CMS Manual System	Department of Health & Human Services (DHHS)
Pub 100-20 One-Time Notification	Centers for Medicare & Medicaid Services (CMS)
Transmittal 11209	**Date: January 14, 2022**
	Change Request 12346

Transmittal 11123, dated November 15, 2021, is being rescinded and replaced by Transmittal 11209, dated, January 14, 2022 to add several new business requirements, 12346.12.4, 12346.12.5, 12346.13.1.1, 12346.18.2.1, 12346.29.1.1 and 12346.29.1.2. All other information remains the same.

SUBJECT: Send Electronic Funds Transfer (EFT) Information from Provider Enrollment Chain and Ownership System (PECOS) to Fiscal Intermediary Shared System (FISS) - Implementation CR, Consolidation of January 2022 and April 2022 Releases

I. SUMMARY OF CHANGES: This Implementation Change Request (CR) will use the requirements determined in a previously issued CR to incorporate the manually entered EFT fields in FISS into PECOS. It will update PECOS workflows, database, UI, business logic, extract logic, and fields for the PECOS FISS Extract file, the logic and fields for importing the PECOS FISS extract file into FISS, and FISS business logic and workflows.

EFFECTIVE DATE: January 1, 2022 - Analysis, Design, Coding, Testing, and Implementation; April 1, 2022 - Complete Coding, Testing, and Implementation
*Unless otherwise specified, the effective date is the date of service.
IMPLEMENTATION DATE: January 3, 2022 - Analysis, Design, Coding, Testing, and Implementation; April 4, 2022 - Complete Coding, Testing, and Implementation

Disclaimer for manual changes only: The revision date and transmittal number apply only to red italicized material. Any other material was previously published and remains unchanged. However, if this revision contains a table of contents, you will receive the new/revised information only, and not the entire table of contents.

II. CHANGES IN MANUAL INSTRUCTIONS: (N/A if manual is not updated)
R=REVISED, N=NEW, D=DELETED-*Only One Per Row.*

R/N/D	CHAPTER / SECTION / SUBSECTION / TITLE
N/A	N/A

III. FUNDING:

For Medicare Administrative Contractors (MACs):
The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

IV. ATTACHMENTS:

One Time Notification

Attachment - One-Time Notification

Pub. 100-20	Transmittal: 11209	Date: January 14, 2022	Change Request: 12346

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SUBJECT: Send Electronic Funds Transfer (EFT) Information from Provider Enrollment Chain and Ownership System (PECOS) to Fiscal Intermediary Shared System (FISS) - Implementation CR, Consolidation of January 2022 and April 2022 Releases

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IMPLEMENTATION DATE: January 3, 2022 - Analysis, Design, Coding, Testing, and Implementation; April 4, 2022 - Complete Coding, Testing, and Implementation

I.   GENERAL INFORMATION

A.   Background: The purpose of this CR is for PECOS to send FISS the new Child Record 19 export test file, for PECOS to receive and import the initial import file from FISS, and for PECOS to begin sending EFT information to FISS in the new Child Record 19 export file. Contractor for Government and Industries (CGI) will send the Child Record 19 Extract test file to FISS on October 5, 2021.

In January 2022, the PECOS user interface will be updated to collect the required FISS claims fields and to create an Electronic Funds Transfer (EFT) Tab that will allow MAC Financial Users access to PECOS in order to add or update EFT information. The FISS system will be updated to receive and process the Child Record 19 export file from PECOS and to notify MACs of any records from PECOS that were not successfully imported into FISS. After completing the import of records from FISS, CGI will provide the Medicare Administrative Contractors (MACs) an "Invalid EFT Report" containing all records that were not imported into PECOS from FISS prior to User Acceptance Testing.

In April 2022, FISS will complete any coding needed and CGI will begin sending the Child Record 19 Extract to FISS. After completing the import of records from FISS, CGI will provide the MACs an "Invalid EFT Report" containing all records that were not imported into PECOS from FISS after Production Go-Live.

- The test Child Record 19 Extract File is a dummy test file with the defined Child Record 19 structure filled with the dummy data. FISS will use this test import file to ensure they will be able to process the file and the data within.
- The FISS EFT Import file from FISS is the EFT import file generated from FISS Production database, which contains EFT data that needs to be migrated to the PECOS database. CGI needs this FISS EFT production import file to
    - Validate FISS EFT import data
    - Provide import data error reports to MACs
    - Coordinate data error resolution with MACs
    - Import FISS EFT data from import file
- The FISS Export file from PECOS, including Child Record 19, from PECOS Production (April 2, 2022) is the export file generated from PECOS Production database, which contains PECOS enrollment data that needs to be imported into the FISS database. FISS needs this PECOS production export file to
    - Complete FISS claims
    - Maintain updated Provider data

B. Policy: There is no policy change.

II. BUSINESS REQUIREMENTS TABLE

"Shall" denotes a mandatory requirement, and "should" denotes an optional requirement.

Number	Requirement	Responsibility								Other
		A/B MAC			DME MAC	Shared-System Maintainers				
		A	B	HHH		FISS	MCS	VMS	CWF	
12346.1	Maintainers shall take meeting minutes for calls and upload them to ECHIMP within 3 business days of each call.					X				PECOS
12346.1.1	MACS shall include both Provider Enrollment and Financial Users on the development calls.	X								
12346.1.2	CMS shall schedule/facilitate up to a total of 9 one hour bi-weekly calls with the contractors beginning the week of August 30, 2021 for PECOS January 2022 Release. The purpose of the calls will be to work through any concerns/issues discovered during the file creation, transfer process and testing.	X				X				CMS, HIGLAS, PECOS, STC
12346.1.2.1	CMS shall schedule/facilitate up to a total of 9 one hour bi-weekly calls with the contractors beginning the week of November 29, 2021 for PECOS April 2022 Release. The purpose of the calls will be to work through any concerns/issues discovered during the file creation, transfer process and testing.	X				X				CMS, HIGLAS, PECOS, STC
12346.1.2.2	Medicare contractors shall send participant names and email address information within 3 business days of final issuance of this CR to kusum.jha2@cms.hhs.gov and pamela.rumber@cms.hhs.gov.	X				X				HIGLAS, PECOS, STC
12346.2	FISS shall accept and load the PECOS new Child 19 Records for EFT Data and update new EFT fields defined in FISS Core Provider.					X				

Number	Requirement	Responsibility								
		A/B MAC			D M E MAC	Shared-System Maintainers				Other
		A	B	HHH		FISS	MCS	VMS	CWF	
	Note: The EFT data will not display on the provider file screens. The EFT will continue to display only in Financial.									
12346.2.1	FISS shall compare the current EFT data to what is received from PECOS to ascertain if any update is needed.					X				
12346.2.1.1	When only Current EFT data is received from PECOS, FISS shall compare to the Current in FISS.					X				
12346.2.1.2	When only Current EFT data is received from PECOS and PECOS Current does not match FISS Current, FISS shall perform the following: FISS shall update the Current record with PECOS data when the only change is to one or more of the following fields: Bank Name Bank Branch Addresses per FISS City State ZIP Approved By Account Name (Legal Business Name) Term Date (must be greater than the effective date) FISS shall move the Current record to History and apply an End Date that is one day prior to the Effective Date of the PECOS data and load the PECOS data to Current when updates are made to the following fields: Transit/ABA Number					X				

Number	Requirement	Responsibility								
		A/B MAC			DME MAC	Shared-System Maintainers				Other
		A	B	HHH		FISS	MCS	VMS	CWF	
	Account Number Type Pre Note Date Effective Date									
12346.2.1.3	When PECOS EFT data is the same as FISS, FISS shall make no update.					X				
12346.2.1.4	FISS shall accept Medicare ID records that do not include an associated EFT child record as a part of the nightly PECOS FISS Extract File.					X				
12346.3	FISS shall edit the EFT data received in child records from PECOS as it currently executes on the FISS EFT Screen (MAP07985).					X				
12346.3.1	If any error is found with the EFT data in Child record 19, FISS shall make no update to the Financial EFT data for the CCN/NPI combinations.					X				
12346.4	FISS shall modify logic to apply changes in EFT data made to the Core Provider to the Financial Master.					X				
12346.4.1	FISS shall modify logic based on the CCN on Child 02 Record to process the Child 19 Records.					X				
12346.4.1.1	FISS shall run validations on Child 19 data including the KA screen editing and conversion of values sent by PECOS for S-savings and C-checking to FISS codes SG and DA.					X				
12346.4.1.2	FISS shall not process the Child 19 Record when any edit fails.					X				
12346.4.2	FISS shall add EFT data from the child record 19 when a new provider is received from PECOS.					X				
12346.4.2.1	When the PECOS EFT record is different from Current EFT data, FISS shall update Provider Core record for CCN with EFT data.					X				
12346.4.3	When the CCN is found on Financial Master, FISS shall update the Financial Master record with Current					X				

Number	Requirement	Responsibility								
		A/B MAC			DME MAC	Shared-System Maintainers				Other
		A	B	HHH		FISS	MCS	VMS	CWF	
	and History EFT data for all CCN/NPI combinations.									
12346.4.3.1	When the CCN is not found on the Financial Master, FISS shall create the Financial Master record for CCN and CCN/NPI combination with Current and History EFT data from PECOS.					X				
12346.5	FISS shall modify the Operator Control File Financial Security Transactions screen (MAP1284).					X				
12346.5.1	FISS shall modify the Operator Control File, Electronic Funds Transfer access to allow I as a valid value.					X				
12346.5.2	FISS shall modify to default the Operator Control File for the Electronic Funds Transfer to I – Inquiry.					X				
12346.6	FISS shall modify 'on the fly' process to populate EFT data on Financial Master when a new CCN/NPI combination is paid on a claim.					X				
12346.7	FISS shall create a one-time Core Provider load process.					X				
12346.8	FISS shall modify report MAFD8006R01, Financial Master Added/Updated Records Report to include EFT data.					X				
12346.8.1	FISS shall update the Financial Master Added/Updated Report for EFT data when the Financial Master is created or updated from the Child 19 Record.					X				
12346.9	FISS to PECOS: PECOS shall map the Name field information from FISS to Legal Business Name on the EFT section in PECOS.									PECOS
12346.10	FISS shall create a new EFT Error report for MACs that shall include any records that were sent by PECOS and errored out by FISS. The Error Report shall be created by region.					X				
12346.10.1	FISS shall create the new Error Report with the standard FISS report headings and the following fields:					X				

Number	Requirement	Responsibility								
		A/B MAC			DME MAC	Shared-System Maintainers				Other
		A	B	HHH		FISS	MCS	VMS	CWF	
	• Report Title • CCN • Field Name that failed the edit • Value received in PECOS file • Error Message									
12346.10.2	When MACs receive an error report from FISS, MACs shall fix the errors in PECOS.	X								
12346.11	FISS shall create the 6L Online PARM for report notification.					X				
12346.11.1	FISS shall include up to 15 email addresses in the new Online PARM.					X				
12346.11.2	MACs shall add their contact information for the FISS Error Report distribution on the 6L Online PARM screen in FISS prior to the implementation date of April 3, 2022.	X								
12346.11.3	MACs shall update their contact information for the FISS Error Report distribution on the 6L Online PARM screen in FISS as needed when their contact information changes.	X								
12346.12	FISS shall create and send an Error Report notification email by MAC region to notify the MAC to review the error report.					X				
12346.12.1	FISS shall automate the email to send when a record is written to the new Error Report.					X				
12346.12.2	FISS shall create the email to contain the name of the job name, date, and a reference to review the EFT Error Report.					X				
12346.12.3	When MACs receive an error report from FISS, MACs shall fix the errors in PECOS.	X								
12346.12.4	FISS shall make Approved By and Branch fields as optional in the FISS system.					X				

Number	Requirement	Responsibility								
		A/B MAC			D M E M A C	Shared-System Maintainers				Other
		A	B	H H H		F I S S	M C S	V M S	C W F	
12346.12.5	FISS shall accept Child Record 19 without Approved By and Branch fields.					X				
12346.13	PECOS shall add a new EFT tab for MAC financial user groups.									PECOS
12346.13.1	PECOS shall add FISS EFT fields as recommended on the EFT enrollment section, and as required on the EFT tab.									PECOS
12346.13.1.1	PECOS shall add Approved By and Branch fields as optional on the EFT enrollment section, and on the EFT tab.									PECOS
12346.13.2	PECOS shall add FISS EFT fields' validations to the EFT enrollment section and to the EFT tab. See attached document to check validations added and not added to PECOS (this is referencing the list of FISS validations that will and will not be added to PECOS).									PECOS
12346.13.3	MACs shall enter the Pre-Note Date in PECOS, PECOS shall not add a system generated date for the Pre-Note date.	X								PECOS
12346.13.3.1	PECOS shall systematically calculate the EFT Effective Date to be 10 days from the Pre-Note Date.									PECOS
12346.13.4	PECOS shall display an EFT Audit Log on the EFT Tab.									PECOS
12346.13.4.1	PECOS shall update the EFT Section of the Enrollment Record to display the EFT Audit Log.									PECOS
12346.13.5	PECOS shall not populate Chain Home Office information in the EFT section if payments are being made to the Chain Home Office.									PECOS
12346.13.6	PECOS shall add a comment box or checkbox to the EFT Enrollment Section and EFT Tab to note when the provider is on hold in HIGLAS for bad mail or rejected EFT. This field will not be sent to FISS.									PECOS

Number	Requirement	Responsibility								
		A/B MAC			D M E M A C	Shared-System Maintainers				Other
		A	B	H H H		F I S S	M C S	V M S	C W F	
12346.13.6.1	MACs shall continue to use existing DNF functionality in HIGLAS to stop claims payments. The DNF functionality shall continue to be controlled in FISS and not by the PECOS Extract.									HIGLAS
12346.14	PECOS shall create Financial User roles for Part A MACs.									PECOS
12346.14.1	MACs shall list all the contractor IDs in EUA profile when requesting Financial User job code.	X								PECOS
12346.15	PECOS to FISS Test file: PECOS/CGI shall provide FISS the Electronic Funds Transfer output test file by October 5, 2021 to ensure that FISS can receive and process the new EFT child record file format.									PECOS
12346.16	MACs shall participate in 4 weeks of PECOS UAT testing. (November 29 – December 23, 2021).	X								PECOS
12346.16.1	FISS, STC and HIGLAS and MACs shall participate in UAT kick off call and twice a week UAT status calls. The UAT kick off call is scheduled on November 23, 2021 and UAT is scheduled between November 29 2021 and December 23, 2021.	X				X				HIGLAS, PECOS, STC
12346.17	PECOS shall import the FISS Import File from FISS (sent to PECOS no later than October 18, 2021 as part of FISS October Emergency CR) prior to the beginning of UAT. **Note:** This BR may be addressed outside the scope of this CR.									PECOS
12346.17.1	When a FISS record cannot be loaded into PECOS, CGI shall provide MACs an "Invalid EFT Report" containing all records that were not imported into PECOS from FISS during UAT. CGI shall provide this report as early as possible during UAT which is scheduled between November 29 2021 and December 23, 2021.									PECOS

Number	Requirement	Responsibility								Other
		A/B MAC			D M E M A C	Shared-System Maintainers				
		A	B	H H H		F I S S	M C S	V M S	C W F	
	This report shall contain records received in the inbound file from FISS that: • Do not contain all required FISS EFT fields and/or • Are not imported due to import validation logic agreed upon by MACs, CMS, FISS and CGI									
12346.17.2	MACs shall review the "Invalid EFT Report" provided by CGI during UAT (November 29 2021 - December 23, 2021) and take corrective action in the production system.	X								PECOS
12346.17.3	For FISS records that are imported into PECOS, if the EFT record does not have all of the required PECOS fields, and one of the FISS EFT fields needs to be edited, PECOS shall not require MACs to update the required PECOS EFT Fields. (This requirement was added to accommodate older records where MACs may not have all of the information).	X								PECOS
12346.18	PECOS shall modify the existing FISS extract to include Electronic Funds Transfer data in Child Record 19. The fields below shall be included in the child record: Record Type FI Contractor ID Create Date										PECOS

Number	Requirement	Responsibility								
		A/B MAC			D M E M A C	Shared-System Maintainers				Other
		A	B	H H H		F I S S	M C S	V M S	C W F	
	PAC ID									
	Enrollment ID									
	Primary NPI									
	Medicare ID Type									
	Medicare ID									
	Effective Date									
	End Date									
	Financial Institution Name									
	Branch									
	Financial Institution Street Address Line 1									
	Financial Institution Street Address Line 2									
	Financial Institution City/Town									
	Financial Institution State/Territory									
	Financial Institution Zip + 4									
	Routing Transit Number									

Number	Requirement	Responsibility								Other
		A/B MAC			D M E M A C	Shared-System Maintainers				
		A	B	H H H		F I S S	M C S	V M S	C W F	
	Approved By Depositor Account Number Type of Account Legal Business Name (Name filed in FISS) Pre-Note Date									
12346.18.1	PECOS shall send the Legal Business Name from the EFT section in PECOS to FISS as the Name field.									PECOS
12346.18.2	PECOS to FISS File: If PECOS does not have data for FISS text fields, then PECOS shall send spaces to FISS. All required FISS fields shall be present.					X				PECOS
12346.18.2.1	PECOS shall send spaces in the Child Record 19 for Approved By and Branch fields if no data is present.									PECOS
12346.18.3	PECOS to FISS File: If PECOS does not have data for date fields, then PECOS shall send "99999999" to FISS in Child Record 19. (Must be a valid date or "99999999"). PECOS will be sending "99999999" in the Child Record 19 if the End Date is not present in PECOS.					X				PECOS
12346.18.4	PECOS to FISS File: PECOS shall send up to 9 digits in the Child Record 19 for Zip Code.									PECOS
12346.18.5	PECOS to FISS File: PECOS shall truncate the field length to 35 characters for the fields mentioned below and send them in Child Record 19 if the field length exceeds 35 characters. • Financial Institution Name, • Financial Institution Street Address Line 1, • Financial Institution Street Address Line 2,									PECOS

Number	Requirement	Responsibility								
		A/B MAC			D M E MAC	Shared-System Maintainers				Other
		A	B	H H H		F I S S	M C S	V M S	C W F	
	• Legal Business Name									
12346.18.6	PECOS to FISS File: PECOS shall send current (End Date - blank/ future) and most recent historic EFT dataset based on most recent End Date in the extract file to FISS.					X				PECOS
12346.18.7	PECOS to FISS File: PECOS shall only send complete EFT information to FISS in the child record.					X				PECOS
12346.19	VDCs shall provide an import file from production as early as possible, no later than January 17, 2022 for PECOS April UAT. The file shall be generated at midnight cutoff time.									PECOS, VDC
12346.19.1	When a FISS record cannot be loaded into PECOS, CGI shall provide MACs an "Invalid EFT Report" containing all records that were not imported into PECOS from FISS during UAT. CGI shall provide this report as early as possible during UAT which is scheduled between January 31, 2022, and March 25, 2022. This report shall contain records received in the inbound file from FISS that: - Do not contain all required FISS EFT fields and/or - Are not imported due to import validation logic agreed upon by MACs, CMS, and CGI									PECOS
12346.19.2	MACs shall review the "Invalid EFT Report" provided by CGI during UAT take corrective action as soon as possible, preferably before go-live April 3, 2022. **Note:** MACs shall refrain from entering EFT data into FISS and PECOS between March 31, 2022 and April 3.	X								PECOS
12346.20	MACs shall participate in 8 weeks of UAT testing for the April 2022 Release. (January 31, 2022 – March 25, 2022).	X								

Number	Requirement	A/B MAC			DME MAC	Shared-System Maintainers				Other
		A	B	HHH		FISS	MCS	VMS	CWF	
12346.21	FISS shall support MACs in PECOS UAT testing.					X				
12346.22	FISS, STC, HIGLAS, and MACs shall participate in the PECOS UAT kick off call and twice a week PECOS UAT status calls.

The UAT kick off call is scheduled on January 31, 2022 and UAT is scheduled between January 31, 2022 and March 25, 2022. | X | | | | X | | | | HIGLAS, STC |
12346.23	STC shall participate in early integration testing between January 31, 2022 and March 25, 2022, as PECOS UAT will begin on January 31, 2022.									STC
12346.24	HIGLAS shall participate in early integration testing January 31, 2022 and March 25, 2022, as PECOS UAT will begin on January 31, 2022.									HIGLAS
12346.25	MACs shall require sufficient time to perform round trip testing with HIGLAS.	X								HIGLAS
12346.26	VDC shall provide an import file from Production on March 29, 2022 using March 28, 2022 midnight cutoff time for PECOS to import prior to go-live.									VDC
12346.26.1	CGI shall provide MACs an "Invalid EFT Report" containing all records that were not imported into PECOS from FISS during UAT.

This report shall contain records received in the inbound file from FISS that:

- Do not contain all required FISS EFT fields and/or
- Are not imported due to import validation logic agreed upon by MACs, CMS, and CGI | | | | | | | | | PECOS |
| 12346.26.1.1 | MACs shall review the "Invalid EFT Report" provided by CGI and take corrective action before as soon as possible. | X | | | | | | | | |

Number	Requirement	Responsibility								
		A/B MAC			D M E MAC	Shared-System Maintainers				Other
		A	B	H H H		F I S S	M C S	V M S	C W F	
12346.26.2	PECOS shall import all EFT records from FISS that pass import logic validations that are in the middle of a pre-note process.									PECOS
12346.26.2.1	MACs shall track EFT records entered into FISS within 10 days prior to the established "dark period" and make any necessary updates in PECOS (i.e. if pre-note process failed) after the PECOS go-live date.	X								
12346.27	PECOS shall not process inbound file from March 30, 2022 through April 1, 2022.									PECOS
12346.28	PECOS shall send the FISS outbound extract file with the EFT child record for the first time on April 2, 2022.									PECOS
12346.29	FISS shall process the outbound FISS extract file with the new EFT child record starting April 2, 2022.					X				PECOS
12346.29.1	MACs shall refrain from entering EFT data into FISS and PECOS between March 31, 2022 and April 3, 2022 in order to maintain data integrity between the two systems.	X				X				
12346.29.1.1	Audit MACs shall have all EFTs completed by the Close of Business (COB) on March 29, 2022 to allow Regional Home Health & Hospice Intermediary (RHHI) MACs to complete processing prior to March 31, 2022 to account for the "dark period" that begins on March 31, 2022 and lasts through April 3, 2022.	X								
12346.29.1.2	RHHI MACs shall prioritize EFTs received from the Audit MACs by COB on March 29, 2022 and have them processed by COB on March 30, 2022 to account for the "dark period" that begins on March 31, 2022 and lasts through April 3, 2022. *Note: This requirement applies to RHHI MACs (now called A/B MAC Part HHH)		X							
12346.29.2	PECOS shall not generate any FISS Extract files between March 31, 2022 and April 3, 2022 in order to maintain data integrity between the two systems.									PECOS

Number	Requirement	A	B	HHH	DME MAC	FISS	MCS	VMS	CWF	Other
12346.30	VDC shall not process or reprocess any PECOS Extract files generated before April 1, 2022 after April 1, 2022 Go-Live.									VDC
12346.31	PECOS shall be the system of record after go live. PECOS shall import the file sent by FISS before go live to maintain data integrity. All the existing finalized EFT entered in PECOS and not received from FISS shall be removed from PECOS. The only exceptions are if the EFT is new or if the EFT is end-dated and in the PECOS WIP (Work In Progress) database tables.									PECOS
12346.32	FISS shall set the default for all users as "I" – Inquiry, An override switch shall not be added to FISS.					X				PECOS
12346.32.1	Each MAC group shall need to determine who has the "U" assigned to them for updating capability in FISS. MACs that need to edit EFT information in an emergency shall use "U" - Update. If there is an emergency, an Update user can make the edits in FISS once the MACs obtain the approval from their COR.	X								
12346.32.2	MACs shall update PECOS as soon as possible when emergency updates are made in FISS. **Note:** If the PECOS enrollment is triggered, then PECOS shall override the EFT information in FISS.	X								
12346.32.3	FISS shall add additional State codes to include: AS, MH, MP, PW and State Code FM (Federated States of Micronesia).					X				

III.   PROVIDER EDUCATION TABLE

Number	Requirement	A	B	HHH	DME MAC	CEDI
	None					

IV.   SUPPORTING INFORMATION

Section A: Recommendations and supporting information associated with listed requirements: N/A

"Should" denotes a recommendation.

X-Ref Requirement Number	Recommendations or other supporting information:

Section B: All other recommendations and supporting information: N/A

V. CONTACTS

Pre-Implementation Contact(s): Kusum Jha, 2404091434 or kusum.jha2@cms.hhs.gov

Post-Implementation Contact(s): Contact your Contracting Officer's Representative (COR).

VI. FUNDING

Section A: For Medicare Administrative Contractors (MACs):
The Medicare Administrative Contractor is hereby advised that this constitutes technical direction as defined in your contract. CMS does not construe this as a change to the MAC Statement of Work. The contractor is not obligated to incur costs in excess of the amounts allotted in your contract unless and until specifically authorized by the Contracting Officer. If the contractor considers anything provided, as described above, to be outside the current scope of work, the contractor shall withhold performance on the part(s) in question and immediately notify the Contracting Officer, in writing or by e-mail, and request formal directions regarding continued performance requirements.

ATTACHMENTS: 3

Send EFT Information from PECOS to FISS

MAC & Stakeholder Attendees:

- Part A MACs for FISS
- FISS
- HIGLAS
- CMS
- CGI

New EFT FISS fields and Error validations added/not added to PECOS:

New FISS Field added to PECOS	Validation	Added to PECOS	Not Added to PECOS
Pre-Note Date	PECOS shall add new Pre-Note Date field on EFT Enrollment and Eft Tab.	X	
	Pre-Note date is recommended on EFT enrollment and requited on EFT Tab.	X	
	Pre-note date is eight-character alphanumeric field in MM/DD/YYYY format.	X	
	Pre-note date cannot be today's date in PECOS.	X	
	The Pre-note date for the EFT period may be prior to the termination date of a previous EFT period.	X	
	MACs can override the pre-note date.	X	
	If this field is left blank, the system date will not be generated in PECOS. (System date is generated in FISS; in PECOS we will add page level validation for Pre-note Date to make sure a date will be provided in Pre-Note Date field).		X
	Pre-Note date cannot be the same date set by the system. (PECOS cannot add system date because it needs to be 3 or 4 days in future depending on the days the EFT dataset was added to PECOS.)		X
	A note will be added to PECOS to inform users to add 2-4 days in	X	

New FISS Field added to PECOS	Validation	Added to PECOS	Not Added to PECOS
	advance for the pre-note date. (Current flow in FISS: Day 1 entry into PECOS. Day 2, BDCs pick it up and load it. Day 3 is when it is available in FISS for pre-note and everything else.)		
	Add Federal Holiday and weekend validation to Pre-Note date.	X	
	Pre-note date cannot be more than 30 days prior to the Effective Date. (The difference between Pre-Note and Effective date cannot be more than 30 days.)	X	
Effective Date	Required for EFT TAB; recommended for enrollment section.	X	
	This is an eight-character alphanumeric field in MM/DD/YYYY format.	X	
	The EFT effective date is an editable field.	X	
	Effective date needs to be at least one day after Pre-Note date.	X	
	The Effective date cannot be more than 30 days from the Pre-note date	X	
	Effective date cannot be equal to Pre-Note date.	X	
	Effective date needs to be at least one day later than the previous bank's End Date.	X	
	Effective date is 10 days from the Pre-Note date. Systematically set Effective date to 10 days after pre-note.	X	
	End date is an eight-character alphanumeric field.	X	
	End date must be greater than the EET Effective date.	X	
NPI and the Medicare ID	Make NPI and Medicare ID read only on the EFT Enrollment and Eft TAB and populate the primary NPI/CCN from section 4 of the enrollment.	X	
Add a Note to EFT Enrollment and Eft TAB for NPI and the Medicare ID	Note: The NPI and the Medicare ID combination is marked as the primary Medicare ID/NPI combination in the Physical Location section. To modify the	X This combination is not unique in PECOS and	

New FISS Field added to PECOS	Validation	Added to PECOS	Not Added to PECOS
	NPI and Medicare ID combination, you will need to modify it in Section 4.	MACs need to clean up this data.	
Branch	Required for EFT TAB; recommended for enrollment section.	X	
	This is a 35-character alphanumeric field. Imbedded spaces are allowed; special characters are allowed. Free text field.	X	

Routing Transit Number	Routing Transit Number Required (Not SPACES)
	    - All nine-digits are required.
	    - Numeric only.
		X	

Routing Transit Number check digit Validation	Ninth digit (Check digit) must be the correct check digit.
	    The last digit of the routing number is called the check digit. It is calculated from the first eight digits using an algorithm. A specific weight is assigned to the position of each digit, the formula used for the first eight digits. The formula used is 37137137. The product of each digit and their associated weight is added up and then divided by 10. The remainder of that division is then subtracted from 10, which gives us the 'check digit'.
	    Example: 789456124
	    (7x3) + (8x7) + (9x1) + (4x3) + (5x7) + (6x1) + (1x3) + (2x7) = 156
	    Then we divide 156 by 10 = 10.6
	    We take the remainder (6) and subtract it from 10. 10 – 6 = 4
	    The 9th digit is 4 and matches the calculated check digit. This passes our edit.
		X	

Approved By	Required for EFT TAB; recommended for enrollment section.	X	
	This is a 35-character alphanumeric free text field. Required. Imbedded spaces are allowed. Special characters are allowed.	X	

New FISS Field added to PECOS	Validation	Added to PECOS	Not Added to PECOS
Name	Required for EFT TAB; recommended for enrollment section.		
	This is a 35-character alphanumeric field. Required. - Required (Not SPACES) - Left Justified - Imbedded spaces are allowed - Special characters are allowed		
Send to FISS Check Box	The Electronic Funds Transfer information will not be sent to FISS until this enrollment is finalized. By checking the "Send to FISS" checkbox, Primary NPI/Medicare ID and associated EFT information will be sent to FISS on the nightly extract prior to finalization. Any newly added primary Medicare ID information on the enrollment associated to the EFT record will not be sent to FISS until the enrollment has been finalized.	X	
Send to FISS Check Box	Add Send to FISS Check Box in both the EFT Tab and in the enrollment section.	X	
Audit Log	PECOS will be displaying Audit Log in both the EFT Tab and in the enrollment section.	X	
	The Audit Log will include messages for all EFT fields and not just the FISS EFT fields.	X	
	The Audit Log message will include historic and new changes to the EFT fields.	X	

FISS File Structure:

* Record Type Values
"00" – Base Record
"01" – HOSPITAL-TYPE
"02" – MEDICARE-IDENTIFICATION
"03" – ENROLLMENT STATUS
"04" – PRACTICE-LOCATION
"05" – SPECIAL PAYMENT
"06" – L&T SUBMITTAL REASON
"07" – CHOW/ACQUISITION/MERGER/CONSOLIDATION
"08" - MEDICARE ID-NPI COMBINATION ASSOCIATION TO PRACTICE LOCATION
"09" – ADMINISTRATIVE FLAGS (PEND only)
"17" – ADMINISTRATIVE FLAGS
"18" – CWF Changes
"19" – EFT Information

Electronic Funds Transfer Child Record 19:

First 40 bytes consist of the following data elements for the EFT child record:

Description	Field Name	Length	Default Value	Start Position
Record Type	BSE-REC-TYPE	2	19	1
FI Contractor ID	BSE-FI-ID	5	N/A	3
Create Date	BSE-CREAT-DT	8	N/A	8
PAC ID	BSE-PAC-ID	10	N/A	16
Enrollment ID	BSE-ENR-ID	15	N/A	26

Electronic Funds Transfer Child Record 19:

PECOS will be sending abbreviated Financial Institution Name, Financial Institution Street Address Line 1, Financial Institution Street Address Line 2, and Legal Business Name from EFT section in PECOS in Child Record 19 if the field length exceeds 35 characters.

Field Description	Start Pos.	Length	Required Field	IPP impact
Primary NPI	41	10	N/A	N/A
Medicare ID Type	51	2	No	N/A
Medicare ID	53	15	No	N/A
Effective Date	68	8	Yes	N/A
End Date	76	8	No	N/A
Financial Institution Name	84	35	Yes	N/A
Branch (Enter the city where the bank is located- Free text)	119	35	Yes	N/A
Financial Institution Street Address Line 1	154	35	Yes	N/A
Financial Institution Street Address Line 2	189	35	No	N/A
Financial Institution City/Town	224	24	Yes	N/A
Financial Institution State/Territory	248	2	Yes	N/A
Financial Institution Zip + 4	250	9	Yes	N/A
Routing Transit Number	259	9	Yes	N/A
Approved By	268	35	Yes	N/A
Depositor Account Number	303	17	Yes	N/A
Type of Account	320	1	Yes	N/A
Legal Business Name (Name field in FISS)	321	35	Yes	N/A
Pre-Note Date	356	8	Yes	N/A
Filler	364	458	N/A	N/A
Total Length	**N/A**	**821**	**N/A**	**N/A**

Electronic Funds Transfer Import file layout:

First 40 bytes consist of the following data elements for the Electronic Funds Transfer Import file.

Description	Field Name	Length	Default Value	Start Position
Record Type	BSE-REC-TYPE	2	19	1
FI Contractor ID	BSE-FI-ID	5	N/A	3
Create Date	BSE-CREAT-DT	8	N/A	8
PAC ID	BSE-PAC-ID	10	N/A	16
Enrollment ID	BSE-ENR-ID	15	N/A	26

Electronic Funds Transfer Import file layout:

Field Description	Start Pos.	Length	Required Field	IPP impact
Primary NPI	41	10	N/A	N/A
Medicare ID Type OS	51	2	No	N/A
Medicare ID	53	15	No	N/A
Effective Date	68	8	Yes	N/A
End Date	76	8	No	N/A
Financial Institution Name	84	35	Yes	N/A
Branch (Enter the city where the bank is located- Free text)	119	35	Yes	N/A
Financial Institution Street Address Line 1	154	35	Yes	N/A
Financial Institution Street Address Line 2	189	35	No	N/A
Financial Institution City/Town	224	24	Yes	N/A
Financial Institution State/Territory	248	2	Yes	N/A
Financial Institution Zip + 4	250	9	Yes	N/A
Routing Transit Number	259	9	Yes	N/A

Approved By	268	35	Yes	N/A
Depositor Account Number	303	17	Yes	N/A
Type of Account	320	1	Yes	N/A
NAME	321	35	Yes	N/A
Pre-Note Date	356	8	Yes	N/A
Tax Identification Number (TIN)	364	9	N/A	N/A
Filler	373	449	N/A	N/A
Total Length	**N/A**	821	**N/A**	**N/A**