HHS - Operated Risk Adjustment Data Validation (HHS-RADV) Issuer Participation Requirement

RETIRED

April 11, 2018

Health Insurance Marketplace Program Training Series

Session Agenda

- Session Guidelines
- New Webinar Platform
- Intended Audience
- Session Purpose
- HHS-RADV Timeline
- Issuer Participation Requirement
- Question & Answer (Q&A)
- Next Steps
- Resources
- Closing Remarks

Session Guidelines

- This is a 90-minute webinar session
- For questions regarding content, please submit questions to the RADV team at the following email:
  - CCIIOACARADatavalidation@cms.hhs.gov
- For questions regarding logistics and registration, contact the Registrar at: (800) 257-9520

New Webinar Platform

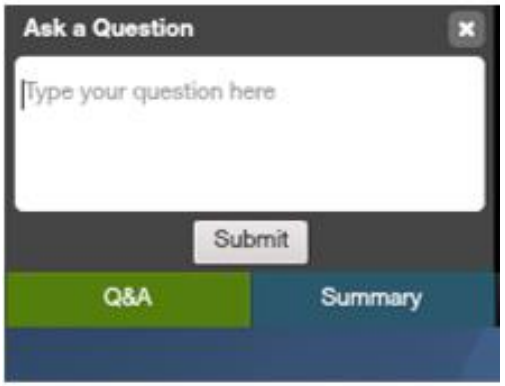
- CMS has implemented a new, user-friendly webinar platform to broadcast Registration for Technical Assistance Portal (REGTAP) training events
- Training events will be seen and heard through your device and are now also accessible on mobile devices

New Webinar Platform (continued)

- Use Internet Explorer (IE) when accessing the new web platform
- Test your system's compatibility, use the following link: http://engage.vevent.com/microsite/ext/self_test.jsp?eid=140
- If you cannot hear today's audio or having other technical issues, please contact the Registrar Support Team at registrar@regtap.info or 1 (800) 257-9520

New Webinar Platform (continued)

- To submit questions, use the Q&A panel
- CMS Subject Matter Experts will respond to submitted questions during the webinar, as time allows
- If your question does not receive a response, please send your question to CCIIOACARADataValidation@cms.hhs.gov



New Webinar Platform (continued)

- **Can I still use a landline phone to listen?**

No, the audio for the webcast will stream via your computer or smart device (Apple or Android), so you will need speakers or headphones to listen

- **Can I call from a cell phone to listen?**

No, you may access the event from a smart device, such as an Apple or Android cell phone, using the web browser on your device.

- **How do I adjust the volume for the event?**

Please adjust your volume to the desired setting using the volume settings on your device. You may also adjust the volume in the lower right corner of the webcast. Please ensure the volume button is not muted in the platform

- **Can I make the picture larger on my screen?**

Yes, you may maximize your screen by selecting the full-screen icon  located in the lower right corner of your screen

Intended Audience

- Issuers subject to the HHS-RADV Audit:
  - Issuers offering non-grandfathered Affordable Care Act-compliant individual and/or small group health plans both inside and outside the Exchange
- Potential Initial Validation Audit (IVA) Entities
- Second Validation Audit (SVA) Entities
- Third Party Administrators (TPA) and support vendors



This includes State-based Exchange (SBE) Issuers, Federally-facilitated Exchange (FFE) Issuers, and Small Business Health Options Programs (SHOP)

Session Purpose

- Provide the 2017 benefit year HHS-RADV timeline
- Provide an overview of the HHS-RADV issuer participation requirement
- Provide next steps and upcoming trainings

HHS-RADV Timeline

Benefit Year 2017 HHS-RADV Timeline

To access the most current HHS-RADV Timeline for the 2017 Benefit Year, please refer to the REGTAP Library under the HHS-Operated Risk Adjustment Data Validation (RADV) program:

2017 Benefit Year HHS-RADV Timeline

Issuer Participation Requirement

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HTTPS://WWW.REGTAP.INFO

2017 Benefit Year HHS-RADV Requirements

- The 2019 Payment Notice Final Rule includes the following HHS-RADV provision:
  - Beginning for 2017 HHS-RADV, an exemption from the requirement to hire an IVA Entity or submit IVA results for issuers of risk adjustment covered plans with 500 billable member months or fewer statewide
  - These issuers will not receive a default error rate, or any error rate, due to the new error estimation methodology for 2017 HHS-RADV

2017 Benefit Year HHS-RADV Requirements (continued)

Issuers with 500 or fewer Billable Member Months

- The RA Transfer Elements Extract (RATEE) Report from March 31, 2018 (based on EDGE server data as of March 30, 2018 data submission) will be used to determine HIOS IDs that meet the data quantity threshold and that had 500 or fewer billable member months
- Issuers that did not have enrollment data or did not meet data quantity thresholds as of the March 31, 2018, the RATEE Report will be evaluated again after the final RA data submission dateline, April 30, 2018
- CMS will use the final RATEE Report to determine any additional issuers that had 500 or fewer billable member months statewide for 2017 benefit year risk adjustment

2017 Benefit Year HHS-RADV Requirements (continued)

- CMS will send notifications to issuers that have 500 or fewer billable member months statewide for the 2017 Benefit Year
- This notification will be sent via email to the CEO Designate
- The CEO Designate is responsible for providing this notification to their CEO
- Upon notification from CMS, issuers eligible for this exemption can notify CMS if they wish to participate in HHS-RADV. Send notifications to the RADV team via email at CCIIOACARADataValidation@cms.hhs.gov

2017 Benefit Year HHS-RADV Requirements (continued)

- ONLY CEO Designates for Health Insurance Oversight System (HIOS) IDs that meet the small issuer exemption criteria will receive a notification email from CMS
- Issuers that do not receive an email informing them they are eligible for exemption are required to participate in 2017 benefit year HHS-RADV
- If an issuer believes they should be exempt and have not received an email indicating their eligibility for exemption, please reach out to the RADV Team via email at CCIIOACARADataValidation@cms.hhs.gov
- If an issuer receives an exemption notice in error and believes based on their RATEE results they should participate in the RADV Audit, please reach out to the RADV Team via email at CCIIOACARADataValidation@cms.hhs.gov

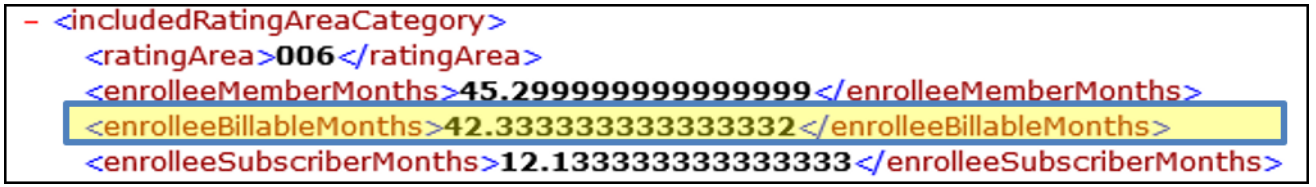


Unless eligible for exemption, each HIOS ID is required to participate in 2017 benefit year HHS-RADV.

2017 Benefit Year HHS-RADV Requirements (continued)

Issuers with 500 or fewer Billable Member Months

- The following bullets outline the process to calculate total billable member months in the individual and small group markets by HIOS ID
  - Obtain RATEE Report
    - *Report Dated:* (March 31, 2018)
  - Find data element "enrolleeBillableMonths."



  - Sum "enrolleeBillableMonths" for all plans of a single HIOS ID (use all decimals present in report – do not round)
  - If sum is 500 or less, 2017 HHS-RADV requirements **will not be** enforced
  - If sum is greater than 500, 2017 HHS-RADV requirements **will be** enforced

2017 Benefit Year HHS-RADV Requirements (continued)

Issuers with 500 or fewer Billable Member Months

- For additional information on the RATEE Report, please refer to the following:
  - Risk Adjustment Reports Job Aid
    - https://www.regtap.info/uploads/library/RA_RI_ReportsJobAid_5CR_013117.pdf
  - Interface Control Document – Risk Adjustment and Reinsurance Addendum
    - https://www.regtap.info/uploads/library/DDC_ICDAddendum_Version05_00_10_5CR_110917.pdf

Questions?

To submit questions by computer:

- Type your question in the text box under the “Q&A” tab located to the left hand panel of your screen
  - To submit the question, click “Submit”



If your question did not receive a response during this webinar session, please submit your question to the RADV team at CCIIOACARADataValidation@cms.hhs.gov

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Next Steps

Next Steps: Training Sessions

- CMS will continue to support Stakeholders through the HHS-RADV process by hosting periodic webinars

Next Steps: Training Sessions (continued)

Upcoming Webinars

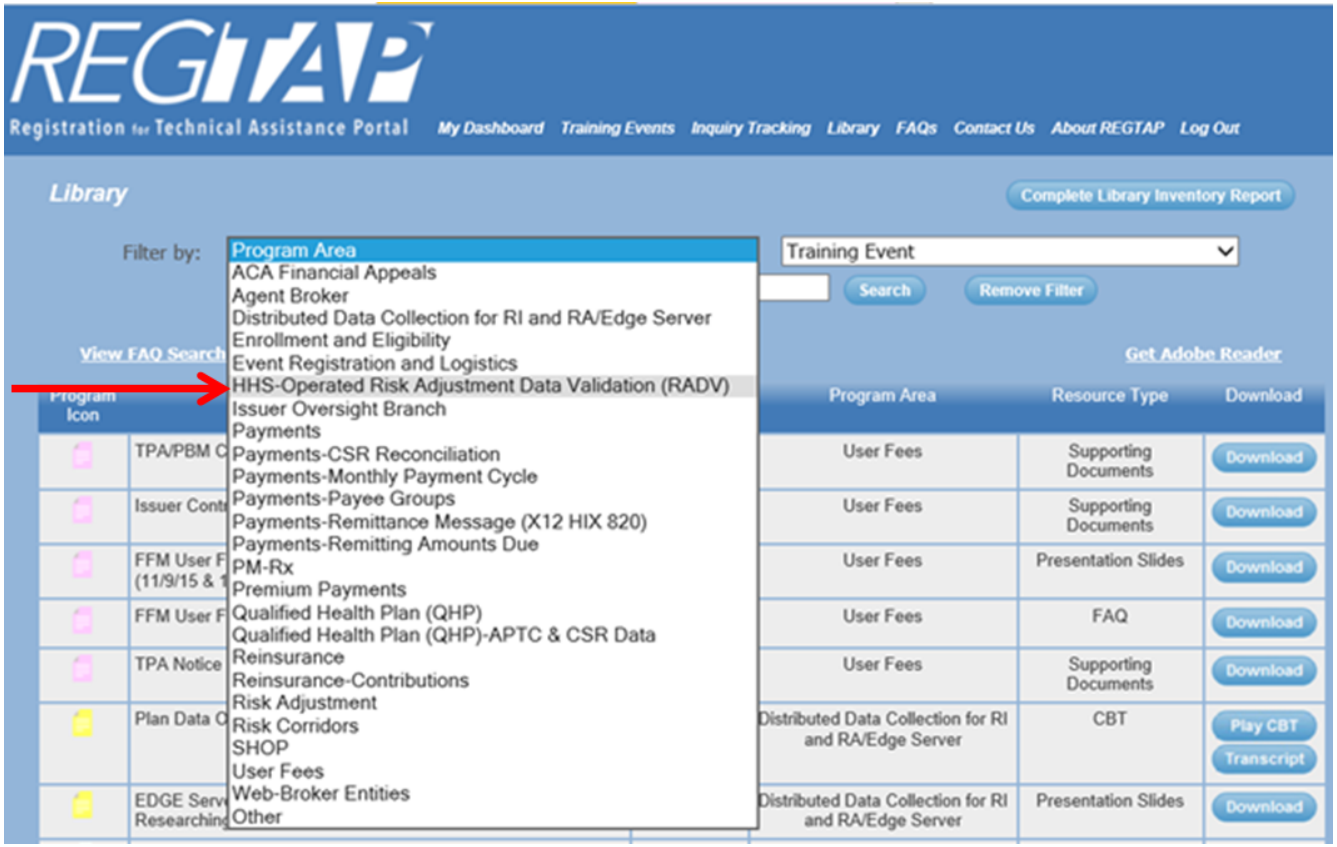
Date	Time	Topic
Wednesday, April 25, 2018	2:00 p.m. – 3:30 p.m. ET	HHS-RADV Reports

Resources

Locating HHS-RADV Documents in REGTAP

Stakeholders can access additional documents at https://www.REGTAP.info in the REGTAP Library.

Under Program Area, select "HHS-Operated Risk Adjustment Data Validation"



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Resources: Contact Information

Resource	Contact Information
CCIIO PPACA RA Data Validation Email Address. For RADV policy questions, contact the RADV Team	CCIIOACARADataValidation@cms.hhs.gov
EDGE server questions, please contact your Financial Management (FM) Service Representative directly and copy the Centers for Medicare & Medicaid Services (CMS) Help Desk	EDGE_server_data@cms.hhs.gov and copy CMS_FEPS@cms.hhs.gov

Resources: Links

Resource	Resource Link
U.S. Department of Health & Human Services (HHS)	http://www.hhs.gov/
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
The Center for Consumer Information & Insurance Oversight (CCIIO) web page	http://www.cms.gov/cciio
Consumer website on Health Reform	http://www.healthcare.gov/
Registration for Technical Assistance Portal (REGTAP) - presentations, FAQs	https://www.REGTAP.info
Patient Protection and Affordable Care Act (PPACA)	http://www.gpo.gov/fdsys/pkg/PLAW-111publ148/content-detail.html

Resources: Links (continued)

Resource	Resource Link
HHS Notice of Benefit and Payment Parameters for 2014	http://www.gpo.gov/fdsys/pkg/FR-2013-03-11/pdf/2013-04902.pdf
HHS Notice of Benefit and Payment Parameters for 2015	http://www.gpo.gov/fdsys/pkg/FR-2014-03-11/pdf/2014-05052.pdf
HHS Notice of Benefit and Payment Parameters for 2016	http://www.gpo.gov/fdsys/pkg/FR-2015-02-27/pdf/2015-03751.pdf
HHS Notice of Benefit and Payment Parameters for 2017	https://www.gpo.gov/fdsys/pkg/FR-2016-03-08/pdf/2016-04439.pdf
HHS Notice of Benefit and Payment Parameters for 2018	https://www.gpo.gov/fdsys/pkg/FR-2016-12-22/pdf/2016-30433.pdf

Closing Remarks