



OFFICE OF THE ASSISTANT SECRETARY FOR HEALTH

FY19 Announcement of Anticipated Availability of Funds for Family Planning Services Grants

Technical Assistance Webinar

December 4, 2018

4:00 p.m. ET



Welcome! Title X Family Planning Services Grant Applicants

- Purpose and format of this call
 - Provide general technical assistance
 - Will not answer questions regarding individual applications/projects
- Introduction of the speakers
 - Diane Foley, Deputy Assistant Secretary for Population Affairs
 - Susan Moskosky, Deputy Director, Office of Population Affairs
 - David Johnson, Operations & Admin. Officer, Office of Population Affairs
 - Alice Bettencourt, Director, Office of Grants Management

Note: This call will be recorded



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FY 19 Announcement of Anticipated Availability of Funds for Family Planning Service Grants

Opportunity No: PA-FPH-19-001

Competitive Application Deadline

- **Due Date: January 14, 2019**
- **Cutoff Time: 6:00 p.m. ET**



Materials Needed for the Call

The Program Announcement

FY2019 Title X Family Planning Service Grants, as found at:

- Grants.gov: <http://www.grants.gov/> by searching with the CFDA number 93.217
- OPA website: <https://www.hhs.gov/opa/grants-and-funding/grant-opportunities>



HHS Organizational Structure

U.S. Department of Health and Human Services (HHS)

Alex M. Azar II

Secretary of Health and Human Services

Office of the Assistant Secretary for Health (OASH)

ADM Brett P. Giroir, M.D.

Assistant Secretary for Health



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OASH Organizational Structure

Office of the Assistant Secretary for Health (OASH) Public Health Offices

- **Office of Population Affairs (OPA)**
- Office of the Surgeon General
- Office of Adolescent Health
- Office of Disease Prevention and Health Promotion
- Office of Health Care Quality
- Office of HIV/AIDS and Infectious Disease Policy
- Office of Human Research Protections
- Office of Minority Health
- Office of Research Integrity
- Office on Women's Health
- National Vaccine Program Office



Organizational Structure: OPA & OGM Partnership

OPA

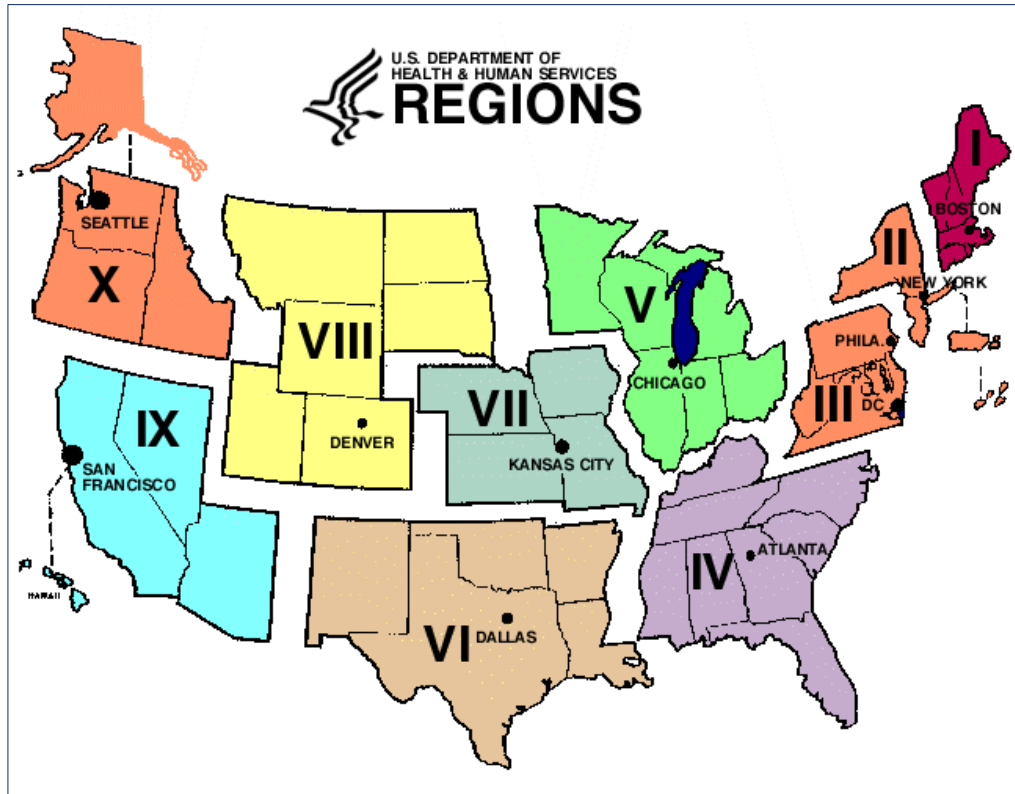
- Programmatic responsibility
- Policy office for Title X programs
- Management of decentralized programmatic activities within 10 Regional Offices
- Oversight of projects with national scope such as the National Training Centers

Office of Grants Management

- Administrative, business and budgetary responsibility
- Grant Administration: Grants Policy Directives, Grant Policy Statement & Federal rules applicable to all grants
- Management & Oversight:
 - Pre-award processes
 - Notice of Award
 - Post-award processes (budget revisions)
 - Federal Financial Reports
 - Audit resolution
 - Grant closeout



OASH Regional Offices



- Located in major cities, serving multi-state areas
- Staffed by Project Officers who monitor grant activities, conduct site visits and comprehensive program reviews, and provide programmatic support and technical assistance to recipients



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Title X Services Grants: The Public Health Service Act

Major Provisions of the Law

- 1001: Services- provided by public and nonprofit entities
- 1003: Training
- 1004: Title X Research
- 1005: Title X Information and Education
- 1008: Prohibition of Abortion



Title X Mission:

To assist individuals and couples in planning and spacing births, contributing to positive birth outcomes and improved health for women and infants.



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Title X Services Grants: Purpose of Title X

- To make certain that people who want and need family planning services can receive them. Priority for services is to individuals from low-income families.
- To provide individuals with the educational, medical, and social services they need to plan their families.
- To include related preventive health care, such as cervical cancer screening, clinical breast exams, HIV and STD tests, and other services related to reproductive health and family planning.



Title X Services Grants Requirements

- Broad range of acceptable and effective family planning methods
- Education and counseling related to family planning
- Physical exam and related preventive health services
- Pregnancy diagnosis and counseling
- Adolescent services
- Clinical procedures as indicated for method
- Laboratory tests as indicated for method
- Basic infertility services
- STD and HIV prevention education; counseling and testing either on-site or by referral



Title X Services Grants Requirements (cont'd)

Title X-funded Family Planning Services must be:

- Voluntary
- Confidential
- Provided to any person, male and female, desiring services, with priority for persons from low-income families
- Provided regardless of the inability to pay
- Provided under the direction of a physician with training or experience in family planning



Title X Services Grants Requirements (cont'd)

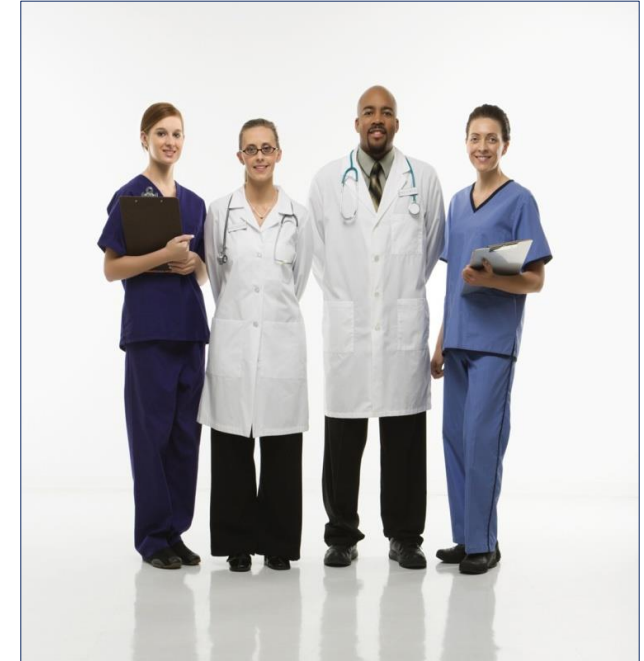
- Clients whose documented income is at or below 100% of the Federal Poverty Level (FPL) must not be charged, although projects must bill all third parties authorized or legally obligated to pay for services.
- A schedule of discounts must be developed for individuals with family incomes between 101% and 250% of the FPL.
- For persons from families whose income exceeds 250% of the FPL, charges must be made in accordance with a schedule of fees designed to recover the reasonable cost of providing services.



Title X Services Grants Grantee Profile

Current Title X Service Grantee Network:

- **96 Service Grantees**, including State, territorial, tribal, county or local health agencies, universities, faith-based and community-based nonprofit agencies
- **3,858 Family Planning Clinics**, in the 50 States, the District of Columbia, and six U.S. Territories and Jurisdictions
- **4 Million Clients Served**, with family planning and related preventive health care services in 2017



Core Family Planning Services

Core family planning services, as identified in the Funding Opportunity Announcement (FOA), should be addressed in your application, including:

- Discussion with client about their Reproductive Life Plan
- A broad range of acceptable and effective family planning methods and services
 - Adolescent counseling on delaying sexual activity
 - Instruction on Fertility Awareness-Based Methods
 - Availability of several categories of contraceptive methods
- The broad range does not include abortion as a method of family planning
- Pregnancy testing and counseling



Core Family Planning Services cont'd

- Services centered around pre-conception health and achieving pregnancy, including:
 - Basic infertility services
 - STD prevention, education, screening
 - HIV testing and referral for treatment when appropriate
 - Screening for substance use disorders and referral when appropriate



Program Priorities

Title X Priorities include all of the legal requirements covered within:

- Title X statute (42 U.S.C. Part 300)
- Regulations (42 CFR Part 59)
- Legislative mandates



Legislative Mandates

- *“None of the funds appropriated in this Act may be made available to any entity under Title X of the PHS Act unless the applicant for the award certifies to the Secretary of Health and Human Services that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities;” and*
- *“Notwithstanding any other provision of law, no provider of services under Title X of the PHS Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.”*

NOTE: All activities funded under this announcement must be in compliance with the Title X statute, as well as the program regulations and legislative mandates, as applicable. Copies of the statute, regulations, and legislative mandates are available at: <https://www.hhs.gov/opa/title-x-family-planning/about-title-x-grants/>



Key Issues

- Assuring innovative quality family planning and related preventive health services
- Providing the tools necessary for the inclusion of substance abuse disorder screening
- Following a model that promotes optimal health outcomes for the client
- Providing resources that prioritize optimal health outcomes for individuals and couples
- Providing counseling for adolescents that encourages sexual risk avoidance



Key Issues (cont'd)

- Communicating the growing body of information for a variety of FABM of family planning and providing tools
- Fostering interaction with community and faith-based organizations to develop a network for client referrals
- Accurately collecting and reporting data, such as the Family Planning Annual Report (FPAR)
- Promoting the use of a standardized instrument to regularly perform quality assurance and quality improvement activities
- Increasing attention to CDC screening recommendations for chlamydia and other STDs



Project Narrative

****Project Narrative is the **most important** part of the application.****

- Core and substance of the application
- Provide evidence of ability to comply with Title X Statutes and Regulations
- Read and respond to all **17** components included under “Project Narrative,” starting on page 23 of the FOA.



Budget and Budget Narrative

- Reflects your project – goals, objectives, activities, and other programmatic elements.
- The budget narrative justifies the overall cost of the project.
- This section is part of the evaluation criteria.
- Please review the guidance presented in the FOA on pages 26-36.



Budget Narrative:

Plan for Oversight of Federal Award Funds

- Plan to monitor subrecipients' compliance with Title X program priorities
- Organizational systems and processes that ensure accountability for the use of federal funds for the funded project
- Organizational controls that will ensure proper distribution and tracking of program incentives if applicable; ensure timely and accurate submission of report submissions; and appropriate withdrawal of cash from the Payment Management System (PMS)



Appendices

- All items in the application's appendices **will count** toward the total page limit of your application.
- You must submit them as a single electronic file uploaded to the Attachments section of your Grants.gov application.
 - Work plan
 - Schedule of discounts
 - Coverage map
 - CVs/resumes for key project personnel
 - Letters of commitment from Referral Entities (optional)



OPA Program Note

- HHS intends to fund services in all areas listed.
- Awards will be based on the quality of applications received and eligibility of applicants.
- Please refer to Table 1 on pages 6-7 of the funding opportunity announcement for a full listing of the FY2019 service areas.



Office of Grants Management



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Submission Dates and Times

- **Your application is due by 6 p.m. Eastern Time on January 14, 2019.**
- Your submission time will be **determined by the date and time stamp provided by Grants.gov when you complete** your submission.
- Strongly encouraged to submit your application a minimum of 3-5 days *prior* to the application closing date.
- Grants.gov may take up to 48 hours to notify you of a successful submission.
- If you fail to submit your application by the due date and time, we will not review it, and it will receive no further consideration.



Eligible Applicants (FOA, page 17)

- Any public or private nonprofit entity located in a State (which includes one of the 50 United States, District of Columbia, Commonwealth of Puerto Rico, U.S. Virgin Islands, Commonwealth of the Northern Mariana Islands, American Samoa, Guam, Republic of Palau, Federated States of Micronesia, and the Republic of the Marshall Islands)
- Faith-based organizations and American Indian/Alaska Native/Native American (AI/AN/NA) organizations



Examples of Eligible Applicants

- State governments
- County governments
- City or township governments
- Native American tribal governments (federally or state recognized)
- Community- and faith-based nonprofit organizations
- Nonprofits having 501(c)(3) status with the IRS
- Public, state-controlled, and private nonprofit Institutions of higher education
- Other nonprofit school districts or entities



Cost Sharing or Matching

- Program regulations stipulate that projects must identify additional sources of funding and not rely solely upon Title X grant funds.
- While there is not a fixed cost-sharing percentage or amount, projects must include financial support from sources other than Title X.
- The proposed project's budget should reflect financial support in addition to Title X funds.



Funding Announcement Role

- The Funding Opportunity Announcement (FOA) provides information and guidance related to applications.
- Read the **entire** funding announcement.
- Follow the FOA carefully!
- The information provided in the FOA takes precedence over any conflicting information in other documents.



Address to Request Application Package

- Obtain an application package electronically by accessing Grants.gov at <http://www.grants.gov/>
- Find it by searching with the CFDA number provided on page 1 of the FOA
- CFDA Number is 93.217
- Be sure to subscribe to the announcement in Grants.gov so you receive notification of any updates to the FOA or supporting documents



Application Submission

- OASH requires that all applications be submitted electronically via Grants.gov unless an exemption has been granted. If you submit an application via any other electronic communication, it will not be accepted for review.
 - Access Grants.gov website portal. All funding opportunities and grant application packages are made available on www.Grants.gov.
 - An application will not be considered valid until all application components are entered in Grants.gov and received by the HHS Office of Grants Management according to the deadlines specified in the “DATES” section on page 1 of the FOA.
 - Contact Grants.gov with any questions or concerns regarding the electronic application process 1-800-518-4726.
-



Application Submission (cont'd)

Applications must be submitted as **three (3)** files:

- Upload #1: The ENTIRE **project** narrative
- Upload #2: The ENTIRE budget narrative, including supporting documentation described in the **Budget Narrative** content section
- Upload #3: All documents in the **appendices uploaded in the Attachments section of your Grants.gov application**

Exceptions: Required standard forms **do not** apply to the submission requirements as stated in **Disqualification Criteria**



Application Submission (cont'd)

- Any files uploaded or attached to the Grants.gov application must be of the following file formats – Microsoft Word, Excel or PowerPoint, Adobe PDF, or image formats (JPG, GIF, TIFF, or BMP only).
- HHS/OASH strongly recommends that electronic applications be uploaded as Adobe PDF. If you convert to a PDF document prior to submission, you may prevent any unintentional formatting that might occur with submission of an editable document.



Application Submission (cont'd)

- **Be complete** and do not leave blanks on forms unless the information is not applicable.
- The individual submitting the application forms must have the legal authority to act on behalf of the organization.



Application Submission (cont'd)

- To ensure successful submission of your application, carefully follow the step-by-step instructions provided at <http://www.grants.gov/web/grants/applicants/apply-for-grants.html>
- These instructions are kept up-to-date and also provide links to Frequently Asked Questions and other troubleshooting information.



Application Elements

- Application for Federal Assistance (SF-424)
- Budget Information for Non-construction Programs (SF-424A)
- Assurances for Non-construction Programs (SF-424B)
- Disclosure of Lobbying Activities (SF-LLL)
- Project Abstract Summary
- Project Narrative – Submit all Project Narrative content as a single acceptable file.
- Budget Narrative – Submit all Budget Narrative content as a single acceptable file.
- Appendices – Submit all appendix content **as a single acceptable file**, in the **Attachments section of your Grants.gov application**.



Application Format

- Be sure to follow Project Narrative format instructions in the FOA. Your application will be disqualified if it does not conform to the format requirements.
- You *must* double-space the Project Narrative pages.
- You *must* use 12-point font.
- You should use an easily readable typeface, such as Times New Roman or Arial.
- You may single-space tables or use alternate fonts but you must ensure the tables are easy to read.
- For Appendices and Budget Narrative, you should use the same formatting specified for the Project Narrative.
- Appendix documents such as résumés may use alternate formats common to such documents.



System for Award Management (SAM)

- Grants.gov will reject submissions from applicants with nonexistent or expired SAM Registrations.
- If you are registering a new entity in SAM.gov, you must mail an “Entity Administrator Notarized Letter” to the **FEDERAL SERVICE DESK**, ATTN: [SAM.GOV](https://sam.gov) Registration Processing. Your notarized letter with the details required must be mailed. Your registration will not be activated until the letter is submitted and reviewed.
- Minimum timeframe to complete an initial SAM registration (30 minutes).
- Timeframe for applicant’s registration to become active (up to 10 days).
- SAM registration **MUST** be renewed each year.



SAM (cont'd)

- Average timeframe for updates to take effect in Grants.gov (72 hours).
- Recommend applicants check active registration in SAM well before application deadline.
- If successful and receive award must maintain an active SAM registration w/current info at all times during the active award.
- If you have not complied with these requirements, HHS/OASH
 - May determine you are not qualified to receive an award; and
 - May use that determination as a basis for making an award to another applicant.
- Should you successfully compete and receive an award, **all first-tier sub-award recipients must have a DUNS number at the time you, the recipient, make a sub-award.**



Funding Restrictions

- Allowability, allocability, reasonableness, and necessity of direct expenses; indirect costs may be charged on HHS/OASH grants in accordance with Department regulations and current policy effective at the time of the award
- Current requirements can be found online via 45 CFR part 75 “Uniform Administrative Requirements, Cost Principles, and Audit Requirements for HHS Awards”
- Indirect costs may be included per 45 CFR 75.414. Applicants should indicate which method or rate is used for this application
- Current Salary Limitation: **\$189,600 effective January 2018**



Budget Narrative and Forms

The Project Budget Information

- SF 424A budget forms
 - Budget narrative
 - Detailed budget justification
-
- Must be consistent with the requirements of the FOA
 - Budgeted costs must reflect proposed activities
 - Forms, narrative and detailed justification do not count towards page limit
 - Budget line item descriptions and justification requirements are explained in FOA
 - Refer to suggested table formats in the FOA



Application Disqualification Criteria

- Submitted electronically via www.grants.gov by due date and time (unless an exemption was granted two (2) business days prior to the deadline).
- If you successfully submit multiple applications for the same project, we will only review the last application received by the deadline.
- HHS/OASH/OGM deems your application eligible.
- Project Narrative must be double-spaced, on the equivalent of 8.5" X 11" page size with 1" margins on all sides and font size not less than 12 points.
- Your Federal funds including indirect costs does not exceed the maximum indicated in Award Ceiling. **\$22,000,000**
- Your Federal funds request including indirect costs is not below the minimum indicated in Award Floor. **\$100,000**
- Project Narrative must not exceed **65** pages.
- The Total Application, including Project Narrative plus appendices must not exceed **150** pages
- Application meets the Application Responsiveness Criteria.



Application Review Information Criteria

Factor a	The number of patients, and, the number of low-income patients to be served	15 Points
Factor b	The relative need of the applicant for the requested Federal funds	10 Points
Factor c	The capacity of the applicant to make rapid and effective use of the Federal assistance	15 Points
Factor d	The adequacy of the applicant's and any subrecipients' facilities and staff, demonstrating they are well-trained to provide clinical family planning services to the patient population(s) proposed to be served in the application.	20 Points
Factor e	The ability of the applicant to make use of non-federal resources (i.e., non-Title X funds) within the community to be served and the degree to which those resources are used to enhance the range of family planning services provided	15 Points
Factor f	The degree to which the applicant describes a detailed plan for ensuring compliance, including by any subrecipients, with the Title X statute, regulations and legislative mandates as described in the budget narrative	15 Points
Factor g	The degree to which the project plan adequately provides for the effective and efficient implementation of the key issues outlined in this funding announcement	10 Points



Application Responsiveness Criteria

The applicant appears to have demonstrated:

- Evidence that a broad range of acceptable and effective family planning methods and services (including natural family planning methods, infertility services, and services for adolescents), informational, educational, social, and referral services related to family planning will be provided as stipulated in 42 CFR 59.5;
- Evidence of cost-sharing as described in this announcement; if applicable; and
- Evidence that, if not providing all services directly, the applicant has documented the process and selection criteria it will use for providing an opportunity to receive subawards to qualified entities eligible to receive federal funds in providing services throughout the service area to meet the needs of project beneficiaries.



Application Review

- Applications that lack the required supporting documentation or submit additional appendix files will not be disqualified from competitive review; however, it may impact your application's scoring under the evaluation criteria.
- Be sure to follow submission instructions carefully.



Application Review (cont'd)

- Eligible applications will be reviewed and scored by a panel of independent reviewers with technical expertise in applicable fields according to the criteria listed in the Program Announcement.
- Objective Review Committee process is formal and confidential. Federal staff is available for questions and to ensure the process is consistent and fair, but do not participate in discussion and scoring.
- Applications are also reviewed:
 - By OGM staff for administrative & business compliance.
 - By Program Office staff for programmatic compliance.



Funding Decisions

- The Deputy Assistant Secretary for Population Affairs (DASPA) will provide recommendations for funding to the Grants Management Officer to conduct risk analyses.
- In providing these recommendations, the DASPA will take into consideration the following additional factors:
 - The geographic distribution of services within the identified service area;
 - The extent to which funds requested for a project maximize access for the population in need within the entire service area as announced in **Table 1**;
 - The project provides the area to be served with a variety and breadth of effective family planning methods that are readily available and best serve individuals in need throughout the area to be served; and
 - The extent to which projects best promote the purposes of Section 1001 of the Public Health Service Act, within the limits of funds available for each project.



Funding Process

- **We are not obligated to make any Federal award as a result of this announcement.**
- **Only the grants officer can bind the Federal government to the expenditure of funds.**
- **If you receive communications to negotiate an award or request additional or clarifying information, this does not mean you will receive an award; it only means that your application is still under consideration.**
- **All award decisions, including level of funding if an award is made, are final and you may not appeal.**



Funding Process (cont'd)

Review of Risk Posed by Applicant

- HHS/OASH will evaluate each application in the fundable range for risks posed by the applicant before issuing an award in accordance with 45 CFR 75.205
- OASH will use a risk-based approach and may consider any items such as the following as stated in the FOA:
 - Applicant's financial stability;
 - Quality of management systems and ability to meet the management standards prescribed in 45 CFR part 75;
 - History of performance – Applicants record in managing Federal awards including timeliness of compliance with applicable reporting requirement, conformance to the terms and conditions of previous Federal awards;
 - Reports and findings from audits performed; and
 - The applicant's ability to effectively implement statutory, regulatory, or other requirements imposed on non-Federal entities.



Funding Process (cont'd)

Notice of Award (NOA)

- Notifies the successful applicant of the selection; award amount; project & budget periods
- Includes any conditions on the award (for example, requirements that must be met as a condition of receiving the grant funds)
- Includes standard terms, reporting requirements and contact information for OGM and the Program Office



Funding Process (cont'd)

- If we do not make an award to you because we determine your organization does not meet either or both of the minimum qualification standards as described in 45 CFR §75.205(a)(2), we must report that determination to FAPIIS, if certain conditions apply.
- At a minimum, if you are a prior Federal award recipient, information in the system must indicate that your organization “*demonstrates a satisfactory record of executing programs or activities under Federal grants, cooperative agreements, or procurement awards; and integrity and business ethics.*” 45 CFR § 75.205(a)(2)
- This information will then be available for other organizations to review when considering you for an award.



Funding Process (cont'd)

- OGM is the official contact for the grantee.
- All official communication related to the grant is between OGM and the successful applicant.
- Program Office will notify unsuccessful applicants via letter.



Summary and Tips

Project Narrative Description:

- Be clear, complete and concise in the project description; follow and address exactly what is requested in the Program Announcement.
- Don't make the reviewer search for the required information. Generally, the easier the application is to review, the better the score.
- Clearly identify the sections of the application and indicate which component is being addressed.
- The project narrative must include all required information within the page limit. Do not use appendices to expand the page limit.



Summary and Tips (cont'd)

Project Narrative Description:

- Make the goals and objectives “**SMART**” **S**pecific, **M**easurable, **A**chievable, **R**ealistic, and **T**ime-framed.
- Activities presented in the work plan should relate directly to the proposed goals and objectives.
- The program work plan, evaluation plan and budget should provide a complete picture of how the applicant will address the service areas’ needs as well as address the purpose and expectations in the Program Announcement.



Summary and Tips (cont'd)

Staffing:

- The staffing should be appropriate and reasonable for the goals, objectives and activities of the proposed project.
- Be complete in describing what staff will do, the expertise required and the percent time they will be assigned to the project.



Summary and Tips (cont'd)

Budget:

- The budget should include adequate funds to carry out the proposed work plan, evaluation plan and administrative responsibilities of the project.
- The budget should be reasonable and relate directly to the goals and objectives.
- The operating budget should be complete and include Federal and non-Federal funds, projected program income from fees and third-party payers and other contributing funds.



Summary and Tips (cont'd)

- Electronic submission **IS** required.
- **Do not wait** until the last minute to begin SAM registration or update registration.
- **Do not wait** until the last minute to begin the electronic submission—problems could arise.



FOA INQUIRIES

Program Questions

Office of Population Affairs

David M. Johnson

David.Johnson@hhs.gov

Telephone: 240.453.2841

Administrative or Financial Questions

Office of Grants Management

Robin Fuller

Robin.Fuller@hhs.gov

Telephone: 240.453.8839



FOA Inquiries

Electronic Submission Requirements

Grants.gov Applicant Support

www.grants.gov

support@grants.gov

Telephone: 800-518-4726

Please do not contact OASH Program or Grants office staff for Grants.gov issues.



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QUESTIONS?



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