



THE SECRETARY OF HEALTH AND HUMAN SERVICES  
WASHINGTON, D.C. 20201

August 11, 2011

The Honorable Sander Levin  
Ranking Member  
Committee on Ways and Means  
U.S. House of Representatives  
Washington, D.C. 20515

Dear Representative Levin:

I am pleased to provide you with the first annual Report to Congress on Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule and Security Rule Compliance. This report was prepared by the Office for Civil Rights in my Department and is being submitted in accordance with section 13424(a) of the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009.

The report contains information about the Department's compliance and enforcement activities with respect to the HIPAA Privacy and Security Rules for calendar years 2009 and 2010. It includes cumulative enforcement data since the 2003 and 2005 respective compliance dates of the rules.

Specifically, this report includes information on complaints received; compliance reviews opened; the resolution of these complaints and compliance reviews, including information about resolution agreements and civil money penalties; subpoenas; and case examples. Additionally, it describes the Department's development and implementation of an audit program and plans for improved enforcement of the rules in the future.

The Department continues to be committed to strong enforcement of the HIPAA Privacy and Security Rules. I hope you will find this report useful.

Sincerely,

A handwritten signature in black ink that reads "Kathleen Sebelius". The signature is written in a cursive, flowing style.

Kathleen Sebelius

Enclosure



THE SECRETARY OF HEALTH AND HUMAN SERVICES  
WASHINGTON, D.C. 20201

August 11, 2011

The Honorable Fred Upton  
Chairman  
Committee on Energy and Commerce  
U.S. House of Representatives  
Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to provide you with the first annual Report to Congress on Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule and Security Rule Compliance. This report was prepared by the Office for Civil Rights in my Department and is being submitted in accordance with section 13424(a) of the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009.

The report contains information about the Department's compliance and enforcement activities with respect to the HIPAA Privacy and Security Rules for calendar years 2009 and 2010. It includes cumulative enforcement data since the 2003 and 2005 respective compliance dates of the rules.

Specifically, this report includes information on complaints received; compliance reviews opened; the resolution of these complaints and compliance reviews, including information about resolution agreements and civil money penalties; subpoenas; and case examples. Additionally, it describes the Department's development and implementation of an audit program and plans for improved enforcement of the rules in the future.

The Department continues to be committed to strong enforcement of the HIPAA Privacy and Security Rules. I hope you will find this report useful.

Sincerely,

A handwritten signature in black ink that reads "Kathleen Sebelius". The signature is written in a cursive, flowing style.

Kathleen Sebelius

Enclosure



THE SECRETARY OF HEALTH AND HUMAN SERVICES  
WASHINGTON, D.C. 20201

August 11, 2011

The Honorable Dave Camp  
Chairman  
Committee on Ways and Means  
U.S. House of Representatives  
Washington, D.C. 20515

Dear Mr. Chairman:

I am pleased to provide you with the first annual Report to Congress on Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule and Security Rule Compliance. This report was prepared by the Office for Civil Rights in my Department and is being submitted in accordance with section 13424(a) of the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009.

The report contains information about the Department's compliance and enforcement activities with respect to the HIPAA Privacy and Security Rules for calendar years 2009 and 2010. It includes cumulative enforcement data since the 2003 and 2005 respective compliance dates of the rules.

Specifically, this report includes information on complaints received; compliance reviews opened; the resolution of these complaints and compliance reviews, including information about resolution agreements and civil money penalties; subpoenas; and case examples. Additionally, it describes the Department's development and implementation of an audit program and plans for improved enforcement of the rules in the future.

The Department continues to be committed to strong enforcement of the HIPAA Privacy and Security Rules. I hope you will find this report useful.

Sincerely,

A handwritten signature in black ink that reads "Kathleen Sebelius". The signature is written in a cursive style with a large initial "K" and "S".

Kathleen Sebelius

Enclosure



THE SECRETARY OF HEALTH AND HUMAN SERVICES  
WASHINGTON, D.C. 20201

August 11, 2011

The Honorable Henry Waxman  
Ranking Member  
Committee on Energy and Commerce  
U.S. House of Representatives  
Washington, D.C. 20515

Dear Representative Waxman:

I am pleased to provide you with the first annual Report to Congress on Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule and Security Rule Compliance. This report was prepared by the Office for Civil Rights in my Department and is being submitted in accordance with section 13424(a) of the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009.

The report contains information about the Department's compliance and enforcement activities with respect to the HIPAA Privacy and Security Rules for calendar years 2009 and 2010. It includes cumulative enforcement data since the 2003 and 2005 respective compliance dates of the rules.

Specifically, this report includes information on complaints received; compliance reviews opened; the resolution of these complaints and compliance reviews, including information about resolution agreements and civil money penalties; subpoenas; and case examples. Additionally, it describes the Department's development and implementation of an audit program and plans for improved enforcement of the rules in the future.

The Department continues to be committed to strong enforcement of the HIPAA Privacy and Security Rules. I hope you will find this report useful.

Sincerely,

A handwritten signature in black ink that reads "Kathleen Sebelius". The signature is written in a cursive, flowing style.

Kathleen Sebelius

Enclosure



THE SECRETARY OF HEALTH AND HUMAN SERVICES  
WASHINGTON, D.C. 20201

August 11, 2011

The Honorable Tom Harkin  
Chairman  
Committee on Health, Education, Labor, and Pensions  
United States Senate  
Washington, D.C. 20510

Dear Mr. Chairman:

I am pleased to provide you with the first annual Report to Congress on Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule and Security Rule Compliance. This report was prepared by the Office for Civil Rights in my Department and is being submitted in accordance with section 13424(a) of the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009.

The report contains information about the Department's compliance and enforcement activities with respect to the HIPAA Privacy and Security Rules for calendar years 2009 and 2010. It includes cumulative enforcement data since the 2003 and 2005 respective compliance dates of the rules.

Specifically, this report includes information on complaints received; compliance reviews opened; the resolution of these complaints and compliance reviews, including information about resolution agreements and civil money penalties; subpoenas; and case examples. Additionally, it describes the Department's development and implementation of an audit program and plans for improved enforcement of the rules in the future.

The Department continues to be committed to strong enforcement of the HIPAA Privacy and Security Rules. I hope you will find this report useful.

Sincerely,

A handwritten signature in black ink that reads "Kathleen Sebelius". The signature is written in a cursive style.

Kathleen Sebelius

Enclosure



THE SECRETARY OF HEALTH AND HUMAN SERVICES  
WASHINGTON, D.C. 20201

August 11, 2011

The Honorable Michael B. Enzi  
Ranking Member  
Committee on Health, Education, Labor,  
and Pensions  
United States Senate  
Washington, D.C. 20510

Dear Senator Enzi:

I am pleased to provide you with the first annual Report to Congress on Health Insurance Portability and Accountability Act (HIPAA) Privacy Rule and Security Rule Compliance. This report was prepared by the Office for Civil Rights in my Department and is being submitted in accordance with section 13424(a) of the Health Information Technology for Economic and Clinical Health (HITECH) Act, enacted as part of the American Recovery and Reinvestment Act of 2009.

The report contains information about the Department's compliance and enforcement activities with respect to the HIPAA Privacy and Security Rules for calendar years 2009 and 2010. It includes cumulative enforcement data since the 2003 and 2005 respective compliance dates of the rules.

Specifically, this report includes information on complaints received; compliance reviews opened; the resolution of these complaints and compliance reviews, including information about resolution agreements and civil money penalties; subpoenas; and case examples. Additionally, it describes the Department's development and implementation of an audit program and plans for improved enforcement of the rules in the future.

The Department continues to be committed to strong enforcement of the HIPAA Privacy and Security Rules. I hope you will find this report useful.

Sincerely,

A handwritten signature in black ink that reads "Kathleen Sebelius". The signature is written in a cursive, flowing style.

Kathleen Sebelius

Enclosure