60-0089 covers case files on Medicare entitlement appeals). The Working File Retention Schedule is the NARA-approved records control schedule RG-0468: Health and Human Services, General Records of the Department of and Disposition Authority DAA-0468-2012-0003 - 3 Years after the Level 3 appeal is closed. Electronic working file documents are deleted per that Disposition Authority. The appeal official case file is transferred to Center of Medicare and Medicaid Services (CMS) Appeals system and managed per that system's SORN and disposition authority.
PIA 45:	Describe how the PII will be secured in the system using administrative, technical, and physical controls. Please address each element in your response.	Security measures are designed into the system according to National Institute of Standards and Technology (NIST) 800-53 Revision (REV) 4. Implementation of controls is organized on a risk based model and all administrative, physical and technical controls are reviewed annually. The cloud service provider hosting ECAPE has been FedRamp certified to NIST 800-53 REV 4 requirements. PII is protected using administrative, technical and physical security controls. Administrative controls include limited access to PII to those OMHA users based on their role in adjudicating appeals. Technical controls include two factor authentication for OMHA users to access PII in the system. Physical controls include limiting physical access to the system's equipment in the data center to those individuals approved and authorized by the cloud service provider to gain physical access.

Review and Comments			
OpDiv Privacy Analyst Review			
Privacy Analyst Review Decision:	Approved	Privacy Analyst Review Date:	2/11/2026
Privacy Analyst Review Comments:	This PIA is ready for your review. All necessary questions have been answered. Thank you, Jon	# of Days - PA Review:	0

SOP Review			
SOP Review Decision:	Approved	SOP Review Date:	2/12/2026
SOP Review Comments:	Hello, Please review my comments and make the appropriate changes within your responses. Thank you, Muriel.	# of Days - SOP Review:	1

Agency Privacy Analyst Review			
Agency Privacy Analyst Review Decision:	Approved	Agency Privacy Analyst Review Date:	2/17/2026
Agency Privacy Analyst Review Comments:	Reviewer: Christopher Akhibi 2/17/2026 All comments have been addressed, this PIA is ready for SAOP review and approval, 2/12/2026 This PIA is ready for SAOP review and approval.	# of Days - APA Review:	5

SAOP Review					
SAOP Review Decision:		Approved	SAOP Review Date:		2/26/2026
SAOP Review Comments:			# of Days - SAOP Review:		9
SAOP Signature					
Date	User	Type	Name	Original Value	New Value
2/26/2026 2:27 PM	BAUR, VANESSA	Signature	SAOP (Email PIN)		Content Signed

Supporting Document(s)

Name	Size	Type	Upload Date	Downloads
No Records Found				

Comments

Question Name	Submitter	Date	Comment	Attachment
PTA 01	Data Feed Service, pta_pia_OS_Release	10/2/2025	.	
PTA 01A	Data Feed Service, pta_pia_OS_Release	10/2/2025	.	
PTA 01B	Data Feed Service, pta_pia_OS_Release	10/2/2025	Email: linzie.oliver@hhs.gov	
PTA 01C	Data Feed Service, pta_pia_OS_Release	10/2/2025	470-633-3468	
PTA 02	Data Feed Service, pta_pia_OS_Release	10/2/2025	PIA Validation (PIA Refresh)	
PTA 03	Data Feed Service, pta_pia_OS_Release	10/2/2025	Agency	
PTA 04	Data Feed Service, pta_pia_OS_Release	10/2/2025	.	
PTA 05	Data Feed Service, pta_pia_OS_Release	10/2/2025	.	
PTA 05A	Data Feed Service, pta_pia_OS_Release	10/2/2025	.	
PTA 06	Data Feed Service, pta_pia_OS_Release	10/2/2025	.	
PTA 07	Data Feed Service, pta_pia_OS_Release	10/2/2025	Yes	
PTA 08	Data Feed Service, pta_pia_OS_Release	10/2/2025	Yes	
PTA 14	Data Feed Service, pta_pia_OS_Release	10/2/2025	No	
PTA 20	Data Feed Service, pta_pia_OS_Release	10/2/2025	No	
PTA 21	Data Feed Service, pta_pia_OS_Release	10/2/2025	No	
PTA 04	Data Feed Service, pta_pia_OS_Release	1/27/2026	Please spell/define SaS on first use.	
PTA 05	Data Feed Service, pta_pia_OS_Release	1/27/2026	Please include these PII (Driver's License Number and Mother Maiden Name), since they are listed in PIA 22.	
PIA 22	Data Feed Service, pta_pia_OS_Release	1/27/2026	Please select "Other" for any PII elements not included in the list. E.g. written test results, medical notes, prescriptions, letters for the record, electrocardiograms (EKGs), treatments, x-rays, justification for medical need, etc.	

Ensure that your response to the question is consistent with your responses to PTA 05, PTA 05A, PTA 05B, PTA 05C, and PTA 06.

PIA 32D	PETERS, GODISGREAT	2/9/2026	Please spell out IAW, PHI and OCIO at first use instances.
PTA 05	BLAND, CRYSTAL	2/11/2026	Per PIA-1, please include the following PII in your response "Driver license number, User credentials, Mother maiden name, Military, Medical records, Patient ID number, and Medical notes."
PIA 22A	BLAND, CRYSTAL	2/11/2026	Please include the following PII "MBI and HICN."