

Federally-Facilitated Marketplace (FFM) Outbound Enrollment Reconciliation File Specification

Applies to Individual Market Enrollment Reconciliation for all Plan Years

Version 5.12

January 22, 2020

Revision History

Version Number	Date Published	Version Notes
5.12	1/22/2020	Updated description to relax requirements for Issuer-Assigned Policy ID and Issuer Assigned Subscriber ID
5.11	7/25/2019	Added new values to Table 3, Cancellation and Termination Reason Codes
5.1	4/25/2019	- Added record-level flag Q definition - Updated Table 2 to add #NLE - Added field 179 for Marketplace Policy Segment ID, field 180 for Cancellation Source, and field 181 for Termination Source - Updated effective date of changes added in v5.0 to August 2019 - Updated Table 3 with clarifying information - Updated language in introductory sections
5.0	2/28/2019	- Added fields 173-175 for Cancellation Reason Code elements and fields 176-178 for Termination Reason Code elements - Added Table 3, Cancellation and Termination Reason Codes - Removed notation regarding January implementation for Footnotes values added in v4.3
4.3	11/2/2018	Updated Table 2 with new potential Footnotes values
4.2	5/3/2018	- Repurposed field 144 as Footnotes; added Table 2 with potential values - Removed record-level flag S
4.1	1/25/2018	- Added new record-level flag S and V definitions - Removed record-level flag G definition
4.0	3/30/2017	- Updated specification to break out Agent Broker Name into individual First, Middle, Last, and Suffix elements as a future change - Clarified record-level flag definitions

Version Number	Date Published	Version Notes
3.6	11/30/2016	Added clarifications to the End of Year Termination Indicator fields
3.32	6/6/2016	Corrected data type of Issuer Mailing Address State to alphabetical string
3.31	5/6/2016	- Minor revisions to finalize updates in draft Version 3.11 - Condensed Agent/Broker name fields into a single field
3.11	4/19/2016	- Draft version for Issuer review and comment - Added Issuer value, FFM value, and discrepancy resolution flag elements related to Coverage Year, End of Year Termination Indicator, Agent/Broker information, Paid Through Date, and Enrollment Group Size
2.2	3/9/2016	Updated formatting and description of the specification

Introduction

This document provides the specifications for the Federally-Facilitated Marketplace (FFM) Outbound (FFM-to-Issuer) Enrollment Reconciliation Files. These files are used to convey the results of the comparison between FFM enrollment data and the Issuer's complete set of Individual Market (On-Exchange) enrollment records, as submitted in their Inbound Enrollment Reconciliation File, for a specific Plan Year to the FFM.

The Outbound Enrollment Reconciliation File will be generated for each HIOS ID submitted on an Inbound Reconciliation File. The file consists of detailed enrollment records (one per member, per coverage/financial span) designated with an '01' Record Code and a single summary record for the HIOS ID designated with an '02' Record Code. Each set of '01' records with the corresponding '02' record represents a "logical file".

These logical files will be aggregated into a single physical file by Trading Partner ID, per the mapping specified in the Issuer's EDI Registration Form, and transmitted to the Issuer via Secure EFT. The files will be distributed via the same folder in which Issuers receive their EDI 834 files from the FFM.

Outbound Enrollment Reconciliation Files are pipe-delimited flat files. All records submitted by the Issuer on the Inbound Enrollment Reconciliation File will be represented on the Outbound Reconciliation File, with the appropriate record-level flag to indicate if a matching FFM record was found and, if applicable, indicate if the Issuer is to make any updates based on the results of field-level comparison. Likewise, all FFM enrollment records will be represented in the file – matched to Issuer records where possible. Where no match has been made, only the Issuer or FFM values will be included on the record.

'01' Detail Record Layout

This record provides the results of record-level comparison between Issuer and FFM enrollment data, as well as field-level discrepancy resolution actions. Each Detail Record shall be pipe-delimited and terminated with the Carriage Return Line Feed (CRLF).

The following represents the “Order of Events” for Enrollment Reconciliation:

- 1) Rows matched using the Quattro Key, consisting of the HIOS ID, Exchange-Assigned Subscriber ID, Exchange-Assigned Member ID, and coverage Line of Business (health or dental, derived from the QHP ID) listed in the enrollment record
 - a. In cases where the Quattro Key may not match exactly (for example, if an individual has multiple Exchange-Assigned Member IDs), other demographic information will be used to match records.
- 2) Attempt to resolve all “multiple matches” into 1:1 matches by addition of other identification criteria to get to “best” 1:1 match, with “leftover” rows flagged accordingly. If additional criteria do not result in any match, all rows are flagged as unmatched.
- 3) **Field-level flags only populated on 1:1 row matches.** Flags represent match status or, if non-match, auto-resolution based on business rules, acceptable discrepancies, etc.
- 4) **Record-level flags for 1:1 Matches indicate outcome of field level comparisons.** Flags indicate whether one or more field-level flags have been set indicating the Issuer has to take action, the FFM has to take action, or if no action will be taken on the record
- 5) **Record-level flags for non-1:1 Matches indicate reason for non-match.** Flags indicate why the record was not matched; the Issuer should review unmatched records to determine if a technical issue has prevented matching.

A. Final Disposition Field-Level Flags

Final Disposition Field Level Flag	Definition
M	Exact match, no action
C	Match due to Change in Circumstance transaction, no action
D	Did not compare, no action
U	Unprocessable, Issuer to correct file
I	Non-match, Issuer to update to FFM value
L	Non-match, FFM and Issuer to update to value listed in the FFM field based on automated business rule
F	Non-match, FFM to update to Issuer value
G	Non-match, FFM to update based on ER&R determination
J	Non-match, Issuer to update based on ER&R determination
K	Non-match, both FFM and Issuer to update to value listed in the FFM field based on ER&R determination

B. Record-Level Status Indicator

Record-Level Status Indicator	Field-Level Flags Set?	Definition
C	No	Cancellation , The enrollment record has been cancelled in the Issuer's System or the FFM
F	No	Unmatched FFM Records , No matching Issuer record found for this FFM record, by Quattro Key or demographic matching
I	No	Unmatched Issuer Records , No matching FFM record for this Issuer record, by Quattro Key or demographic matching
G	No	"Leftover" Unmatched FFM Records , After resolving 1:M or M:1 by selecting best match, these records remain unmatched, with no remaining Issuer record for this FFM record, by Quattro Key or demographic matching
R	No	"Leftover" Unmatched Issuer Records , After resolving 1:M or M:1 by selecting best match, these records remain unmatched, with no remaining FFM record for this Issuer record, by Quattro Key or demographic matching
W	No	Non-Match - Many FFM: Many Issuer , best match cannot be found for multiple records with the same Quattro Key on both the FFM and Issuer side, all records remain unmatched
L	No	Non-Match - 1:Many, Many:1 Unresolved Sets , best match cannot be found for multiple records on either the FFM or Issuer side to one record on the other side with the same Quattro Key, all records remain unmatched
U	No	Unprocessable Record , contains significant data issues that have caused removal of the record from the matching process; such issues include, but are not limited to, poorly formed date or financial values, dates in the incorrect plan year, etc.
D	No	Duplicate Issuer Record , with no identifiable way to resolve which was the correct record to match to corresponding FFM record
M	Yes	Exact Match , 1:1 Match and all fields that are compared match between the Issuer and FFM record – may contain field-level discrepancies flagged "D" – Did Not Compare

Record-Level Status Indicator	Field-Level Flags Set?	Definition
E	Yes	Uneven Match with Issuer Action Required to resolve; additionally, one or more field-level discrepancies flagged for Issuer update and one or more fields flagged for FFM update, however due to uneven matching of records within the enrollment group, only limited FFM updates may be applied in this cycle Note: Issuers should review records flagged ‘E’ and ‘P’ and, where possible, align extract logic to match the coverage spans represented on the FFM; this is necessary to ensure proper updates are applied to FFM
N	Yes	1:1 Match with Issuer Action Required to resolve; at least one field flagged for Issuer action; one or more fields may also be flagged for FFM update
P	Yes	Uneven Match with Issuer Action Required to resolve; no field-level discrepancies flagged for Issuer update but one or more fields flagged for FFM update, however due to uneven matching of records within the enrollment group, only limited FFM updates may be applied in this cycle Note: Issuers should review records flagged ‘E’ and ‘P’ and, where possible, align extract logic to match the coverage spans represented on the FFM; this is necessary to ensure proper updates are applied to FFM
Z	Yes	Only FFM Action Required; 1:1 Match, no field-level discrepancies flagged for Issuer update but one or more fields flagged for FFM update
V	No	Coverage Span to be Logically Cancelled on FFM; record is active on FFM but exists outside the bounds of active coverage submitted by the Issuer on the RCNI file Note: Although no further Issuer action is required, Issuers are encouraged to review V records to ensure the logical cancellation of the coverage span is appropriate and not due to a technical problem with the Issuer’s extract
Q	Yes	Updates to Record Suppressed; updates will not be made to the FFM despite any field-level discrepancies flagged for FFM update due to a data condition specified in the Footnotes field

'01' Detail Record Layout

Field	Data Element	Description	Data Type	Length
1	Record Code	Designates the type of record; for a detail record, this value must always be 01	String (numeric)	2
2	TPID	The Trading Partner ID associated with the QHP ID Lookup Key of the enrollment record	String (Alphanumeric)	5-10
3	SPOE ID	This field is to be sent as ten blanks spaces	n/a	10
4	Tenant ID	Two-character state abbreviation plus a zero (e.g. XX0 , where XX is the state code)	String (Alphanumeric)	3
5	HIOS ID	Identifier for the Issuer as assigned via the Health Insurance Oversight System; corresponds to the first 5 characters of the QHP ID	String (Numeric)	5
6	QHPID Lookup Key	First ten characters of the QHP ID associated with the enrollment record; used to map the QHP to a Trading Partner ID on the EDI Registration Form	String (Alphanumeric)	10
7	Issuer Extract Date	Date the enrollment record was extracted from the Issuer's system	Date (YYYYMMDD)	8

Field	Data Element	Description	Data Type	Length
Qualified Individual (QI) Information				
8	FFM Member First Name	First name of the qualified individual (member)	String	1-35
9	Issuer Member First Name	First name of the qualified individual (member)	String	1-35
10	FTI Member First Name Flag	Results of the comparison of Issuer data to FFM data for Member First Name, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
11	FFM Member Middle Name	Middle name of the qualified individual (member)	String	1-25
12	Issuer Member Middle Name	Middle name of the qualified individual (member)	String	1-25
13	FTI Member Middle Name Flag	Results of the comparison of Issuer data to FFM data for Member Middle Name, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
14	FFM Member Last Name	Last name of the qualified individual (member)	String	1-60
15	Issuer Member Last Name	Last name of the qualified individual (member)	String	1-60

Field	Data Element	Description	Data Type	Length
16	FTI Member Last Name Flag	Results of the comparison of Issuer data to FFM data for Member Last Name, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
17	FFM DOB	Birthdate of the qualified individual (member)	Date (YYYYMMDD)	8
18	Issuer DOB	Birthdate of the qualified individual (member)	Date (YYYYMMDD)	8
19	FTI DOB Flag	Results of the comparison of Issuer data to FFM data for DOB, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
20	FFM Gender	Member gender; allowed values are F – female or M – male	String (Alphabetical)	1
21	Issuer Gender	Member gender; allowed values are F – female or M – male	String (Alphabetical)	1
22	FTI Gender Flag	Results of the comparison of Issuer data to FFM data for Gender, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
23	FFM SSN	Social Security Number of the member	String (Numeric)	9
24	Issuer SSN	Social Security Number of the member	String (Numeric)	9

Field	Data Element	Description	Data Type	Length
25	FTI SSN Flag	Results of the comparison of Issuer data to FFM data for SSN, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
26	FFM Subscriber Indicator	Indicates whether the member is the subscriber of the enrollment group: Y – Subscriber N – Dependent Member	String	1
27	Issuer Subscriber Indicator	Indicates whether the member is the subscriber of the enrollment group: Y – Subscriber N – Dependent Member	String	1
28	FTI Subscriber Indicator Flag	Results of the comparison of Issuer data to FFM data for Subscriber Indicator, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
29	FFM Relationship to Subscriber Indicator	Indicates the member's relationship to the subscriber for the enrollment group; use the ASC X12 values in Table 1 Should the Issuer's system not have the ASC X12 code available then the Issuer should crosswalk to the following four values: 01 – Spouse 18 – Self 19 – Child G8 – Other Relative	String (Alphanumeric)	2
30	Issuer Relationship to Subscriber Indicator	Indicates the member's relationship to the subscriber for the enrollment group; use the ASC X12 values in Table 1 Should the Issuer's system not have the ASC X12 code available then the Issuer should crosswalk to the following four values: 01 – Spouse 18 – Self 19 – Child G8 – Other Relative	String (Alphanumeric)	2
31	FTI Relationship to Subscriber Indicator Flag	Results of the comparison of Issuer data to FFM data for Relationship to Subscriber Indicator, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
Identifying Information				
32	FFM Exchanged Assigned Subscriber ID	Exchange-Assigned identifier for the subscriber of the enrollment group; if the member in the record is the subscriber, this will be the same as the Exchange-Assigned Member ID Must be 10 characters, including leading zeroes	String (Numeric)	10
33	Issuer Exchange-Assigned Subscriber ID	Exchange-Assigned identifier for the subscriber of the enrollment group; if the member in the record is the subscriber, this will be the same as the Exchange-Assigned Member ID Must be 10 characters, including leading zeroes	String (Numeric)	10
34	FTI Exchange-Assigned Subscriber ID	Results of the comparison of Issuer data to FFM data for Exchange-Assigned Subscriber ID, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
35	FFM Exchange-Assigned Member ID	Exchange-Assigned identifier for the member Must be 10 characters, including leading zeroes	String (Numeric)	10

Field	Data Element	Description	Data Type	Length
36	Issuer Exchange-Assigned Member ID	Exchange-Assigned identifier for the member Must be 10 characters, including leading zeroes	String (Numeric)	10
37	FTI Exchange-Assigned Member ID Flag	Results of the comparison of Issuer data to FFM data for Exchange-Assigned Member ID, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
38	FFM Issuer-Assigned Subscriber ID	Issuer-Assigned identifier for the subscriber of the enrollment group; typically, this should be the same as the Issuer-Assigned Member ID of the subscriber	String	1-50
39	Issuer Issuer-Assigned Subscriber ID	Issuer-Assigned identifier for the subscriber of the enrollment group; typically, this should be the same as the Issuer-Assigned Member ID of the subscriber	String (Alphanumeric)	1-50
40	FTI Issuer-Assigned Subscriber ID Flag	Results of the comparison of Issuer data to FFM data for Issuer-Assigned Subscriber ID, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
41	FFM Issuer-Assigned Member ID	Issuer-Assigned identifier for the member	String	1-50

Field	Data Element	Description	Data Type	Length
42	Issuer Issuer-Assigned Member ID	Issuer-Assigned identifier for the member	String (Alphanumeric)	1-50
43	FTI Issuer-Assigned Member ID Flag	Results of the comparison of Issuer data to FFM data for Issuer-Assigned Member ID, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
44	FFM Exchange-Assigned Policy Number	Identifier for this enrollment policy document, generated by the FFM	String (Alphanumeric)	1-15
45	Issuer Exchange-Assigned Policy Number	Identifier for this enrollment policy document, generated by the FFM	String (Alphanumeric)	1-15
46	FTI Exchange-Assigned Policy ID Flag	Results of the comparison of Issuer data to FFM data for Exchange-Assigned Policy ID, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
47	FFM Issuer-Assigned Policy ID	Policy number for the benefit coverage as assigned by the Issuer Note: It is recommended that this value be unique to the enrollment group and consistent across all members of the enrollment group	String	1-50

Field	Data Element	Description	Data Type	Length
48	Issuer Issuer- Assigned Policy ID	Policy number for the benefit coverage as assigned by the Issuer Note: It is recommended that this value be unique to the enrollment group and consistent across all members of the enrollment group	String	1-50
49	FTI Issuer-Assigned Policy ID Flag	Results of the comparison of Issuer data to FFM data for Issuer-Assigned Policy ID, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
Residential Address Information				
50	FFM Residential Street Address	Residential street address of the member (Line 1)	String	1-55
51	Issuer Residential Street Address	Residential street address of the member (Line 1)	String	1-55
52	FTI Residential Street Address Flag	Results of the comparison of Issuer data to FFM data for Residential Street Address (Line 1), including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
53	FFM Residential Street Address Line 2	Residential street address of the member (Line 2)	String	1-55
54	Issuer Residential Street Address Line 2	Residential street address of the member (Line 2)	String	1-55

Field	Data Element	Description	Data Type	Length
55	FTI Residential Street Address Line 2 Flag	Results of the comparison of Issuer data to FFM data for Residential Street Address (Line 2), including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
56	FFM Residential City	Residential city of the member	String	1-30
57	Issuer Residential City	Residential city of the member	String	1-30
58	FTI Residential City Flag	Results of the comparison of Issuer data to FFM data for Residential City, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
59	FFM Residential State	State abbreviation for the residential state of the member	String	2
60	Issuer Residential State	State abbreviation for the residential state of the member	String	2
61	FTI Residential State Flag	Results of the comparison of Issuer data to FFM data for Residential State, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
62	FFM Residential ZIP Code	Residential ZIP Code of the member	String	9
63	Issuer Residential ZIP Code	Residential ZIP Code of the member	String	9

Field	Data Element	Description	Data Type	Length
64	FTI Residential ZIP Code Flag	Results of the comparison of Issuer data to FFM data for Residential ZIP Code, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
Mailing Address Information				
65	FFM Mailing Address Street	Mailing street address of the member (Line 1)	String	1-55
66	Issuer Mailing Address Street	Mailing street address of the member (Line 1)	String	1-55
67	FTI Mailing Address Street Flag	Results of the comparison of Issuer data to FFM data for Mailing Address Street (Line 1), including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
68	FFM Mailing Address Street Line 2	Mailing street address of the member (Line 2)	String	1-55
69	Issuer Mailing Address Street Line 2	Mailing street address of the member (Line 2)	String	1-55
70	FTI Mailing Address Street Line 2 Flag	Results of the comparison of Issuer data to FFM data for Mailing Address Street (Line 2), including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
71	FFM Mailing Address City	Mailing city of the member	String	2-30
72	Issuer Mailing Address City	Mailing city of the member	String	2-30

Field	Data Element	Description	Data Type	Length
73	FTI Mailing Address City Flag	Results of the comparison of Issuer data to FFM data for Mailing Address City, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
74	FFM Mailing Address State	State abbreviation for the mailing state of the member	String	2
75	Issuer Mailing Address State	State abbreviation for the mailing state of the member	String (Alphabetical)	2
76	FTI Mailing Address State Flag	Results of the comparison of Issuer data to FFM data for Mailing Address State, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
77	FFM Mailing Address ZIP Code	Mailing ZIP Code of the member	String	9
78	Issuer Mailing Address ZIP Code	Mailing ZIP Code of the member	String	9
79	FTI Mailing Address ZIP Code Flag	Results of the comparison of Issuer data to FFM data for Mailing Address ZIP Code, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
Other Demographic Information				
80	FFM Residential County Code	Residential County (Federal Information Processing Standard – FIPS) Code of the member	String (Numeric)	5

Field	Data Element	Description	Data Type	Length
81	Issuer Residential County Code	Residential County (Federal Information Processing Standard – FIPS) Code of the member	String (Numeric)	5
82	FTI Residential County Code Flag	Results of the comparison of Issuer data to FFM data for Residential County Code, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
83	FFM Rating Area	Rating area of the enrollment, based on residential address; only applies to subscriber records	String (Alphanumeric)	7
84	Issuer Rating Area	Rating area of the enrollment, based on residential address; only applies to subscriber records	String (Alphanumeric)	7
85	FTI Rating Area Flag	Results of the comparison of Issuer data to FFM data for Rating Area, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
86	FFM Telephone Number	Primary contact telephone number for the member	String (Numeric)	10
87	Issuer Telephone Number	Primary contact telephone number for the member	String (Numeric)	10

Field	Data Element	Description	Data Type	Length
88	FTI Telephone Number Flag	Results of the comparison of Issuer data to FFM data for Telephone Number, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
Benefit Coverage & Financial Information				
89	FFM Tobacco Status	Specifies whether the member has indicated tobacco use in the past six months: 1 – Tobacco Use 2 – No Tobacco Use NOTE: for any individual under 18 years of age, this field should always be sent with a value of 2	Integer	1
90	Issuer Tobacco Status	Specifies whether the member has indicated tobacco use in the past six months: 1 – Tobacco Use 2 – No Tobacco Use NOTE: for any individual under 18 years of age, this field should always be sent with a value of 2	Integer	1
91	FTI Tobacco Status Flag	Results of the comparison of Issuer data to FFM data for Tobacco Status, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
92	FFM QHP ID	Full 16 character Qualified Health Plan (QHP) identifier, including CSR variant; required for all records	String (Alphanumeric)	16
93	Issuer QHP ID	Full 16 character Qualified Health Plan (QHP) identifier, including CSR variant; required for all records	String (Alphanumeric)	16
94	FTI QHP ID Flag	Results of the comparison of Issuer data to FFM data for QHP ID, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
95	FFM Benefit Start Date	Effective date of benefit coverage associated with this enrollment record; required for all records	Date (YYYYMMDD)	8
96	Issuer Benefit Start Date	Effective date of benefit coverage associated with this enrollment record; required for all records	Date (YYYYMMDD)	8
97	FTI Benefit Start Date Flag	Results of the comparison of Issuer data to FFM data for Benefit Start Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
98	FFM Benefit End Date	Last date of benefit coverage associated with this enrollment record; a blank date may be sent for open ended coverage	Date (YYYYMMDD)	8

Field	Data Element	Description	Data Type	Length
99	Issuer Benefit End Date	Last date of benefit coverage associated with this enrollment record; a blank date may be sent for open ended coverage	Date (YYYYMMDD)	8
100	FTI Benefit End Date Flag	Results of the comparison of Issuer data to FFM data for Benefit End Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
101	FFM Applied APTC Amount	Amount of Advance Premium Tax Credit <i>applied to the premium monthly</i> , based on the subscriber's election during enrollment; only applies to subscriber records, otherwise blank	Dollars and Cents (####.##)	4-8
102	Issuer Applied APTC Amount	Amount of Advance Premium Tax Credit <i>applied to the premium monthly</i> , based on the subscriber's election during enrollment; only applies to subscriber records, otherwise blank	Dollars and Cents (####.##)	4-8
103	FTI Applied APTC Amount Flag	Results of the comparison of Issuer data to FFM data for Applied APTC Amount, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
104	FFM Applied APTC Effective Date	Effective date of the monthly applied APTC amount; only applies to subscriber records with a positive Applied APTC Amount, otherwise blank	Date (YYYYMMDD)	8
105	Issuer Applied APTC Effective Date	Effective date of the monthly applied APTC amount; only applies to subscriber records with a positive Applied APTC Amount, otherwise blank	Date (YYYYMMDD)	8
106	FTI Applied APTC Effective Date Flag	Results of the comparison of Issuer data to FFM data for Applied APTC Effective Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
107	FFM Applied APTC End Date	End date of the monthly applied APTC amount; only applies to subscriber records with a positive Applied APTC Amount, otherwise blank	Date (YYYYMMDD)	8
108	Issuer Applied APTC End Date	End date of the monthly applied APTC amount; only applies to subscriber records with a positive Applied APTC Amount, otherwise blank	Date (YYYYMMDD)	8

Field	Data Element	Description	Data Type	Length
109	FTI Applied APTC End Date Flag	Results of the comparison of Issuer data to FFM data for Applied APTC End Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
110	FFM CSR Amount	Monthly Cost Sharing Reduction amount based on plan selection and member eligibility; only applies to subscriber records, otherwise blank	Dollars and Cents (#####.##)	4-8
111	Issuer CSR Amount	Monthly Cost Sharing Reduction amount based on plan selection and member eligibility; only applies to subscriber records, otherwise blank	Dollars and Cents (#####.##)	4-8
112	FTI CSR Amount Flag	Results of the comparison of Issuer data to FFM data for CSR Amount, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
113	FFM CSR Effective Date	Effective date of the monthly CSR amount; only applies to subscriber records with a positive CSR Amount, otherwise blank	Date (YYYYMMDD)	8
114	Issuer CSR Effective Date	Effective date of the monthly CSR amount; only applies to subscriber records with a positive CSR Amount, otherwise blank	Date (YYYYMMDD)	8

Field	Data Element	Description	Data Type	Length
115	CSR Effective Date Flag	Results of the comparison of Issuer data to FFM data for CSR Effective Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
116	FFM CSR End Date	End date of the monthly CSR amount; only applies to subscriber records with a positive CSR Amount, otherwise blank	Date (YYYYMMDD)	8
117	Issuer CSR End Date	End date of the monthly CSR amount; only applies to subscriber records with a positive CSR Amount, otherwise blank	Date (YYYYMMDD)	8
118	FTI CSR End Date Flag	Results of the comparison of Issuer data to FFM data for CSR End Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
119	FFM Total Premium Amount	Total monthly premium amount for the enrollment group; only applies to subscriber records, otherwise blank	Dollars and Cents (####.##)	4-8
120	Issuer Total Premium Amount	Total monthly premium amount for the enrollment group; only applies to subscriber records, otherwise blank	Dollars and Cents (####.##)	4-8

Field	Data Element	Description	Data Type	Length
121	FTI Total Premium Amount Flag	Results of the comparison of Issuer data to FFM data for Total Premium Amount, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
122	FFM Total Premium Effective Date	Effective date of the monthly total premium amount; only applies to subscriber records, otherwise blank	Date (YYYYMMDD)	8
123	Issuer Total Premium Effective Date	Effective date of the monthly total premium amount; only applies to subscriber records, otherwise blank	Date (YYYYMMDD)	8
124	FTI Total Premium Effective Date Flag	Results of the comparison of Issuer data to FFM data for Total Premium Effective Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
125	FFM Total Premium End Date	End date of the monthly total premium amount; only applies to subscriber records, otherwise blank	Date (YYYYMMDD)	8
126	Issuer Total Premium End Date	End date of the monthly total premium amount; only applies to subscriber records, otherwise blank	Date (YYYYMMDD)	8

Field	Data Element	Description	Data Type	Length
127	FTI Total Premium End Date Flag	Results of the comparison of Issuer data to FFM data for Total Premium End Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
128	FFM Member Premium Amount	Monthly premium amount associated with the individual member; sent for both subscriber and dependent member records, if available in the Issuer's system	Dollars and Cents (####.##)	4-8
129	Issuer Member Premium Amount	Monthly premium amount associated with the individual member; sent for both subscriber and dependent member records, if available in the Issuer's system	Dollars and Cents (####.##)	4-8
130	FTI Member Premium Amount Flag	Results of the comparison of Issuer data to FFM data for Member Premium Amount, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
131	FFM Member Premium Effective Date	Effective date of the monthly individual premium amount; sent for both subscriber and dependent member records, if available in the Issuer's system	Date (YYYYMMDD)	8

Field	Data Element	Description	Data Type	Length
132	Issuer Member Premium Effective Date	Effective date of the monthly individual premium amount; sent for both subscriber and dependent member records, if available in the Issuer's system	Date (YYYYMMDD)	8
133	FTI Member Premium Effective Date Flag	Results of the comparison of Issuer data to FFM data for Member Premium Effective Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
134	FFM Member Premium End Date	End date of the monthly individual premium amount; sent for both subscriber and dependent member records, if available in the Issuer's system	Date (YYYYMMDD)	8
135	Issuer Member Premium End Date	End date of the monthly individual premium amount; sent for both subscriber and dependent member records, if available in the Issuer's system	Date (YYYYMMDD)	8
136	FTI Member Premium End Date Flag	Results of the comparison of Issuer data to FFM data for Member Premium End Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
137	FFM Initial Premium Paid Status	Indicates if the initial binder payment has been made for the enrollment, leading to effectuated coverage: Y – Effectuated (active or terminated coverage) N – Uneffectuated (awaiting binder payment) C – Cancelled (no binder payment received, no period of coverage) This value is to be sent with the subscriber of the enrollment group and will apply to all members of the enrollment group	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
138	Issuer Initial Premium Paid Status	Indicates if the initial binder payment has been made for the enrollment, leading to effectuated coverage: Y – Effectuated (active or terminated coverage) N – Uneffectuated (awaiting binder payment) C – Cancelled (no binder payment received, no period of coverage) This value is to be sent with the subscriber of the enrollment group and will apply to all members of the enrollment group	String (Alphabetical)	1
139	FTI Initial Premium Paid Status Flag	Results of the comparison of Issuer data to FFM data for Initial Premium Paid Status, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
140	FTI Issuer Overall Record Flag	Results of the record-level comparison, and – if matched – an indicator of whether any Issuer or FFM updates are flagged within the record	String	1
141	FFM Internal Inventory Record Number	Unique identifier for the specific record in the RCNO file	Integer	1-12

Field	Data Element	Description	Data Type	Length
142	FTI Issuer Link Key	The purpose of the FTI Issuer Link key is to provide the Issuer with the enrollment groups which have been linked together via the analytical processing of the Issuer's data	Integer	1-30
143	FTI Internal Batch ID	Indicates the processing batch (reconciliation cycle) in which the file was generated	Integer	1-15
144	FTI Footnotes	This field contains additional information about the specific record beyond the matching results and field-level flags; please refer to Table 2 for potential values and descriptions	String	500

Field	Data Element	Description	Data Type	Length
145	FTI Issuer-Assigned Record Trace Number	This is the value that was on the inbound Enrollment Reconciliation file "01 Detail Record" from the Issuer. If the Issuer did not assign a value to the "01 Detail Record" Issuer-Assigned Record Trace Number field then the only value returned will be a single space or blank character in the outbound Enrollment Reconciliation file. If the FFM has an individual (Subscriber or Member) that was not on the inbound Issuer's file then the value of the FTI Issuer-Assigned Record Trace Number is space or blank	String	1-50
146	FFM Coverage Year	Relevant 4-digit Plan Year represented by the enrollment record	String (Numeric)	4
147	Issuer Coverage Year	Relevant 4-digit Plan Year represented by the enrollment record	String (Numeric)	4
148	FTI Coverage Year Flag	Results of comparison of Issuer data to FFM data for Coverage Year; Please Note: any discrepancy on this field will result in an unprocessable record	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
149	FFM Paid Through Date	Date through which the member has made payment for benefit coverage (as provided by the Issuer); only applies to subscriber records, otherwise blank	Date (YYYYMMDD)	8
150	Issuer Paid Through Date	Date through which the member has made payment for benefit coverage (as provided by the Issuer); only applies to subscriber records, otherwise blank	Date (YYYYMMDD)	8
151	FTI Paid Through Date Flag	Results of the comparison of Issuer data to FFM data for Paid Through Date, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
152	FFM End of Year Termination Indicator	Indicates if the enrollment record will be terminated effective December 31st of the given plan year and should be ineligible for reenrollment through Batch Auto-Renewal; 'Y' indicates terminated 12/31, 'N' indicates not terminated 12/31 – this field will be blank if cancelled or terminated earlier than 12/31 of the plan year	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
153	Issuer End of Year Termination Indicator	Indicates if the enrollment record will be terminated effective December 31st of the given plan year and should be ineligible for reenrollment through Batch Auto-Renewal; 'Y' indicates terminated 12/31, otherwise blank	String (Alphabetical)	1
154	FTI End of Year Termination Indicator Flag	Results of the comparison of Issuer data to FFM data for End of Year Voluntary Termination Indicator, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
Agent/Broker Information				
155	FFM Agent/Broker NPN	The National Producer Number of the agent/broker, if applicable	String (Numeric)	1 - 10
156	Issuer Agent/Broker NPN	The National Producer Number of the agent/broker, if applicable	String (Numeric)	1 - 10
157	FTI Agent/Broker NPN Flag	Results of the comparison of Issuer data to FFM data for Agent/Broker NPN, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
158	FFM Agent/Broker First Name	First name (or first part of company name) of the agent/broker associated with the enrollment, if applicable	String	1 - 25
159	Issuer Agent/Broker First Name	First name (or first part of company name) of the agent/broker associated with the enrollment, if applicable	String	1 - 25
160	FTI Agent/Broker First Name Flag	Results of the comparison of Issuer data to FFM data for Agent/Broker First Name, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
161	FFM Agent/Broker Middle Initial	Middle initial of the agent/broker associated with the enrollment, if applicable	String	1
162	Issuer Agent/Broker Middle Initial	Middle initial of the agent/broker associated with the enrollment, if applicable	String	1
163	FTI Agent/Broker Middle Initial Flag	Results of the comparison of Issuer data to FFM data for Agent/Broker Middle Initial, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
164	FFM Agent/Broker Last Name	Last name (or second part of company name) of the agent/broker associated with the enrollment, if applicable	String	1 - 25
165	Issuer Agent/Broker Last Name	Last name (or second part of company name) of the agent/broker associated with the enrollment, if applicable	String	1 - 25
166	FTI Agent/Broker Last Name Flag	Results of the comparison of Issuer data to FFM data for Agent/Broker Last Name, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1
167	FFM Agent/Broker Suffix	Suffix of the agent/broker associated with the enrollment, if applicable	String	2-3
168	Issuer Agent/Broker Suffix	Suffix of the agent/broker associated with the enrollment, if applicable	String	2-3
169	FTI Agent/Broker Suffix Flag	Results of the comparison of Issuer data to FFM data for Agent/Broker Suffix, including the required action to resolve any discrepancy (as needed)	String (Alphabetical)	1

Field	Data Element	Description	Data Type	Length
Administrative Information				
170	FFM Enrollment Group Member Count	Number of members in the enrollment group, as determined by the FFM	Integer	
171	Issuer Enrollment Group Member Count	Number of members in the enrollment, as submitted by the Issuer (members with the same Issuer-Assigned Policy ID and same effective date)	Integer	
172	FTI Enrollment Group Member Count Flag	Results of comparison of Issuer data to FFM data for Enrollment Group Member Count; Please Note: any discrepancy on this field will result in suppression of full field-level updates to the FFM for the record	String (Alphabetical)	1
The Following Fields (173-181) Will Not Appear on Files Until August 2019				
173	FFM Cancellation Reason Code	Indicates the reason for cancellation of the policy, as stored on the FFM; refer to the values in Table 3 If the policy is not cancelled on the FFM, this field will be blank	String (Numeric)	1-2
174	Issuer Cancellation Reason Code	Indicates the reason for cancellation of the policy, as provided by the Issuer; refer to the values in Table 3	String (Numeric)	1-2

Field	Data Element	Description	Data Type	Length
175	FTI Cancellation Reason Code Flag	Results of comparison of Issuer data to FFM data for Cancellation Reason Code, including the required action to resolve any discrepancy	String	1
176	FFM Termination Reason Code	Indicates the reason for termination of the policy, as stored on the FFM; refer to the values in Table 3 If the policy is not cancelled on the FFM, this field will be blank	String (Numeric)	1-2
177	Issuer Termination Reason Code	Indicates the reason for termination of the policy, as provided by the Issuer; refer to the values in Table 3	String (Numeric)	1-2
178	FTI Termination Reason Flag	Results of comparison of Issuer data to FFM data for Termination Reason Code, including the required action to resolve any discrepancy	String	1
179	Marketplace Policy Segment ID	Identifier for the specific FFM coverage span within an enrollment policy document	String (Numeric)	1 - 15
180	Cancellation Source	Conveys whether an existing FFM cancellation was initiated on the FFM or by the Issuer; see Table 4 for potential values If the enrollment record is not currently cancelled on the FFM, this field will be blank	String (Numeric)	1

Field	Data Element	Description	Data Type	Length
181	Termination Source	Conveys whether an existing FFM termination was initiated on the FFM or by the Issuer; see Table 4 for potential values If the enrollment record is not currently terminated on the FFM, this field will be blank	String (Numeric)	1

'02' Summary Record Layout

Field	Data Element	Description	Data Type	Length
201	Record Code	Designates the type of record; for a summary record, this value must always be 02	String (Numeric)	2
202	Trading Partner ID	The Trading Partner ID associated with each QHP ID Lookup Key in the corresponding '01' detail records	String (Alphanumeric)	5-10
203	SPOE ID	This field is to be sent as ten blanks spaces	n/a	10
204	Tenant ID	Two character state abbreviation plus a zero (e.g. XX0 , where XX is the state code)	String (Alphanumeric)	3
205	HIOS ID	Identifier for the Issuer as assigned via the Health Insurance Oversight System; corresponds to the first 5 characters of the QHP ID	String (Numeric)	5
206	QHPID Lookup Key	First ten characters of the QHP ID associated with the last '01' detail record in the file; used to map the QHP to a Trading Partner ID on the EDI Registration Form	String (Alphanumeric)	10
207	Issuer Extract Date	Date the record set was extracted from the Issuer's system	Date (YYYYMMDD)	8
208	Total Number of Issuer Records	The total number of '01' and '02' records associated with the HIOS ID in the logical file	Integer	

Field	Data Element	Description	Data Type	Length
9	Total Number of Subscribers (Issuer)	Basic count of all '01' records associated with the HIOS ID that have a value of Y in the Issuer Subscriber Indicator field Please note this is not a count of unique subscribers	Integer	
10	Total Number of Dependent Members (Issuer)	Basic count of all '01' records associated with the HIOS ID that have a value of N in the Issuer Subscriber Indicator field Please note this is not a count of unique dependent members	Integer	
11	Total Premium Amount (Issuer)	Basic sum of the Issuer Total Premium Amount in all '01' records associated with the HIOS ID Please note this is not a sum of active or current premium values only; all records are to be included in the sum	Dollars and Cents (#####.##)	
12	Total Applied APTC Amount (Issuer)	Basic sum of the Issuer Applied APTC Amount in all '01' records associated with the HIOS ID Please note this is not a sum of active or current APTC values only; all records are to be included in the sum	Dollars and Cents (#####.##)	
13	Reconciliation Processing Acknowledgment Indicator	Indicates that the file has been accepted and processed through reconciliation; does *not* indicate whether the file has passed statistical analysis and that FFM updates will be applied	String	1

Table 1 – Relationship Code Mapping From FFM Policy and FFM Application to ASC X12 Format

FFM Internal Value	Description	ASC X12 Value
1	Self	18
2	Spouse	01
3	Parent	03
4	Son/Daughter	19
5	Stepson/Stepdaughter	17
6	Grandchild	05
7	Sibling	14
8	Domestic Partner	53
9	Child of Domestic Partner	19
10	Unrelated	G8
11	Other Relative	G9
12	Step parent	16
13	Aunt/Uncle	06
14	Nephew/Niece	07
15	Grandparent	04
16	First Cousin	08
17	Parent's Domestic Partner	G8
18	Tax Filer	G8
19	Tax Dependent	G8
20	Other	G8

FFM Internal Value	Description	ASC X12 Value
21	Adopted Child	09
22	Annuitant	60
23	Brother in law/Sister in law	12
24	Collateral Dependent	38
25	Court Appointed Guardian	31
26	Daughter in law/Son in law	11
27	Former Spouse	25
28	Foster Child	10
29	Guardian	26
30	Mother in law/Father in law	13
31	Trustee	D2
32	Ward	15

Table 2 – Footnote Tags

Tag	Description
#MERGE	Indicates the record represents two or more FFM records that are part of the same policy, but have been split into multiple spans on the FFM as a result of a change in financial eligibility not conveyed to the Issuer; updates will be applied based upon the field-level flags reflected in the merged row Please note, the merged records will be conveyed as two or more distinct spans on the Enrollment Pre-Audit File; merging is only done on the Outbound Enrollment Reconciliation File

Tag	Description
#GAP	Indicates that applying the update flagged on either the benefit coverage or financial dates on the RCNO record would result in creation of a gap in coverage for an individual or enrollment group As gaps in coverage cannot be created through automated reconciliation, updates will be suppressed for all records associated with that member/group for that HIOS ID
#OVERLAP	The #OVERLAP value in the Footnotes field indicates that applying the update flagged on the benefit coverage dates will result in overlapping or duplicate coverage for one or more members of the enrollment group on the FFM As overlapping or duplicate coverage cannot be created on the FFM, updates will be suppressed for all records associated with that enrollment group
#SPANSHORT	The #SPANSHORT value in the Footnotes field indicates that applying the update flagged on either the benefit coverage or financial dates on the RCNO record would result in reducing coverage reflected on the FFM to less than the total coverage submitted by the Issuer on their RCNI file for the member To avoid inadvertent reduction in coverage, updates will be suppressed for the enrollment group
#NLE	The #NLE value in the Footnotes field indicates that reinstating the enrollment or extending the end date of the enrollment is not allowed due to the presence of an NLE on the enrollment; this is reflected in the Cancellation or Termination Source of the record

Table 3 – Cancellation or Termination Reason Code

Includes mapping to Additional Maintenance Reason Code (AMRC) values used in EDI 834 transactions

Reference Code	Description	Inbound/ Outbound	Cancel AMRC	Termination AMRC
1	Voluntary Withdrawal	Outbound	CANCEL	TERM
2	No Longer Eligible (NLE) Due to Unresolved Data Matching Issue (DMI)	Outbound	CANCEL-NLE	TERM-NLE
3	Change in Circumstance (CIC)	Outbound	CANCELCIC	TERMCIC
4	Stop QHP Due to Other Coverage	Outbound	n/a	TERM-OTH-COVERAGE
5	BAR Carry-Forward Cancel	Outbound	CANCEL-CARRYFORWARD	n/a
6	Non-Payment	Inbound	CANCEL	TERM
7	Free Look Cancel	Inbound	CANCEL-FLC	n/a
8	HICS	Inbound	CANCEL-HICS	TERM-HICS
9	Fraud	Inbound	CANCEL-FRD	TERM-FRD
10	Anti-Duplication	Inbound	CANCEL-ANTIDUPLICATION	TERM-ANTIDUPLICATION
11	Out of Area	Inbound	CANCEL-OUT-OF-AREA	n/a
12	Other	Inbound	CANCEL-OTH	TERM-OTH
13	Death	Outbound	CANCEL-PDM	TERM-PDM
14	Rescind Coverage	Inbound	CANCEL-RESCIND	n/a

Note: “Outbound” indicates the reason code is used on transactions from the FFM to the Issuer; “Inbound” indicates the Issuer has initiated the cancellation or termination.

Table 4 – Cancellation or Termination Source Code

Reference Code	Value
1	Online/Voluntary Withdrawal
2	Inbound 834
3	NLE
4	Reconciliation
5	FFM Cleanup Activity (i.e. Overlaps, Carry-Forward Cancel, etc.)