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# Guidance for Industry

## Pharmacokinetics in Patients with Impaired Renal Function — Study Design, Data Analysis, and Impact on Dosing and Labeling

### *DRAFT GUIDANCE*

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For questions regarding this draft document contact (CDER) Shiew-Mei Huang, 301-796-1541, or (CDER) Lei Zhang, 301-796-1635.

U.S. Department of Health and Human Services  
Food and Drug Administration  
Center for Drug Evaluation and Research (CDER)

March 2010  
Clinical Pharmacology

Revision 1

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## Pharmacokinetics in Patients with Impaired Renal Function — Study Design, Data Analysis, and Impact on Dosing and Labeling

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**U.S. Department of Health and Human Services  
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## **Guidance for Industry<sup>1</sup>**

### **Pharmacokinetics in Patients with Impaired Renal Function — Study Design, Data Analysis, and Impact on Dosing and Labeling**

This draft guidance, when finalized, will represent the Food and Drug Administration's (FDA's) current thinking on this topic. It does not create or confer any rights for or on any person and does not operate to bind FDA or the public. You can use an alternative approach if the approach satisfies the requirements of the applicable statutes and regulations. If you want to discuss an alternative approach, contact the FDA staff responsible for implementing this guidance. If you cannot identify the appropriate FDA staff, call the appropriate number listed on the title page of this guidance.

#### **I. INTRODUCTION**

This guidance is intended to assist sponsors planning to conduct studies to assess the influence of renal impairment on the pharmacokinetics of an investigational drug. It provides recommendations on when studies should be conducted to assess the influence of renal impairment on the pharmacokinetics of an investigational drug, the design of such studies, and how such studies should be carried out.

FDA's guidance documents, including this guidance, do not establish legally enforceable responsibilities. Instead, guidances describe the Agency's current thinking on a topic and should be viewed only as recommendations, unless specific regulatory or statutory requirements are cited. The use of the word *should* in Agency guidances means that something is suggested or recommended, but not required.

#### **II. BACKGROUND**

After entering the body, a drug is eliminated by excretion and/or by metabolism. Although elimination can occur through a variety of routes, most drugs are cleared by elimination of unchanged drug by the kidney and/or by metabolism in the liver and/or small intestine. If a drug is eliminated primarily through renal excretory mechanisms, impaired renal function usually alters the drug's pharmacokinetics (PK) to an extent that the dosage regimen needs to be changed from that used in patients with normal renal function. The most obvious type of

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<sup>1</sup> This guidance has been prepared by the Renal Impairment Guidance Working Group in the Center for Drug Evaluation and Research (CDER) at the Food and Drug Administration.

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41 change arising from renal impairment is a decrease in renal excretion of a drug or its  
42 metabolites, but changes in renal metabolism can also occur. Renal impairment can  
43 adversely affect some pathways of hepatic/gut drug metabolism and has also been associated  
44 with other changes, such as changes in absorption, plasma protein binding, transport, and  
45 tissue distribution. These changes may be particularly prominent in patients with severely  
46 impaired renal function and have been observed even when the renal route is not the primary  
47 route of elimination of a drug. Thus, for most drugs that are likely to be administered to  
48 patients with renal impairment, including drugs that are not primarily excreted by the kidney,  
49 PK should be assessed in patients with renal impairment to provide appropriate dosing  
50 recommendations, with the exceptions described in section III.B.

51

52 This guidance makes recommendations regarding the following:

53

54 • When studies of PK in patients with impaired renal function should be performed and  
55 when they may be unnecessary

56

57 • The design and conduct of PK studies in patients with impaired renal function

58

59 • The design and conduct of PK studies in end-stage renal disease (ESRD) patients  
60 undergoing dialysis (e.g., hemodialysis)

61

62 • The analysis and reporting of the results of such studies

63

64 • Representation of these results in the approved product labeling

65

66

### 67 **III. DECIDING WHETHER TO CONDUCT A STUDY IN PATIENTS WITH IMPAIRED** 68 **RENAL FUNCTION**

69

#### 70 **A. When Studies May Be Important**

71

72 A PK study should be conducted in patients with impaired renal function when the drug is likely to  
73 be used in such patients and when renal impairment is likely to mechanistically alter the PK of the  
74 drug and/or its active metabolites. This would most obviously be the case if the drug or a principal  
75 active metabolite is substantially eliminated renally (i.e., if the fraction of dose excreted unchanged  
76 in the urine is at least 30%), but it can also be the case if a drug is primarily metabolized or secreted  
77 in bile, because renal impairment can inhibit some pathways of hepatic and gut drug metabolism  
78 and transport. Therefore, a PK study in patients with renal impairment should be conducted for  
79 most drugs intended for chronic use. Some drugs that are not chronically used can also be  
80 evaluated in patients with renal impairment for dose adjustment purposes if there are clinical  
81 concerns for use in these patients. Antibiotic drugs represent such a case.

82

83 Although there are limited data on the effect of renal impairment on the disposition of therapeutic  
84 proteins, data from biologics license application (BLA) reviews indicate that renal impairment has

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85 decreased the renal clearance of cytokines or cytokine modulators that have a molecular weight less  
86 than 69 kDa. In some cases, a dose adjustment was necessary to reduce the risk of exposure-related  
87 toxicity in patients with renal impairment (e.g., anakinra, peginterferon alfa-2A, peginterferon alfa-  
88 2B, and oprelvekin). Therefore, renal impairment studies are recommended for this class of  
89 therapeutic proteins during their development.

90  
91 In addition, for ESRD patients undergoing dialysis, PK should be studied under both dialysis and  
92 non-dialysis conditions to determine the extent to which dialysis contributes to the elimination of  
93 the drug and potentially active metabolites (see section IV.C).

### 94 95 **B. When Studies May Not Be Important or Practical**

96  
97 For some drugs, renal impairment is not likely to alter PK enough to justify dosage  
98 adjustment. In such cases, a study to confirm that prediction may be helpful, but is not  
99 necessary. If a study is not conducted, the labeling should indicate that the impact of renal  
100 impairment was not studied, but that an effect requiring dosage adjustment is unlikely to be  
101 present. Current knowledge suggests that the following drug properties may justify this  
102 approach:

- 103
- 104 • Gaseous or volatile drug and active metabolites that are primarily eliminated through the  
105 lungs
  - 106
  - 107 • Drugs intended only for single-dose administration unless clinical concerns dictate  
108 otherwise
  - 109
  - 110 • Monoclonal antibodies

### 111 112 **C. Other Considerations**

113  
114 Even when renal impairment is likely to have little or no effect on a drug's PK, the impact of  
115 dialysis on the PK of a drug should be considered. Patients on dialysis may require greater  
116 doses of certain drugs than patients with normal renal function. This is discussed further in  
117 the following section.

## 118 119 120 **IV. STUDY DESIGN**

121  
122 The safety and efficacy of a drug are generally established for a particular dosage regimen (or  
123 range of dosage regimens) in late phase (phase 3) clinical trials involving relatively typical  
124 representatives from the target patient population. Frequently, individuals with significantly  
125 impaired renal function are explicitly *excluded* from participation in these studies. However,  
126 there may be a sufficient range of renal function to allow an estimation of the effects of  
127 decreased renal function from population PK analysis. The primary goal of the  
128 recommended study in patients with impaired renal function is to determine whether the PK

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129 is altered to such an extent that the dosage should be adjusted from the dose(s) established in  
130 the phase 3 trials.

131 In many cases the effects of impaired renal function on drug PK can be evaluated initially  
132 with a “reduced PK study” design (see IV.A below), essentially a “worst case” study in  
133 patients with little or no renal function. This approach would be used for drugs that are  
134 predominantly metabolized or secreted in the bile. The reduced PK study design compares  
135 PK in patients at the extremes of renal function (i.e., patients with normal renal function and  
136 patients with ESRD not yet on dialysis). If a reduced PK study shows a substantial effect  
137 (e.g., at least a 50-100% increase in AUC, or a lesser effect if the drug has a narrow  
138 therapeutic range) in the renal impairment patients, a “full” renal impairment study in  
139 patients with all intermediate levels of renal functional impairment (“full study design,” see  
140 IV.B below) should be conducted. If no difference in PK is seen between patients at the  
141 extremes of renal function, no further study needs to be undertaken. Appendix 1 includes a  
142 model for determining when a renal impairment study is recommended.

143

### **A. Reduced PK Study Design**

144

145

146

147

#### *1. Study Participants*

148 The reduced PK study compares the PK parameters in ESRD patients not yet on  
149 dialysis with PK in subjects with normal renal function. The number of ESRD patients  
150 enrolled in the study should be sufficient to determine whether PK in ESRD patients is  
151 meaningfully different from patients with normal renal function. If results from the  
152 initial study in ESRD patients show a substantial PK difference from normal subjects  
153 (“positive” in Appendix 1) that would warrant dose adjustment in patients with renal  
154 impairment, a full PK study should be carried out (see IV.B below).

155 The control renal function group in this study ideally should be representative of a  
156 typical patient population (with “normal” renal function) for the drug to be studied. For  
157 example, it should not consist of normal healthy young male volunteers if the typical  
158 patient population is composed of older subjects and includes women. A suitable  
159 control group for a drug intended for treatment of Alzheimer’s disease, for example,  
160 would be otherwise healthy elderly male and female patients. Their baseline renal  
161 function would clearly not be similar to that of young healthy male volunteers.

162

163

164

#### *2. Drug Administration*

165 A single-dose study is satisfactory for cases where there is clear prior evidence that  
166 single-dose studies accurately describe the PK for the pertinent drug and potentially  
167 active metabolites. This will be true when the drug and active metabolites exhibit  
168 linear and time-independent PK at the concentrations anticipated in the patients to be  
169 studied. A multiple-dose study is usually recommended when the drug or an active  
170 metabolite exhibits nonlinear or time-dependent PK.

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171  
172 In single-dose studies, the same dose can generally be administered to all patients in the  
173 study regardless of renal function, because the peak concentration generally is not  
174 substantially affected by renal function. In multiple-dose studies, lower or less frequent  
175 dosing may be important to consider in patients with impaired renal function to prevent  
176 accumulation of drug and metabolites. The dosage regimen can be adjusted based on  
177 the best available pre-study estimates of the PK of the drug and its active metabolites in  
178 patients with impaired renal function. In multiple-dose studies, the dosing should  
179 usually be continued long enough to achieve steady state. A loading dose strategy may  
180 be desirable to facilitate the process of reaching steady state, particularly if the  
181 elimination half-life is greatly prolonged in patients with renal impairment.

### 182 183 3. *Sample Collection and Analysis*

184  
185 Plasma or whole blood, if appropriate, and urine samples should be analyzed for parent  
186 drug and any metabolites with known or suspected activity (therapeutic or adverse).  
187 The frequency and duration of plasma sampling and urine collection should be  
188 sufficient to accurately estimate the relevant pharmacokinetic parameters for the parent  
189 drug and its active metabolites (see section V, Data Analysis).

190 Plasma protein binding is often altered in patients with impaired renal function. For  
191 systemically active drugs and metabolites, the unbound concentrations are generally  
192 believed to determine the rate and extent of delivery to the sites of action. Unbound  
193 concentrations should be measured in each plasma sample only if the binding is  
194 concentration-dependent and/or is affected by metabolites or other time-varying factors.  
195 Otherwise, the fraction unbound may be determined using a limited number of samples  
196 or even a single sample from each patient. For drugs and metabolites with a relatively  
197 low extent of plasma protein binding (e.g., extent of binding less than 80%), alterations  
198 in binding due to impaired renal function are small in relative terms. In such cases,  
199 description and analysis of the PK in terms of total concentrations should be sufficient.

### 200 201 4. *Additional Studies*

202  
203 If the results from the initial “reduced” study in ESRD patients are positive (that is, if  
204 clinically significant PK changes are observed), further studies to assess the impact of  
205 intermediate decreases in creatinine clearance or estimated glomerular filtration rate  
206 (eGFR) on the PK of the drug can be conducted. A full study could be carried out (see  
207 IV.B), or additional studies such as a population PK evaluation in phase 2 or phase 3  
208 clinical trials can be conducted (see the decision tree in Appendix 1). Typically in  
209 population PK studies, each patient should be only sparsely sampled to obtain plasma  
210 drug concentration data. Techniques such as nonlinear mixed effects modeling may be  
211 used to model the relationship between the various covariates, such as creatinine  
212 clearance and PK parameters describing the apparent clearance of the drug ( $CL/F$  where  
213  $CL$  is the apparent clearance, calculated as  $dose/AUC$  and  $F$  is the oral bioavailability).



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214 In principle, such a population PK study design and analysis should retain some of the  
215 critical components of the more conventional studies described in the following section  
216 on full study design. The following are important considerations:  
217

- 218 • Inclusion of a sufficient number of subjects and a sufficient representation of a  
219 range of renal function to allow the study to detect PK differences large enough to  
220 warrant dosage adjustment
- 221
- 222 • Measurement of unbound concentrations when appropriate
- 223
- 224 • Measurement of potentially active metabolites as well as parent drug
- 225

226 Such features are particularly critical if the sponsor intends to use the results to support  
227 a claim that no dosage adjustment is required for patients with impaired renal function.  
228

### **B. Full PK Study Design**

#### *1. Study Participants and Measures of Renal Impairment*

232  
233 The control renal function group in this study should be the same as that used in the  
234 reduced PK study. In instances where enrollment of subjects with the condition for  
235 which the drug is indicated may not be appropriate, or if enrollment of enough subjects  
236 with varying degrees of renal impairment may be difficult, an alternative is to use  
237 volunteers who are comparable to the typical patient population with respect to renal  
238 function and other factors such as age, gender, race, and weight.

239 In assessing the impact of renal impairment on PK, there are several ways to define  
240 renal function. Although exogenous markers such as inulin, iothalamate, EDTA,  
241 diethylene triamine pentaacetic acid, and iohexol provide accurate estimation of  
242 glomerular filtration rate (GFR), these methods are not routinely used in clinical  
243 practice.

244 There are two commonly used serum-creatinine based equations used to estimate renal  
245 function:

246 (1) Estimated creatinine clearance (CL<sub>cr</sub>) by the Cockcroft-Gault (C-G) equation

247  
248 *CL<sub>cr</sub> in mL/min is estimated from a spot serum creatinine (mg/dL) determination*  
249 *using the following formula:*

$$250 \quad CL_{cr} (mL/min) = \frac{[140 - \text{age (years)}] \times \text{weight (kg)}}{72 \times \text{serum creatinine (mg / dL)}} \{ \times 0.85 \text{ for female patients} \}$$

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- 252 (2) Estimated glomerular filtration rate (eGFR) from the Modification of Diet in  
253 Renal Disease (MDRD) Study

254  
255 Several versions of MDRD equations have been created in recent years and future  
256 modifications are anticipated (e.g., corrections for Asian ethnic groups). One example  
257 is listed below.

258  
259 
$$\text{eGFR (mL/min/1.73 m}^2\text{)} = \mathbf{175} \times (\text{S}_{\text{cr, std}})^{-1.154} \times (\text{Age})^{-0.203} \times (0.742 \text{ if female}) \times$$
  
260 (1.212 if African American)

261  
262 Scr, std: serum creatinine measured with a standardized assay.

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Table 1. Classification of Renal Function Based on Estimated GFR (eGFR) or Estimated Creatinine Clearance (CLcr)<sup>a</sup>

Stage	Description <sup>b</sup>	eGFR <sup>c</sup> (mL/min/1.73m <sup>2</sup> )	CLcr <sup>d</sup> (mL/min)
1	Control (normal) GFR	≥ 90	≥ 90
2	Mild decrease in GFR	60-89	60-89
3	Moderate decrease in GFR	30-59	30-59
4	Severe decrease in GFR	15-29	15-29
5	End Stage Renal Disease (ESRD)	<15 not on dialysis	<15 not on dialysis
		Requiring dialysis	Requiring dialysis

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<sup>a</sup> In some situations, collection of 24-hour urine samples for measurement of creatinine clearance, or measurement of clearance of an exogenous filtration marker, may provide better estimates of GFR than the prediction equations. The situations include determination of GFR for patients in the following scenarios: undergoing kidney replacement therapy; acute renal failure; extremes of age, body size, or muscle mass; conditions of severe malnutrition or obesity; disease of skeletal muscle; or on a vegetarian diet.

<sup>b</sup> Stages of renal impairment are based on *K/DOQI Clinical Practice Guidelines for Chronic Kidney Disease (CKD)* from the National Kidney Foundation in 2002; GFR: glomerular filtration rate;

<sup>c</sup> eGFR: estimate of GFR based on an MDRD equation;

<sup>d</sup> CLcr: estimated creatinine clearance based on the C-G equation.

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Historically, the C-G equation has been widely used in PK studies, and it is used commonly in the application of drug dosing guidance for patients with impaired renal function. Recently, the modification of diet in renal disease (MDRD) eGFR equation has increasingly been used as the standard in clinical use to assess renal function. A movement to standardize the serum creatinine assays is currently under way. Either the C-G or MDRD equation can be used to assign subjects to a renal impairment group or stage, and PK results should be shown for both C-G estimates of creatinine clearance and eGFR. Creatinine clearance calculated using timed urine collections (e.g., 24 hours) is not suitable for routine clinical practice or clinical trials and in many settings does not improve estimates of GFR over that provided by prediction equations. In addition to collection errors, diurnal variation in GFR and day-to-day variation in creatinine excretion may also contribute to the errors for GFR estimation with timed urine collection. Important exceptions may be the estimation of GFR in individuals with variation in dietary intake (vegetarian diet, creatine supplements) or muscle mass (amputation, malnutrition, muscle wasting), because these factors are not specifically taken into account in prediction equations. In these situations, collection of a 24-hour urine sample for measurement of creatinine clearance, or measurement of clearance of

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295 an exogenous filtration marker, may provide better estimates of GFR than prediction  
296 equations. Using other measures of renal function that can characterize differentially  
297 glomerular filtration or renal tubular secretion may provide an additional mechanistic  
298 understanding of the effect of renal impairment on PK, especially for drugs that are  
299 anticipated to show a wide variation in PK from preclinical or early human studies or  
300 drugs that have a narrow therapeutic range. These methods are encouraged as useful  
301 additions, but not as alternatives to creatinine clearance or eGFR estimates.

302 In general, individuals with decreased eGFR in the range of 60 to 89 mL/min/1.73 m<sup>2</sup>  
303 without kidney damage are not at an increased risk for adverse outcomes from drugs  
304 that are renally excreted. For drugs with reasonably wide therapeutic range, subjects  
305 may be stratified based on  $\geq 60$  /min/1.73 m<sup>2</sup> (relatively normal), 15-59 /min/1.73 m<sup>2</sup>  
306 (moderate to severe renal damage), and  $\leq 15$  mL/min/1.73 m<sup>2</sup> (end stage) without  
307 dialysis, and requiring dialysis, when using eGFR to stage renal function or the  
308 approximately equivalent groups based on C-G creatinine clearance.  
309

310 To ensure adequate representation of subjects with various degrees of renal impairment,  
311 approximately equal numbers of control subjects and subjects with various levels of  
312 impaired renal function should be enrolled in Stages 1-5 (see Table 1 above). The  
313 subjects in these groups should be comparable to each other with respect to age, gender,  
314 race, and weight. Other factors with significant potential to affect the PK of the drug to  
315 be studied (e.g., diet, smoking, alcohol intake, concomitant medications, race/ethnicity)  
316 should be considered, depending on the drug. The number of subjects enrolled in each  
317 group should be sufficient to detect the level of renal impairment at which the PK may  
318 be changed sufficiently to warrant dose adjustment. The PK variability within the  
319 subject group, as well as the PK/pharmacodynamic (PD) relationships for both  
320 therapeutic and adverse responses (therapeutic range), will affect this decision.

321 In pediatric subjects, a measured creatinine clearance or a measurement of the elimination of  
322 an exogenous substrate such as iohexol as an estimate of the glomerular filtration rate (GFR)  
323 is appropriate. For larger efficacy or population PK studies where an estimate of GFR is  
324 important, the modified Schwartz equation, with adjustments for premature infants, neonates,  
325 children, and adolescent males, can be used (Schwartz, G.J. 2007). The older Schwartz  
326 equations must be corrected for the newer enzymatic creatinine assays. Newer formulas  
327 incorporating cystatin C may be used to estimate GFR in pediatric patients with impaired renal  
328 function (Schwartz, G.J. 2009) (also refer to the draft guidance for industry on *General  
329 Clinical Pharmacology Considerations for Pediatric Studies for Drugs and Biological  
330 Products*<sup>2</sup>).

331  
332 2. *Drug Administration*  
333

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<sup>2</sup> This draft guidance is being referenced for completeness only. As a draft document, it is not intended to be implemented until published in final form.

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334 Considerations regarding drug administration are the same as in the reduced PK study.

335

336 3. *Sample Collection and Analysis*

337

338 See the reduced PK study section on Sample Collection and Analysis.

339

### **C. Effect of Dialysis on Pharmacokinetics**

341

342 Dialysis may affect the PK of a drug to an extent that dosage adjustment is needed. The need  
343 for dosage adjustment arises when a significant fraction of the drug or active metabolites is  
344 removed by the dialysis process. In such cases, a change in the dosage regimen, such as a  
345 supplemental dose following the dialysis procedure, may be appropriate.

346

347 For drugs that are likely to be administered to ESRD patients treated with dialysis, PK should  
348 be studied in such patients under both dialysis and non-dialysis (between dialysis) conditions  
349 to determine the extent to which dialysis contributes to the elimination of the drug and  
350 potentially active metabolites. Primary questions to be addressed are whether the dosage  
351 should be adjusted as a consequence of dialysis and, if so, by how much. The results of the  
352 study also provide insight regarding the value of dialysis for treatment of overdose.

353 In general, a study of the effect of dialysis on PK may be omitted if the dialysis procedure is  
354 unlikely to result in significant elimination of drug or active metabolites. This is generally  
355 true for drugs that have a large molecular weight or that have a tight binding to plasma  
356 proteins not affected by impaired renal function. It is also usually true when drugs and active  
357 metabolites have a large volume of distribution or are primarily nonrenally cleared. If the  
358 drug and metabolites have a large volume of distribution, only a small fraction of the amount  
359 in the body will be removed by dialysis. For example, if the volume of distribution is greater  
360 than 360 L, less than 10 percent of the amount initially in the body could be removed by 3  
361 hours of high-flux hemodialysis with an unbound dialysis clearance of 200 mL/min. If the  
362 drug and metabolites have primarily nonrenal clearance, dialysis contributes a relatively  
363 small amount to the overall clearance. For example, if nonrenal clearance is greater than 125  
364 mL/min, 3 hours of high-flux hemodialysis with a dialysis clearance of 200 mL/min  
365 administered every 2 days would contribute less than 10 percent to the overall elimination.

366

367 1. *Study Design*

368

369 As it is the most common dialysis method used in chronic ESRD patients, intermittent  
370 hemodialysis (HD) is usually the most important method to be evaluated. Because  
371 most dialysis centers in the United States are currently using a high-flux dialyzer during  
372 the intermittent HD, PK studies are recommended in patients treated with high-flux  
373 HD. The dialysis study (or studies) should include both non-dialysis (between dialysis)  
374 and dialysis periods. The blood flow, dialysate flow, and the make and model of the  
375 dialyzer should be recorded. If the dialyzer permeability coefficient-surface area  
376 product (P·S) is measured using a reference substance such as creatinine, it may be

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377 possible to transfer results between different dialyzers using the equation developed by  
378 Renkin for analysis of in vitro dialysis clearance ( $CL_D$ ):

379  
380 
$$CL_D = Q(1 - e^{-P.S/Q})$$
 Where Q is dialyzer blood flow.

381 PK studies should also be considered in peritoneal dialysis if the drug is likely to be  
382 used in these patients and the peritoneal dialysis is likely to significantly affect the drug  
383 PK.

384  
385 For patients with acute renal failure treated with continuous renal replacement therapy  
386 (CRRT) rather than intermittent HD, drug deposition may be different from HD. It may  
387 be difficult to directly extrapolate the effect of intermittent HD on the PK of drugs to  
388 CRRT. The in vitro data and/or the filter clearance rate (calculated from the actual  
389 amount of drug removed) plus the available data from intermittent HD may make it  
390 possible to estimate appropriate dosing recommendations in these patients until PK data  
391 in CRRT patients from definitive clinical studies are available.

392  
393 *2. Sample Collection and Data Analysis*

394  
395 To accurately estimate the clearance in ESRD patients during the non-dialysis (or  
396 between dialysis) period, dosing and sampling time should be carefully planned to  
397 capture the full PK profile of the drug and its active metabolites. To determine the  
398 clearance during dialysis, blood samples should be collected pre-dialysis and from  
399 blood flowing from both the arterial and venous sides of the dialyzer at appropriate  
400 intervals during the dialysis period. The entire dialysate should be collected, its volume  
401 recorded, and a sample retained for drug concentration analysis. Blood flow, dialysate  
402 flow during the dialysis, and the make and model of the dialyzer should be recorded.

403  
404 Plasma (or blood if this is the reference for previous PK studies) concentrations of the  
405 drug and its active metabolites (if any) should be measured in blood (entering the  
406 dialyzer) and dialysate samples. The total amount of drug removed in the dialysate  
407 should be determined and dialysis clearance ( $CL_D$ ) can be calculated from the following  
408 equation:

409  
410  
411 
$$CL_D = \frac{\text{Amount Recovered}}{AUC_{t_0 - t_1}}$$

412  
413 where  $t_0$  marks the start time and  $t_1$  the termination of the hemodialysis session.

414  
415 Pre-dialysis and end-of-dialysis blood samples should also be used to measure drug  
416 binding to plasma proteins. The fraction of the administered dose that is recovered in  
417 the dialysate should be calculated in order to assess the need for administering

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418 supplemental drug doses to hemodialysis patients.

419

### 420 **D. Pharmacodynamic Assessments**

421

422 Whenever appropriate, pharmacodynamic assessment should be included in the studies of  
423 renal impairment. The selection of the pharmacodynamic endpoints should be discussed with  
424 the appropriate FDA review staff and should be based on the pharmacological characteristics  
425 of the drug and metabolites (e.g., extent of protein binding, therapeutic range, and the  
426 behavior of other drugs in the same class in patients with renal impairment).

427

428

### 429 **V. DATA ANALYSIS**

430

431 The primary intent of the data analysis is to assess whether dosage adjustment is required for  
432 patients with impaired renal function and, if so, to develop dosing recommendations for such  
433 patients based on measures of renal function. The data analysis typically consists of the  
434 following steps:

435

436 • Estimation of PK parameters

437

438 • Mathematical modeling of the relationship between measures of renal function and the  
439 PK parameters

440

441 • Development of dosing recommendations, including an assessment of whether dosage  
442 adjustment is warranted in patients with impaired renal function

443

#### 444 **A. Parameter Estimation**

445

446 Plasma concentration data and urinary excretion data should be analyzed to estimate various  
447 parameters describing the PK of the drug and its active metabolites. In addition to  $CL_D$ ,  
448 measured PK parameters can include the area under the plasma concentration-time curve  
449 (AUC), peak concentration ( $C_{max}$ ), apparent clearance ( $CL/F$ ), renal clearance ( $CL_R$ ),  
450 apparent nonrenal clearance ( $CL_{NR}/F$ ), apparent volume of distribution ( $V/F$ ), and effective  
451 and terminal half-life ( $t_{1/2}$ ). If  $CL$  and  $CL_{NR}$  are not estimated directly, indirect estimates can  
452 be made from absolute bioavailability studies. The PK parameters of active metabolites can  
453 include the AUC, peak concentration ( $C_{max}$ ), renal clearance ( $CL_R$ ), and terminal half-life  
454 ( $t_{1/2}$ ). Non-compartmental and/or compartmental modeling approaches to parameter  
455 estimation can be employed.

456

#### 457 **B. Modeling the Relationship Between Renal Function and PK**

458

459 The objective of this step is to construct mathematical models for the relationships between  
460 estimated renal function (e.g., creatinine clearance ( $CL_{CR}$ ) or eGFR), and relevant PK

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461 parameters. The intended result is a model that can successfully predict PK behavior given  
462 information about renal function. Generally, this involves a regression approach in which  
463 estimated renal function and the PK parameters are treated as continuous variables. This is  
464 usually preferred to an analysis in which  $CL_{CR}$  or eGFR is treated as a categorical variable  
465 corresponding to the normal, mild, moderate, and severe renal impairment groups.

466  
467 The intent of the modeling procedure is to provide a rational quantitative basis for dosage  
468 recommendations in the drug's labeling. The model itself may be described in the clinical  
469 pharmacology section of the labeling.

470  
471 The reported modeling results should include estimates of the parameters of the chosen  
472 model as well as measures of their precision (standard errors or confidence intervals).  
473 Prediction error estimates are also desirable (e.g., confidence bounds for prediction of  
474 clearance for the drug and its active metabolites over a range of  $CL_{CR}$  or eGFR).

### 475 476 **C. Development of Dosing Recommendations**

477  
478 Specific dosing recommendations should be constructed based on the study results using the  
479 aforementioned model for the relationships between creatinine clearance or eGFR and  
480 relevant PK parameters. Typically the dose, dosing interval, or both are adjusted to produce  
481 a range of plasma concentrations of drug or active metabolites that is similar in subjects with  
482 normal renal function and subjects with impaired renal function. Simulations are encouraged  
483 as a means to identify doses and dosing intervals that achieve that goal for subjects with  
484 different levels of renal function. Nomograms will help in providing dose recommendations  
485 and can lead to more precise dosing for drugs with a narrow therapeutic range.

486  
487 For some drugs, such as drugs eliminated primarily by metabolism or biliary secretion, even  
488 severe renal impairment may not alter PK sufficiently to warrant dosage adjustment. A  
489 sponsor could support this conclusion by providing an analysis of the study data to show that  
490 the PK measurements most relevant to therapeutic outcome in patients with severe renal  
491 impairment are similar to those in patients with normal renal function.

## 492 493 494 **VI. LABELING**

495  
496 The labeling should reflect the clinically relevant information pertaining to the effect of renal  
497 function on the pharmacokinetics and pharmacodynamics (if known) of the drug. General  
498 suggestions on the content of applicable labeling sections follow.

### 499 500 **A. Highlights of Prescribing Information (Highlights)**

501  
502 It may be appropriate to include in the Highlights a concise summary of information detailed in  
503 other sections of the Full Prescribing Information (e.g., Dosage and Administration,



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504 Contraindications, Warnings and Precautions, Use in Specific Populations) about use in patients  
505 with renal impairment based on the type and clinical relevance of the information.

506

### 507 **B. Dosage and Administration**

508

509 For many drugs, patients with impaired renal function may require dosing adjustments. In  
510 such cases, the following information should be included:

511

- 512 • If there is a need for dosage adjustment in patients with renal impairment, it should  
513 be noted and the adjustments described, either globally (reduce by 50% in patients  
514 with moderate renal impairment (creatinine clearance of 30-59 mL/min as estimated  
515 by Cockcroft-Gault or eGFR of 30-59 mL/min/1.73 m<sup>2</sup> as estimated by MDRD)) or  
516 in detail, as the following table illustrates.

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Table 2. An Example of Dosing Recommendation in Various Renal Function Groups Based on Estimated GFR (eGFR) or Estimated Creatinine Clearance (CLcr)

Stage	Description <sup>a</sup>	eGFR <sup>b</sup> (mL/min/ 1.73m <sup>2</sup> )	Dose (mg)	Frequency	CLcr <sup>c</sup> (mL/min)	Dose (mg)	Frequency
1	Control (normal) GFR	≥ 90	200	Every 12 hours	≥ 90	200	Every 12 hours
2	Mild decrease in GFR	60-89	200	Every 12 hours	60-89	200	Every 12 hours
3	Moderate decrease in GFR	30-59	100	Every 12 hours	30-59	100	Every 12 hours
4	Severe decrease in GFR	15-29	100	Every 24 hours	15-29	100	Every 24 hours
5	End Stage Renal Disease (ESRD)	<15 not on dialysis	50	Every 24 hours	<15 not on dialysis	50	Every 24 hours
		Requiring dialysis		Supplemental dose, if appropriate, should be given after dialysis <sup>d</sup>	Requiring dialysis		Supplemental dose, if appropriate, should be given after dialysis <sup>d</sup>

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<sup>a</sup> Stages of renal impairment are based on *K/DOQI Clinical Practice Guidelines for Chronic Kidney Disease (CKD)* from the National Kidney Foundation in 2002; GFR: glomerular filtration rate;

<sup>b</sup> eGFR: estimate of GFR based on MDRD equation;

<sup>c</sup> CLcr: estimated creatinine clearance based on the C-G equation;

<sup>d</sup> The need for supplemental dose is dependent on the drug dialyzability.

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- Special consideration should be given to combination drug products. If adjusting the individual components of a combination product is impossible because each component is differentially affected by decreased renal function, and the available combinations do not allow appropriate adjustment, use of the combination in patients with decreased renal function should be discouraged.

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535

### C. Contraindications and Warnings and Precautions

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If renal impairment results in changes in drug pharmacokinetics that make the drug unsafe for use in patients with renal impairment, this information should be included in the Contraindications section. Serious concerns that might nonetheless allow for use should be noted in the Warnings and Precautions section with a cross reference to the Dosage and Administration section, as appropriate.

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### D. Use in Specific Populations

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544 A subsection in the Use in Specific Populations section may be included (e.g., “Renal Impairment”)  
545 to briefly describe clinically relevant information about patients with renal impairment. For  
546 example, a concise summary of the clinical implications of differences in response or  
547 recommendations for use of the drug in patients with renal impairment should be included in this  
548 subsection, with a reference to the Dosage and Administration, Contraindications, Warnings and  
549 Precautions, and Clinical Pharmacology sections, as appropriate, for more detailed information.  
550

### **E. Overdosage**

551  
552  
553 Although the primary objective of a hemodialysis study is to evaluate the need for dosing  
554 adjustments in ESRD, additional information regarding the value of hemodialysis in overdose  
555 situations may reasonably be garnered from the results of such studies. In situations in which this  
556 information is known, the Overdosage section could note the extent of elimination by hemodialysis  
557 and whether hemodialysis is (or is not) known to be useful in treating an overdose.  
558

### **F. Clinical Pharmacology**

559  
560  
561 In general, the more detailed study results from renal impairment studies should be presented  
562 in the pharmacokinetics subsection of the Clinical Pharmacology section, with the clinical  
563 implications described in Use in Specific Populations and, where appropriate, Dosage and  
564 Administration, Contraindications, or Warnings and Precautions. The pharmacokinetics  
565 subsection should include information on the following, when appropriate and applicable:  
566

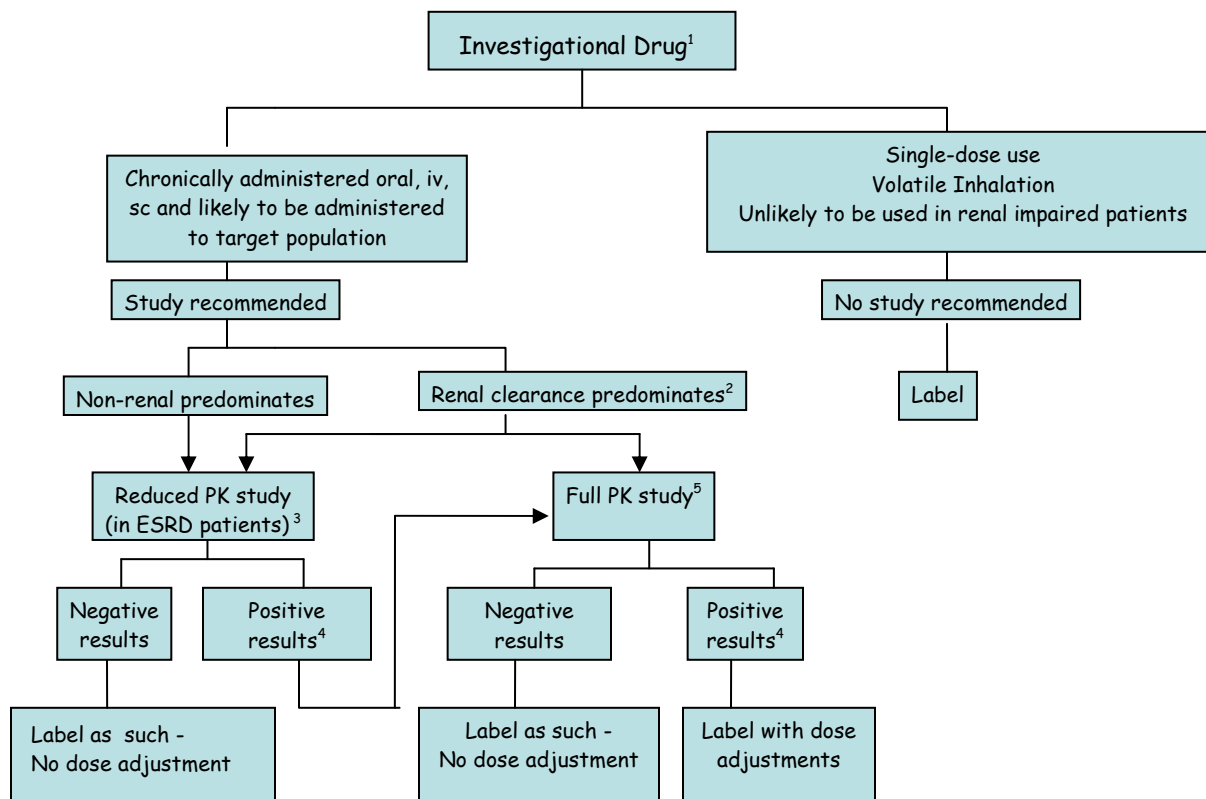
- 567 • Mechanism of renal elimination (e.g., filtration, active secretion, or re-absorption) and  
568 transporters that may be involved  
569
- 570 • Percentage of drug eliminated by renal excretion and whether it is eliminated unchanged  
571 or as metabolites  
572
- 573 • Results of studies comparing PK in normal subjects and subjects with varying degrees of renal  
574 impairment (i.e., the studies described in IV.A and IV.B) and methods used to stratify the  
575 subjects  
576
- 577 • Disposition of metabolites in patients with impaired renal function (if applicable)  
578
  - 579 • Effects of renal impairment on protein binding of parent drug and metabolites (if  
580 applicable)
  - 581
  - 582 • Effects of changes in urinary pH or other special situations that should be mentioned  
583 (e.g., tubular secretion inhibited by probenecid), if applicable  
584
  - 585 • Effects of impaired renal function on stereospecific disposition of enantiomers of a  
586 racemic drug product, if there is evidence of differential stereoisomeric activity or  
587 toxicity, as applicable

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### Appendix 1. Decision Tree for Determining When a Renal Impairment Study Should Be Conducted



1. Metabolites (active/toxic) follow the same decision tree.
2. The sponsor has the option of conducting a reduced study in ESRD patients or a full study.
3. To be conducted in ESRD patients not yet on dialysis
4. The results are "positive" when the PK changes are clinically significant based on exposure-response of the drug
5. See section IV.B for the full PK study design, or additional studies can be conducted including a population PK evaluation

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Note that there may be situations when renal impairment studies are recommended for single-dose use, if clinical concerns dictate the need. Examples include antibiotics. Renal impairment studies are also recommended for therapeutic proteins that are cytokine or cytokine modulators with a molecular weight less than 69 KDa.

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