

# TRANSITIONAL ADJUSTMENT DATA COLLECTION

**February 3, 2015**



**Health Insurance Exchange Program  
Training Series**

# Session Guidelines

- This is a 60-minute webinar session.
- For questions regarding content, submit inquiries to REGTAP (or email [rcadjustment@cms.hhs.gov](mailto:rcadjustment@cms.hhs.gov)).
- For questions regarding logistics and registration, contact the Registrar at: (800) 257-9520.

# Intended Audience

- Health insurance companies offering coverage in transitional states
  - In individual and small group markets
  - During the 2014 Benefit Year

# Purpose

- This session will provide submission instructions and guidelines specific to the Transitional Adjustment Data Collection.

# Transitional Adjustment Data Collection: Background

- On November 14, 2013, CMS announced a policy under which it will not consider certain individual or small group coverage that is renewed for a policy year starting after January 1, 2014 to be out of compliance with specified 2014 Market Rules (CMS transitional policy). CMS requested that states adopt a similar non-enforcement policy.
- To help mitigate the transitional policy's effect on the risk pool for Qualified Health Plan (QHP) issuers in the 2014 Benefit Year, CMS implemented a state-level adjustment to the Risk Corridors formula.
- This session will provide an overview of the Transitional Adjustment Data Collection that will be used to calculate the Risk Corridors adjustment percentage for 2014, as defined in 45 CFR 153.500.

# Transitional Adjustment Data Collection: Where & When

- An Excel version of the Transitional Adjustment Reporting Form will be available on the CCIIO website at:  
<http://www.cms.gov/CCIIO/Resources/Forms-Reports-and-Other-Resources/Downloads/TRANSITIONAL-REPORTING-FORM.xlsx>
  - A 508-compliant, PDF version of the Reporting Form and detailed instructions for completing the form are located on the CMS website at: <http://www.cms.gov/Regulations-and-Guidance/Legislation/PaperworkReductionActof1995/PRA-Listing.html>. (Type 'transitional' in the 'Filter On' box.)
  - Questions or issues with the submission process should be sent to [Rcadjustment@cms.hhs.gov](mailto:Rcadjustment@cms.hhs.gov).

# Transitional Adjustment Data Collection: Where & When (continued)

- CMS will accept Transitional Adjustment Reporting Form submissions February 9 – February 13, 2015.
- Completed Transitional Adjustment Reporting Forms should be emailed to:  
[rcadjustment@cms.hhs.gov](mailto:rcadjustment@cms.hhs.gov).

# Transitional Adjustment Reporting Form: Required Submitters

All companies that offered health insurance coverage in the individual or small group market in 2014, in a state that adopted the CMS transitional policy (transitional state), must submit a Transitional Adjustment Reporting Form.

- Submissions are required for ALL individual and small group plans, offered in transitional states (listed on the following slide).
- Submission is not limited to QHP issuers only.
- Issuers in transitional states must submit a Transitional Adjustment Reporting Form even if they do not have enrollment in transitional policies.
- Each company should submit one (1) Transitional Adjustment Reporting Form for all issuers, in each transitional state.



# List of Transitional States

The following 39 states adopted the CMS transitional policy for Benefit Year 2014:

- Alabama
- Alaska
- Arizona
- Arkansas
- California (small group only)
- Colorado
- Florida
- Georgia
- Hawaii
- Idaho
- Illinois
- Indiana
- Iowa
- Kansas
- Kentucky
- Louisiana
- Maine
- Michigan
- Mississippi
- Missouri
- Montana
- Nebraska
- New Hampshire
- New Jersey
- New Mexico
- North Carolina
- North Dakota
- Ohio
- Oklahoma
- Oregon
- Pennsylvania
- South Carolina
- South Dakota
- Tennessee
- Texas
- Utah
- West Virginia
- Wisconsin
- Wyoming

# Transitional Adjustment Reporting Form and Member-Months Calculation

Input all Issuer ID(s) that exist within each transitional business State

Input member-month enrollment for month(s) that a policy met the definition of a transitional policy only in Columns 1 and/or 3

		Member Months in 2014			
		Individual Market		Small Group	
Business State	Issuer ID(s)	Transitional Policies	All Other Non-grandfathered, ACA-compliant Policies	Transitional Policies	All Other Non-grandfathered, ACA-compliant Policies
		1	2	3	4
Alabama					
Alaska					
Arizona					
Arkansas					
California					
Colorado					

Input member-month enrollment for the month(s) that the policy was non-transitional, ACA-compliant in Columns 2 and/or 4

## Member-Months Calculation:

- Sum of total number of lives insured on a specified day of each month in 2014.
- Member-months are aggregated separately for individual and small group market.

# Questions?

## During the training session:

- To submit questions by phone:
  - dial '14' on your phone's keypad
  - dial '13' to exit the phone queue

## Outside of the training session:

- Any questions following the webinar should be emailed to [rcadjustment@cms.hhs.gov](mailto:rcadjustment@cms.hhs.gov)

# Resources

# Resources

Resource	Resource Link
U.S. Department of Health & Human Services	<a href="http://www.hhs.gov/">http://www.hhs.gov/</a>
Centers for Medicare & Medicaid Services (CMS)	<a href="http://www.cms.gov/">http://www.cms.gov/</a>
The Center for Consumer Information & Insurance Oversight (CCIIO) web page	<a href="http://www.cms.gov/ccio">http://www.cms.gov/ccio</a>
Consumer website on Health Reform	<a href="http://www.healthcare.gov/">http://www.healthcare.gov/</a>
Registration for Technical Assistance Portal (REGTAP) - presentations, FAQs	<a href="https://www.REGTAP.info">https://www.REGTAP.info</a>
Transitional Adjustment Reporting Form	<a href="http://www.cms.gov/CCIIO/Resources/Forms-Reports-and-Other-Resources/Downloads/TRANSITIONAL-REPORTING-FORM.xlsx">http://www.cms.gov/CCIIO/Resources/Forms-Reports-and-Other-Resources/Downloads/TRANSITIONAL-REPORTING-FORM.xlsx</a>

# Resources (continued)

Resource	Resource Link
Patient Protection and Affordable Care Act (ACA)	<a href="http://www.gpo.gov/fdsys/pkg/PLAW-111publ148/content-detail.html">http://www.gpo.gov/fdsys/pkg/PLAW-111publ148/content-detail.html</a>
Standards Related to Reinsurance, Risk Corridors, and Risk Adjustment under the ACA	<a href="http://www.gpo.gov/fdsys/pkg/FR-2011-07-15/pdf/2011-17609.pdf">http://www.gpo.gov/fdsys/pkg/FR-2011-07-15/pdf/2011-17609.pdf</a>
HHS Notice of Benefit and Payment Parameters for 2014 and Amendments to the HHS Notice of Benefit and Payment Parameters for 2014	<a href="http://www.gpo.gov/fdsys/pkg/FR-2013-03-11/pdf/2013-04902.pdf">http://www.gpo.gov/fdsys/pkg/FR-2013-03-11/pdf/2013-04902.pdf</a>
HHS Notice of Benefit and Payment Parameters for 2015 and Amendments to the HHS Notice of Benefit and Payment Parameters for 2015	<a href="http://www.gpo.gov/fdsys/pkg/FR-2014-03-11/pdf/2014-05052.pdf">http://www.gpo.gov/fdsys/pkg/FR-2014-03-11/pdf/2014-05052.pdf</a>

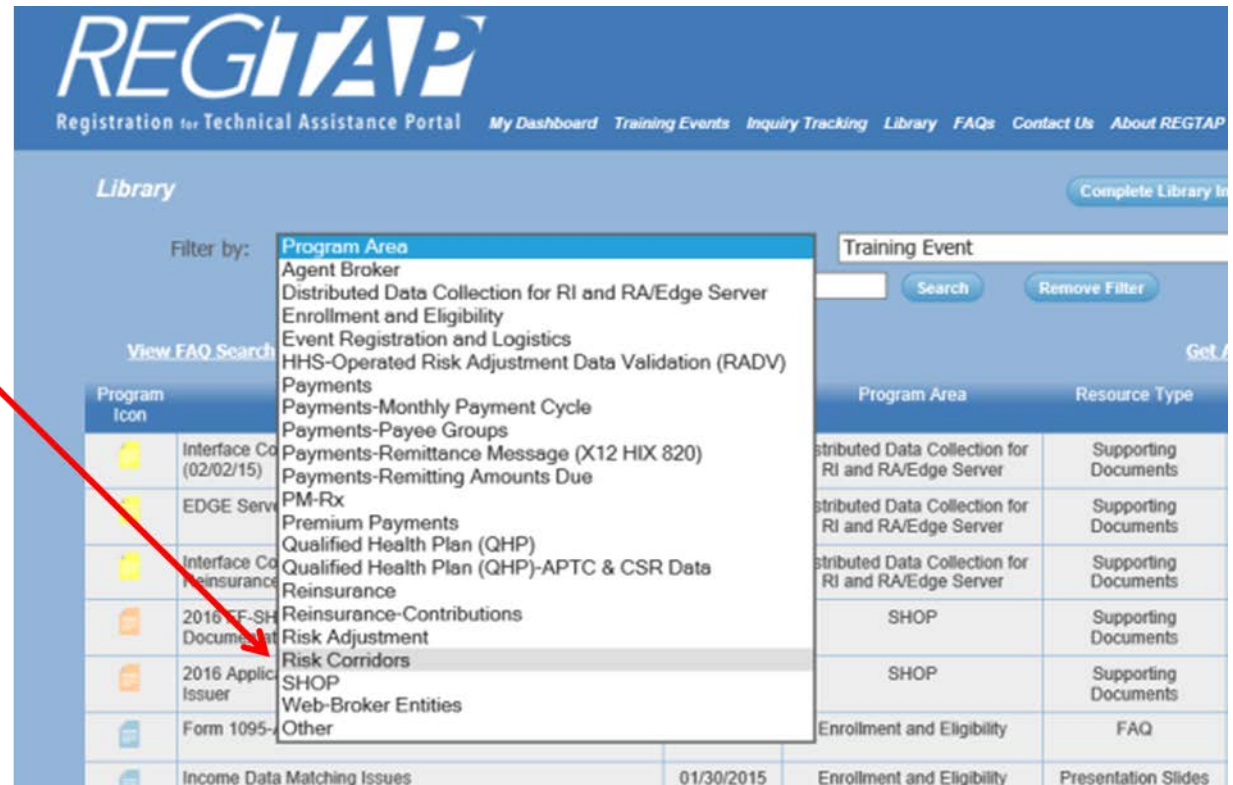
# Resources (continued)

Resource	Resource Link
Standards Related to Reinsurance, Risk Corridors and Risk Adjustment under the ACA	<a href="http://www.gpo.gov/fdsys/pkg/FR-2012-03-23/pdf/2012-6594.pdf">http://www.gpo.gov/fdsys/pkg/FR-2012-03-23/pdf/2012-6594.pdf</a>
Program Integrity: Exchange, Premium Stabilization Programs, and Market Standards; Amendments to the HHS Notice of Benefit and Payment Parameters for 2014	<a href="http://www.gpo.gov/fdsys/pkg/FR-2013-10-30/pdf/2013-25326.pdf">http://www.gpo.gov/fdsys/pkg/FR-2013-10-30/pdf/2013-25326.pdf</a>
Health Insurance Market Rules, Rate Review Final Rule	<a href="http://www.gpo.gov/fdsys/pkg/FR-2013-02-27/pdf/2013-04335.pdf">http://www.gpo.gov/fdsys/pkg/FR-2013-02-27/pdf/2013-04335.pdf</a>

# Locating Documents in REGTAP

Stakeholders can access additional documents at <https://www.REGTAP.info> in the REGTAP Library.

Under Program Area, select 'Risk Corridors'



The screenshot shows the REGTAP Library interface. The 'Filter by:' dropdown menu is open, displaying a list of program areas. A red arrow points to the 'Risk Corridors' option. The list includes:

- Agent Broker
- Distributed Data Collection for RI and RA/Edge Server
- Enrollment and Eligibility
- Event Registration and Logistics
- HHS-Operated Risk Adjustment Data Validation (RADV)
- Payments
- Payments-Monthly Payment Cycle
- Payments-Payee Groups
- Payments-Remittance Message (X12 HIX 820)
- Payments-Remitting Amounts Due
- PM-Rx
- Premium Payments
- Qualified Health Plan (QHP)
- Qualified Health Plan (QHP)-APTC & CSR Data
- Reinsurance
- Reinsurance-Contributions
- Risk Adjustment
- Risk Corridors**
- SHOP
- Web-Broker Entities
- Other

The main table displays the following data:

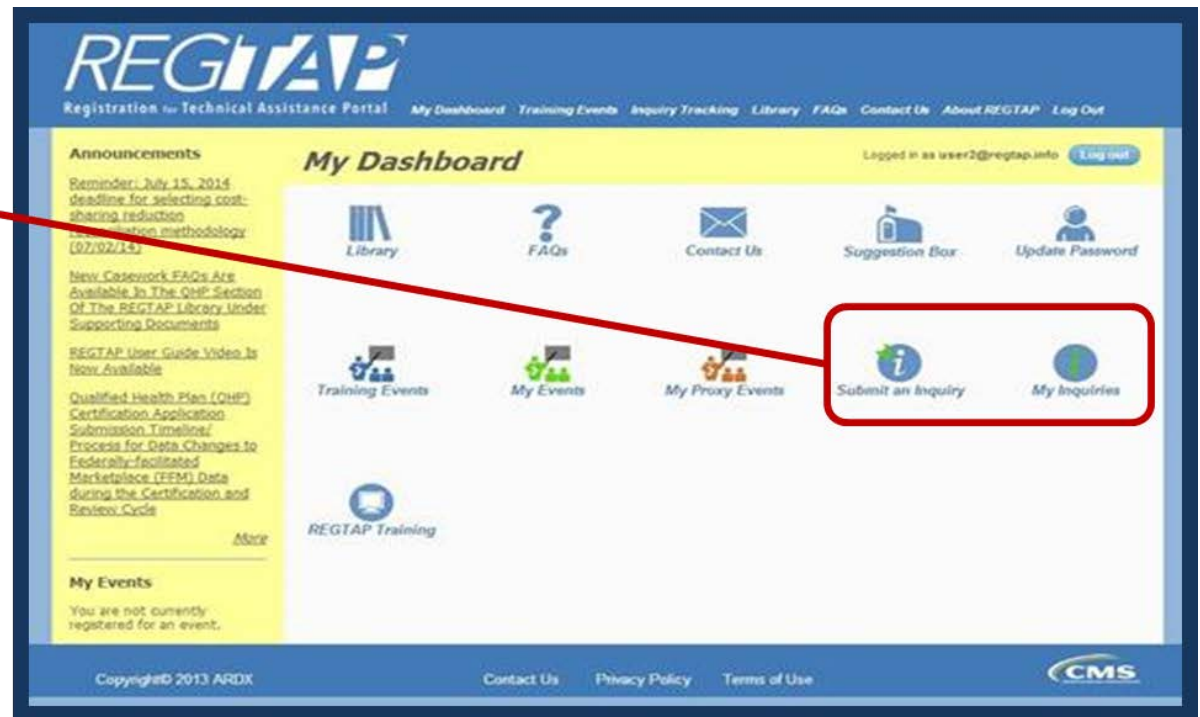
Program Icon	Program Area	Resource Type
	Distributed Data Collection for RI and RA/Edge Server	Supporting Documents
	Distributed Data Collection for RI and RA/Edge Server	Supporting Documents
	Distributed Data Collection for RI and RA/Edge Server	Supporting Documents
	SHOP	Supporting Documents
	SHOP	Supporting Documents
	Enrollment and Eligibility	FAQ
	Income Data Matching Issues	Presentation Slides



# Inquiry Tracking and Management System (ITMS)

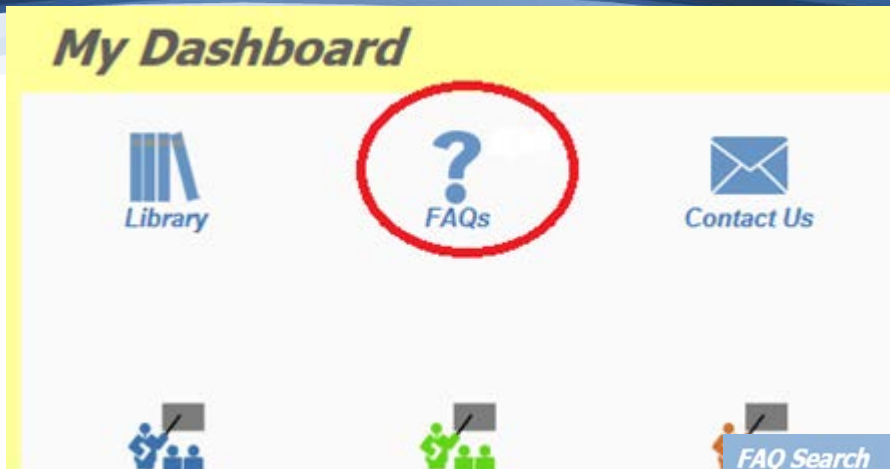
Stakeholders can submit inquiries to <https://www.REGTAP.info> through ITMS.

Select 'Submit an Inquiry' from My Dashboard.



**Note: Enter only one (1) question per submission.**

# FAQ Database on REGTAP



The FAQs Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, and Publish Date.

The FAQs Database is available at <https://www.REGTAP.info>

FAQ ID  Enter numeric FAQ ID only

Keyword/Phrase

Program Area

Select All  
Agent Broker  
Distributed Data Collection for RI and RA/Edge Server  
Enrollment and Eligibility  
Event Registration and Logistics

Primary Category

Secondary Category

Publish Date

Start Date  22 End Date  22

# Closing Remarks