

HHS Risk Adjustment Data Validation (HHS-RADV) Issuer SO Designation

April 3, 2019

HHS-RADV Webinar Series IV

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Session Agenda

- Session Guidelines
- Intended Audience
- Session Purpose
- HHS-RADV Timeline
- Issuer Senior Official (SO) Designation & Maintenance
- Question and Answer (Q&A)
- Next Steps, Resources, and Closing Remarks

Session Guidelines

- This is a 60-minute webinar session
- For questions regarding content, please submit questions to the HHS-RADV team at the following email:
 - CCIIOACARADatavalidation@cms.hhs.gov
- For questions regarding logistics and registration, contact the Registrar at: (800) 257-9520

Intended Audience

- Issuers subject to the HHS-RADV Audit requirements under 45 CFR 153.630
- IVA Entities
- Third Party Administrators (TPA) and support vendors

Session Purpose

- Overview of the HHS-RADV issuer participation requirements
- Overview of Issuer SO and Issuer Coordinator designations
- Overview of IVA Entity designation
- Next steps and upcoming trainings

HHS-RADV Timeline

HHS-RADV Timeline



To access the most HHS-RADV Timeline, please log in to REGTAP and visit the HHS-RADV Program Area page:

www.REGTAP.info/hhsradv.php

or utilize the following web link:

https://www.regtap.info/reg_librarye.php?i=2456

Issuer SO Designation & Maintenance

Issuer SO Responsibilities

- The HHS-RADV Issuer SO is required to:
 - Communicate with CMS regarding HHS-RADV activities and serve as primary user in the Audit Tool
 - Serve as the primary approver in the Audit Tool
 - Maintain accounts for staff to access the Audit Tool
 - Complete and submit the IVA Entity designation via the Audit Tool
 - Validate issuer Risk Adjustment Data Validation Population Summary Statistics Final (RADVPSF) and RADV sampling reports
 - Attest to RADV sampling reports or submit sampling discrepancy report to the Audit Tool
 - Resolve any issues related to the completion and submission of the IVA results
 - Confirm the completeness of the IVA results prior to final submission to the Audit Tool
 - If applicable, submit discrepancies or appeals regarding HHS-RADV SVA audit findings or risk score error rate calculation

Issuer Coordinator Responsibilities

- The HHS-RADV Issuer Coordinator is required to:
 - Serve as support personnel
 - Maintain own contact information within the Audit Tool
 - Upload files and view/download reports

Issuer Designation & Maintenance

- CMS will contact new issuers to provide Audit Tool registration and SO designation assistance
- Issuers who are already registered in the Audit Tool can update the existing SO information, as needed
- Within the HHS-RADV Audit Tool, navigate to the Forms tab
- Select the form link for the HHS-RADV Issuer Senior Official Maintenance form
- The Issuer Senior Official/Back-Up Issuer Senior Official Contact Information page allows you to edit, replace, or delete a current Issuer SO or Back-Up Issuer SO



NOTE

You must be an active Issuer SO or Back-up SO in the HHS-RADV Audit Tool to access the form

Issuer Senior Official Designation & Maintenance (continued)

- An issuer must maintain one (1) Issuer SO and one (1) Back-Up SO with a current effective date



[Guidance](#)

Issuer Senior Official/Back-Up Issuer Senior Official Contact Information

Instructions

An issuer must maintain one (1) Issuer Senior Official (SO) and one (1) Back-Up SO.

Select an **Action** link within the table to edit, replace, or delete the entry. The **Edit** link allows you to make updates to an existing Issuer SO or Back-Up Issuer SO; the **Replace** link allows you to replace an existing Issuer SO or Back-Up Issuer SO with a different individual; the **Delete** link allows you to delete a new Issuer SO or Back-Up Issuer SO from the table without replacement.

Contact information updates will be applied to all HIOS IDs listed for the company. If your company requires a different contact for a specific HIOS ID(s), you must email CCIOACARADatavalidation@cms.hhs.gov or create an inquiry case in the Audit Tool to make this update.

Company Name: Debbie's Company
HIOS ID(s): 90909

Issuer Senior Official

Action	Effective Date	First Name	Last Name	Email Address	Job Title	Phone Number	Phone Extension
Edit	4/24/2017	Pepper	Potts	ppotss@gmail.com	Administrator	(890) 555-2345	
Replace							

Back-Up Issuer Senior Official

Action	Effective Date	First Name	Last Name	Email Address	Job Title	Phone Number	Phone Extension
Edit	4/27/2017	Eleaine	Benes	ebenes@gmail.com	Administrator	(890) 234-5678	
Replace							

[Exit](#)

[Continue](#)

Issuer Coordinator Designation

- Issuers who are already registered in the Audit Tool can add or edit a Coordinator, as needed
- Within the HHS-RADV Audit Tool, navigate to the **Forms** tab
- Select the form link for the HHS-RADV Issuer RADV Coordinator Designation and Maintenance form
- The Issuer RADV Coordinator Information page allows Issuer SOs or Back-up Issuer SOs to add, edit, replace, or delete a current Issuer RADV Coordinator



NOTE

Individuals identified as Issuer RADV Coordinators may not also hold an Issuer SO or Back-up Issuer SO

Issuer Coordinator Designation

(continued)

- An issuer is not required to assign a Coordinator



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Issuer RADV Coordinator Information

Instructions

Select the **Add Issuer RADV Coordinator** link to add an Issuer RADV Coordinator. Issuer RADV Coordinator(s) are assigned to all HIOS IDs for your company.

Select an **Action** link within the table to edit, replace, or delete the entry. The **Edit** link allows you to make updates to an existing Issuer RADV Coordinator; the **Replace** link allows you to replace an existing Issuer RADV Coordinator with a different individual; the **Delete** link allows you to delete an Issuer RADV Coordinator from the table without replacement.

Note: An Issuer RADV Coordinator may not be an Issuer Senior Official or Back-Up Senior Official.

Company Name: Debbie's Company

Issuer RADV Coordinator(s) [Add Issuer RADV Coordinator](#)

Action	Effective Date	First Name	Last Name	Email Address	Job Title	Phone Number	Phone Extension
Edit Replace Delete	6/15/2017	Bugs	Bunny	bbunny@gmail.com	Administrator	(410) 555-1212	
Edit Replace Delete	6/15/2017	Daffy	Duck	dduck@gmail.com	Administrator	(410) 555-2121	
Edit Delete	6/15/2017	Natasha	Romanoff	natacharomanoff@gmail.com	Senior Medical Coder	(410) 555-5555	

[Exit](#) [Continue](#)

Q&A

- Type your question in the text box under the “Q&A” tab located to the left-hand panel of your screen
 - To submit your question, click “Submit”



If your question does not receive a response during this webinar session, please submit your question to the HHS-RADV team at CCIIOACARADDataValidation@cms.hhs.gov

Next Steps

Next Steps: Training Sessions

Topic	Date
Q&A	April 24, 2019

RETIRED

Resources

Locating HHS-RADV Documents in REGTAP

Stakeholders can access additional documents at <https://www.REGTAP.info> in the REGTAP Library.

Under Program Area, select “HHS Risk Adjustment Data Validation (HHS-RADV)”

The screenshot shows the REGTAP Library interface. The 'Program Area' dropdown menu is open, displaying a list of options. A red arrow points to 'HHS-Operated Risk Adjustment Data Validation (RADV)'. The main table on the right lists various documents and resources.

Program Area	Resource Type	Download
User Fees	Supporting Documents	Download
User Fees	Supporting Documents	Download
User Fees	Presentation Slides	Download
User Fees	FAQ	Download
User Fees	Supporting Documents	Download
Distributed Data Collection for RI and RA/Edge Server	CBT	Play CBT Transcript
Distributed Data Collection for RI and RA/Edge Server	Presentation Slides	Download

Resources: Links

Resource	Resource Link
U.S. Department of Health & Human Services (HHS)	http://www.hhs.gov/
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
The Center for Consumer Information & Insurance Oversight (CCIIO) web page	http://www.cms.gov/ccio
Consumer website on Health Reform	http://www.healthcare.gov/
Registration for Technical Assistance Portal (REGTAP)	https://www.REGTAP.info
Patient Protection and Affordable Care Act (PPACA)	http://www.gpo.gov/fdsys/pkg/PLAW-111publ148/content-detail.html

Resources: Links (continued)

Resource	Resource Link
HHS Notice of Benefit and Payment Parameters for 2014	http://www.gpo.gov/fdsys/pkg/FR-2013-03-11/pdf/2013-04902.pdf
HHS Notice of Benefit and Payment Parameters for 2015	http://www.gpo.gov/fdsys/pkg/FR-2014-03-11/pdf/2014-05052.pdf
HHS Notice of Benefit and Payment Parameters for 2016	http://www.gpo.gov/fdsys/pkg/FR-2015-02-27/pdf/2015-03751.pdf
HHS Notice of Benefit and Payment Parameters for 2017	https://www.gpo.gov/fdsys/pkg/FR-2016-03-08/pdf/2016-04439.pdf
HHS Notice of Benefit and Payment Parameters for 2018	https://www.gpo.gov/fdsys/pkg/FR-2016-12-22/pdf/2016-30433.pdf

Resources: Links (continued)

Resource	Resource Link
HHS Notice of Benefit and Payment Parameters for 2019	https://www.govinfo.gov/content/pkg/FR-2018-04-17/pdf/2018-07355.pdf
Proposed HHS Notice of Benefit and Payment Parameters for 2020	https://www.govinfo.gov/content/pkg/FR-2019-01-24/pdf/2019-00077.pdf

Resources: Contact Information

Resource	Contact Information
For RADV policy questions, contact the RADV Team.	CCIIOACARADDataValidation@cms.hhs.gov
For EDGE server questions, please contact your Financial Management (FM) Service Representative directly and copy the Centers for Medicare & Medicaid Services (CMS) Help Desk.	EDGE_server_data@cms.hhs.gov and copy CMS_FEPS@cms.hhs.gov
HHS-RADV Audit Tool	To contact us within the HHS-RADV Audit Tool, use the Inquiries tab and select “Submit Inquiry”

Closing Remarks