

HHS Risk Adjustment Data Validation (HHS-RADV) Initial Validation Audit (IVA) Entity Inter-rater Reliability (IRR) Submission Process

October 24, 2018

HHS-RADV Webinar Series III



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Session Agenda

- Session Guidelines
- Intended Audience
- Session Purpose
- HHS-RADV Timeline
- Recommendations
- HHS-RADV IVA Entity IRR Submission Process
 - IVA Entity IRR Process Overview
 - The Centers for Medicare and Medicaid Services (CMS) Recommended IRR Methodology
 - IRR Results Template Overview
 - IRR Results Submission Process
 - CMS Review of the IRR Results
- Resources
- Closing Remarks

Session Guidelines

- This is a 60-minute webinar session
- For questions related to content, please contact the RADV team at CCIIIOACARADDataValidation@cms.hhs.gov
- For questions regarding logistics and registration, please contact the Registrar at (800) 257-9520

Intended Audience

- Issuers subject to the HHS-RADV Audit:
 - Issuers offering non-grandfathered Patient Protection and Affordable Care Act-compliant individual and/or small group health plans both inside and outside the Exchange in States where the Department of Health and Human Services (HHS) operates the Risk Adjustment (RA) Program
- Potential and Contracted IVA Entities
- Third Party Administrators (TPA) and support vendors
- Second Validation Audit (SVA) Entities



This includes State-based Exchange (SBE) issuers, Federally-facilitated Exchange (FFE) issuers, and Small Business Health Options Programs (SHOP) issuers, as well as issuers that offer off-Exchange coverage

Session Purpose

- The purpose of this session is to provide information on the IRR submission process

HHS-RADV Timeline



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Benefit Year 2017 HHS-RADV Timeline



To access the most current HHS-RADV Timeline for the 2017 Benefit Year, please refer to the REGTAP Library under the HHS Risk Adjustment Data Validation program area: [2017 Benefit Year HHS-RADV Timeline](https://www.regtap.info/2017-benefit-year-hhs-rad-v-timeline)

Recommendations

Issuer Review and Signoff of IVA Findings

- Prior to issuer signoff, it is important to review the IVA findings in a timely manner
- Issuers and their respective IVA Entities are responsible for working together to gather the information necessary for a complete RADV audit
- Given the contractual relationship between the issuer and IVA Entity, IVA Entity findings are not disputable through the CMS discrepancy reporting processes set forth in 45 CFR 153.630(d)(2) or appealable under 45 CFR 153.630(d)(3) and 45 CFR 156.1220
 - If the SVA Entity finds sufficient pairwise agreement between the SVA and IVA findings, then the IVA findings upon which the error rate calculation will be based are not disputable or appealable

Submit Early

SUBMIT EARLY!

- Plan ahead and avoid the rush to make requests of providers – providers are inundated with medical record requests during this time of the year
- *Final issuer sign-off on Package 1 is January 11, 2019*
- *Final issuer sign-off on Package 2 is January 25, 2019*
- *No extensions will be provided*
- Early submission can result in receiving pairwise results in a timely manner – especially if there are substantial differences between SVA and IVA findings
- Receive greater support from CMS – address submission issues earlier and meet your submission goals
- Earlier submission allows time for issuers and IVA Entities to address any issues during the submission and sign-off process

Avoid the Risks of a Last Minute Submission

- CMS expects a 33% increase in Health Insurance Oversight System (HIOS) IDs for 2017 benefit year
- Working with your IVA Entity early in the submission process, can minimize technical problems
- Several IVA Entities may be submitting over 600 records to the Audit Tool at the same time, potentially causing slow-downs in IVA Entity submissions
- Streamline the review and sign-off from Issuer SO (Senior Official) by communicating with the IVA Entity about the submission progress regularly

IVA Entity IRR Process



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IRR Process Overview

- IVA Entities are required to conduct IRR for all Primary Coders and submit results to CMS
 - IRR compares substantiated Hierarchical Condition Categories (HCCs) to measure the consistency between the Primary Coders and Senior Coders
 - IVA Entities may submit the IRR Results Template at any time during the audit results submission window
- Additional information on the IRR process and IRR sampling methodology, can be found in Section 6 in the [2017 Benefit Year HHS-RADV Protocols](#) located in the Audit Tool or Registration for Technical Assistance Portal (REGTAP) Library



NOTE: As stated in the 2019 Payment Notice, for the 2017 benefit year HHS-RADV, the IRR required accuracy rate is 95%*

*<https://s3.amazonaws.com/public-inspection.federalregister.gov/2017-23599.pdf>

IRR Changes for 2017 Benefit Year

- Based on IVA Entities' feedback on the IRR from the HHS-RADV pilot years, CMS has modified the recommended approach for IRR sampling and evaluation to reduce IVA Entities' burden
 - The sampling methodology has been updated to use medical records as the basis for sampling, as opposed to HCCs (used in the 2015 and 2016 benefit years of HHS-RADV)
 - Additionally, CMS will permit IVA Entities to use their own standard practices for executing IRR, in lieu of the CMS recommended IRR methodology

IRR Requirements

- The IVA Entity must:
 - Ensure that the Primary Coders who achieve the consistency measure of 95% maintain this level throughout the entirety of the review
 - Assure that all medical records reviewed by Primary Coders who did not meet the IRR consistency measure of 95% are re-reviewed by a Senior Coder
 - Calculate the consistency measure using the appropriate secondary review process, in accordance with all experience requirements for Senior Coders
 - Indicate the IRR methodology used (“CMS recommended” or “Other”), providing a required written summarization of the IVA Entity’s IRR process if the “Other” option is chosen
 - Maintain evidence that IRR reviews are being executed and evaluated in accordance with these guidelines

IRR Requirements (continued)

- For IVA Entities using their own IRR method, the IVA Entity is required to:
 - Document and submit the IRR procedural process with the IRR submission
 - Calculate the consistency measure for all Primary Coders, which should be 95%
 - Ensure the Senior Coder reviews a sample of Medical Records, re-performing the IRR for any Primary Coder with a consistency measure of less than 95% until the 95% consistency threshold is met

CMS Recommended IRR Methodology



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IRR Steps – CMS Recommended Methodology

- The five (5) steps of the IRR process:

**Step 1 – Primary
Coder performs
Health Status
Validation**

**Step 2 – IRR Sample
Selection**

**Step 3 – Senior Coder
performs Health
Status Validation**

**Step 4 – Calculate
Primary Coder
consistency measure**

**Step 5 – Finalize IRR
or adjust sample**

IRR: Step 1 – Primary Coder performs Health Status Validation

Step 1:

- Primary Coders perform Health Status Data Validations and the IVA Entity monitors the number of medical records evaluated by each Primary Coder
- The IRR sample selection process is initiated once twenty-five (25) medical records have been evaluated by the Primary Coder

IRR: Step 2 – IRR Sample Selection

Step 2:

- After twenty-five (25) medical records have been evaluated by the Primary Coder, the initial sample of twenty-five (25) medical records is evaluated by the Senior Coder



NOTE: Medical records without diagnoses that map to an HCC will not substantially impact the calculation of the consistency measure for the Primary Coder

IRR: Step 3 – Senior Coder Performs Health Status Validation

Step 3:

- The Senior Coder performs Health Status Data Validations by abstracting diagnosis codes that map to HCCs for each medical record in the sample
- Senior Coders are not required to be blind to Primary Coder findings; that is, Senior Coders are permitted to review Primary Coder findings for the medical record under review
- Once Senior Coders complete the Health Status Data Validation for all twenty-five (25) sampled medical records, the consistency measure for the Primary Coder is calculated

IRR: Step 4 – Calculate Primary Coder Consistency Measure

Step 4:

- The abstracted diagnoses are mapped to HCCs and the Primary Coder findings are used with the Senior Coder findings to calculate the Primary Coder's consistency measure
- The IVA Entity requires a consistency measure of 95% for all primary coders throughout the entirety of the review

IRR: Step 4 – Calculate Primary Coder Consistency Measure (continued)

Step 4:

- The Primary Coder consistency measure for the sample of twenty-five (25) medical records is calculated using the following formula:

$$CM_{PC} = \frac{\text{Count of Primary Coder and Senior Coder HCC Matches}}{\text{Count of Unique HCCs (Primary Coder \& Senior Coder)}}$$

- The *numerator* indicates the count of Primary Coder and Senior Coder HCC matches for unique HCCs and will be counted as a single match for each enrollee
- The *denominator* indicates the total universe of unique enrollee HCCs identified by both Primary and Senior Coders and is calculated by totaling the number of unique enrollee HCCs identified within the twenty-five (25) medical record sample

IRR: Step 4 – Calculate Primary Coder Consistency Measure (continued)

Step 4:

- For the purposes of calculating the *denominator*, the same HCC for a single enrollee should not be counted more than once; however, the same HCC identified for different enrollees should be considered unique for each enrollee
 - Example 1:
 - An enrollee has twenty-five (25) medical records and the primary coder identifies HCC 8 on all medical records
 - The senior Coder also finds HCC 8 on all medical records
 - HCC 8 would be counted once in the numerator and once in the denominator
 - Example 2:
 - Enrollee 1 has 10 medical records and enrollee 2 has 15 medical records
 - Both the primary coder and senior coder identifies HCC 8 on all medical records for both enrollees
 - HCC 8 would be counted once for each enrollee

IRR: Step 5 – Finalize IRR or Adjust Sample

Step 5:

- The last step in the IRR process is to either finalize the IRR results or adjust the sample size
 - If the Primary Coder's calculated consistency measure meets or exceeds the required 95%, the Primary Coder has completed the requirements for IRR evaluation
 - If the Primary Coder's calculated consistency measure fails to meet the required 95%, the IVA Entity is required to re-perform steps 1-5
 - This process must be re-performed until the acceptable consistency measure is achieved or until all of the medical records reviewed by the Primary Coder are re-reviewed by a Senior Coder

Examples of Calculating Primary Coder Consistency Measure

IRR: Examples of Calculating Primary Coder Consistency Measure

Example 1

- Primary Coder reviewed 25 medical records and identified diagnoses mapping to four (4) HCCs, which were also found by Senior Coders for the same enrollees
- The Senior Coder found no other diagnoses assigned to additional HCCs
 - *Consistency Measure for Primary Coders* = $\frac{4}{4} = 100\%$
- The Primary Coder's calculated consistency measure meets the required 95%
- IRR evaluation requirements have been met



NOTE: Senior Coder results are captured as final for all medical records reviewed if deviations are identified, even when the calculated consistency measure meets the required 95%

IRR: Examples of Calculating Primary Coder Consistency Measure (continued)

Example 2

- Primary Coder reviewed 25 medical records and identified diagnoses mapping to seven (7) HCCs for the same enrollee
- The senior coder validated four (4) of the seven (7) HCCs and the remaining three (3) HCCs were unsubstantiated
- Additionally, the Senior Coder found additional diagnoses which were assigned to two (2) HCCs not found by the Primary Coder

— Consistency Measure Primary Coders = $\frac{4}{9} = 44.44\%$



NOTE: Senior Coder results are captured as final for all medical records reviewed with deviations identified

IRR: Examples of Calculating Primary Coder Consistency Measure (continued)

- The Primary Coder's calculated consistency measure of 44.44% does not meet the required 95% and the Primary Coder is required to re-perform the IRR assessment
 - A second set of twenty-five (25) medical records are reviewed and the Primary Coder identified diagnoses mapping to 19 HCCs for the same enrollee
 - The Senior Coder validated the 19 HCC's and identified a diagnosis which mapped to one (1) additional HCC not found by the Primary Coder
 - Consistency Measure Primary Coders = $\frac{19}{20} = 95\%$

IRR Results Template Overview



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IRR Results Template Overview

- The IRR Results Template can be submitted to the Audit Tool **starting December 3, 2018 with a due date of January 25, 2019**
- The IRR Results Template does not have to be submitted prior to the submission of IVA Entity Audit Results Submission XML
- The IRR Results Submission Form is available to IVA Entity SOs and Coordinators
- The IRR Results Template must be signed by an IVA Entity SO

IRR Results Template Overview (continued)

- The IRR Results Template requires the following information:
 - IVA Entity Name
 - Primary Coder Initials
 - HIOS IDs reviewed by the Primary Coder for the IRR
 - IRR Percentage (*HCCs matched by Senior Coder divided by total HCCs reviewed by Senior Coder*)
 - Pass/Fail Rating (*A Primary Coder will 'Pass' IRR if IRR Percentage is 95% or above*)
 - Signature of IVA Entity SO
 - Printed Name of IVA Entity SO
 - Job Title of IVA Entity SO
 - Date
- The IRR Results must be encrypted and password protected prior to upload into the Audit Tool
- The IRR Summarization must be uploaded along with the IRR reporting certification

IRR Results Template

Inter-Rater Reliability (IRR) Results Summary

IVA Entity Name:

--

[illegible]

IRR Results Template (continued)

IRR Reporting Certification

To the best of my knowledge, information and belief, I hereby certify that this Initial Validation Audit Entity is in compliance with all Centers for Medicare & Medicaid Services, HHS-RADV requirements and processes for inter-rater reliability. If my organization becomes aware that any of the IRR requirements or processes were violated or any information included in this template are untrue, inaccurate, or incomplete, my organization will promptly inform CMS.

IVA Entity Senior Official Signature: _____

Date: _____

IVA Entity Senior Official Name (printed): _____

IVA Entity Senior Official Job Title: _____

IRR Results Submission Process



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Overview: Audit Tool IRR Results Submission Process

- To complete the IRR Results Submission Process, locate the 2017 benefit year IRR Results Template by logging into the HHS-RADV Audit Tool
 - Select the Library tab
 - Select the Education tile
- Save the template to your computer
- Print the document



NOTE: The IRR Results Submission web form will be available starting December 3, 2018

Overview: Audit Tool IRR Results Submission Process (continued)

- After completing all of the required fields in the template, the IVA Entity SO must sign
- Please note, an electronic signature is also acceptable
 - Scan and save the signed IRR Results Template as a PDF to your computer
 - Access the IRR Results Submission Web Form
 - Password protect and encrypt the IRR Results using the password in the IRR Results Submission web form
 - If the password used to protect the file is not correct, the file cannot be processed by the Audit Tool
 - The submitter will receive a notification email and the IVA Entity will be required to resubmit the file with the correct password
- Upload and submit the completed and signed IRR Results File to the Audit Tool by using the IRR Results Submission Web Form

Overview: Audit Tool IRR Results Submission Process (continued)

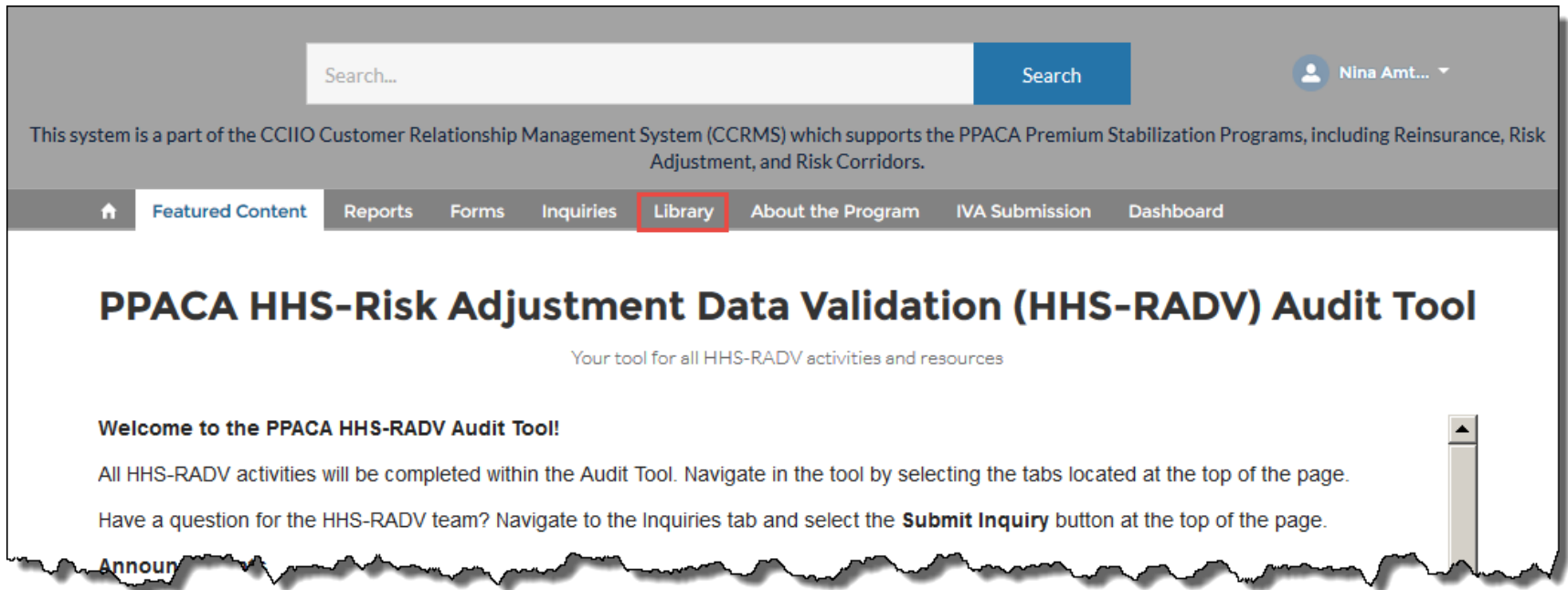
- If the IVA Entity is not using the CMS recommended IRR methodology, the IVA Entity is required to submit a document summarizing the IRR methodology utilized
- This IRR summarization must be uploaded to the Audit Tool in addition to the IRR Results template
 - Password protect, zip, and encrypt the IRR summarization using the password in the IRR Results Submission web form
 - If the password used to protect the file is not correct, the file cannot be processed by the Audit Tool
 - The submitter will receive a notification email and the IVA Entity will be required to resubmit the file with the correct password



NOTE: To ensure that you use the correct IRR assigned password for this process, please note that the assigned IRR password is NOT the same password which will be assigned for submission of Packages 1 & 2. Please make sure to use the correct IRR assigned password for this process.

Step 1: Accessing the IRR Results Template

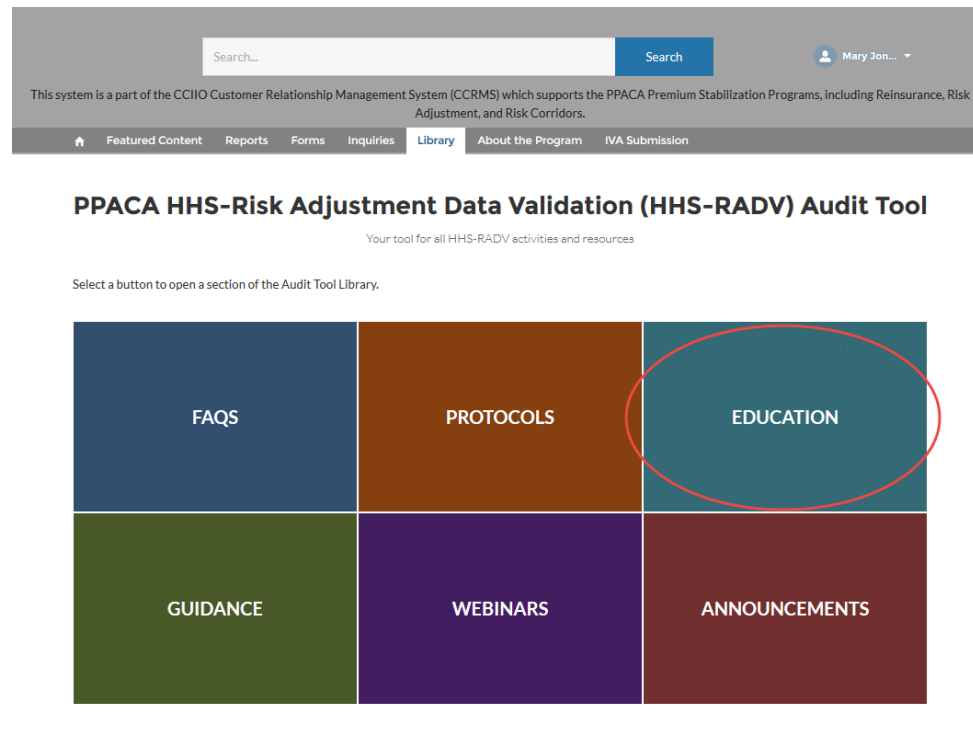
- Log into the Audit Tool and select the **Library** tab



The screenshot shows the user interface of the PPACA HHS-Risk Adjustment Data Validation (HHS-RADV) Audit Tool. At the top, there is a search bar with the placeholder text "Search..." and a blue "Search" button. To the right of the search bar is a user profile icon labeled "Nina Amt...". Below the search bar, a message states: "This system is a part of the CCIIO Customer Relationship Management System (CCRMS) which supports the PPACA Premium Stabilization Programs, including Reinsurance, Risk Adjustment, and Risk Corridors." A navigation bar contains several tabs: "Featured Content", "Reports", "Forms", "Inquiries", "Library" (which is highlighted with a red box), "About the Program", "IVA Submission", and "Dashboard". The main heading is "PPACA HHS-Risk Adjustment Data Validation (HHS-RADV) Audit Tool", followed by the subtitle "Your tool for all HHS-RADV activities and resources". A welcome message reads: "Welcome to the PPACA HHS-RADV Audit Tool!". Below this, two paragraphs provide instructions: "All HHS-RADV activities will be completed within the Audit Tool. Navigate in the tool by selecting the tabs located at the top of the page." and "Have a question for the HHS-RADV team? Navigate to the Inquiries tab and select the **Submit Inquiry** button at the top of the page." A vertical scrollbar is visible on the right side of the page.

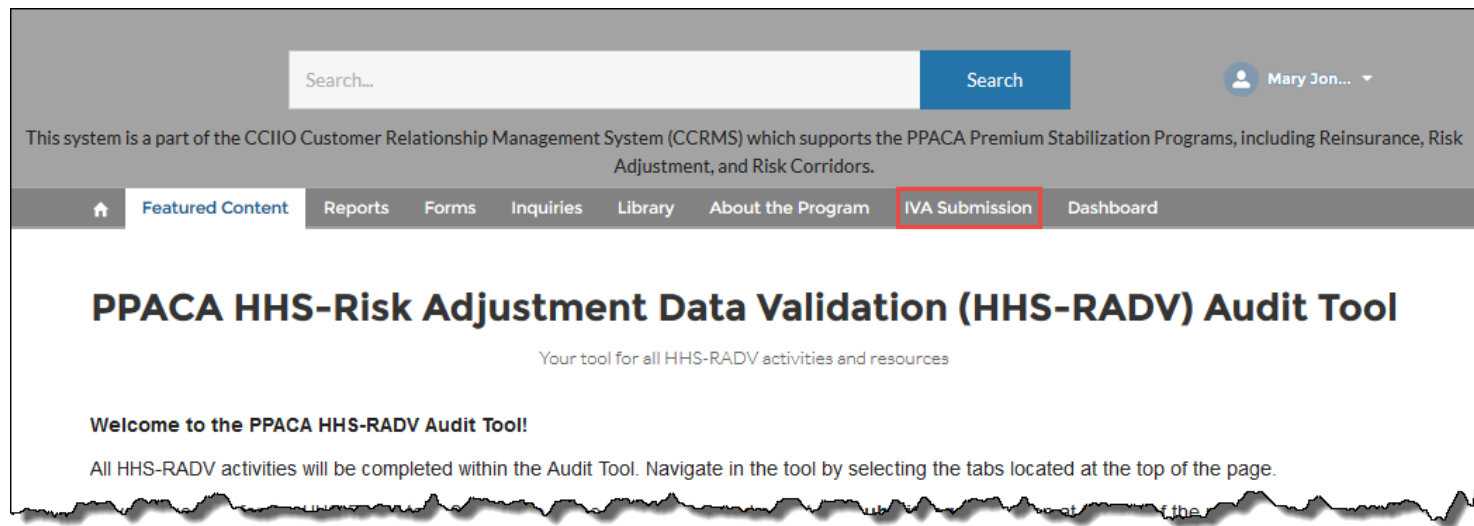
Step 1: Accessing the IRR Results Template (continued)

- Select the **Education** tile to access the IRR Results Template



Step 2: Accessing the IRR Results Submission Form

- Complete the IRR Results Template
- Complete an IRR summarization if an alternative IRR methodology is used
- Log into the Audit Tool
- Select the **IVA Submission** tab



Step 2: Accessing the IRR Results Submission Form (continued)

- Select the HHS-RADV IVA Entity IRR Results Submission Form link

Search... Search Mary Jon...

This system is a part of the CCIIO Customer Relationship Management System (CCRMS) which supports the PPACA Premium Stabilization Programs, including Reinsurance, Risk Adjustment, and Risk Corridors.

Home Featured Content Reports Forms Inquiries Library About the Program IVA Submission

PPACA HHS-Risk Adjustment Data Validation (HHS-RADV) Audit Tool

Your tool for all HHS-RADV activities and resources

HHS-RADV IVA Results Submission Process Forms

Instructions

Select a link below to open an Audit Tool form.

[HHS-RADV Initial Validation Audit System Generated Passwords](#)
This form provides IVA Entity Senior Officials (SOs) and IVA Entity RADV Coordinators with the encryption passwords for each HIOS ID.

[HHS-RADV IVA Entity IRR Results Submission Form](#)
This form allows IVA Entity Senior Officials (SOs) and IVA Entity RADV Coordinators to upload the Inter-rater Reliability (IRR) Results Template and submit for CMS review.

[HHS-RADV IVA Entity IVA Documentation Replacement Form](#)
This form allows IVA Entities to resubmit requested IVA documentation for SVA review.

[HHS-RADV IVA Submission of Package 1 Form](#)
This form allows IVA Entities to upload Package 1 documentation and submit for Issuer review.

[HHS-RADV IVA Submission of Package 2 Form](#)
This form allows IVA Entities to upload Package 2 documentation and submit for Issuer review.

[HHS-RADV IVA Submission of Package 3 Form](#)
This form allows IVA Entities to upload Package 3 documentation and submit for Issuer review.

Step 3: Completing the IRR Results Submission Form

- Review the instructions on the page
- Select the **Continue** button



[Guidance](#)

Welcome to the IVA Entity IRR Results Submission Form

This form allows IVA Entities to upload Inter-rater Reliability (IRR) results and submit for CMS review.

The HHS-RADV IVA Entity IRR Results file must be submitted by **11:59 p.m. ET on January 25, 2019**.

If you need assistance or have questions related to the submission or review of IVA Entity IRR results, you may submit an inquiry from the Audit Tool by selecting the **Inquiries** tab, and selecting the **Submit Inquiry** button, or by emailing us at CCIIOACARDataValidation@cms.hhs.gov.

Instructions

Select the **IVA Entity IRR Results Template** link to download and complete the template. The template can also be found by going to the Audit Tool Library and selecting the Education Tile. The IVA Entity IRR Results Template must be saved as a PDF, zipped, and encrypted before the file is submitted to the Audit Tool. Use the [IVA File Encryption Guide](#) for guidance on uploading an encrypted file. Please complete the template prior to proceeding in the form. In addition, if you utilized your own practices for executing IRR, in lieu of the CMS recommended IRR methodology, you are required to upload a summarization to explain your IRR methodology.

[IVA Entity IRR Results Template](#)

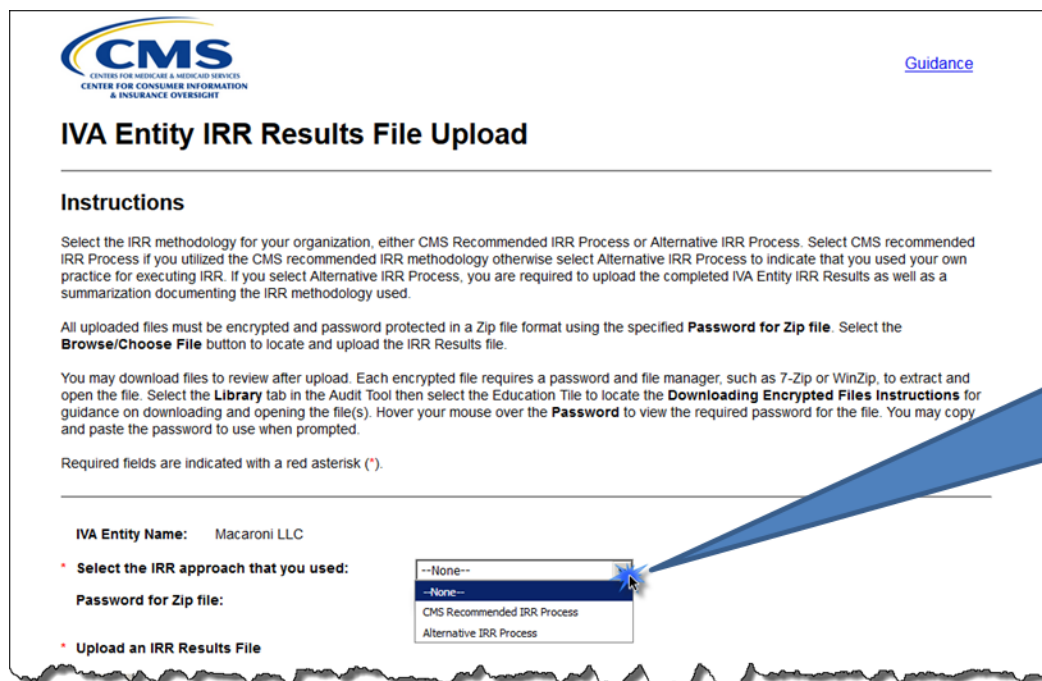
Continue



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Step 3: Completing the IRR Results Submission Form (continued)

- This screen shows the IVA Entity IRR Results File Upload page
- Select the IRR methodology for your organization from the drop-down menu: CMS Recommended IRR Process or Alternative IRR Process



CMS
CENTERS FOR MEDICARE & MEDICAID SERVICES
CENTER FOR CONSUMER INFORMATION
& INSURANCE OVERSIGHT

[Guidance](#)

IVA Entity IRR Results File Upload

Instructions

Select the IRR methodology for your organization, either CMS Recommended IRR Process or Alternative IRR Process. Select CMS recommended IRR Process if you utilized the CMS recommended IRR methodology otherwise select Alternative IRR Process to indicate that you used your own practice for executing IRR. If you select Alternative IRR Process, you are required to upload the completed IVA Entity IRR Results as well as a summarization documenting the IRR methodology used.

All uploaded files must be encrypted and password protected in a Zip file format using the specified **Password for Zip file**. Select the **Browse/Choose File** button to locate and upload the IRR Results file.

You may download files to review after upload. Each encrypted file requires a password and file manager, such as 7-Zip or WinZip, to extract and open the file. Select the **Library** tab in the Audit Tool then select the Education Tile to locate the **Downloading Encrypted Files Instructions** for guidance on downloading and opening the file(s). Hover your mouse over the **Password** to view the required password for the file. You may copy and paste the password to use when prompted.

Required fields are indicated with a red asterisk (*).

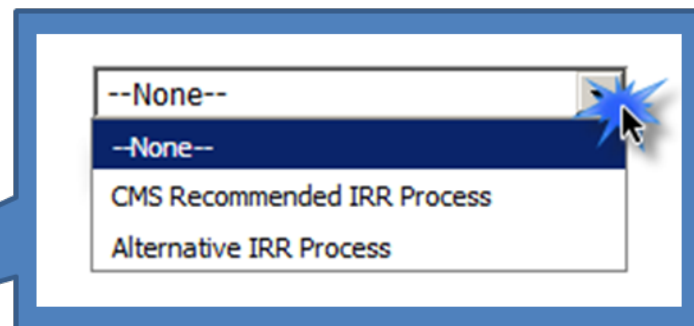
IVA Entity Name: Macaroni LLC

* Select the IRR approach that you used:

Password for Zip file:

* Upload an IRR Results File

--None--
--None--
CMS Recommended IRR Process
Alternative IRR Process



Step 3: Completing the IRR Results Submission Form (continued)

- **CMS Recommended IRR Process**

- The CMS Recommended IRR Process requires the upload of the IRR Results File
- The IRR Results File must be encrypted and protected using the IRR password provided on this page or the IRR Results cannot be processed by the Audit Tool

Required fields are indicated with a red asterisk (*).

IVA Entity Name: Macaroni LLC

* **Select the IRR approach that you used:**

Password for Zip file:

* **Upload an IRR Results File**

No file selected.

Maximum file size: 10 MB

There are no current IRR Results File Submissions. Select **Show History** to view previous uploads.

Step 3: Completing the IRR Results Submission Form (continued)

- **CMS Recommended IRR Process**
 - On the IRR File Upload page, the encryption password will be presented
 - Use a zipping tool like WinZip or 7-Zip to encrypt and password protect the file using the password presented on this page
 - Select the **Browse/Choose File** button to locate your saved file
 - Select the **Upload Attachment** button to upload the zip file

Step 3: Completing the IRR Results Submission Form (continued)

- **CMS Recommended IRR Process**

- After uploading the IRR Results, view the file by selecting the **Download** link in the table
- The Status column indicates the current status of the IRR Results submission

Uploaded IRR Results File

Action	File Name	Uploaded	Status
Download Delete	Sample_IRR.zip	Mary Jones 10/3/2018 1:31 PM ET	Not Submitted

Step 3: Completing the IRR Results Submission Form (continued)

- **Alternative IRR Process**

- The Alternative IRR Process requires the upload of the IRR Results File **and** an IRR summarization document
- Both files must be encrypted and protected using the IRR password provided on this page or the IRR Results cannot be processed by the Audit Tool

Required fields are indicated with a red asterisk (*).

IVA Entity Name: Macaroni LLC

* Select the IRR approach that you used:

Password for Zip file:

* Upload an IRR Results File

No file selected.

Maximum file size: 10 MB

There are no current IRR Results File Submissions. Select **Show History** to view previous uploads.

* Upload an IRR Summarization

No file selected.

Maximum file size: 10 MB

There are no current IRR Summarization File Submissions. Select **Show History** to view previous uploads.

Step 3: Completing the IRR Results Submission Form (continued)

- **Alternative IRR Process**

- On the IRR File Upload page, the encryption password will be presented
- For both the IRR Results File and the summarization:
 - Use a zipping tool like WinZip or 7-Zip to encrypt and password protect the files using the password presented on this page
 - Select the **Browse/Choose File** button to locate your saved file
 - Select the **Upload Attachment** button to upload the zip file

Step 3: Completing the IRR Results Submission Form (continued)

- **Alternative IRR Process**

- After uploading the files, view a file by selecting the **Download** link in the relevant table
- The Status column indicates the current status of the IRR Results submission

Uploaded IRR Results File

Action	File Name	Uploaded	Status
Download Delete	Sample_IRR.zip	Mary Jones 10/3/2018 1:47 PM ET	Not Submitted

Uploaded IRR Summarization

Action	File Name	Uploaded	Status
Download Delete	Sample_IRR_Summarization.zip	Mary Jones 10/3/2018 1:50 PM ET	Not Submitted

Step 4: Submitting the IRR Results Submission Form

- Select the **Submit** button when you are ready to submit



The screenshot shows a web form titled "Submitter Contact Information". It contains a table with the following data:

First Name:	Mary	Last Name:	Jones
Email Address:	sampleuser78+iva2@gmail.com	Job Title:	Analyst
Phone Number:	(555) 555-5555	Phone Extension:	

Below the table are two buttons: "Exit" and "Submit". A mouse cursor is clicking the "Submit" button.

[Show History](#)

Submitter Contact Information

First Name: Mary Last Name: Jones

Email Address: sampleuser78+iva2@gmail.com Job Title: Analyst

Phone Number: (555) 555-5555 Phone Extension:

Exit Submit

Step 4: Submitting the IRR Results

Submission Form (continued)



NOTE: If you have selected the Alternative IRR Process and select the submit button without uploading an IRR summarization file, the form will display an error and will not allow you to submit until the IRR summarization file is uploaded

and paste the sworn to use when prom...

Required fields are indicated with a red asterisk (*).

You must upload an IRR summarization file.

IVA Entity Name: Macaroni LLC

* Select the IRR approach that you used:

Password for Zip file:

CMS Review of the IRR Results

Review of the IRR Results File

- After submitting the form, the submitter will navigate to the confirmation page
- This page is your only confirmation that the form has been submitted
 - Print or save the PDF as a record of your submission
- The IVA Entity will receive a separate email after CMS completes its review of the submitted IRR Results
 - CMS will advise if the IRR Results are accepted or not accepted

Review of the IRR Results File (continued)

- Some reasons for CMS not accepting the IRR Results:
 - Results are not signed by the IVA Entity SO
 - Signature of IVA Entity SO and printed name do not match
 - Results do not include the job title of the IVA Entity SO
Data is missing from the IRR Results submission
 - The IRR Results Template language or fields are altered and/or
 - The IRR summarization is deemed insufficient and/or not submitted with the upload, if it is required with the submission

Review of the IRR Results File (continued)

- If the IRR Results are not accepted by CMS:
 - The IVA Entity will need to update the IRR Results Template and/or the IRR summarization
 - The IVA Entity will need to once again complete the IRR Submission Form and upload the revised results and IRR summarization, if necessary

Q&A

- Type your question in the text box under the “Q&A” tab located to the left-hand panel of your screen
 - To submit your question, click “Submit”



If your question does not receive a response during this webinar session, please submit your question to the HHS-RADV team at CCIIOACARADDataValidation@cms.hhs.gov

Next Steps

Next Steps: Training Sessions

- CMS will continue to support Stakeholders through the HHS-RADV process by hosting periodic webinars
- There will be an opportunity for Stakeholders to ask HHS-RADV related questions during the webinar sessions

Next Steps: Training Sessions (continued)

Upcoming Webinar Topic	Scheduled Event Date
Package 1 & 2 Submission Process	November 7, 2018

Resources



RETIRED

WWW.REGTAP.INFO

Locating HHS-RADV Documents in REGTAP

Stakeholders can access additional documents at <https://www.REGTAP.info> in the REGTAP Library.

Under Program Area, select
“HHS Risk Adjustment Data Validation (HHS-RADV)”

The screenshot shows the REGTAP Library interface. The 'Program Area' dropdown menu is open, displaying a list of categories. A red arrow points to 'HHS-Operated Risk Adjustment Data Validation (RADV)'. The main table on the right lists resources under various program areas, including 'User Fees', 'Distributed Data Collection for RI and RA/Edge Server', and 'Presentation Slides'.

Program Area	Resource Type	Download
User Fees	Supporting Documents	Download
User Fees	Supporting Documents	Download
User Fees	Presentation Slides	Download
User Fees	FAQ	Download
User Fees	Supporting Documents	Download
Distributed Data Collection for RI and RA/Edge Server	CBT	Play CBT Transcript
Distributed Data Collection for RI and RA/Edge Server	Presentation Slides	Download

Resources: Contact Information

Resource	Contact Information
For RADV policy questions, contact the RADV Team.	CCIIIOACARADDataValidation@cms.hhs.gov
EDGE server questions, please contact your Financial Management (FM) Service Representative directly and copy the Centers for Medicare & Medicaid Services (CMS) Help Desk.	EDGE_server_data@cms.hhs.gov and copy CMS_FEPS@cms.hhs.gov

Resources: Contact Information

(continued)

- Email
 - To contact us, please email the RADV team at CCIIOACARADDataValidation@cms.hhs.gov
- Audit Tool
 - To contact us within the HHS-RADV Audit Tool, use the Inquiries tab and select “Submit Inquiry”

Resources: Links

Resource	Resource Link
U.S. Department of Health & Human Services (HHS)	http://www.hhs.gov/
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
The Center for Consumer Information & Insurance Oversight (CCIIO) web page	http://www.cms.gov/ccio
Consumer website on Health Reform	http://www.healthcare.gov/
Registration for Technical Assistance Portal (REGTAP) - presentations, FAQs	https://www.REGTAP.info
Patient Protection and Affordable Care Act (PPACA)	http://www.gpo.gov/fdsys/pkg/PLAW-111publ148/content-detail.html

Resources: Links (continued)

Resource	Resource Link
HHS Notice of Benefit and Payment Parameters for 2014	http://www.gpo.gov/fdsys/pkg/FR-2013-03-11/pdf/2013-04902.pdf
HHS Notice of Benefit and Payment Parameters for 2015	http://www.gpo.gov/fdsys/pkg/FR-2014-03-11/pdf/2014-05052.pdf
HHS Notice of Benefit and Payment Parameters for 2016	http://www.gpo.gov/fdsys/pkg/FR-2015-02-27/pdf/2015-03751.pdf
HHS Notice of Benefit and Payment Parameters for 2017	https://www.gpo.gov/fdsys/pkg/FR-2016-03-08/pdf/2016-04439.pdf
HHS Notice of Benefit and Payment Parameters for 2018	https://www.gpo.gov/fdsys/pkg/FR-2016-12-22/pdf/2016-30433.pdf
HHS Notice of Benefit and Payment Parameters for 2019	https://www.gpo.gov/fdsys/pkg/FR-2018-04-17/pdf/2018-07355.pdf

Closing Remarks