

Preparing and Submitting Inbound834s (201)

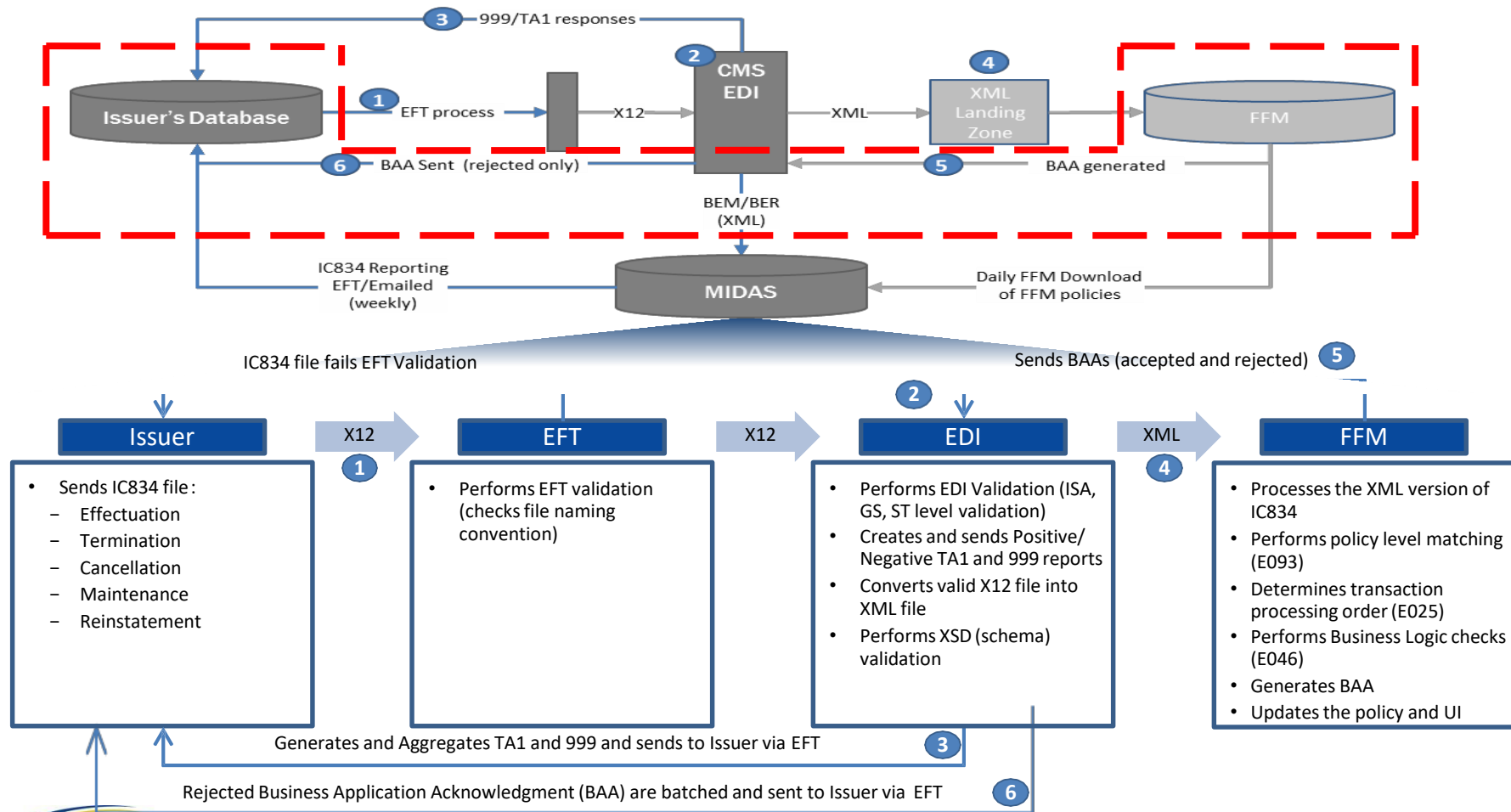


- *Submission Process*
- *EFT File Naming Standards*
- *Creating IC834 X12s*
 - Effectuation
 - Cancellation
 - Termination
 - Maintenance
 - Reinstatement

Submission Cycle

IC834 Process Flow

Once an Issuer submits a IC834, there are various checks along the process resulting in responses delivered to the Issuer via EFT.



EFT Process

IC834 File Submissions

The IC834 files are transmitted via EFT and must use file name standards prescribed by the CMS EFT system.

- Issuers submit IC834 files using the following naming standards:

TPID.Application.Function.Date.Time.P.IN

(567891234.DSH.IC834.D130223.T145543452.P.IN)

- TPID – Trading Partner Id
- Application Id – DSH (pre-defined by CMS)
- Function Code – IC834 (pre-defined by CMS)
- Date – ‘D’ followed by file date (yyymmdd)
- Time – ‘T’ followed by HHMMSSsss
- Environment Qualifier – P: Production; T: Test (IMP1A); I: Test (IMP2)
- File Direction – IN (inbound)

Creating IC834s

Transaction Type Definitions

Issuers respond to the Outbound 834s (I834) and Change in Circumstance 834s (CIC834) with a Inbound Confirmation 834 (IC834) ASC X12 document that communicates consumer initial payment/non-payment in the issuer system through one of the following transactions:

- **Effectuation Transaction:** typically generated when the Issuer has received a payment from a new subscriber and the policy has been made active
- **Cancellation Transaction:** typically generated when the enrollment is ended with no actual coverage for consumer(s) due to non-payment
- **Termination Transaction:** typically generated following an effectuation when the enrollment is ended after some period of coverage for consumer(s) due to non-payment
- **Maintenance Transaction:** typically generated following an effectuation to update the Issuer-Assigned IDs on file for the FFM policy
- **Reinstatement Transaction:** typically generated following an inadvertent or erroneous Issuer-driven termination or cancellation to re-activate coverage for an FFM policy

Creating IC834s

Valid Code Combinations

IF Maintenance Type Code (INS03)	AND Maintenance Reason Code (INS04)	AND Additional Maintenance Reason Code (2750 Loop)	THEN Transaction Type (derived by FFM)
021	28	CONFIRM	EFFECTUATION
024	59	CANCEL	CANCELLATION
024	14	CANCEL-FLC	CANCELLATION
024	07	CANCEL-FRD	CANCELLATION
024	07	CANCEL-RESCIND	CANCELLATION
024	59	TERM	TERMINATION
024	NULL	CANCEL-OTH	CANCELLATION
024	26	CANCEL-HICS	CANCELLATION
024	NULL	TERM-OTH	TERMINATION
024	26	TERM-HICS	TERMINATION
001	25	ISSUER MAINT	MAINTENANCE
001	NULL	NO CHANGE	MAINTENANCE
025	NULL	ISSUER – REINSTATEMENT	REINSTATEMENT
024	NULL	CANCEL-ANTIDUPLICATION	CANCELLATION
024	NULL	TERM-ANTIDUPLICATION	TERMINATION
024	NULL	CANCEL-OUT-OF-AREA	CANCELLATION

Creating IC834s

X12 Effectuation Transaction (1/2)

FFM Outbound CIC834

Issuer Outbound Effectuation IC834

```
ISA*00* *00* *ZZ*CMSFFM *ZZ*D100000001
*150825*1030*^00501*000000148*1*T*~
GS*BE*MI0*12345MI0112012*20150825*1030*227*X*005010X220A1~
ST*834*1111111*005010X220A1~
BGN*00*1111111*20150825*103038*ET***2~
QTY*TO*2~
QTY*DT*1~
N1*P5*John*FI*123456789~
N1*IN*ABC issuer*FI*987654321~
INS*Y*18*021*EC*A***AC~
REF*OF*1234567890~
REF*17*1234567890~

REF*6O*MI10000098002~
...
DTP*348*D8*20150901~

REF*1L*12345678~
REF*CE*12345MI011201201~

LS*2700~
LX*1
N1*75*ADDL MAINT REASON~
REF*17*CIC~
DTP*007*D8*20150901~
...
LX*10~
N1*75*RATING AREA~
REF*9X*R-MI001~
DTP*007*D8*20150901~
LE*2700~
INS*N*01*021*EC*A~
REF*OF*1234567890~
REF*17*1234567891~

DTP*356*D8*20150901~
...
REF*CE*12345MI011201201~
```

Swap ISA06 & ISA08

Swap GS02 & GS03

Change INS04

Add REF*ZZ (Issuer Assigned Subscriber Id) and REF*23 (Issuer Assigned Member Id)

Add DTP*543 (Last Premium Paid Date)

Add REF*X9 (Issuer Assigned Policy Id)

Change ADDL MAINT REASON Value for the Subscriber

Change INS04

Add REF*23 & REF*ZZ for dependents

```
ISA*00* *00* *ZZ*D100000001 *ZZ*CMSFFM
*150825*1035*^00501*000000149*1*T*~
GS*BE*12345MI0112012*MI0*20150825*1035*228*X*005010X220A1~
ST*834*1111112*005010X220A1~
BGN*00*1111112*20150825*103538*ET***2~
QTY*TO*2~
QTY*DT*1~
N1*P5*John*FI*123456789~
N1*IN*ABC issuer*FI*987654321~
INS*Y*18*021*28*A***AC~
REF*OF*1234567890~
REF*17*1234567890~
REF*ZZ*2234567890~
REF*23*2234567890~
REF*6O*MI10000098002~
...
DTP*348*D8*20150901~
DTP*343*D8*20150930~
DTP*543*D8*20150825~
REF*1L*12345678~
REF*CE*12345MI011201201~
REF*X9*12345673~
LS*2700~
LX*1
N1*75*ADDL MAINT REASON~
REF*17*CONFIRM~
DTP*007*D8*20150901~
...
LX*10~
N1*75*RATING AREA~
REF*9X*R-MI001~
DTP*007*D8*20150901~
LE*2700~
INS*N*01*021*28*A~
REF*OF*1234567890~
REF*17*1234567891~
REF*23*2234567891~
REF*ZZ*2234567890~
DTP*356*D8*20150901~
...
REF*CE*12345MI011201201~
```

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Note: This example is condensed and simplified to display pertinent information

Creating IC834s

X12 Effectuation Transaction (2/2)

FFM Outbound CIC834

Issuer Outbound **Effectuation IC834**

LS*2700~ LX*1~ N1*75*SOURCE EXCHANGE ID~ REF*17*MIO~ DTP*007*DB*20140121~ LX2~ N1*75*ADDL MAINT REASON~ REF*17*CIC~ DTP*007*DB*20150901~ --- LX*5~ N1*75*APPLICATION ID AND ORIGIN REF*6M*378492756-1 DTP*007*DB*20150901 LE*2700~ SE*96*1111111~ GE*1*227~ IEA*1*000000148~	Add REF*X9 for dependents Change ADDL MAINT REASON Value for the Dependents	REF*X9*12345673~ LS*2700~ LX*1~ N1*75*SOURCE EXCHANGE ID~ REF*17*MIO~ DTP*007*DB*20140121~ LX*2~ N1*75*ADDL MAINT REASON~ REF*17*CONFIRM~ DTP*007*DB*20150901~ --- LX*5~ N1*75*APPLICATION ID AND ORIGIN REF*6M*378492756-1 DTP*007*DB*20150901 LE*2700~ SE*106*1111112~ GE*1*228~ IEA*1*000000149~
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Creating IC834s

X12 Maintenance Transaction (1/2)

Issuer Outbound **Effectuation** 834 (IC834)

ISA*00* *00* *ZZ*D100000001 *ZZ*CMSFFM
 *150825*1035*^*00501*000000149*1*T*~
 GS*BE*12345MI0112012*MI0*20150825*1035*228*X*005010X220A1~
 ST*834*1111112*005010X220A1~
 BGN*00*1111112*20150825*103538*ET***2~
 QTY*TO*2~
 QTY*DT*1~
 N1*P5*John*FI*123456789~
 N1*IN*ABC issuer*FI*987654321~
 INS*Y*18*021*28*A***AC~
 REF*OF*1234567890~
 REF*17*1234567890~

 REF*ZZ*2234567890~

 REF*23*2234567890~
 REF*6O*MI10000098002~
 ...
 N1*75*ADDL MAINT REASON~
 REF*17*CONFIRM~
 DTP*007*D8*20150901~
 ...
 LX*10~
 N1*75*RATING AREA~
 REF*9X*R-MI001~
 DTP*007*D8*20150901~
 LE*2700~
 INS*N*01*021*28*A~
 REF*OF*1234567890~
 REF*17*1234567891~
 REF*23*2234567891~
 REF*ZZ*2234567890~
 DTP*356*D8*20150901~

Change INS03/INS04
for Subscriber

Update REF*ABB
(Prior Issuer Assigned
Subscriber ID)

Update REF*ZZ (New
Issuer Assigned
Subscriber ID)

Update REF*Q4 (Prior
Issuer Assigned
Member ID)

Update REF*23 (New
Issuer Assigned
Member ID)

Change ADDL MAINT
REASON Value for
the Subscriber

Change INS03/INS04
for Dependents

Update
REF*23/REF*ZZ for
Dependents (if
needed)

Issuer Outbound **Maintenance** 834 (IC834)

ISA*00* *00* *ZZ*D100000001 *ZZ*CMSFFM
 *150825*1035*^*00501*000000149*1*T*~
 GS*BE*12345MI0112012*MI0*20150825*1035*228*X*005010X220A1~
 ST*834*1111112*005010X220A1~
 BGN*00*1111112*20150825*103538*ET***2~
 QTY*TO*2~
 QTY*DT*1~
 N1*P5*John*FI*123456789~
 N1*IN*ABC issuer*FI*987654321~
 INS*Y*18*001*25*A***AC~
 REF*OF*1234567890~
 REF*17*1234567890~
 REF*ABB*2234567890~
 REF*ZZ*2111098890~
 REF*Q4*2234567890~
 REF*23*2111098890~
 REF*6O*MI10000098002~
 ...
 N1*75*ADDL MAINT REASON~
 REF*17*ISSUER MAINT~
 DTP*007*D8*20150901~
 ...
 LX*10~
 N1*75*RATING AREA~
 REF*9X*R-MI001~
 DTP*007*D8*20150901~
 LE*2700~
 INS*N*01*001*Null*A~
 REF*OF*1234567890~
 REF*17*1234567891~
 REF*23*2234567891~
 REF*ZZ*2234567890~
 DTP*356*D8*20150901~

Creating IC834s

X12 Maintenance Transaction (2/2)

... REF*CE*12345MI011201201~ REF*X9*12345673~ LS*2700~ LX*1~ N1*75*SOURCE EXCHANGE ID~ REF*17*MI0~ DTP*007*D8*20140121~ LX*2~ N1*75*ADDL MAINT REASON~ LS*2700~ LX*1~ N1*75*SOURCE EXCHANGE ID~ REF*17*MI0~ DTP*007*D8*20140121~ LX2~ N1*75*ADDL MAINT REASON~ REF*17* CIC ~ DTP*007*D8*20150901~ ... LX*5~ N1*75*APPLICATION ID AND ORIGIN REF*6M*378492756-1 DTP*007*D8*20150901 LE*2700~ SE*96*1111111~ GE*1*227~ IEA*1*000000148~	Update REF*XM (Prior Issuer Assigned Policy ID) Update REF*X9 (New Issuer Assigned Policy ID) Change ADDL MAINT REASON Value for the Dependents	... REF*CE*12345MI011201201~ REF*XM*12345673~ REF*X9*09982073~ LS*2700~ LX*1~ N1*75*SOURCE EXCHANGE ID~ REF*17*MI0~ DTP*007*D8*20140121~ LX*2~ N1*75*ADDL MAINT REASON~ LS*2700~ LX*1~ N1*75*SOURCE EXCHANGE ID~ REF*17*MI0~ DTP*007*D8*20140121~ LX2~ N1*75*ADDL MAINT REASON~ REF*17* NO CHANGE ~ DTP*007*D8*20150901~ ... LX*5~ N1*75*APPLICATION ID AND ORIGIN REF*6M*378492756-1 DTP*007*D8*20150901 LE*2700~ SE*96*1111111~ GE*1*227~ IEA*1*000000148~
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Creating IC834s

X12 Cancellation Transaction (1/2)

FFM Outbound CIC834

Issuer Outbound Cancellation IC834

```
ISA*00*~*00*~*ZZ*CMSFFM~*ZZ*D100000001~
*150825*1030*^^*00501*000000148*1*T*~
GS*8E*MI0*12345MI0112012*20150825*1030*227*X*005010X220A1~
ST*834*1111111*005010X220A1~
BGN*00*1111111*20150825*103038*ET***2~
QTY*TO*2~
QTY*DT*1~
N1*P5*John*FI*123456789~
N1*IN*ABC issuer*FI*987654321~
IN5*Y*18*021*EC*A***AC~
REF*OF*1234567890~
REF*17*1234567890~
```

```
REF*60*MI100000098002~
DTP*356*DB*20150901~
NM1*IL*1*Smith*John**Mc**34*123456789~
PER*IP**BN*410222333~
PER*IP**BN*4102549099~
N3*123 Main St~
N4*City*MI*48360**CY*26039~
DMG*D8*19720720*M*I*:RET:2106-3~
HLH*TH~
HD*021**HLT~
DTP*348*DB*20150901~
REF*1L*12345678~
REF*CE*12345MI011201201~
LS*2700~
LX*1~
N1*75*ADDL MAINT REASON~
REF*17*CIC~
DTP*007*DB*20150901~
LX*2~
N1*75*SOURCE EXCHANGE ID~
REF*17*MI0~
DTP*007*DB*20150901~
LX*3~
N1*75*APPLICATION ID AND ORIGIN
REF*6M*378492736-1
DTP*007*06*20150901
LX*4~
N1*75*REQUEST SUBMIT TIMESTAMP~
REF*17*20150825103000~
LX*5~
```

Swap ISA06 & ISA08

Swap G502 & G503

Change QTY*TO
Remove QTY*DT

Change IN503, IN504
& IN508

Add REF*ZZ, REF*23,
REF*1L (Exchange
Assigned Policy Id)

Change to DTP*357
(Eligibility End Date)

Remove member
communication&
address

Remove Health
Coverage Loop

Change ADDL
MAINT REASON
Value for Subscriber

Remove rest of the
Reporting Category

```
ISA*00*~*00*~*ZZ*D100000001~*ZZ*CMSFFM~
*150825*1038*^^*00501*000000150*1*T*~
GS*8E*12345MI0112012*MI0*20150825*1038*229*X*005010X220A1~
ST*834*1111113*005010X220A1~
BGN*00*1111113*20150825*103838*ET***2~
QTY*TO*1~
```

```
N1*P5*John*FI*123456789~
N1*IN*ABC issuer*FI*987654321~
IN5*Y*18*024*59*A***TE~
REF*OF*1234567890~
REF*17*1234567890~
REF*ZZ*2234567890~
REF*23*2234567890~
REF*1L*12345678~
REF*60*MI100000098002~
DTP*357*DB*20150831~
NM1*IL*1*Smith*John**~
```

```
DMG*D8*19720720*M*I*:RET:2106-3~
```

```
LS*2700~
LX*1~
N1*75*ADDL MAINT REASON~
REF*17*CANCEL~
DTP*007*DB*20150831~
LX*2~
N1*75*SOURCE EXCHANGE ID~
REF*17*MI0~
DTP*007*DB*20150831~
LX*3~
N1*75*APPLICATION ID AND ORIGIN
REF*6M*378492736-1
DTP*007*06*20150901
LX*4~
N1*75*REQUEST SUBMIT TIMESTAMP~
REF*17*20150825103000~
```

Note: This example is condensed and simplified to display pertinent information

Creating IC834s

X12 Cancellation Transaction (2/2)

FFM Outbound CIC834

Issuer Outbound **Cancellation IC834**

LE*2700~ IN5*N*01*021*EC*A~ SE*96*1111111~ GE*1*227~ IEA*1*000000148~	Remove Dependent Loop	LE*2700~ SE*27*1111113~ GE*1*229~ IEA*1*000000150~
--	----------------------------------	--

Note: This example is condensed and simplified to display pertinent information

Creating IC834s

X12 Termination Transaction (1/2)

FFM Outbound CIC834

```
ISA^00^      ^00^      ^ZZ^CMSFFM      ^ZZ^D100000001
*150825*1030^^^00501*000000148*1*T^~
GS^BE^MIO^12345MIO112012^20150825*1030*227*X^005010X220A1~
ST^834^1111111^005010X220A1~
BGN^00^1111111^20150825*103038^ET***2~
QTY*TO~2~
QTY*DT~1~
N1^P5^John^FI^123456789~
N1^IN^ABC issuer^FI^987654321~
INS^Y^18^021^EC^A***AC~
REF^OF^1234567890~
REF^17^1234567890~
```

```
REF^60^MI10000098002~
DTP^356^D8^20150901~
NM1^IL^1^Smith^John^^Mc^^34^123456789~
PER^IP^^BN^410222333~
PER^P^^BN^4102549099~
NM3^123 Main St~
NM8^City^MI^48360^^CY^26039~
DMG^D8^19720720^M^I^:RET:2106-3~
HLH^T~
HD^021^^HLT~
DTP^348^D8^20150901~
REF^1L^12345678~
REF^CE^12345MIO11201201~
LS^2700~
LX^1~
N1^75^ADDL MAINT REASON~
REF^17^CIC~
DTP^007^D8^20150901~
LX^2~
N1^75^SOURCE EXCHANGE ID~
REF^17^MIO~
DTP^007^D8^20150901~
LX^3~
N1^75^APPLICATION ID AND ORIGIN
REF^6M^378492756-1
DTP^007^D8^20150901
LX^4~
N1^75^REQUEST SUBMIT TIMESTAMP~
REF^17^20150825103000~
LX^5~
```

Swap ISA06 & ISA08

Swap GS02 & GS03

Change QTY*TO
Remove QTY*DT

Change INS03, INS04
& INS08

Add REF*ZZ, REF*23,
REF*1L (Exchange
Assigned Policy Id)

Change to DTP*357
(Eligibility End Date)

Remove member
communication &
address

Remove Health
Coverage Loop

Change ADDL
MAINT REASON
Value for subscriber

Remove rest of the
Reporting Category

Issuer Outbound Termination IC834

```
ISA^00^      ^00^      ^ZZ^D100000001      ^ZZ^CMSFFM
*150825*1038^^^00501*00000151*1*T^~
GS^BE^12345MIO112012^MIO^20150825*1038*230*X^005010X220A1~
ST^834^1111114^005010X220A1~
BGN^00^1111114^20150825*103838^ET***2~
QTY*TO~1~
N1^P5^John^FI^123456789~
N1^IN^ABC issuer^FI^987654321~
INS^Y^18^024^59^A***TE~
REF^OF^1234567890~
REF^17^1234567890~
REF^23^2234567890~
REF^22^2234567890~
REF^1L^12345678~
REF^60^MI10000098002~
DTP^357^D8^20151031~
NM1^IL^1^Smith^John^^Mc^^34^123456789~
PER^IP^^BN^410222333~
```

DMG^D8^19720720^M^I^:RET:2106-3~

```
LS^2700~
LX^1~
N1^75^ADDL MAINT REASON~
REF^17^TERM~
DTP^007^D8^20150901~
LX^2~
N1^75^SOURCE EXCHANGE ID~
REF^17^MIO~
DTP^007^D8^20150901~
LX^3~
```

```
N1^75^APPLICATION ID AND ORIGIN
REF^6M^378492756-1
DTP^007^D8^20150901
LX^4~
N1^75^REQUEST SUBMIT TIMESTAMP~
REF^17^20150825103000~
```

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Creating IC834s

X12 Termination Transaction (2/2)

FFM Outbound CIC834

Issuer Outbound Termination IC834

LE*2700~ INS*N*01*021*EC*A~ SE*96*1111111~ GE*1*227~ IEA*1*000000148~	Remove Dependent Loop	LE*2700~ SE*26*11111114~ GE*1*230~ IEA*1*000000151~
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Creating IC834s

X12 Reinstatement Transaction (1/1)

- After an IC834 is sent to terminate or cancel a policy, an Issuer can generate an IC834 X12 to reinstate coverage (**Note:** Issuers should not submit terminations and reinstatements within the same 24hr window to avoid unfavorable policy status updates)

Issuer Outbound Termination 834 (IC834)		Issuer Outbound Reinstatement 834 (IC834)
ISA*00* *00* *ZZ*D100000001 *ZZ*CMSFFM *150825*1038*A*00501*00000151*1*T*~ GS*BE*12345MI0112012*MI0*20150825*1038*230*X*005010X220A1~ ST*834*1111114*005010X220A1~ BGN*00*1111114*20150825*103838*ET***2~ QTY*TO*1~ N1*P5*John*FI*123456789~ N1*IN*ABC issuer*FI*987654321~ INS*Y*18*024*59*A***TE~ REF*OF*1234567890~ REF*17*1234567890~ REF*23*2234567890~ REF*ZZ*2234567890~ REF*1L*12345678~ REF*6O*MI10000098002~ DTP*357*D8*20181031~ NM1*IL*1*Smith*John**Mr**34*123456789~ PER*IP**BN*4102223333~ DMG*D8*19720720*M* *RET:2106-3~ LS*2700~ LX*1~ N1*75*ADDL MAINT REASON~ REF*17*TERM~ DTP*007*D8*20150901~ LX*2~ N1*75*SOURCE EXCHANGE ID~ REF*17*MI0~ DTP*007*D8*20150901~ LX*3~ N1*75*APPLICATION ID AND ORIGIN REF*6M*378492756-1	Change INS03 Change INS04 Add DTP*356 (EligibilityBeginDate) Change ADDL MAINT REASON Value for the Subscriber Remove Dependent Loop	ISA*00* *00* *ZZ*D100000001 *ZZ*CMSFFM *150825*1035*A*00501*000000149*1*T*~ GS*BE*12345MI0112012*MI0*20150825*1035*228*X*005010X220A1~ ST*834*1111112*005010X220A1~ BGN*00*1111112*20150825*103538*ET***2~ QTY*TO*2~ QTY*DT*1~ N1*P5*John*FI*123456789~ N1*IN*ABC issuer*FI*987654321~ INS*Y*18*025***A***AC~ REF*OF*1234567890~ REF*17*1234567890~ REF*ZZ*2234567890~ REF*23*2234567890~ REF*6O*MI10000098002~ DTP*356*D8*20180101~ ... DTP*543*D8*20150825~ REF*1L*12345678~ REF*CE*12345MI011201201~ REF*X9*12345673~ LS*2700~ LX*1 N1*75*ADDL MAINT REASON~ REF*17*ISSUER - REINSTATEMENT~ DTP*007*D8*20150901~ ...