Federally-Facilitated Marketplace (FFM)

Outbound Enrollment Reconciliation File Specification

# Applies to Individual Market Enrollment Reconciliation for all Plan Years

Version 5.5 DRAFT

July 29, 2022

Revision History

| **Version Number** | **Date Published**  | **Version Notes**  |
| --- | --- | --- |
| **5.5** | 7/29/2022 | * Added cancellation/termination reason code 15 to table 3
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| **5.4** | 6/2/2022 | * Renamed the Data Element in Field 152 from “FFM End of Year Termination Indicator” to “FFM Do Not BAR (DNB) Indicator”
* Renamed the Data Element in Field 153 from “Issuer End of Year Termination Indicator” to “Issuer Do Not BAR (DNB) Indicator”
* Renamed the Data Element & Description for Field 154 from “FTI End of Year Termination Indicator” to “FTI Do Not BAR (DNB) Indicator”
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| **5.3**  | 6/1/2021  | * Added notes to Relationship Code table to indicate codes that were retired in 2021
* Added relationship codes 33 and 34 to Table 1 - Relationship Code Mapping
 |
| **5.2**  | 9/14/2020  | • Updated description of field 3, SPOE ID  |
| **5.12**  | 1/22/2020  | • Updated description to relax requirements for Issuer-Assigned Policy ID and Issuer Assigned Subscriber ID  |
| **5.11**  | 7/25/2019  | • Added new values to Table 3, Cancellation and Termination Reason Codes  |
| **5.1**  | 4/25/2019  | * Added record-level flag Q definition
* Updated Table 2 to add #NLE
* Added field 179 for Marketplace Policy Segment ID, field 180 for Cancellation Source, and field 181 for Termination Source
* Updated effective date of changes added in v5.0 to August 2019
* Updated Table 3 with clarifying information
* Updated language in introductory sections
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| **5.0**  | 2/28/2019  | * Added fields 173-175 for Cancellation Reason Code elements and fields 176-178 for Termination Reason Code elements
* Added Table 3, Cancellation and Termination Reason Codes
* Removed notation regarding January implementation for Footnotes values added in v4.3
 |
| **4.3**  | 11/2/2018  | • Updated Table 2 with new potential Footnotes values  |
| **4.2**  | 5/3/2018  | * Repurposed field 144 as Footnotes; added Table 2 with potential values
* Removed record-level flag S
 |
| **4.1**  | 1/25/2018  | • Added new record-level flag S and V definitions • Removed record-level flag G definition  |
| **4.0**  | 3/30/2017  | * Updated specification to break out Agent Broker Name into individual First, Middle, Last, and Suffix elements as a future change
* Clarified record-level flag definitions
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| **3.6**  | 11/30/2016  | • Added clarifications to the End of Year Termination Indicator fields  |
| **3.32**  | 6/6/2016  | • Corrected data type of Issuer Mailing Address State to alphabetical string  |
| **3.31**  | 5/6/2016  | * Minor revisions to finalize updates in draft Version 3.11
* Condensed Agent/Broker name fields into a single field

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| **3.11**  | 4/19/2016  | * Draft version for Issuer review and comment
* Added Issuer value, FFM value, and discrepancy resolution flag elements related to Coverage Year, End of Year Termination Indicator, Agent/Broker information, Paid Through Date, and Enrollment Group Size
 |
| **2.2**  | 3/9/2016  | • Updated formatting and description of the specification  |

## Introduction

This document provides the specifications for the Federally-Facilitated Marketplace (FFM) Outbound (FFM-to-Issuer) Enrollment Reconciliation Files. These files are used to convey the results of the comparison between FFM enrollment data and the Issuer’s complete set of Individual Market (On-Exchange) enrollment records, as submitted in their Inbound Enrollment Reconciliation File, for a specific Plan Year to the FFM.

The Outbound Enrollment Reconciliation File will be generated for each HIOS ID submitted on an Inbound Reconciliation File. The file consists of detailed enrollment records (one per member, per coverage/financial span) designated with an ‘01’ Record Code and a single summary record for the HIOS ID designated with an ‘02’ Record Code. Each set of ‘01’ records with the corresponding ‘02’ record represents a “logical file”.

These logical files will be aggregated into a single physical file by Trading Partner ID, per the mapping specified in the Issuer’s EDI Registration Form, and transmitted to the Issuer via Secure EFT. The files will be distributed via the same folder in which Issuers receive their EDI 834 files from the FFM.

Outbound Enrollment Reconciliation Files are pipe-delimited flat files. All records submitted by the Issuer on the Inbound Enrollment Reconciliation File will be represented on the Outbound Reconciliation File, with the appropriate record-level flag to indicate if a matching FFM record was found and, if applicable, indicate if the Issuer is to make any updates based on the results of field-level comparison. Likewise, all FFM enrollment records will be represented in the file – matched to Issuer records where possible. Where no match has been made, only the Issuer or FFM values will be included on the record.

# ‘01’ Detail Record Layout

This record provides the results of record-level comparison between Issuer and FFM enrollment data, as well as field-level discrepancy resolution actions. Each Detail Record shall be pipe delimited and terminated with the Carriage Return Line Feed (CRLF).

The following represents the “Order of Events” for Enrollment Reconciliation:

1. Rows matched using the Quattro Key, consisting of the HIOS ID, Exchange-Assigned Subscriber ID, Exchange-Assigned Member ID, and coverage Line of Business (health or dental, derived from the QHP ID) listed in the enrollment record

a. In cases where the Quattro Key may not match exactly (for example, if an individual has multiple Exchange-Assigned Member IDs), other demographic information will be used to match records.

1. Attempt to resolve all “multiple matches” into 1:1 matches by addition of other identification criteria to get to “best” 1:1 match, with “leftover” rows flagged accordingly. If additional criteria do not result in any match, all rows are flagged as unmatched.
2. **Field-level flags only populated on 1:1 row matches**. Flags represent match status or, if non-match, auto-resolution based on business rules, acceptable discrepancies, etc.
3. **Record-level flags for 1:1 Matches indicate outcome of field level comparisons**. Flags indicate whether one or more field-level flags have been set indicating the Issuer has to take action, the FFM has to take action, or if no action will be taken on the record
4. **Record-level flags for non-1:1 Matches indicate reason for non-match**. Flags indicate why the record was not matched; the Issuer should review unmatched records to determine if a technical issue has prevented matching.
5. ***Final Disposition Field-Level Flags***

|  |  |
| --- | --- |
| Final Disposition Field Level Flag  | Definition  |
| M  | Exact match, no action  |
| C  | Match due to Change in Circumstance transaction, no action  |
| D  | Did not compare, no action  |
| U  | Unprocessable, Issuer to correct file  |
| I  | Non-match, Issuer to update to FFM value  |
| L  | Non-match, FFM and Issuer to update to value listed in the FFM field based on automated business rule  |
| F  | Non-match, FFM to update to Issuer value  |
| G  | Non-match, FFM to update based on ER&R determination  |
| J  | Non-match, Issuer to update based on ER&R determination  |
| K  | Non-match, both FFM and Issuer to update to value listed in the FFM field based on ER&R determination  |

1. ***Record-Level Status Indicator***

| **Record-Level** **Status Indicator**  | **Field-Level Flags Set?**  | **Definition**  |
| --- | --- | --- |
| C  | No  | **Cancellation,** The enrollment record has been cancelled in the Issuer’s System or the FFM  |
| F  | No  | **Unmatched FFM Records**, No matching Issuer record found for this FFM record, by Quattro Key or demographic matching  |
| I  | No  | **Unmatched Issuer Records**, No matching FFM record for this Issuer record, by Quattro Key or demographic matching  |
| G  | No  | **“Leftover” Unmatched FFM Records,** After resolving 1:M or M:1 by selecting best match, these records remain unmatched, with no remaining Issuer record for this FFM record, by Quattro Key or demographic matching  |
| R  | No  | **“Leftover” Unmatched Issuer Records,** After resolving 1:M or M:1 by selecting best match, these records remain unmatched, with no remaining FFM record for this Issuer record, by Quattro Key or demographic matching  |
| W  | No  | **Non-Match - Many FFM: Many Issuer**, best match cannot be found for multiple records with the same Quattro Key on both the FFM and Issuer side, all records remain unmatched  |
| L  | No  | **Non-Match - 1:Many, Many:1 Unresolved Sets**, best match cannot be found for multiple records on either the FFM or Issuer side to one record on the other side with the same Quattro Key, all records remain unmatched  |
| U  | No  | **Unprocessable Record**, contains significant data issues that have caused removal of the record from the matching process; such issues include, but are not limited to, poorly formed date or financial values, dates in the incorrect plan year, etc.  |
| D  | No  | **Duplicate Issuer Record,** with no identifiable way to resolve which was the correct record to match to corresponding FFM record  |
| M  | Yes  | **Exact Match**, 1:1 Match and all fields that are compared match between the Issuer and FFM record – may contain field-level discrepancies flagged “D” – Did Not Compare  |
| E  | Yes  | **Uneven Match with Issuer Action Required to resolve;** additionally, one or more field-level discrepancies flagged for Issuer update and one or more fields flagged for FFM update, however due to uneven matching of records within the enrollment group, only limited FFM updates may be applied in this cycle  Note: Issuers should review records flagged ‘E’ and ‘P’ and, where possible, align extract logic to match the coverage spans represented on the FFM; this is necessary to ensure proper updates are applied to FFM  |
| N  | Yes  | **1:1 Match with Issuer Action Required to resolve;** at least one field flagged for Issuer action; one or more fields may also be flagged for FFM update  |
| P  | Yes  | **Uneven Match with Issuer Action Required to resolve;** no field-level discrepancies flagged for Issuer update but one or more fields flagged for FFM update, however due to uneven matching of records within the enrollment group, only limited FFM updates may be applied in this cycle  Note: Issuers should review records flagged ‘E’ and ‘P’ and, where possible, align extract logic to match the coverage spans represented on the FFM; this is necessary to ensure proper updates are applied to FFM  |
| Z  | Yes  | **Only FFM Action Required;** 1:1 Match, no field-level discrepancies flagged for Issuer update but one or more fields flagged for FFM update  |
| V  | No  | **Coverage Span to be Logically Cancelled on FFM;** record is active on FFM but exists outside the bounds of active coverage submitted by the Issuer on the RCNI file Note: Although no further Issuer action is required, Issuers are encouraged to review V records to ensure the logical cancellation of the coverage span is appropriate and not due to a technical problem with the Issuer’s extract  |
| Q  | Yes  | **Updates to Record Suppressed;** updates will not be made to the FFM despite any field-level discrepancies flagged for FFM update due to a data condition specified in the Footnotes field |

‘01’ Detail Record Layout

| **Field**  | **Data Element**  | **Description**  | **Data Type**  | **Length**  |
| --- | --- | --- | --- | --- |
| 1  | Record Code  | Designates the type of record; for a detail record, this value must always be **01**  | String (numeric)  | 2  |
| 2  | TPID  | The Trading Partner ID associated with the QHP ID Lookup Key of the enrollment record  | String (Alphanumeric)  | 5-10  |
| 3  | SPOE ID  | This field will be sent as a single blank space  | n/a   | 1  |
| 4  | Tenant ID  | Two-character state abbreviation plus a zero (e.g., **XX0**, where XX is the state code)  | String (Alphanumeric)  | 3  |
| 5  | HIOS ID  | Identifier for the Issuer as assigned via the Health Insurance Oversight System; corresponds to the first 5 characters of the QHP ID  | String (Numeric)  | 5  |
| 6  | QHPID Lookup Key  | First ten characters of the QHP ID associated with the enrollment record; used to map the QHP to a Trading Partner ID on the EDI Registration Form  | String (Alphanumeric)  | 10  |
| 7  | Issuer Extract Date  | Date the enrollment record was extracted from the Issuer’s system  | Date (YYYYMMDD)  | 8  |
|  **Qualified Individual (QI) Information**  |
| 8  | FFM Member First Name  | First name of the qualified individual (member)  | String  | 1-35  |
| 9  | Issuer Member First Name  | First name of the qualified individual (member)  | String  | 1-35  |
| 10  | FTI Member First Name Flag  | Results of the comparison of Issuer data to FFM data for Member First Name, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 11  | FFM Member Middle Name  | Middle name of the qualified individual (member)  | String  | 1-25  |
| 12  | Issuer Member Middle Name  | Middle name of the qualified individual (member)  | String  | 1-25  |
| 13  | FTI Member Middle Name Flag  | Results of the comparison of Issuer data to FFM data for Member Middle Name, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 14  | FFM Member Last Name  | Last name of the qualified individual (member)  | String  | 1-60  |
| 15  | Issuer Member Last Name  | Last name of the qualified individual (member)  | String  | 1-60  |
| 16  | FTI Member Last Name Flag  | Results of the comparison of Issuer data to FFM data for Member Last Name, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 17  | FFM DOB  | Birthdate of the qualified individual (member)  | Date (YYYYMMDD)  | 8  |
| 18  | Issuer DOB  | Birthdate of the qualified individual (member)  | Date (YYYYMMDD)  | 8  |
| 19  | FTI DOB Flag  | Results of the comparison of Issuer data to FFM data for DOB, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 20  | FFM Gender  | Member gender; allowed values are:**F** – female or **M** – male  | String (Alphabetical)  | 1  |
| 21  | Issuer Gender  | Member gender; allowed values are:**F** – female or **M** – male  | String (Alphabetical)  | 1  |
| 22  | FTI Gender Flag  | Results of the comparison of Issuer data to FFM data for Gender, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 23  | FFM SSN  | Social Security Number of the member  | String (Numeric)  | 9  |
| 24  | Issuer SSN  | Social Security Number of the member  | String (Numeric)  | 9  |
| 25  | FTI SSN Flag  | Results of the comparison of Issuer data to FFM data for SSN, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 26  | FFM Subscriber Indicator  | Indicates whether the member is the subscriber of the enrollment group: **Y** – Subscriber **N** – Dependent Member  | String  | 1  |
| 27  | Issuer Subscriber Indicator  | Indicates whether the member is the subscriber of the enrollment group: **Y** – Subscriber **N** – Dependent Member  | String  | 1  |
| 28  | FTI Subscriber Indicator Flag  | Results of the comparison of Issuer data to FFM data for Subscriber Indictor, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 29  | FFM Relationship to Subscriber Indicator  | Indicates the member’s relationship to the subscriber for the enrollment group; use the ASC X12 values in **Table 1** Should the Issuer's system not have the ASC X12 code available then the Issuer should crosswalk to the following four values: 1. – Spouse

**18** - Self**19 –** Child **G8** – Other Relative  | String (Alphanumeric)  | 2  |
| 30  | Issuer Relationship to Subscriber Indicator  | Indicates the member’s relationship to the subscriber for the enrollment group; use the ASC X12 values in **Table 1** Should the Issuer's system not have the ASC X12 code available then the Issuer should crosswalk to the following four values: 1. – Spouse

**18** – Self **19 –** Child **G8** – Other Relative  | String (Alphanumeric)  | 2  |
| 31  | FTI Relationship to Subscriber Indicator Flag  | Results of the comparison of Issuer data to FFM data for Relationship to Subscriber Indicator, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
|  **Identifying Information**  |
| 32  | FFM Exchanged Assigned Subscriber ID  | Exchange-Assigned identifier for the subscriber of the enrollment group; if the member in the record is the subscriber, this will be the same as the Exchange-Assigned Member ID  Must be 10 characters, including leading zeroes | String (Numeric)  | 10  |
| 33  | Issuer Exchange-Assigned Subscriber ID  | Exchange-Assigned identifier for the subscriber of the enrollment group; if the member in the record is the subscriber, this will be the same as the Exchange-Assigned Member ID  Must be 10 characters, including leading zeroes  | String (Numeric)  | 10  |
| 34  | FTI Exchange Assigned Subscriber ID  | Results of the comparison of Issuer data to FFM data for Exchange-Assigned Subscriber ID, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 35  | FFM Exchange-Assigned Member ID  | Exchange-Assigned identifier for the member Must be 10 characters, including leading zeroes  | String (Numeric)  | 10  |
| 36  | Issuer Exchange Assigned Member ID  | Exchange-Assigned identifier for the memberMust be 10 characters, including leading zeroes  | String (Numeric)  | 10  |
| 37  | FTI Exchange-Assigned Member ID Flag  | Results of the comparison of Issuer data to FFM data for Exchange-Assigned Member ID, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 38  | FFM Issuer-Assigned Subscriber ID  | Issuer-Assigned identifier for the subscriber of the enrollment group; typically, this should be the same as the Issuer Assigned Member ID of the subscriber  | String  | 1-50  |
| 39  | Issuer Issuer-Assigned Subscriber ID  | Issuer-Assigned identifier for the subscriber of the enrollment group; typically, this should be the same as the Issuer Assigned Member ID of the subscriber  | String (Alphanumeric)  | 1-50  |
| 40  | FTI Issuer-Assigned Subscriber ID Flag  | Results of the comparison of Issuer data to FFM data for Issuer-Assigned Subscriber ID, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 41  | FFM Issuer-Assigned Member ID  | Issuer-Assigned identifier for the member  | String  | 1-50  |
| 42  | Issuer Issuer-Assigned Member ID  | Issuer-Assigned identifier for the member  | String (Alphanumeric)  | 1-50  |
| 43  | FTI Issuer-Assigned Member ID Flag  | Results of the comparison of Issuer data to FFM data for Issuer-Assigned Member ID, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 44  | FFM Exchange Assigned Policy Number  | Identifier for this enrollment policy document, generated by the FFM  | String (Alphanumeric)  | 1-15  |
| 45  | Issuer Exchange Assigned Policy Number  | Identifier for this enrollment policy document, generated by the FFM  | String (Alphanumeric)  | 1-15  |
| 46  | FTI Exchange-Assigned Policy ID Flag  | Results of the comparison of Issuer data to FFM data for Exchange-Assigned Policy ID, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 47  | FFM Issuer-Assigned Policy ID  | Policy number for the benefit coverage as assigned by the Issuer Note: It is recommended that this value be unique to the enrollment group and consistent across all members of the enrollment group  | String  | 1-50  |
| 48  | Issuer Issuer-Assigned Policy ID  | Policy number for the benefit coverage as assigned by the Issuer Note: It is recommended that this value be unique to the enrollment group and consistent across all members of the enrollment group  | String  | 1-50  |
| 49  | FTI Issuer-Assigned Policy ID Flag | Results of the comparison of Issuer data to FFM data for Issuer-Assigned Policy ID, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
|  **Residential Address Information**  |
| 50  | FFM Residential Street Address  | Residential street address of the member (Line 1)  | String  | 1-55  |
| 51  | Issuer Residential Street Address  | Residential street address of the member (Line 1)  | String  | 1-55  |
| 52  | FTI Residential Street Address Flag  | Results of the comparison of Issuer data to FFM data for Residential Street Address (Line 1), including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 53  | FFM Residential Street Address Line 2  | Residential street address of the member (Line 2)  | String  | 1-55  |
| 54  | Issuer Residential Street Address Line 2  | Residential street address of the member (Line 2)  | String  | 1-55  |
| 55  | FTI Residential Street Address Line 2 Flag  | Results of the comparison of Issuer data to FFM data for Residential Street Address (Line 2), including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 56  | FFM Residential City  | Residential city of the member  | String  | 1-30  |
| 57  | Issuer Residential City  | Residential city of the member  | String  | 1-30  |
| 58  | FTI Residential City Flag  | Results of the comparison of Issuer data to FFM data for Residential City, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 59  | FFM Residential State  | State abbreviation for the residential state of the member  | String  | 2  |
| 60  | Issuer Residential State  | State abbreviation for the residential state of the member  | String  | 2  |
| 61  | FTI Residential State Flag  | Results of the comparison of Issuer data to FFM data for Residential State, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1 |
| 62  | FFM Residential ZIP Code  | Residential ZIP Code of the member  | String  | 9 |
| 63  | Issuer Residential ZIP Code  | Residential ZIP Code of the member  | String  | 9 |
| 64  | FTI Residential ZIP Code Flag  | Results of the comparison of Issuer data to FFM data for Residential ZIP Code, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1 |
|  **Mailing Address Information**  |
| 65  | FFM Mailing Address Street  | Mailing street address of the member (Line 1)  | String  | 1-55  |
| 66  | Issuer Mailing Address Street  | Mailing street address of the member (Line 1)  | String  | 1-55  |
| 67  | FTI Mailing Address Street Flag  | Results of the comparison of Issuer data to FFM data for Mailing Address Street (Line 1), including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1-55 |
| 68  | FFM Mailing Address Street Line 2  | Mailing street address of the member (Line 2)  | String  | 1-55  |
| 69  | Issuer Mailing Address Street Line 2  | Mailing street address of the member (Line 2)  | String  | 1-55  |
| 70  | FTI Mailing Address Street Line 2 Flag  | Results of the comparison of Issuer data to FFM data for Mailing Address Street (Line 2), including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1-55 |
| 71  | FM Mailing Address City  | Mailing city of the member  | String  | 2-30  |
| 72  | Issuer Mailing Address City  | Mailing city of the member  | String  | 2-30  |
| 73  | FTI Mailing Address City Flag  | Results of the comparison of Issuer data to FFM data for Mailing Address City, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 74  | FFM Mailing Address State  | State abbreviation for the mailing state of the member  | String  | 2  |
| 75  | Issuer Mailing Address State  | State abbreviation for the mailing state of the member  | String (Alphabetical)  | 2  |
| 76  | FTI Mailing Address State Flag  | Results of the comparison of Issuer data to FFM data for Mailing Address State, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 77  | FFM Mailing Address ZIP Code  | Mailing ZIP Code of the member  | String  | 9  |
| 78  | Issuer Mailing Address ZIP Code  | Mailing ZIP Code of the member  | String  | 9  |
| 79  | FTI Mailing Address ZIP Code Flag  | Results of the comparison of Issuer data to FFM data for Mailing Address ZIP Code, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| **Other Demographic Information**  |
| 80  | FFM Residential County Code  | Residential County (Federal Information Processing Standard – FIPS) Code of the member  | String (Numeric)  | 5  |
| 81  | Issuer Residential County Code  | Residential County (Federal Information Processing Standard – FIPS) Code of the member  | String (Numeric)  | 5  |
| 82  | FTI Residential County Code Flag  | Results of the comparison of Issuer data to FFM data for Residential County Code, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 83  | FFM Rating Area  | Rating area of the enrollment, based on residential address; only applies to subscriber records  | String (Alphanumeric)  | 7  |
| 84  | Issuer Rating Area  | Rating area of the enrollment, based on residential address; only applies to subscriber records  | String (Alphanumeric)  | 7  |
| 85  | FTI Rating Area Flag  | Results of the comparison of Issuer data to FFM data for Rating Area, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 86  | FFM Telephone Number  | Primary contact telephone number for the member  | String (Numeric)  | 10  |
| 87  | Issuer Telephone Number  | Primary contact telephone number for the member  | String (Numeric)  | 10  |
| 88  | FTI Telephone Number Flag  | Results of the comparison of Issuer data to FFM data for Telephone Number, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
|  **Benefit Coverage & Financial Information**  |
| 89  | FFM Tobacco Status  | Specifies whether the member has indicated tobacco use in the past six months: 1. – Tobacco Use
2. – No Tobacco Use

NOTE: for any individual under 18 years of age, this field should always be sent with a value of 2  | Integer  | 1  |
| 90  | Issuer Tobacco Status  | Specifies whether the member has indicated tobacco use in the past six months: 1. – Tobacco Use
2. – No Tobacco Use

NOTE: for any individual under 18 years of age, this field should always be sent with a value of 2  | Integer  | 1  |
| 91  | FTI Tobacco Status Flag  | Results of the comparison of Issuer data to FFM data for Tobacco Status, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 92  | FFM QHP ID  | Full 16 character Qualified Health Plan (QHP) identifier, including CSR variant; required for all records  | String (Alphanumeric)  | 16  |
| 93  | Issuer QHP ID  | Full 16 character Qualified Health Plan (QHP) identifier, including CSR variant; required for all records  | String (Alphanumeric)  | 16  |
| 94  | FTI QHP ID Flag  | Results of the comparison of Issuer data to FFM data for QHP ID, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 95  | FFM Benefit Start Date  | Effective date of benefit coverage associated with this enrollment record; required for all records  | Date (YYYYMMDD)  | 8  |
| 96  | Issuer Benefit Start Date  | Effective date of benefit coverage associated with this enrollment record; required for all records  | Date (YYYYMMDD)  | 8  |
| 97  | FTI Benefit Start Date Flag  | Results of the comparison of Issuer data to FFM data for Benefit Start Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 98  | FFM Benefit End Date  | Last date of benefit coverage associated with this enrollment record; a blank date may be sent for open ended coverage  | Date (YYYYMMDD)  | 8  |
| 99  | Issuer Benefit End Date  | Last date of benefit coverage associated with this enrollment record; a blank date may be sent for open ended coverage  | Date (YYYYMMDD)  | 8  |
| 100  | FTI Benefit End Date Flag  | Results of the comparison of Issuer data to FFM data for Benefit End Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 101  | FFM Applied APTC Amount  | Amount of Advance Premium Tax Credit ***applied to the premium monthly***, based on the subscriber’s election during enrollment; only applies to subscriber records, otherwise blank  | Dollars and Cents (####.##)  | 4-8  |
| 102  | Issuer Applied APTC Amount  | Amount of Advance Premium Tax Credit ***applied to the premium monthly***, based on the subscriber’s election during enrollment; only applies to subscriber records, otherwise blank  | Dollars and Cents (####.##)  | 4-8  |
| 103  | FTI Applied APTC Amount Flag  | Results of the comparison of Issuer data to FFM data for Applied APTC Amount, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 104  | FFM Applied APTC Effective Date  | Effective date of the monthly applied APTC amount; only applies to subscriber records with a positive Applied APTC Amount, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 105  | Issuer Applied APTC Effective Date  | Effective date of the monthly applied APTC amount; only applies to subscriber records with a positive Applied APTC Amount, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 106  | FTI Applied APTC Effective Date Flag  | Results of the comparison of Issuer data to FFM data for Applied APTC Effective Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 107  | FFM Applied APTC End Date  | End date of the monthly applied APTC amount; only applies to subscriber records with a positive Applied APTC Amount, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 108  | Issuer Applied APTC End Date  | End date of the monthly applied APTC amount; only applies to subscriber records with a positive Applied APTC Amount, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 109  | FTI Applied APTC End Date Flag  | Results of the comparison of Issuer data to FFM data for Applied APTC End Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 110  | FFM CSR Amount  | Monthly Cost Sharing Reduction amount based on plan selection and member eligibility; only applies to subscriber records, otherwise blank  | Dollars and Cents (####.##)  | 4-8  |
| 111  | Issuer CSR Amount  | Monthly Cost Sharing Reduction amount based on plan selection and member eligibility; only applies to subscriber records, otherwise blank  | Dollars and Cents (####.##)  | 4-8  |
| 112  | FTI CSR Amount Flag  | Results of the comparison of Issuer data to FFM data for CSR Amount, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 113  | FFM CSR Effective Date  | Effective date of the monthly CSR amount; only applies to subscriber records with a positive CSR Amount, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 114  | Issuer CSR Effective Date  | Effective date of the monthly CSR amount; only applies to subscriber records with a positive CSR Amount, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 115  | CSR Effective Date Flag  | Results of the comparison of Issuer data to FFM data for CSR Effective Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 116  | FFM CSR End Date  | End date of the monthly CSR amount; only applies to subscriber records with a positive CSR Amount, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 117  | Issuer CSR End Date  | End date of the monthly CSR amount; only applies to subscriber records with a positive CSR Amount, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 118  | FTI CSR End Date Flag  | Results of the comparison of Issuer data to FFM data for CSR End Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 119  | FFM Total Premium Amount  | Total monthly premium amount for the enrollment group; only applies to subscriber records, otherwise blank  | Dollars and Cents (####.##)  | 4-8  |
| 120  | Issuer Total Premium Amount  | Total monthly premium amount for the enrollment group; only applies to subscriber records, otherwise blank  | Dollars and Cents (####.##)  | 4-8  |
| 121  | FTI Total Premium Amount Flag  | Results of the comparison of Issuer data to FFM data for Total Premium Amount, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 122  | FFM Total Premium Effective Date  | Effective date of the monthly total premium amount; only applies to subscriber records, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 123  | Issuer Total Premium Effective Date  | Effective date of the monthly total premium amount; only applies to subscriber records, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 124  | FTI Total Premium Effective Date Flag  | Results of the comparison of Issuer data to FFM data for Total Premium Effective Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 125  | FFM Total Premium End Date  | End date of the monthly total premium amount; only applies to subscriber records, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 126  | Issuer Total Premium End Date  | End date of the monthly total premium amount; only applies to subscriber records, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 127  | FTI Total Premium End Date Flag  | Results of the comparison of Issuer data to FFM data for Total Premium End Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 128  | FFM Member Premium Amount  | Monthly premium amount associated with the individual member; sent for both subscriber and dependent member records, if available in the Issuer’s system  | Dollars and Cents (####.##)  | 4-8  |
| 129  | Issuer Member Premium Amount  | Monthly premium amount associated with the individual member; sent for both subscriber and dependent member records, if available in the Issuer’s system  | Dollars and Cents (####.##)  | 4-8  |
| 130  | FTI Member Premium Amount Flag  | Results of the comparison of Issuer data to FFM data for Member Premium Amount, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 131  | FFM Member Premium Effective Date  | Effective date of the monthly individual premium amount; sent for both subscriber and dependent member records, if available in the Issuer’s system  | Date (YYYYMMDD)  | 8  |
| 132  | Issuer Member Premium Effective Date  | Effective date of the monthly individual premium amount; sent for both subscriber and dependent member records, if available in the Issuer’s system  | Date (YYYYMMDD)  | 8  |
| 133  | FTI Member Premium Effective Date Flag  | Results of the comparison of Issuer data to FFM data for Member Premium Effective Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 134  | FFM Member Premium End Date  | End date of the monthly individual premium amount; sent for both subscriber and dependent member records, if available in the Issuer’s system  | Date (YYYYMMDD)  | 8  |
| 135  | Issuer Member Premium End Date  | End date of the monthly individual premium amount; sent for both subscriber and dependent member records, if available in the Issuer’s system  | Date (YYYYMMDD)  | 8  |
| 136  | FTI Member Premium End Date Flag  | Results of the comparison of Issuer data to FFM data for Member Premium End Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 137  | FFM Initial Premium Paid Status  | Indicates if the initial binder payment has been made for the enrollment, leading to effectuated coverage: **Y** – Effectuated (active or terminated coverage) **N** – Uneffectuated (awaiting binder payment) **C** – Cancelled (no binder payment received, no period of coverage)  This value is to be sent with the subscriber of the enrollment group and will apply to all members of the enrollment group  | String (Alphabetical)  | 1  |
| 138  | Issuer Initial Premium Paid Status  | Indicates if the initial binder payment has been made for the enrollment, leading to effectuated coverage: **Y** – Effectuated (active or terminated coverage) **N** – Uneffectuated (awaiting binder payment) **C** – Cancelled (no binder payment received, no period of coverage)  This value is to be sent with the subscriber of the enrollment group and will apply to all members of the enrollment group  | String (Alphabetical)  | 1  |
| 139  | FTI Initial Premium Paid Status Flag  | Results of the comparison of Issuer data to FFM data for Initial Premium Paid Status, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 140  | FTI Issuer Overall Record Flag  | Results of the record-level comparison, and – if matched – an indicator of whether any Issuer or FFM updates are flagged within the record | String  | 1  |
| 141  | FFM Internal Inventory Record Number  | Unique identifier for the specific record in the RCNO file  | Integer  | 1-12  |
| 142  | FTI Issuer Link Key  | The purpose of the FTI Issuer Link key is to provide the Issuer with the enrollment groups which have been linked together via the analytical processing of the Issuer's data  | Integer  | 1-30  |
| 143  | FTI Internal Batch ID  | Indicates the processing batch (reconciliation cycle) in which the file was generated  | Integer  | 1-15  |
| 144  | FTI Footnotes  | This field contains additional information about the specific record beyond the matching results and field-level flags; please refer to **Table 2** for potential values and descriptions  | String  | 500  |
| 145  | FTI Issuer-Assigned Record Trace Number  | This is the value that was on the inbound Enrollment Reconciliation file "01 Detail Record" from the Issuer. If the Issuer did not assign a value to the "01 Detail Record" Issuer-Assigned Record Trace Number field then the only value returned will be a single space or blank character in the outbound Enrollment Reconciliation file. If the FFM has an individual (Subscriber or Member) that was not on the inbound Issuer's file then the value of the FTI Issuer Assigned Record Trace Number is space or blank  | String  | 1-50  |
| 146  | FFM Coverage Year  | Relevant 4-digit Plan Year represented by the enrollment record  | String (Numeric)  | 4  |
| 147  | Issuer Coverage Year  | Relevant 4-digit Plan Year represented by the enrollment record  | String (Numeric)  | 4  |
| 148  | FTI Coverage Year Flag  | Results of comparison of Issuer data to FFM data for Coverage Year; ***Please Note:*** any discrepancy on this field will result in an unprocessable record  | String (Alphabetical)  | 1  |
| 149  | FFM Paid Through Date  | Date through which the member has made payment for benefit coverage (as provided by the Issuer); only applies to subscriber records, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 150  | Issuer Paid Through Date  | Date through which the member has made payment for benefit coverage (as provided by the Issuer); only applies to subscriber records, otherwise blank  | Date (YYYYMMDD)  | 8  |
| 151  | FTI Paid Through Date Flag  | Results of the comparison of Issuer data to FFM data for Paid Through Date, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 152  | FFM Do Not BAR (DNB) Indicator | Indicates if the enrollment record will be terminated effective December 31st of the given plan year and should be ineligible for reenrollment through Batch Auto-Renewal; ‘Y’ indicates terminated 12/31, ‘N’ indicates not terminated 12/31 – this field will be blank if cancelled or terminated earlier than 12/31 of the plan year  | String (Alphabetical)  | 1  |
| 153  | Issuer Do Not BAR (DNB) Indicator | Indicates if the enrollment record will be terminated effective December 31st of the given plan year and should be ineligible for reenrollment through Batch Auto-Renewal; ‘Y’ indicates terminated 12/31, otherwise blank  | String (Alphabetical)  | 1  |
| 154  | FTI Do Not BAR (DNB) Indicator  | Results of the comparison of Issuer data to FFM data for Do Not BAR Indicator, including the required action to resolve any discrepancy (as needed) | String (Alphabetical)  | 1  |
| **Agent/Broker Information**  |
| 155  | FFM Agent/Broker NPN  | The National Producer Number of the agent/broker, if applicable  | String (Numeric)  | 1 - 10  |
| 156  | Issuer Agent/Broker NPN  | The National Producer Number of the agent/broker, if applicable  | String (Numeric)  | 1 - 10  |
| 157  | FTI Agent/Broker NPN Flag  | Results of the comparison of Issuer data to FFM data for Agent/Broker NPN, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 158 | FFM Agent/Broker First Name  | First name (or first part of company name) of the agent/broker associated with the enrollment, if applicable  | String  | 1 - 25  |
| 159 | Issuer Agent/Broker First Name  | First name (or first part of company name) of the agent/broker associated with the enrollment, if applicable  | String  | 1 - 25  |
| 160 | FTI Agent/Broker First Name Flag  | Results of the comparison of Issuer data to FFM data for Agent/Broker First Name, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 161 | FFM Agent/Broker Middle Initial  | Middle initial of the agent/broker associated with the enrollment, if applicable  | String  | 1  |
| 162 | Issuer Agent/Broker Middle Initial  | Middle initial of the agent/broker associated with the enrollment, if applicable  | String  | 1  |
| 163 | FTI Agent/Broker Middle Initial Flag  | Results of the comparison of Issuer data to FFM data for Agent/Broker Middle Initial, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 164 | FFM Agent/Broker Last Name  | Last name (or second part of company name) of the agent/broker associated with the enrollment, if applicable  | String  | 1 - 25  |
| 165 | Issuer Agent/Broker Last Name  | Last name (or second part of company name) of the agent/broker associated with the enrollment, if applicable  | String  | 1 - 25  |
| 166 | FTI Agent/Broker Last Name Flag  | Results of the comparison of Issuer data to FFM data for Agent/Broker Last Name, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| 167 | FFM Agent/Broker Suffix  | Suffix of the agent/broker associated with the enrollment, if applicable  | String  | 2-3  |
| 168 | Issuer Agent/Broker Suffix  | Suffix of the agent/broker associated with the enrollment, if applicable  | String  | 2-3  |
| 169 | FTI Agent/Broker Suffix Flag  | Results of the comparison of Issuer data to FFM data for Agent/Broker Suffix, including the required action to resolve any discrepancy (as needed)  | String (Alphabetical)  | 1  |
| **Administrative Information**  |
| 170  | FFM Enrollment Group Member Count  | Number of members in the enrollment group, as determined by the FFM  | Integer  |   |
| 171  | Issuer Enrollment Group Member Count  | Number of members in the enrollment, as submitted by the Issuer (members with the same Issuer-Assigned Policy ID and same effective date)  | Integer  |   |
| 172  | FTI Enrollment Group Member Count Flag  | Results of comparison of Issuer data to FFM data for Enrollment Group Member Count; ***Please Note:*** any discrepancy on this field will result in suppression of full field-level updates to the FFM for the record  | String (Alphabetical)  | 1  |
| **Cancellation and Termination Reason Codes and Source Codes**  |
| 173  | FFM Cancellation Reason Code  | Indicates the reason for cancellation of the policy, as stored on the FFM; refer to the values in Table 3 If the policy is not cancelled on the FFM, this field will be blank  | String (Numeric)  | 1-2  |
| 174  | Issuer Cancellation Reason Code  | Indicates the reason for cancellation of the policy, as provided by the Issuer; refer to the values in Table 3  | String (Numeric)  | 1-2  |
| 175  | FTI Cancellation Reason Code Flag  | Results of comparison of Issuer data to FFM data for Cancellation Reason Code, including the required action to resolve any discrepancy  | String  | 1  |
| 176  | FFM Termination Reason Code  | Indicates the reason for termination of the policy, as stored on the FFM; refer to the values in Table 3 If the policy is not cancelled on the FFM, this field will be blank  | String (Numeric)  | 1-2  |
| 177  | Issuer Termination Reason Code  | Indicates the reason for termination of the policy, as provided by the Issuer; refer to the values in Table 3 | String (Numeric)  | 1-2  |
| 178  | FTI Termination Reason Flag  | Results of comparison of Issuer data to FFM data for Termination Reason Code, including the required action to resolve any discrepancy  | String  | 1  |
| 179  | Marketplace Policy Segment ID  | Identifier for the specific FFM coverage span within an enrollment policy document  | String (Numeric)  | 1 - 15  |
| 180  | Cancellation Source  | Conveys whether an existing FFM cancellation was initiated on the FFM or by the Issuer; see Table 4 for potential valuesIf the enrollment record is not currently cancelled on the FFM, this field will be blank | String (Numeric)  | 1  |
| 181  | Termination Source  | Conveys whether an existing FFM termination was initiated on the FFM or by the Issuer; see Table 4 for potential values If the enrollment record is not currently terminated on the FFM, this field will be blank  | String (Numeric)  | 1  |

‘02’ Summary Record Layout

| **Field**  | **Data Element**  | **Description**  | **Data Type**  | **Length**  |
| --- | --- | --- | --- | --- |
| 1 | Record Code  | Designates the type of record; for a summary record, this value must always be 02  | String (Numeric)  | 2  |
| 2 | Trading Partner ID  | The Trading Partner ID associated with each QHP ID Lookup Key in the corresponding ‘01’ detail records  | String (Alphanumeric)  | 5-10  |
| 3 | SPOE ID  | This field is to be sent as ten blank spaces | n/a  | 10  |
| 4 | Tenant ID  | Two character state abbreviation plus a zero (e.g., XX0, where XX is the state code)  | String (Alphanumeric)  | 3  |
| 5 | HIOS ID  | Identifier for the Issuer as assigned via the Health Insurance Oversight System; corresponds to the first 5 characters of the QHP ID  | String (Numeric)  | 5  |
| 6 | QHPID Lookup Key  | First ten characters of the QHP ID associated with the last ‘01’ detail record in the file; used to map the QHP to a Trading Partner ID on the EDI Registration Form  | String (Alphanumeric)  | 10  |
| 7 | Issuer Extract Date  | Date the record set was extracted from the Issuer’s system  | Date (YYYYMMDD)  | 8  |
| 8 | Total Number of Issuer Records  | The total number of ‘01’ and ‘02’ records associated with the HIOS ID in the logical file  | Integer  |   |
| 9 | Total Number of Subscribers (Issuer) | Basic count of all ‘01’ records associated with the HIOS ID that have a value of Y in the Issuer Subscriber Indicator field  Please note this is *not* a count of unique subscribers | Integer |  |
| 10 | Total Number of Dependent Members (Issuer) | Basic count of all ‘01’ records associated with the HIOS ID that have a value of N in the Issuer Subscriber Indicator field  Please note this is *not* a count of unique dependent members | Integer |  |
| 11 | Total Premium Amount (Issuer) | Basic sum of the Issuer Total Premium Amount in all ‘01’ records associated with the HIOS ID  Please note this is *not* a sum of active or current premium values only; all records are to be included in the sum | Dollars and Cents (##########.##) |  |
| 12 | Total Applied APTC Amount (Issuer) | Basic sum of the Issuer Applied APTC Amount in all ‘01’ records associated with the HIOS ID  Please note this is *not* a sum of active or current APTC values only; all records are to be included in the sum | Dollars and Cents (##########.##) |  |
| 13 | Reconciliation Processing Acknowledgment Indicator | Indicates that the file has been accepted and processed through reconciliation; does \*not\* indicate whether the file has passed statistical analysis and that FFM updates will be applied | String | 1 |

## Table 1 – Relationship Code Mapping From FFM Policy and FFM Application to ASC X12 Format

| **FFM Internal Value**  | **Description**  | **ASC X12 Value**  |
| --- | --- | --- |
| 1  | Self  | 18  |
| 2  | Spouse  | 01  |
| 3  | Parent  | 03 (only valid prior to 2021)  |
| 4  | Son/Daughter  | 19  |
| 5  | Stepson/Stepdaughter  | 17  |
| 6  | Grandchild  | 05  |
| 7  | Sibling  | 14  |
| 8  | Domestic Partner  | 53  |
| 9  | Child of Domestic Partner  | 19  |
| 10  | Unrelated  | G8  |
| 11  | Other Relative  | G9  |
| 12  | Step parent  | 16 (only valid prior to 2021)  |
| 13  | Aunt/Uncle  | 06 (only valid prior to 2021)  |
| 14  | Nephew/Niece  | 07  |
| 15  | Grandparent  | 04 (only valid prior to 2021)  |
| 16  | First Cousin  | 08 (only valid prior to 2021)  |
| 17  | Parent's Domestic Partner  | G8  |
| 18  | Tax Filer  | G8  |
| 19  | Tax Dependent  | G8  |
| 20  | Other  | G8  |
| 21  | Adopted Child  | 09 (only valid prior to 2021)  |
| 22  | Annuitant  | 60 (only valid prior to 2021)  |
| 23  | Brother in law/Sister in law  | 12 (only valid prior to 2021)  |
| 24  | Collateral Dependent  | 38  |
| 25  | Court Appointed Guardian  | 31 (only valid prior to 2021)  |
| 26  | Daughter in law/Son in law  | 11 (only valid prior to 2021)  |
| 27  | Former Spouse  | 25  |
| 28  | Foster Child  | 10  |
| 29  | Guardian  | 26 (only valid prior to 2021)  |
| 30  | Mother in law/Father in law  | 13 (only valid prior to 2021)  |
| 31  | Trustee  | D2 (only valid prior to 2021)  |
| 32  | Ward  | 15  |
| 33  | Sponsored Dependent  | 23  |
| 34  | Dependent of a Minor Dependent  | 24 (only valid prior to 2021)  |

## Table 2 – Footnote Tags

| **Tag**  | **Description**  |
| --- | --- |
| #MERGE  | Indicates the record represents two or more FFM records that are part of the same policy, but have been split into multiple spans on the FFM as a result of a change in financial eligibility not conveyed to the Issuer; updates will be applied based upon the field-level flags reflected in the merged row Please note, the merged records will be conveyed as two or more distinct spans on the Enrollment Pre-Audit File; merging is only done on the Outbound Enrollment Reconciliation File  |
| #GAP  | Indicates that applying the update flagged on either the benefit coverage or financial dates on the RCNO record would result in creation of a gap in coverage for an individual or enrollment group As gaps in coverage cannot be created through automated reconciliation, updates will be suppressed for all records associated with that member/group for that HIOS ID  |
| #OVERLAP  | The #OVERLAP value in the Footnotes field indicates that applying the update flagged on the benefit coverage dates will result in overlapping or duplicate coverage for one or more members of the enrollment group on the FFM As overlapping or duplicate coverage cannot be created on the FFM, updates will be suppressed for all records associated with that enrollment group  |
| #SPANSHORT  | The #SPANSHORT value in the Footnotes field indicates that applying the update flagged on either the benefit coverage or financial dates on the RCNO record would result in reducing coverage reflected on the FFM to less than the total coverage submitted by the Issuer on their RCNI file for the member To avoid inadvertent reduction in coverage, updates will be suppressed for the enrollment group  |
| #NLE  | The #NLE value in the Footnotes field indicates that reinstating the enrollment or extending the end date of the enrollment is not allowed due to the presence of an NLE on the enrollment; this is reflected in the Cancellation or Termination Source of the record  |

## Table 3 – Cancellation or Termination Reason Code

*Includes mapping to Additional Maintenance Reason Code (AMRC) values used in EDI 834 transactions*

| **Reference Code**  | **Description**  | **\*Inbound/ Outbound**  | **Cancel AMRC**  | **Termination AMRC**  |
| --- | --- | --- | --- | --- |
| 1  | Voluntary Withdrawal  | Outbound  | CANCEL  | TERM  |
| 2  | No Longer Eligible (NLE) Due to Unresolved Data Matching Issue (DMI)  | Outbound  | CANCEL-NLE  | TERM-NLE  |
| 3  | Change in Circumstance (CIC)  | Outbound  | CANCELCIC  | TERMCIC  |
| 4  | Stop QHP Due to Other Coverage  | Outbound  | n/a  | TERM-OTH-COVERAGE  |
| 5  | BAR Carry-Forward Cancel  | Outbound  | CANCEL-CARRYFORWARD  | n/a  |
| 6  | Non-Payment  | Inbound  | CANCEL  | TERM  |
| 7  | Free Look Cancel  | Inbound  | CANCEL-FLC  | n/a  |
| 8  | HICS  | Inbound  | CANCEL-HICS  | TERM-HICS  |
| 9  | Fraud  | Inbound  | CANCEL-FRD  | TERM-FRD  |
| 10  | Anti-Duplication  | Inbound  | CANCEL-ANTIDUPLICATION  | TERM-ANTIDUPLICATION  |
| 11  | Out of Area  | Inbound  | CANCEL-OUT-OFAREA  | n/a  |
| 12  | Other  | Inbound  | CANCEL-OTH  | TERM-OTH  |
| 13  | Death  | Outbound  | CANCEL-PDM  | TERM-PDM  |
| 14  | Rescind Coverage  | Inbound  | CANCEL-RESCIND  | n/a  |
| 15 | Other (FFM to Issuer) | Outbound | CANCEL-OTH | TERM-OTH |

**\*Note:** “Outbound” indicates the reason code is used on transactions from the FFM to the Issuer; “Inbound” indicates the Issuer has initiated the cancellation or termination.

## Table 4 – Cancellation or Termination Source Code

| **Reference Code**  | **Value**  |
| --- | --- |
| 1  | Online/Voluntary Withdrawal  |
| 2  | Inbound 834  |
| 3  | NLE  |
| 4  | Reconciliation  |
| 5  | FFM Cleanup Activity (i.e. Overlaps, Carry-Forward Cancel, etc.)  |