This document provides a description of each data element provided in the *Issuer Risk Adjustment (RA) Transfer Report.*

The Issuer Risk Adjustment (RA) Transfer Report will only be accessible on the External Data Gathering Environment (EDGE) server management console to users with Issuer Approver roles in the Health Insurance Oversight System (HIOS). The Issuer Approver will log into the EDGE management console. From the home page, a link will display titled 'View RA/RI Payments & Charges Reports'. The Issuer RA Payment Transfer Report is labeled **'TPIR-RA Transfer'**.

Update for the 2019 Benefit Year:

The original Issuer RA Transfer Report elements will remain on the Issuer RA Transfer Report for interim and final RA (June 30th).

CMS will release an updated Issuer RA Transfer Report Job Aid in early summer 2020 that will include all configurable report data elements that will be included once risk adjustment data validation (RADV) error rates are applied to RA transfers in issuers' August 2020 Issuer RA Transfer Report.

Report Header:

Data Element	Description
File ID	Filename generated when report is run by the Centers for Medicare & Medicaid Services (CMS)
File Run Date	Date when report is run by CMS
Program Year	Indicates the program year for the report; e.g., 2019
Report Type	Indicates if the report is final or preliminary
Batch ID	Indicates the unique batch run ID for the CMS RA transfer calculation
Issuer ID	Issuer's 5-digit Health Insurance Oversight System (HIOS) ID
State ID	Standard two (2) character postal code state abbreviation
Issuer's Number of Plans	The number of unique 14-digit plan IDs for the issuer
Ind Total Issuer Transfer Amount	Total issuer transfer amount after the RADV adjustment for all INDIVIDUAL, NON-CATASTROPHIC risk pool/market plans for the issuer
Ind Previous Remaining RA Payment Balance	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The amount reported for this field is the issuer's payment balance that remains after the current proration payment cycle.
Ind RA Prorated Payment	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The prorated payment amount is populated for the proration cycle.
Ind Proration Factor	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The proration factor is a ratio of funds available to total remaining payments.
Ind New Remaining RA Payment Balance	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The amount reported for this field is the issuer's payment balance that remains after the current proration payment cycle.
SG Total Issuer Transfer Amount	Total issuer transfer amount after the RADV adjustment for all SMALL GROUP risk pool/market plans for the issuer
SG Previous Remaining RA Payment Balance	This field is populated when the RA proration cycle runs if the issueris receiving payment for this risk pool market. Proration occurs after RA transfer charges are collected.

Report Header (continued):

Data Element	Description
SG RA Prorated Payment	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The prorated payment amount is populated for the proration cycle.
SG Proration Factor	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The proration factor is a ratio of funds available to total remaining payments.
SG New Remaining RA Payment Balance	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The amount reported for this field is the issuer's payment balance that remains after the current proration payment cycle.
Merged Total Issuer Transfer Amount	Total issuer transfer amount after the RADV adjustment for all INDIVIDUAL and SMALL GROUP, NON- CATASTROPHIC (MERGED) risk pool/market plans for the issuer
Merged Previous Remaining RA Payment Balance	This field is populated when the RA proration cycle runs if the issueris receiving payment for this risk pool market. Proration occurs after RA transfer charges are collected.
Merged RA Prorated Payment	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The prorated payment amount is populated for the proration cycle.
Merged Proration Factor	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The proration factor is a ratio of funds available to total remaining payments.
Merged New Remaining RA Payment Balance	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The amount reported for this field is the issuer's payment balance that remains after the current proration payment cycle.
Cat Total Issuer Transfer Amount	Total issuer transfer amount after the RADV adjustment for all INDIVIDUAL, CATASTROPHIC risk pool/market plans for the issuer
Cat Previous Remaining RA Payment Balance	This field is populated when the RA proration cycle runs if the issueris receiving payment for this risk pool market. Proration occurs after RA transfer charges are collected.

Report Header (continued):

Data Element	Description
Cat RA Prorated Payment	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The prorated payment amount is populated for the proration cycle.
Cat Proration Factor	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The proration factor is a ratio of funds available to total remaining payments.
Cat New Remaining RA Payment Balance	This field is populated when the RA proration cycle runs if the issuer is receiving payment for this risk pool market. The amount reported for this field is the issuer's payment balance that remains after the current proration payment cycle.

Report Detail:

Data Element	Description
Risk Pool/Market	Three (3) character code that identifies the state and risk pool; the first two (2) characters are the standard postal code abbreviation and the 3rd character represents the risk pool – I = individual, non-catastrophic; S = small group; C = individual, catastrophic, M = merged (e.g., MDI = Maryland individual, non-catastrophic market)
Cycle	Indicates if the cycle is for RA Transfer or Proration; for Proration a cycle number will be added
State Average Premium Before Administrative Cost Reduction	Populated with the CMS calculated State Average Premium for all plans in the risk pool market in the state before administrative reduction by a fixed rate of 14 percent; not included in the RA transfer calculation
State Average Premium	Populated with the CMS calculated State Average Premium for all plans in the risk pool market in the state after administrative reduction by a fixed rate of 14 percent; included in the RA transfer calculation
Issuer Average Premium	CMS calculated measure; populated with the CMS calculated issuer average premium in the risk pool market in the state; not included in the RA transfer calculation
Plan ID	14-digit plan ID
Total Plan Transfer Amount	Total aggregated payment (+) or charge (-) amount at the 14-digit plan ID level; accounts for all plan ID rating areas after RADV adjustment
Metal Level	The metal level for the 14-digit plan ID; list of values: 1 = platinum; 2 = gold; 3 = silver; 4 = bronze; 5 = catastrophic
Rating Area	Rating area for the 14-digit plan ID; minimum = 1 digit and maximum =3 digits (e.g., 1,2,…67, etc.)

Report Details (continued):

Data Element	Description
Rating Area Transfer Amount	Total payment (+) or charge (-) amount at the 14-digit plan ID plus rating area level after RADV adjustment; T_i
Rating Area Transfer PMPM	The per member per month transfer payment (+) or charge (-) for the 14- digit plan ID plus rating area after RADV adjustment; T_{PMPM}
Total Member Billable Months	Total member billable member months for the 14-digit plan ID plus rating area; included in the RA transfer calculation; M_b
PLRS	CMS calculated plan average plan liability risk score before RADV adjustment at the 14-digit plus rating area; not included in the RA transfer calculation
IDF	This data element is the induced demand factor (IDF) for the 14-digit plan ID metal level; catastrophic = 1.00; bronze = 1.00; silver = 1.03; gold = 1.08; platinum = 1.15; included in the RA transfer calculation
GCF	CMS calculated geographic cost factor (GCF); GCF is an adjustment in the payment transfer formula that accounts for certain geographic cost factors that affect plan premiums in a rating area; this factor is applied to all plans in the rating area in a state risk pool market; included in the RA transfer calculation; GCF_i
AV	This data element is the actuarial value (AV) for the 14-digit plan ID metal level; catastrophic = 0.57; bronze = 0.60; silver = 0.70; gold = 0.80; platinum = 0.90; included in the RA transfer calculation
ARF	CMS calculated allowable rating factor (ARF) for the 14-digit plan ID plus rating area; plan allowable rating factor is based on the age curve for state for ACA rated states or the family rating factor for a family tier rated states; included in the RA transfer calculation; ARF_i
Product for all Plans with Risk	CMS calculated product for plans with risk accounted for in the transfer formula for the state risk pool market; left side of the RA transfer calculation; includes PLRS, IDF, GCF, and share of enrollment
Product for All Plans without Risk	CMS calculated product for plans without risk accounted for in the transfer formula for the state risk pool market; right side of the RA transfer calculation; includes AV, ARF, IDF, GCF, and share of enrollment