

Copy PIA (Privacy Impact Assessment)

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Please select the user, who would be submitting the copied PIA.

Instructions


Review the following steps to complete this questionnaire:

- 1) Answer questions.** Select the appropriate answer to each question. Question specific help text may be available via the  icon. If your answer dictates an explanation, a required text box will become available for you to add further information.
- 2) Add Comments.** You may add question specific comments or attach supporting evidence for your answers by clicking on the  icon next to each question. Once you have saved the comment, the icon will change to the  icon to show that a comment has been added.
- 3) Change the Status.** You may keep the questionnaire in the "In Process" status until you are ready to submit it for review. When you have completed the assessment, change the Submission Status to "Submitted". This will route the assessment to the proper reviewer. Please note that all values list questions must be answered before submitting the questionnaire.
- 4) Save/Exit the Questionnaire.** You may use any of the four buttons at the top and bottom of the screen to save or exit the questionnaire. The button allows you to complete the questionnaire. The button allows you to save your work and close the questionnaire. The button allows you to save your work and remain in the questionnaire. The button closes the questionnaire without saving your work.

Acronyms

ATO - Authorization to Operate
CAC - Common Access Card
FISMA - Federal Information Security Management Act
ISA - Information Sharing Agreement
HHS - Department of Health and Human Services
MOU - Memorandum of Understanding
NARA - National Archives and Record Administration
OMB - Office of Management and Budget
PIA - Privacy Impact Assessment
PII - Personally Identifiable Information
POC - Point of Contact
PTA - Privacy Threshold Assessment
SORN - System of Records Notice
SSN - Social Security Number
URL - Uniform Resource Locator

General Information

PIA Name:	CDC - PedFlu - QTR2 - 2024 - CDC8270760	PIA ID:	1828345
Name of Component:	CDC - Influenza Associated Pediatric Death Surveillance System (PedFlu)	Name of ATO Boundary:	Influenza Associated Pediatric Death Surveillance System (PedFlu)
Overall Status:		PIA Queue:	
Submitter:		# Days Open:	37
Submission Status:	Submitted	Submit Date:	5/14/2024
Next Assessment Date:	06/20/2027	Expiration Date:	6/20/2027
Office:		OPDIV:	CDC
Security Categorization:		OpDiv PIA ID:	CDC8270760
Legacy PIA ID:		Make PIA available to Public?:	Yes
1:	Identify the Enterprise Performance Lifecycle Phase of the system.		Operations and Maintenance
2:	Is this a FISMA-Reportable system?		Yes
3:	Does the system have or is it covered by a Security Authorization to Operate (ATO)?		Yes
4:	ATO Date or Planned ATO Date.		5/31/2024
5:	Is the system or electronic information collection, agency or contractor operated?		Agency

PTA

PTA		
PTA - 2:	Indicate the following reason(s) for this PTA. Choose from the following options.	PIA Validation (PIA Refresh)
PTA - 2A:	Describe in further detail any changes to the system that have occurred since the last PIA.	The system now collects addition surveillance data to include Sex, Ethnicity, Race, State, County, Date of Death. Previously PIA only had Date of Birth
PTA - 3:	Is the data contained in the system owned by the agency or contractor?	Agency
PTA - 4:	Please give a brief overview and purpose of the system by describing what the functions of the system are and how the system carries out those functions.	<p>Influenza-Associated Pediatric Death Surveillance System (PedFlu) is a web-based surveillance system gathering aggregated data related to the influenza nationally notifiable conditions (Influenza Associated Pediatric Deaths, and Novel Influenza A infections). The collected data are analyzed and published weekly in FluView, FluView interactive and Morbidity and Mortality Weekly Report (MMWR).</p> <p>PedFlu gathers surveillance data about pediatric deaths related to influenza, data is organized for further investigation. The application provides architecture for the acquisition, aggregation, and analysis of adverse healthcare events. Data can be entered by state health influenza surveillance coordinator or CDC influenza surveillance staff.</p>

PTA - 5:	List and/or describe all the types of information that are collected (into), maintained, and/or shared in the system regardless of whether that information is PII and how long that information is stored.	PedFlu receives de-identifiable data related to Date of Birth, Sex, Ethnicity, Race, State, County, and Date of Death. The data answers if patient was vaccinated, type of complications, and if the patient received medical care. It also stores and organizes data for further investigation and provides data for the Morbidity and Mortality Weekly Report (MMWR). In addition to providing death counts, the surveillance data are used to study effectiveness of tests, public awareness, and to increase vaccination rate to prevent death related to influenza. Access to the website is through Secure Access Management System (SAMS) gateway. This is SAMS level2 secured system which has 60-day password reset and SAMS managing the password storage. SAMS is a separate system with its own PIA.
PTA - 5A:	Are user credentials used to access the system?	
PTA - 5B:	Please identify the type of user credentials used to access the system.	
PTA - 6:	Describe why all types of information is collected (into), maintained, and/or shared with another system. This description should specify what information is collected about each category of individual.	<p>Influenza-Associated Pediatric Death Surveillance System (PedFlu) gathers lab tests performed, type of flu virus detected, if the patient was vaccinated, type of complications and if patient received medical care prior to death. The surveys collect Date of Birth, Ethnicity, Race, State, County, and Date of Death used to study effectiveness of tests, public awareness, and to increase vaccination rate to prevent death related to influenza. Data collection helps calculate age, number of males to female, describes culture and race, state, and county from where the deaths is driven, and the age at death.</p> <p>Surveillance information gathered are on type of test performed, type of flu virus detected, if the patient was vaccinated, type of complications, and if the patient received medical care. The record level data is not shared outside of CDC. Only the aggregated and summarized data are published weekly in FluView, FluView interactive.</p>
PTA - 7:	Does the system collect, maintain, use or share PII?	Yes
PTA - 7A:	Does this include Sensitive PII as defined by HHS?	No
PTA - 8:	Does the system include a website or online application?	Yes
PTA - 8A:	Are any of the URLs listed accessible by the general public (to include publicly accessible log in and internet websites/online applications)?	No

PTA - 9:	Describe the purpose of the website, who has access to it, and how users access the web site (via public URL, log in, etc.). Please address each element in your response.	<p>The website is used by users to enter case surveillance data. Access to the website is given to the different states that require access to enter surveillance data.</p> <p>Users access the website via SAMS. The CDC SAMS gateway provides secure login to PedFlu website. To obtain SAMS certificate to login, a user must be proofed via notary or CDC government employee. To begin the proofing, an invitation to access PedFlu is sent out by the program and in response to an external partner needing access. That invitation triggers off proofing by SAMS group. This is where notary or CDC employee to verify the user. Once approved, they obtain certificate and login information through SAMS.</p> <p>Only those certified through SAMS can access the website.</p>
PTA - 10:	Does the website have a posted privacy notice?	Yes
PTA - 11:	Does the website contain links to non-federal government websites external to HHS?	No
PTA - 11A:	Is a disclaimer notice provided to users that follow external links to websites not owned or operated by HHS?	
PTA - 12:	Does the website use web measurement and customization technology?	No
PTA - 12A:	Select the type(s) of website measurement and customization technologies in use and if it is used to collect PII.	
PTA - 13:	Does the website have any information or pages directed at children under the age of thirteen?	No
PTA - 13A:	Does the website collect PII from children under the age thirteen?	
PTA - 13B:	Is there a unique privacy policy for the website and does the unique privacy policy address the process for obtaining parental consent if any information is collected?	
PTA - 14:	Does the system have a mobile application?	No
PTA - 14A:	Is the mobile application HHS developed and managed or a third-party application?	
PTA - 15:	Describe the purpose of the mobile application, who has access to it, and how users access it. Please address each element in your response.	
PTA - 16:	Does the mobile application/ have a privacy notice?	
PTA - 17:	Does the mobile application contain links to non-federal government websites external to HHS?	
PTA - 17A:	Is a disclaimer notice provided to users that follow external links to resources not owned or operated by HHS?	
PTA - 18:	Does the mobile application use measurement and customization technology?	
PTA - 18A:	Describe the type(s) of measurement and customization technologies or techniques in use and what information is collected.	
PTA - 19:	Does the mobile application have any information or pages directed at children under the age of thirteen?	
PTA - 19A:	Does the mobile application collect PII from children under the age thirteen?	

PTA - 19B:	Is there a unique privacy policy for the mobile application and does the unique privacy policy address the process for obtaining parental consent if any information is collected?	
PTA - 20:	Is there a third-party website or application (TPWA) associated with the system?	No
PTA - 21:	Does this system use artificial intelligence (AI) tools or technologies?	No

PIA		
PIA		
PIA - 1:	Indicate the type(s) of personally identifiable information (PII) that the system will collect, maintain, or share.	Date of Birth Other - Free text Field - Sex, Ethnicity, State, County, Race, Date of Death
PIA - 2:	Indicate the categories of individuals about whom PII is collected, maintained or shared.	Patients
PIA - 3:	Indicate the approximate number of individuals whose PII is maintained in the system.	Above 2000
PIA - 4:	For what primary purpose is the PII used?	PII is used to calculate age and sex of the patient.
PIA - 5:	Describe any secondary uses for which the PII will be used (e.g. testing, training or research).	To calculate population affected
PIA - 6:	Describe the function of the SSN, Truncated SSN, and/or Taxpayer ID.	Not Applicable. SSN is not collected
PIA - 6A:	Cite the legal authority to use the SSN, Truncated SSN, and/or Taxpayer ID.	Not Applicable
PIA - 7:	Identify legal authorities governing information use and disclosure specific to the system and program.	42 USC 241 (Public Health Service Act)
PIA - 8:	Are records in the system retrieved by one or more PII data elements?	No
PIA - 8A:	Please specify which PII data elements are used to retrieve records.	
PIA - 8B:	Provide the number, title, and URL of the Privacy Act System of Records Notice (SORN) that is being used to cover the system or indicate whether a new or revised SORN is in development.	
PIA - 9:	Identify the sources of PII in the system.	Government Sources State/Local/Tribal Non-Government Sources Members of the Public
PIA - 10:	Is there an Office of Management and Budget (OMB) information collection approval number?	Yes
PIA - 10A:	Provide the information collection approval number.	OMB 0920-0009
PIA - 10B:	Identify the OMB information collection approval number expiration date.	1/31/2026
PIA - 10C:	Explain why an OMB information collection approval number is not required.	Not Applicable
PIA - 11:	Is the PII shared with other organizations outside the system's Operating Division?	No
PIA - 11A:	Identify with whom the PII is shared or disclosed.	
PIA - 11B:	Please provide the purpose(s) for the disclosures described in PIA - 11A.	

PIA - 11C:	List any agreements in place that authorizes the information sharing or disclosure (e.g., Computer Matching Agreement (CMA), Memorandum of Understanding (MOU), or Information Sharing Agreement (ISA)).	
PIA - 11D:	Describe process and procedures for logging/tracking/accounting for the sharing and/or disclosing of PII. If no process or procedures are in place, please explain why not.	
PIA - 12:	Is the submission of PII by individuals voluntary or mandatory?	Voluntary
PIA - 12A:	If PII submission is mandatory, provide the specific legal requirement that requires individuals to provide information or face potential civil or criminal penalties.	
PIA - 13:	Describe the method for notifying individuals that their information will be collected and how they can opt-out of the collection or use of their PII. If there is no option to object to the information collection, provide a reason.	The data is collected from State jurisdictions partnered with CDC and this is a nationally notifiable condition. There is no objection to the information collection because the subject is deceased.
PIA - 14:	Describe the process to notify and obtain consent from the individuals whose PII is in the system when major changes occur to the system (e.g., disclosure and/or data uses have changed since the notice at the time of original collection). Alternatively, describe why they cannot be notified or have their consent obtained.	The data is collected from State jurisdictions partnered with CDC. There is no process to notify individuals because identifiable information is not captured.
PIA - 15:	Describe the process in place to resolve an individual's concerns when they believe their PII has been inappropriately obtained, used, or disclosed, or that the PII is inaccurate. If no process exists, explain why not.	The data is collected from State jurisdictions partnered with CDC. There is no process to notify individuals because identifiable information is not captured.
PIA - 16:	Describe the process in place for periodic reviews of PII contained in the system to ensure the data's integrity, availability, accuracy and relevancy. Please address each element in your response. If no processes are in place, explain why not.	The data is reviewed on a weekly basis for accuracy and completeness prior to weekly reporting by the subject matter experts (SME). The SME access the data through SAMS. For any questions or incomplete data, the SME informs to the reporter of the data at the jurisdiction.
PIA - 17:	Identify who will have access to the PII in the system.	Developers Others
PIA - 17A:	Select the type of contractor.	
PIA - 17B:	Do contracts include Federal Acquisition Regulation (FAR) and other appropriate clauses ensuring adherence to privacy provisions and practices?	
PIA - 18:	Provide the reason why each of the groups identified in PIA - 17 needs access to PII.	Developer: For troubleshooting errors. For data entry and analysis and creating reports Others: Epidemiologist to analyze the data.
PIA - 19:	Describe the administrative procedures in place to determine which system users (administrators, developers, contractors, etc.) may access PII.	All users must be approved by the Business Steward based on their role, duties and responsibilities prior to gaining access to the data. Role Based Access Control (RBAC) is utilized. The roles are predefined and the users are assigned those roles as appropriate.
PIA - 20:	Describe the technical methods in place to allow those with access to PII to only access the minimum amount of information necessary to perform their job.	The data is accessible by the authorized personnel only. The Least privilege model is used to provide Read only access according to predefined roles.

PIA - 21:	Identify the general security and privacy awareness training provided to system users (system owners, managers, operators, contractors and/or program managers) using the system to make them aware of their responsibilities for protecting the information being collected and maintained.	Annual CDC security and privacy awareness training.
PIA - 22:	Describe the training system users receive (above and beyond general security and privacy awareness training).	Role Based Training
PIA - 23:	Describe the process and guidelines in place with regard to the retention and destruction of PII. Cite specific National Archives and Records Administration (NARA) records retention schedule(s) and include the retention period(s).	Records are retained and disposed of in accordance with the CDC Records Control Schedule. Records are maintained in agency until no longer needed, at which point they will be destroyed. Disposal methods include erasing computer media when no longer needed. N1-442-09-1
PIA - 24:	Describe how the PII will be secured in the system using administrative, technical, and physical controls. Please address each element in your response.	<p>Administrative - users will be granted access on a need to know basis by the Business Owner/Data Manager.</p> <p>Technical - The backend storage, which is Structured Query Language Server, will be protected through the explicit access for those so authorized. Users who access the system on the CDC network shall be authenticated via Secure Access Management System(SAMS) and Secure Data Network(SDN). SDN digital certificate with proof of identify is required to gain access to the system. With SAMS, level 2 ID proofing with subject matter expert authorization is required to gain access.</p> <p>Physical - The server is hosted in the CDC data center.</p>

Review & Comments

Privacy Analyst Review

OpDiv Privacy Analyst Review Status:	Approved	Privacy Analyst Review Date:	5/15/2024
Privacy Analyst Comments:	OpDiv Analyst: Joshua Mosios (Contractor)	Privacy Analyst Days Open:	

SOP Review

SOP Review Status:	Approved	SOP Signature:	
SOP Comments:	Approved on behalf of Beverly Walker	SOP Review Date:	6/10/2024
		SOP Days Open:	27

Agency Privacy Analyst Review

Agency Privacy Analyst Review Status:	Approved	Agency Privacy Analyst Review Date:	6/11/2024
Agency Privacy Analyst Review Comments:	Reviewer: Shanai Shobowale 6/11/2024 this PIA is ready for SAOP review and approval.	Agency Privacy Analyst Days Open:	1

SAOP Review

SAOP Review Status:	Approved	SAOP Signature:	Archer Signature_Bridget Guenther.docx
SAOP Comments:	On the next iteration of the PTA, please provide response for PTA-5A and PTA-5B, if applicable.	SAOP Review Date:	6/20/2024
		SAOP Days Open:	9

Supporting Document(s)

Name	Size	Type	Upload Date	Downloads
No Records Found				

Comments

Question Name	Submitter	Date	Comment	Attachment
PIA - 1	BLAND, CRYSTAL	6/11/2024	On the next iteration of the PTA, please provide response for PTA-5A and PTA-5B, if applicable.	
PIA - 1	Data Feed Service, piafrmc	9/30/2024	This System has been migrated within the OCIO ISB Infrastructure Services authorization boundary. All future updates should be made via the new Sub- Component application.	

Admin Section

Is OpDiv Privacy Analyst Approved?: 1

Is Agency Privacy Analyst Approve?: 1

Is SAOP Approved?: 1

Total Approved: 4

Total Approval Required: 4

Is OpDiv Privacy Analyst Return?: 0

Is SOP Return?: 0

Is Agency Privacy Analyst Return?: 0

Is SAOP Return?: 0

Total Return: 0

Miscellaneous Fields

Last Updated: 9/30/2024 8:01 PM

History Log: [View History Log](#)