2016 Benefit Year HHS-RADV IVA Entity Election Web Form



Health Insurance Marketplace Program Training Series



Session Agenda

- Session Guidelines
- Intended Audience
- Session Purpose
- Review of IVA Entity Regulatory Requirements
- 2016 Benefit Year IVA Entity Election



Session Guidelines

- This is a 60-minute webinar session
- For questions regarding content, please submit inquiries to REGTAP at https://www.regtap.info/.
- For questions regarding logistics and registration, please contact the Registrar at: (800) 257-9520.



Intended Audience

- Potential Initial Validation Audit (IVA) Entities.
- Issuers of Marketplace and non-Marketplace individual and small group plans, in states where the Department of Health and Human Services (HHS) operates the Risk Adjustment (RA) program*.
- Third Party Administrators (TPA) and support vendors.

^{*} This includes state-based, Federally-facilitated, and Small Business Health Options Programs (SHOP).



Session Purpose

To provide an overview of the 2016 Benefit Year IVA Entity election process, which includes:

- Review of the IVA Entity regulatory requirements
- Completion of the IVA Entity Election web form
- Identification of IVA Entity Senior Officials



HHS-RADV Timeline



HHS-RADV Timeline

- CMS is working very hard to confirm this timeline.
- Please note that this timeline is subject to change.



Benefit Year 2016 HHS-RADV Timeline

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Date	Description	
February 15, 2017	HHS-RADV trainings begin	
February 2017 – April 24, 2017	Issuers contractually select an IVA Entity	
March 7 -28, 2017	IVA Entities elect to participate in RADV within the Audit Tool.	
April 14 – 28, 2017	Issuers designate IVA entity selection for CMS review/acceptance via the Audit Tool (drop due date is April 28, 2017)	
May 1, 2017	HHS-RADV 2016 Benefit Year Protocols released	
May 1, 2017	2016 benefit year risk adjustment EDGE server data submission deadline	
May 2017	 CMS pushes RADV sampling command to EDGE servers Reports are provided to CMS for validation. Reports are NOT available to issuers Sample released to issuers, after CMS validation 15 day RADV sample discrepancy window opens 	
June 2017 – January 8, 2018	IVA is conducted	
January 8, 2018	IVA submits Package 1 audit findings and IRR submissions. This closes the window for the 2016 benefit year HHS-RADV audit submission process	
January 8, 2018	CMS releases the SVA subsample to IVA entities	
January 18, 2018	IVA entity submits Medical Record to CMS for the SVA subsample process	
January 2018 – April 2018	SVA is conducted	
May 2018 - June 2018	CMS releases 2016 RADV error rates to issuers	

Key Concepts & Audit Tool



Key Concepts

- IVA Entity Selection Process in which the issuer evaluates the suitability of an IVA Entity.
- IVA Entity Solicitation Process in which an issuer selects, negotiates, and establishes a contractual relationship with an IVA Entity.
- IVA Entity Election Process in which an IVA Entity declares their intent to participate as an IVA Entity during the 2016 Benefit Year HHS-RADV.
- IVA Entity Designation Process in which an issuer designates and informs CMS about an IVA Entity working on their behalf.



Audit Tool News

- In order to streamline the HHS-RADV audit process, CMS will be transitioning to a new Audit Tool.
- During this transition, you will experience a new process designated to better assist you with your IVA submission findings.
- CMS will continue to support you with trainings and Q&A related to this new tool.



Review of IVA Entity Regulatory Requirements



Review IVA Entity Regulatory Requirements

45 CFR §153.630 states that IVA Entities must meet the following criteria:

- Capable of performing an IVA according to the standards established by HHS for such audit, and must ensure that the audit is so performed.
- Pree of conflicts of interest, such that it is able to conduct the IVA in an impartial manner and its impartiality is not reasonably open to question.
- An IVA review of enrollee health status must be conducted by medical coders certified after examination by a nationally recognized accrediting agency for medical coding.



CMS may reject an organization's election as an IVA Entity if the organization does not meet regulatory requirements.



2016 Benefit Year IVA Entity Election



IVA Entity Election Process









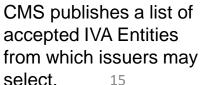


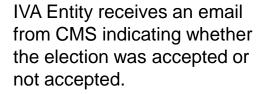
IVA Entity receives a link to the web form in an invitation email or through REGTAP announcement.

IVA Entity accesses the web form,-submits election as an IVA Entity, and identifies IVA Entity SOs.

CMS reviews submission and determines if the IVA Entity is on the Office of Inspector General (OIG) exclusion list.







WWW.REGTAP.INFO



What Issuers Need to Know





- Issuers will only be able to designate an IVA Entity from a list of **CMS-** accepted IVA Entities.
- This designation will occur in a separate web form, which will be presented to issuers in a future webinar.



2016 Benefit Year HHS-RADV IVA Entity Election Web Form



Web Form Overview

The 2016 Benefit Year HHS-RADV IVA Entity Election web form allows organizations to declare their intent to participate as an IVA Entity and to identify two (2) individuals from their organization, who will serve in the IVA Entity Senior Official (SO) role.



The web form must be completed in a single session, and must only be completed once per organization.



Web Form Availability

The web form will be available March 7th to March 28th.







Before You Begin the Web Form

- Understand that your organization will only be allowed to complete the web form once.
- Decide who from your organization will be completing the web form (Submitter Contact).
- Determine who from your organization will be the Alternate Contact.
- Determine the individuals from your organization who will act as IVA Entity Senior Officials (SOs).
 - You must maintain a minimum of two (2) SOs with a current effective date.
- Ensure you have the accurate business name of your organization.



An IVA Entity may only complete the web form **one (1) time**.



Before You Begin the Web Form

(continued)

Gather the following information before you begin the 2016 Benefit Year HHS-RADV IVA Entity Election web form:

Contact Information Data

- Contact information for the Submitter and Alternate Contact
 - Name
 - Email Address
 - Job Title
 - Phone Number
 - Phone Extension (optional)

IVA Entity and IVA Entity SO Data

- IVA Entity Name
- IVA Entity Senior Officials (SO) information
 - Effective Date
 - Name
 - Email Address
 - Job Title
 - Phone Number
 - Phone Extension (optional)



Completing the Web Form

Welcome Page

 Review the instructions for electing to act as an IVA Entity and identifying IVA Entity SOs.



Guidance

Welcome to the 2016 Benefit Year HHS-RADV Initial Validation Audit (IVA) Entity Election Web Form!

For the HHS-RADV program, issuers are required to engage an independent auditor to perform validation of demographic and enrollment data and health status information for a CMS-defined sample of enrollees.

This web form allows organizations to self-identify as an IVA Entity for the HHS-RADV program for the 2016 benefit year and to identify the individuals from their organization who will serve in the IVA Entity Senior Official (SO) role.

Note: This web form must be completed in a single session, and must only be completed once per organization.

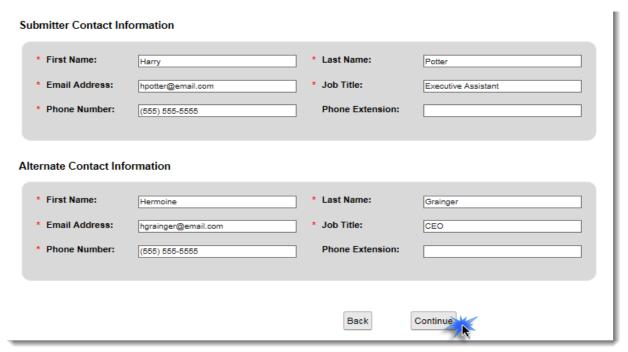
If you require assistance or have questions related to the completion of this web form, please send an email to cciioAcARADataValidation@cms.hhs.gov.





Contact Information Page

- Enter contact information for the Submitter and an Alternate Contact.
 - Submitter and Alternate Contacts must be different.





IVA Entity and IVA Entity Senior Officials Page

First, enter organization name to elect as an IVA Entity.



The IVA Entity entered should be the official name of the organization registering as an IVA Entity. Each IVA Entity is only entered through this page one time.

Second, identify IVA Entity Senior Officials.



It is possible to enter as many IVA Entity Senior Officials as desired.



IVA Entity and IVA Entity Senior Officials Page

(continued)

* IVA Entity Name: Hogwarts

IVA Entity Senior Officials Add IVA Entity Senior Official

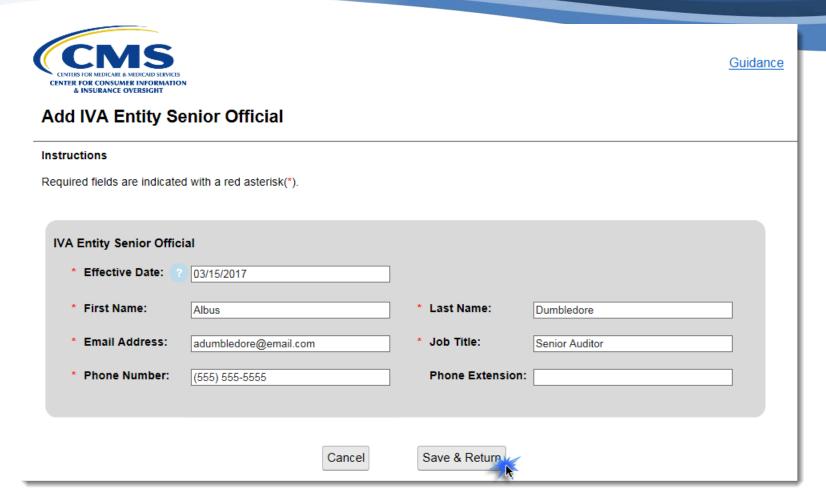
Action	Effective Date	First Name	Last Name	Email Address	Job Title	Phone Number	Phone Extension
Edit Delete	3/15/2017	Ronald	Weazly	rweazly@email.c om	Senior Auditor	(555) 555-5555	

Exit

Continue



Add IVA Entity Senior Official Page





Summary Page

- Review the IVA Entity name and IVA Entity Senior Officials information for accuracy.
- Review contact information for accuracy.
- Select Submit button to complete the web form.



Confirmation Page

- Submission End Time date and time the IVA Entity and IVA Entity Senior Official election information was submitted.
- An email acknowledgement of submission will be sent to the Submitter, Alternate Contact, and IVA Entity SOs.
- Button to generate a PDF containing the election information submitted.



It is recommended that you print and save a copy of the PDF for your records, as it is not included in the acknowledgement email.



Web Form Questions

To contact us with questions about the web form, email us at:

CCIIOACARADataValidation@cms.hhs.gov



Next Steps



Next Steps: Training Sessions

- CMS will continue to support Stakeholders through the HHS-RADV process by hosting periodic webinar.
- There will be an opportunity for stakeholders to ask HHS-RADV related questions during the webinar sessions.



Next Steps: Training Sessions (continued)

Upcoming Webinars:

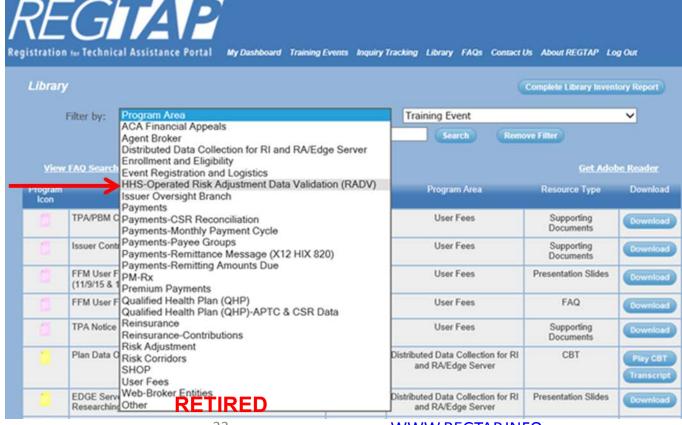
Date	Time	Topic
March 15, 2017	11:30 a.m. – 12:30 p.m. ET	TBD
March 29, 2017	11:30 a.m. – 12:30 p.m. ET	TBD



Locating HHS-RADV Documents in REGTAP

Stakeholders can access additional documents at https://www.REGTAP.info in the REGTAP Library.

Under Program
Area, select
"HHS-Operated
Risk Adjustment
Data Validation"





Questions?

To submit or withdraw questions by phone:

- Dial *# (star-pound) on your phone's keypad to submit your question.
- Dial *# (star-pound) to withdraw your question.



Resources





Resources: Contact Information

Resource	Contact Information
For RADV policy questions, contact the RADV Team.	CCIIOACARADataValidation@cms.hhs.gov
EDGE server questions, please contact your Financial Management (FM) Service Representative directly and copy the Centers for Medicare & Medicaid Services (CMS) Help Desk.	EDGE_server_data@cms.hhs.gov and copy CMS_FEPS@cms.hhs.gov



Resources: Links

Resource	Resource Link
U.S. Department of Health & Human Services (HHS)	http://www.hhs.gov/
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
The Center for Consumer Information & Insurance Oversight (CCIIO) web page	http://www.cms.gov/cciio
Consumer website on Health Reform	http://www.healthcare.gov/
Registration for Technical Assistance Portal (REGTAP) - presentations, FAQs	https://www.REGTAP.info
Patient Protection and Affordable Care Act (ACA)	http://www.gpo.gov/fdsys/pkg/PLAW- 111publ148/content-detail.html



Resources: Links (continued)

Resource	Resource Link
HHS Notice of Benefit and Payment Parameters for 2014	http://www.gpo.gov/fdsys/pkg/FR-2013-03- 11/pdf/2013-04902.pdf
HHS Notice of Benefit and Payment Parameters for 2015	http://www.gpo.gov/fdsys/pkg/FR-2014-03- 11/pdf/2014-05052.pdf
HHS Notice of Benefit and Payment Parameters for 2016	http://www.gpo.gov/fdsys/pkg/FR-2015-02- 27/pdf/2015-03751.pdf
HHS Notice of Benefit and Payment Parameters for 2017	https://www.gpo.gov/fdsys/pkg/FR-2016-03- 08/pdf/2016-04439.pdf
HHS Notice of Benefit and Payment Parameters for 2018	https://www.gpo.gov/fdsys/pkg/FR-2016-12- 22/pdf/2016-30433.pdf



Resources: Links (continued)

Resource	Resource Link
Affordable Care Act (ACA) HHS- Operated Risk Adjustment Data Validation (RADV) Process White Paper, June 22, 2013	https://www.regtap.info/uploads/library/ACA_HHS_OperatedRADVWhitePaper_062213_5CR_062213.pdf
CCIIO ACA RA Data Validation Email Address	CCIIOACARADataValidation@cms.hhs.gov



Resources (continued)

Resource	Resource Link
Affordable Care Act (ACA) HHS- Operated Risk Adjustment Data Validation (RADV) Process White Paper, June 22, 2013	https://www.regtap.info/uploads/library/ACA_HHS_OperatedRADVWhitePaper_062213_5CR_062213.pdf



Closing Remarks



