

Created Date: 6/15/2026 1:21 PM Last Updated: 6/18/2026 7:29 PM

**General Information**

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| <b>PTA / PIA Name:</b>                | CDC - OCIO ISB INFR SVCS - CDC OFR Integrated Resources Information System - QTR2 - 2026 - CDC8906076 | <b>PTA / PIA ID:</b>       | 4566750                          |
| <b>Component Name:</b>                | CDC - OCIO ISB Infrastructure Services                                                                | <b>ATO Boundary Name:</b>  | OCIO ISB Infrastructure Services |
| <b>Overall Status:</b>                | Complete<br>         | <b># of Days - Open:</b>   | 41                               |
| <b>Submitter:</b>                     |                                                                                                       | <b>Submit Date:</b>        | 6/15/2026                        |
| <b>Next Assessment Date:</b>          | 06/17/2029                                                                                            | <b>Expiration Date:</b>    | 6/17/2029                        |
| <b>Office:</b>                        |                                                                                                       | <b>OpDiv:</b>              | CDC                              |
| <b>Security Categorization:</b>       | High                                                                                                  |                            |                                  |
| <b>Make PIA available to Public?:</b> | Yes                                                                                                   | <b>PIA Required:</b>       | Yes                              |
| <b>General 01:</b>                    | Identify the Enterprise Performance Lifecycle Phase of the system.                                    | Operations and Maintenance |                                  |
| <b>General 02:</b>                    | Is this a FISMA-Reportable system?                                                                    | Yes                        |                                  |
| <b>General 03:</b>                    | Does the system have or is it covered by a Security Authorization to Operate (ATO)?                   | Yes                        |                                  |
| <b>General 04:</b>                    | ATO Date or Planned ATO Date.                                                                         |                            |                                  |
| <b>General 05:</b>                    | Is the system or electronic information collection, agency or contractor operated?                    | Agency                     |                                  |
| <b>History Log:</b>                   | <a href="#">View History Log</a>                                                                      |                            |                                  |

**Privacy Threshold Analysis****Privacy Threshold Analysis**

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|-----------------|-----------------------------|---------------------------------------------------------------------------------|
| <b>PTA 01:</b>  | Point of Contact (POC) Name | Sabal Sahid (YQW7)                                                              |
| <b>PTA 01A:</b> | POC Title and Organization  | Application Services Branch (ASB) Security Steward<br><br>CDC/OCOO/OCIO/DSO/ASB |
| <b>PTA 01B:</b> | POC Email Address           | yqw7@cdc.gov                                                                    |
| <b>PTA 01C:</b> | POC Phone Number            | (770) 488-1858                                                                  |

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| <b>PTA 02:</b>  | Indicate the following reason(s) for this PTA. Choose from the following options.                                                                                              | PIA Validation (PIA Refresh)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |
| <b>PTA 02A:</b> | Describe in further detail any changes to the system that have occurred since the last PIA.                                                                                    | NA                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>PTA 03:</b>  | Is the data contained in the system owned by the agency or contractor?                                                                                                         | Agency                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>PTA 04:</b>  | Please give a brief overview of the purpose of the system by describing what the functions of the system are and how the system carries out those functions in support of HHS. | <p>Integrated Resources Information System (IRIS) automates the requesting and planning of budgets, funding sources, and object class level details.</p> <p>IRIS collects all of its non PII data for the purpose of fulfilling its purpose as the standard for budget and staffing planning. IRIS users include management at all levels, budget preparers, reviewers, administrative users, and Office of Financial Resources (OFR) Budget Analysts.</p> <p>Integrated Resources Information System (IRIS) automates the requesting and planning of budgets, funding sources, and object class level details. IRIS automates the Budget Planning, Forecasting, and Formulation processes at the budget, funding sources, and object class level details. IRIS is a suite of applications covering a wide range of functions to assist CDC management in Planning, Forecasting, Formulation, Acquisition and Assistance Planning, and Reporting.</p> <p>PII is used to uniquely identify employees associated with staffing, budget planning, and project request activities.</p> |

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| <b>PTA 05:</b>  | List and/or describe all the types of information that are collected, maintained, and/or shared by the system regardless of whether that information is PII and how long that information is stored. | <p>The Integrated Resource Information System (IRIS) is the primary Centers for Disease Control and Prevention (CDC) tool for planning and reporting financial information. IRIS also serves as the standard platform for budget planning and workforce planning. Because IRIS integrates data from multiple disparate sources, it functions as a comprehensive solution for daily financial reporting, budget and workforce planning, and procurement forecasting. As part of these functions, IRIS collects the following types of information: budget and financial data, accounting data, asset and liability information, and capital planning data.</p> <p>Most users authenticate through the Front-End Access Method (FAME). Users can access the IRIS application only after receiving the appropriate approval and role assignment. A limited number of IRIS Global users authenticate through an administrative portal, which is also governed by FAME roles and associated access attributes.</p> <p>The IRIS databases contain the following types of Personally Identifiable Information (PII): name, date of birth (DOB), employee status, and payroll status for the purpose of employee identification. The IRIS application and associated databases do not collect, process, store, or maintain Social Security Numbers (SSNs).</p> <p>All data is stored and maintained in accordance with applicable federal records retention schedules and CDC data governance policies. This system adheres to General Record Schedule (GRS) 5.1 Common Office Records. Data from CapHR payroll files is maintained in the application for current year and past 5 years.</p> |
| <b>PTA 05A:</b> | Are user credentials used to access the system?                                                                                                                                                      | Yes, but the user credentials are maintained in a separate system (e.g., AD, AMS) and not collected or maintained by this system.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>PTA 05C:</b> | Please identify the system that maintains the user credentials or controls access to this system.                                                                                                    | User credentials are managed via Active Directory (AD) which is a separate system with its own approved PTA/PIA. IRIS uses CDC's secure login process to verify user identities. After signing in, users are automatically given the appropriate level of access based on their role. All technical authentication steps are managed by CDC's IT systems.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |

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| <b>PTA 06:</b>  | Describe why each type of information is collected, maintained, and/or shared by the system. Specify what information is collected about each category of individual.      | IRIS collects both PII and non-PII to support its financial, budgeting, workforce planning, and operational functions. The system uses PII such as name, date of birth, employee status, and payroll status to identify employees within financial and staffing records. IRIS also collects a broad set of non-PII information, including budget and financial data, accounting information, asset and liability details, capital planning information, procurement and forecasting data, operational system metadata, and workforce and staffing planning data. These data types are used to perform financial reporting, budget formulation, expenditure tracking, cost projections, resource planning, procurement forecasting, and to support required federal financial management and capital planning processes. Each category of data is necessary to allow IRIS to function as CDC's primary tool for financial planning, reporting, forecasting, and workforce management. |
| <b>PTA 07:</b>  | Does the system collect, maintain, use, or share PII?                                                                                                                      | Yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>PTA 08:</b>  | Does the system include a website or online application?                                                                                                                   | Yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>PTA 08A:</b> | Provide the URL(s).                                                                                                                                                        | <a href="http://iris.cdc.gov">http://iris.cdc.gov</a>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>PTA 08B:</b> | Are any of the website or online applications accessible by the public (including publicly accessible log in pages)?                                                       | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>PTA 09:</b>  | Describe the purpose of the website, who has access to it, and how users access the web site (via public URL, log in, etc.). Please address each element in your response. | The IRIS.CDC.GOV website is used to automate the request and planning of budgets and funding sources. IRIS is the major planning and reporting tool for financial information at CDC. IRIS is also the standard for budget and staffing planning. This is a CDC internal system. IRIS users include management at all levels, budget preparers, reviewers, administrative users, and OFR Budget Analysts. All Centers, Institutes, and Offices (C/I/O's) throughout CDC/ATSDR are users of IRIS system.                                                                                                                                                                                                                                                                                                                                                                                                                                                                              |
| <b>PTA 10:</b>  | Does the website have a posted privacy notice?                                                                                                                             | Yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>PTA 11:</b>  | Does the website contain links to non-federal government websites external to HHS?                                                                                         | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>PTA 12:</b>  | Does the website use web measurement and customization technology?                                                                                                         | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>PTA 13:</b>  | Does the website have any information or pages directed at children under the age of thirteen?                                                                             | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>PTA 14:</b>  | Does the system have a mobile application?                                                                                                                                 | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>PTA 20:</b>  | Are any third-party websites or applications (TPWA) associated with the system?                                                                                            | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |
| <b>PTA 21:</b>  | Does this system use artificial intelligence (AI) tools or technologies?                                                                                                   | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   |

## Privacy Impact Assessment

### Privacy Impact Assessment

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| <b>PIA 22:</b>  | Indicate the type(s) of personally identifiable information (PII) that the system will collect, maintain, or share.                                                                       | Biographical Information<br>Name<br>Date of Birth<br>Employment Status/History                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 |
| <b>PIA 23:</b>  | Indicate the categories of individuals about whom PII is collected, maintained, or shared.                                                                                                | Employees/HHS Direct Contractors<br>Vendors/Suppliers/Third-Party Contractors<br>(Contractors other than HHS Direct Contractors)                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| <b>PIA 24:</b>  | Indicate the approximate number of individuals whose PII is maintained in the system.                                                                                                     | 10,000 – 49,999                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>PIA 25:</b>  | For what primary purpose is the PII used?                                                                                                                                                 | PII is used to uniquely identify employees associated with staffing, budget planning, and project request activities.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>PIA 26:</b>  | Describe any secondary uses for which the PII will be used (e.g., testing, training, or research).                                                                                        | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           |
| <b>PIA 28:</b>  | Identify legal authorities, governing information use and disclosure specific to the system and program.                                                                                  | "General Authority 5 U.S.C. 301 – Departmental Regulations                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |
| <b>PIA 29:</b>  | Are records in the system retrieved by one or more PII data elements?                                                                                                                     | Yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>PIA 29A:</b> | Please specify which PII data elements are used to retrieve records.                                                                                                                      | Employee Name                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| <b>PIA 29B:</b> | Provide the number, title, and URL of the Privacy Act System of Records Notice (SORN) that is being used to cover the system or indicate whether a new or revised SORN is in development. |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>PIA 30:</b>  | Identify the sources of PII in the system.                                                                                                                                                | Government Sources<br>Within the OPDIV                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |
| <b>PIA 31:</b>  | Is there an Office of Management and Budget (OMB) information collection approval number?                                                                                                 | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>PIA 31B:</b> | Explain why an OMB information collection approval number is not required.                                                                                                                | Data in IRIS is not collected from the general public                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          |
| <b>PIA 32:</b>  | Is the PII in the system shared directly with other organizations outside the system's Operating Division?                                                                                | No                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             |
| <b>PIA 33:</b>  | Is the submission of PII by individuals voluntary or mandatory as defined in the Privacy Act?                                                                                             | Voluntary                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      |
| <b>PIA 34:</b>  | Describe the method in place to notify and obtain consent from individuals whose PII will be collected. If no prior notice is given or consent cannot be obtained, explain why.           | IRIS does not collect PII directly from individuals. All PII that appears in IRIS originates in Capital HR (CapHR), which is the authoritative system of record for employee information. CapHR collects PII and provides notice and consent to individuals at the point of data collection. That information is then shared with MISO_Person and subsequently pulled into IRIS for workforce and financial planning purposes.<br><br>Although IRIS does not collect PII from users, the system does present a disclosure statement upon first login. Users must acknowledge this statement by selecting "OK," which serves as confirmation that they have been informed of the system's terms and conditions. Because the PII originates outside of IRIS and is collected under CapHR's notice and consent procedures, no additional consent process is required within IRIS. |

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| <b>PIA 35:</b>  | Describe the process to notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of original collection). If they cannot be notified or have their consent obtained, explain why. | There is currently no system-specific process within IRIS to notify users when major changes occur. However, this does not affect PII handling because IRIS does not collect PII directly. All PII present in IRIS originates from Capital HR (CapHR), which is the authoritative source system. Any required user notifications related to changes affecting the collection, use, or handling of PII will be issued through CapHR, since that system is responsible for managing the original data and ensuring users are informed when material changes occur. IRIS only receives PII from CapHR and does not alter how that information is collected or maintained, so any change notifications will be managed and communicated through the originating system.                                                                                                                                                                                                |
| <b>PIA 36:</b>  | Describe the process in place to resolve an individual's concerns when they believe their PII has been inappropriately obtained, used, or disclosed, or that the PII is inaccurate. If no process exists, explain why not.                                                                                             | When a user believes their PII has been improperly obtained, used, disclosed, or is inaccurate, the user should first contact the MISO Help Desk. The MISO Help Desk serves as the initial point of contact and is responsible for initiating the investigation. Because IRIS is not the system of record, the Help Desk coordinates with the MISO_Person database team and CapHR, as appropriate, to verify and correct discrepancies. Any corrections made in the source system will flow through the MISO_Person database and subsequently update IRIS during routine data refresh cycles.                                                                                                                                                                                                                                                                                                                                                                      |
| <b>PIA 37:</b>  | Describe the process in place for periodic reviews of the system to ensure the integrity, availability, accuracy, and relevancy of the PII in the system. Please address each element in your response. If no processes are in place, explain why not.                                                                 | <p>The OFR Budget Operations Branch performs annual reviews for current year data to ensure the integrity, availability, accuracy, and relevancy of the PII maintained in IRIS. Staffing data within IRIS is reviewed on an annual basis and compared against authoritative personnel data from upstream systems (CapHR). These reviews are conducted manually and allow the Branch to identify discrepancies and confirm that PII remains current and appropriate for use.</p> <p>Because PII originates in upstream systems such as CapHR and flows through the MISO_Person database before reaching IRIS, updates made at the source are automatically incorporated into IRIS during routine scheduled data refresh cycles. This ensures continued data accuracy and relevancy over time. System availability is supported by standard CDC infrastructure controls, and automated data feeds help maintain the integrity of the information stored in IRIS.</p> |
| <b>PIA 38:</b>  | Identify who will have access to the PII in the system.                                                                                                                                                                                                                                                                | <p>Users</p> <p>Administrators</p> <p>Developers</p> <p>Contractors</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| <b>PIA 38A:</b> | Select the type of contractor.                                                                                                                                                                                                                                                                                         | HHS/OpDiv Direct Contractors                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                       |

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| <b>PIA 38B:</b> | Do contracts include Federal Acquisition Regulation (FAR) and other appropriate clauses ensuring adherence to privacy provisions and practices?                                                                                                                             | Yes                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                |
| <b>PIA 39:</b>  | Provide the reason why each of the groups identified in 38 needs access to PII.                                                                                                                                                                                             | <p><b>Users:</b> Users who are authorized to view and manage staffing data are granted access to the PII necessary to perform their responsibilities.</p> <p><b>Administrators:</b> Administrators require access to PII in order to manage, configure, and maintain the system.</p> <p><b>Developers:</b> Developers may access PII only when needed to diagnose system defects or implement approved system enhancements.</p> <p><b>Contractors:</b> Contractors may access PII only if they are direct contractors assigned to the system development team or the budget operations staff, and only when their role requires access to staffing data.</p>                                       |
| <b>PIA 40:</b>  | Describe the administrative procedures in place to determine which system users (administrators, developers, contractors, etc.) may access PII.                                                                                                                             | A user's access to PII is enforced via role based access control. Permissions are assigned to IRIS roles, and users are assigned to roles with the lowest level of permissions necessary to complete their job. The IRIS Product Owner is the authorized approver for IRIS roles. All role requests must originate or be approved by the IRIS Product Owner or designated representative. Upon verification of approval, the role requests will then be routed to the System Administrators, who will assign users to those roles. The production administrators only have access to production systems and information. The development team only has access to the development servers and data. |
| <b>PIA 41:</b>  | Describe the technical methods in place to allow those with access to PII to access only the minimum amount of information necessary to perform their job.                                                                                                                  | IRIS uses windows authentication which restricts a user's ability to view PII to only that required to perform their job function. The roles are assigned by supervisors in Office of Safety, Security, and Asset Management (OSSAM) and implemented by the Application Services Branch (ASB) help desk in the Role Based Access Control (RBAC) application authorization server. The least privilege model is utilized.                                                                                                                                                                                                                                                                           |
| <b>PIA 42:</b>  | Identify the general security and privacy awareness training provided to system users (system owners, managers, operators, contractors and/or program managers) to make them aware of their responsibilities for protecting the information being collected and maintained. | Users must undergo annual security awareness training to maintain their CDC network account and access to IRIS.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    |
| <b>PIA 43:</b>  | Describe the training system users receive above and beyond general security and privacy awareness training.                                                                                                                                                                | None                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |

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| <p><b>PIA 44:</b></p> | <p>Describe the process and guidelines in place for the retention and destruction of PII. Cite specific National Archives and Records Administration (NARA) records retention schedule(s) and include the retention period(s).</p> | <p>OFR maintains all official records. All officials' records are transferred or destroyed based on CDC record management policies. Below is retention guidance for budget and funding documents.</p> <p>1. Budget Correspondence Files (NC1-90-78-1, Item 1): Correspondence files in formally organized budget office's pertaining to routine administration, internal procedures, and other matters not covered elsewhere in this schedule, EXCLUDING files relating to Agency policy and procedure maintained in formally organized budget offices. Authorized Disposition: Destroy when 2 years old.</p> <p>2. Budget Preparation, Presentation, and Administration Files, (NC1-90-78-1, Item 2): Consists of correspondence, drafts, budget schedules relating to agency long-range, multi-year, or no-year budget submissions; supporting narrative justifications, supporting budget and program data or analysis, warrants, apportionments budget, operating, obligation, outlay, travel, and other special plans; funding documents; documents related to Congressional hearings, and related schedules and data. NOTE: Formal budget data is submitted through Public Health Service (PHS). Office of Record: Financial Management Office Authorized Disposition: Transfer to the Federal Records Center 3 years after the close of the fiscal year covered by the budget. Destroy when 15 years old.</p> <p>3. Budget Preparation Working Files, (NC1-90-78-1, Item 3): Files accumulated by various programs in the preparation and clearance of annual budget estimates. Included are preliminary estimates, feeder reports, and related background material. Authorized Disposition: Destroy 5 years after the close of the fiscal year covered by the budget.</p> |
| <p><b>PIA 45:</b></p> | <p>Describe how the PII will be secured in the system using administrative, technical, and physical controls. Please address each element in your response.</p>                                                                    | <p>Administrative: Records are maintained according with CDC's record control schedule and record control policy. The PII is secured using the CDC/IS Active Directory authentication process and role-based application control via RBAC.</p> <p>Technical: Monitored by the Network and IT security controls which is administered by Office of the Chief Information Security Officer (OCISO) and Digital Services Office (DSO).</p> <p>Physical: Controls are managed by guards, ID badges and key card restrictions.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                     |

| Review and Comments              |                                                                                    |                              |           |
|----------------------------------|------------------------------------------------------------------------------------|------------------------------|-----------|
| OpDiv Privacy Analyst Review     |                                                                                    |                              |           |
| Privacy Analyst Review Decision: | Approved                                                                           | Privacy Analyst Review Date: | 6/15/2026 |
| Privacy Analyst Review Comments: | Please review the comments made on 6/15/26 and update the responses as applicable. | # of Days - PA Review:       | 0         |

|                      |                                       |                         |           |
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| SOP Review           |                                       |                         |           |
| SOP Review Decision: | Approved                              | SOP Review Date:        | 6/15/2026 |
| SOP Review Comments: | Approved on behalf of Beverly Walker. | # of Days - SOP Review: | 0         |

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| Agency Privacy Analyst Review           |                                                                                             |                                     |           |
| Agency Privacy Analyst Review Decision: | Approved                                                                                    | Agency Privacy Analyst Review Date: | 6/18/2026 |
| Agency Privacy Analyst Review Comments: | Reviewer: Shanai Shobowale<br><br>6/18/2026 This PIA is ready for SAOP review and approval. | # of Days - APA Review:             | 3         |

| SAOP Review           |               |           |                          |                |                |
|-----------------------|---------------|-----------|--------------------------|----------------|----------------|
| SAOP Review Decision: |               | Approved  | SAOP Review Date:        |                | 6/18/2026      |
| SAOP Review Comments: |               |           | # of Days - SAOP Review: |                | 0              |
| SAOP Signature        |               |           |                          |                |                |
| Date                  | User          | Type      | Name                     | Original Value | New Value      |
| 6/18/2026 1:43 PM     | BAUR, VANESSA | Signature | SAOP (Email PIN)         |                | Content Signed |

|                        |  |      |      |             |           |
|------------------------|--|------|------|-------------|-----------|
| Supporting Document(s) |  |      |      |             |           |
| Name                   |  | Size | Type | Upload Date | Downloads |
| No Records Found       |  |      |      |             |           |

|               |                                        |           |                                                                                                                                                                                                                                                                                           |            |
|---------------|----------------------------------------|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------|
| Comments      |                                        |           |                                                                                                                                                                                                                                                                                           |            |
| Question Name | Submitter                              | Date      | Comment                                                                                                                                                                                                                                                                                   | Attachment |
| PTA 04        | Data Feed Service, pta_pia_CDC_Release | 5/29/2026 | Please correct this sentence: "Within the IRIS system boundary is IRIS Global. The IRIS Global Spend Plan Management module that will be used by overseas and domestic CDC staff to input budget planning data for the upcoming Fiscal Year. " Please spell out all acronyms on first use |            |

e.g. OFR

Suggested input, update as applicable:

Integrated Resources Information System (IRIS) supports CDC by automating the requesting and planning of budgets, funding sources, and object class-level details. It also streamlines the Budget Planning, Forecasting, and Formulation processes and serves as a suite of applications that assist CDC management in planning, forecasting, formulation, acquisition and assistance planning, and financial reporting.

IRIS collects non-PII data to perform its role as CDC's enterprise system for budget and workforce planning. Users include management at all levels, budget preparers, reviewers, administrative users, and Office of Financial Resources (OFR) Budget Analysts.

Within the IRIS system boundary is IRIS Global, which includes the Spend Plan Management module used by both overseas and domestic CDC staff to input budget planning data for the upcoming fiscal year.

IRIS collects PII only for the purpose of identifying employees within budget and staffing records.

PTA 05

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Please correct grammatical errors.

Suggested example:

The Integrated Resource Information System (IRIS) is the primary Centers for Disease Control and Prevention (CDC) tool for planning and reporting financial information. IRIS also serves as the standard platform for budget planning and workforce planning. Because IRIS integrates data from multiple disparate sources, it functions as a comprehensive solution for daily financial reporting, budget and workforce planning, and procurement forecasting. As part of these functions, IRIS collects the following types of information: budget and financial data, accounting data, asset and liability information, and capital planning data.

Most users authenticate through the

Front-End Access Method (FAME). Users can access the IRIS application only after receiving the appropriate approval and role assignment. A limited number of IRIS Global users authenticate through an administrative portal, which is also governed by FAME roles and associated access attributes.

The IRIS databases contain the following types of Personally Identifiable Information (PII): name, date of birth (DOB), employee status, and payroll status for the purpose of employee identification. The IRIS application and associated databases do not collect, process, store, or maintain Social Security Numbers (SSNs).

PTA 05C

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pta\_pia\_CDC\_Release

5/29/2026

PTA Section 5C appears to contradict PTA Section 5. Section 5 states that user authentication is performed through the Front-End Access Method (FAME), but the PTA does not explain what FAME is or whether it relies on Active Directory (AD) or any other CDC identity service. Section 5C also describes separate authentication paths for domestic CDC users and IRIS Global users, but it does not clarify whether these paths use the same or different credential systems.

PTA Section 5 currently states:

“A limited number of IRIS Global users authenticate through an administrative portal, which is also governed by FAME roles and associated access attributes.”

To ensure accuracy and eliminate contradictions, please clarify and update the PTA as follows:

1. **Authentication for CDC Internal Users**

- Specify whether domestic CDC users authenticate through **Active Directory (AD)**.
- Clarify whether FAME is an authentication

layer, an access-control tool, or a CDC-specific front-end method that relies on AD.

2. **Authentication for Global or External Users**

- Identify whether the administrative portal for IRIS Global users uses **SAMS (Secure Access Management Services)** or another CDC external authentication service.
- Confirm whether any global user credentials are stored in SAMS, validated through a federated identity provider, or maintained in a separate system.

3. **Clarify the Role of FAME**

- Provide a definition of **what FAME is**, how it operates, and whether it depends on AD, SAMS, or another enterprise identity service (or remove the word FAME).
- Remove or revise any sections that assume FAME is the sole authentication source if multiple systems are actually used.

PTA 06

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pta\_pia\_CDC\_Release

5/29/2026

PTA Section 6 requires you to list all data types in the system, both PII and non-PII and explain why each type is collected or used. Please revise this section so that it fully answers the question, ensuring the list of data in PTA-6 matches the data listed in PTA-5 and includes a clear justification for each item.

Suggested example, update as applicable.

IRIS collects both PII and non-PII to support its financial, budgeting, workforce planning, and operational functions. The system uses PII such as name, date of birth, employee status, and payroll status to identify employees within financial and staffing records. IRIS also collects a broad set of non-PII information, including budget and financial data, accounting information, asset and liability details, capital planning information, procurement and forecasting data, operational system metadata, and workforce and staffing planning data. These data types are used to perform financial reporting, budget formulation, expenditure tracking, cost projections, resource planning, procurement forecasting, and to support required federal financial management and capital planning processes. Each category of data is necessary to allow IRIS to function as CDC's primary tool for financial planning, reporting, forecasting, and workforce management.

PIA 35

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pta\_pia\_CDC\_Release

5/29/2026

Your response in this section is incomplete.

Please see suggested example and update as applicable:

There is currently no system-specific process within IRIS to notify users when major changes occur. However, this does not affect PII handling because IRIS does not collect PII directly. All PII present in IRIS originates from Capital HR (CapHR), which is the authoritative source system. Any required user notifications related to changes affecting the collection, use, or handling of PII will be issued through CapHR, since that system is responsible for managing the original data and ensuring users are informed when material changes occur. IRIS only receives PII from CapHR and does not alter how that information is collected or maintained, so any change notifications will be managed and communicated through the originating system.

PIA 35

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5/29/2026

Please explain if this information transfer is also applicable to the global users.

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| PIA 34 | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | <p>Answer is incomplete, please see suggested example below and update as applicable ensuring you call out the process for global users as well:</p> <p>IRIS does not collect PII directly from individuals. All PII that appears in IRIS originates in Capital HR (CapHR), which is the authoritative system of record for employee information. CapHR collects PII and provides notice and consent to individuals at the point of data collection. That information is then shared with MISO_Person and subsequently pulled into IRIS for workforce and financial planning purposes.</p> <p>Although IRIS does not collect PII from users, the system does present a disclosure statement upon first login. Users must acknowledge this statement by selecting "OK," which serves as confirmation that they have been informed of the system's terms and conditions. Because the PII originates outside of IRIS and is collected under CapHR's notice and consent procedures, no additional consent process is required within IRIS.</p> |
| PIA 25 | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | <p>The purpose in the PTA needs to match the purpose within the PIA.</p> <p>Suggested example, please update as applicable:</p> <p>PII is used to uniquely identify employees associated with staffing, budget planning, and project request activities.</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               |
| PIA 36 | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | <p>The original response does not fully address the question. Please see the updated version below and revise as applicable.</p> <p>When a user believes their PII has been improperly obtained, used, disclosed, or is inaccurate, the user should first contact the MISO Help Desk. The MISO Help Desk serves as the initial point of contact and is responsible for initiating the investigation. Because IRIS is not the system of record, the Help Desk coordinates with the MISO_Person database team and CapHR, as appropriate, to verify and correct discrepancies. Any corrections made in the source system will flow through the MISO_Person database</p>                                                                                                                                                                                                                                                                                                                                                                       |

and subsequently update IRIS during routine data refresh cycles.

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| PIA 37 | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | <p>The response did not fully address the question. Please revise it using the example provided and ensure that a review cadence is included. The updated response must clearly address how the system ensures the <b>availability, integrity, and accuracy</b> of PII, while also specifying how often these reviews occur.</p> <p>Suggested example, please update as applicable:<br/>The OFR Budget Operations Branch performs periodic reviews to ensure the integrity, availability, accuracy, and relevancy of the PII maintained in IRIS. Staffing data within IRIS is reviewed on a <b>quarterly</b> basis and compared against authoritative personnel data from upstream systems. These reviews are conducted manually and allow the Branch to identify discrepancies and confirm that PII remains current and appropriate for use.</p> <p>Because PII originates in upstream systems such as CapHR and flows through the MISO_Person database before reaching IRIS, updates made at the source are automatically incorporated into IRIS during routine scheduled data refresh cycles. This ensures continued data accuracy and relevancy over time. System availability is supported by standard CDC infrastructure controls, and automated data feeds help maintain the integrity of the information stored in IRIS.</p> |
| PIA 36 | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | What is the TSB helpdesk?                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| PIA 39 | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | <p>Correct for grammatical errors:</p> <p>suggested examples update as applicable:</p> <p><b>Users:</b> Users who are authorized to view and manage staffing data are granted access to the PII necessary to perform their responsibilities.</p> <p><b>Administrators:</b> Administrators require access to PII in order to manage, configure, and maintain the system.</p> <p><b>Developers:</b> Developers may access PII only when needed to diagnose</p>                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         |

system defects or implement approved system enhancements.

**Contractors:** Contractors may access PII only if they are direct contractors assigned to the system development team or the budget operations staff, and only when their role requires access to staffing data.

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| PIA 34  | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | Spell put all acronyms on first use.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |
| PIA 28  | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | The legal authorities need to be updated. The Occupational Safety and Health Act does not apply to IRIS.                                                                                                                                                                                                                                                                                                                                                                                                              |
| PIA 38A | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | Please verify that <ul style="list-style-type: none"><li>• Vendors/Suppliers/Third-Party Contractors (Contractors other than HHS Direct Contractors) do not have access to the PII in the system.</li><li>•</li></ul>                                                                                                                                                                                                                                                                                                 |
| PIA 41  | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | Please spell out all acronyms on first use OSSAM and RBAC.                                                                                                                                                                                                                                                                                                                                                                                                                                                            |
| PIA 45  | Data Feed Service,<br>pta_pia_CDC_Release | 5/29/2026 | This section states that “image documentation containing PII will be encrypted using industry-standard encryption algorithms,” but this capability is not described anywhere else in the PTA or PIA. Can you please confirm whether IRIS actually encrypts image-based files containing PII (e.g., screenshots or scanned documents), and if so, identify what types of PII appear in these images and ensure this functionality is reflected consistently across all related PTA and PIA sections (4, 5, 6, 22, etc. |
| PTA 04  | Data Feed Service,<br>pta_pia_CDC_Release | 6/3/2026  | Clarified with IRIS Dev. IRIS Global is completely different from IRIS/ IRIS Classic with its own database. IRIS/ IRIS Classic doesn't interface with IRIS Global at all. IRIS Global gets it's data from some common databases like UFMS data, AFPS data, etc., but there is no direct connection from IRIS Global to IRIS/ IRIS Classic databases.                                                                                                                                                                  |
| PTA 05C | Data Feed Service,<br>pta_pia_CDC_Release | 6/4/2026  | Please reword this section to make it less technical. Example :<br><br>User credentials are managed via Active Directory (AD) which is a                                                                                                                                                                                                                                                                                                                                                                              |

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|        |                                           |           | <p>separate system with its own approved PTA/PIA. IRIS uses CDC's secure login process to verify user identities. After signing in, users are automatically given the appropriate level of access based on their role. All technical authentication steps are managed by CDC's IT systems.</p>                                                                                                                  |
| PIA 28 | Data Feed Service,<br>pta_pia_CDC_Release | 6/4/2026  | <p>The legal authorities you listed are specific to research and training activities and do not align with this system's purpose.</p> <p>Since the system uses PII for staffing, budgeting, and project request functions, these authorities are not appropriate.</p> <p>If you do not have a program-specific authority, please use the general authority 5 U.S.C. 301 – Departmental Regulations instead.</p> |
| PIA 45 | Data Feed Service,<br>pta_pia_CDC_Release | 6/4/2026  | <p>Please add a comment confirming that the issue noted above has been addressed. Also include a statement describing what types of PII may be contained within these image documents.</p> <p>In addition, ensure that this information about images is included in the sections highlighted in the previous comment.</p>                                                                                       |
| PIA 30 | Data Feed Service,<br>pta_pia_CDC_Release | 6/4/2026  | <p>"PII collected directly from individuals" contradicts the statements in PIA Sections 34 and 35, which explains that IRIS does <b>not</b> collect PII directly from individuals.</p> <p>All PII in IRIS originates from Capital HR (CapHR), the authoritative source system.</p> <p>Please review all sections and update them as applicable to ensure consistency across the PTA and PIA.</p>                |
| PIA 37 | Data Feed Service,<br>pta_pia_CDC_Release | 6/4/2026  | <p>Please update the phrase "periodic review" to include a specific cadence, such as quarterly or annually, as appropriate.</p>                                                                                                                                                                                                                                                                                 |
| PIA 45 | Data Feed Service,<br>pta_pia_CDC_Release | 6/5/2026  | <p>Issue noted has been resolved. Date in IRIS is input from CapHR.. The IRIS databases contain the following Personable Identifiable Information (PII) items: Name, DOB, Employee Status, and Payroll Status for purposes of identifying employees. Confirmed with IRIS team no image documents are collected or stored in IRIS. PIA37 has been reworded accordingly.</p>                                      |
| PTA 05 | Data Feed Service,<br>pta_pia_CDC_Release | 6/15/2026 | <p>The question also asks how long the info is stored.</p>                                                                                                                                                                                                                                                                                                                                                      |
| PTA 05 | Data Feed Service,                        | 6/15/2026 | <p>Please specifically define "how long</p>                                                                                                                                                                                                                                                                                                                                                                     |

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the info is stored", the GRS speaks to various durations.