HHS-Operated Risk Adjustment Data Validation (HHS-RADV)

IVA Entity Selection & Conflict of Interest

March 28, 2018

Health Insurance Marketplace Program Training Series

Session Agenda

- Session Guidelines
- New Webinar Platform
- Intended Audience
- Session Purpose
- HHS-RADV Timeline
- IVA Entity Selection and Conflict of Interest
    - Issuer’s Assessment of IVA Entity's Capabilities
    - IVA Entity Solicitation and Election
    - Issuer IVA Entity Designation
- Next Steps, Resources and Closing Remarks

Session Guidelines

- This is a 90-minute webinar session
- For questions regarding content, please submit questions to the RADV team at the following email:
  - CCIIOACARADatavalidation@cms.hhs.gov
- For questions regarding logistics and registration, contact the Registrar at: (800) 257-9520

New Webinar Platform

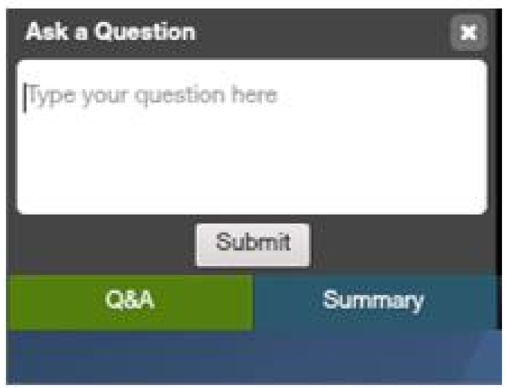
- CMS has implemented a new, user-friendly webinar platform to broadcast Registration for Technical Assistance Portal (REGTAP) training events
- Training events will be seen and heard through your device and are now also accessible on mobile devices

New Webinar Platform (continued)

- Use Internet Explorer (IE) when accessing the new web platform
- Test your system's compatibility, use the following link: http://engage.vevent.com/microsite/ext/self_test.jsp?eid=140
- If you cannot hear today's audio or having other technical issues, please contact the Registrar Support Team at registrar@regtap.info or 1 (800) 257-9520

New Webinar Platform (continued)

- To submit questions, use the Q&A panel
- CMS Subject Matter Experts will respond to submitted questions during the webinar, as time allows
- If your question does not receive a response, please send your question to CCIIOACARADataValidation@cms.hhs.gov



New Webinar Platform (continued)

- **Can I still use a landline phone to listen?**

No, the audio for the webcast will stream via your computer or smart device (Apple or Android), so you will need speakers or headphones to listen

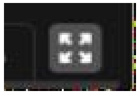
- **Can I call from a cell phone to listen?**

No, you may access the event from a smart device, such as an Apple or Android cell phone, using the web browser on your device

- **How do I adjust the volume for the event?**

Please adjust your volume to the desired setting using the volume settings on your device. You may also adjust the volume in the lower right corner of the webcast. Please ensure the volume button is not muted in the platform

- **Can I make the picture larger on my screen?**

Yes, you may maximize your screen by selecting the full-screen icon  located in the lower right corner of your screen

Intended Audience

- Issuers subject to the HHS-RADV Audit:
    - Issuers offering non-grandfathered Affordable Care Act-compliant individual and/or small group health plans both inside and outside the Exchange
- Potential Initial Validation Audit (IVA) Entities
- Third Party Administrators (TPA) and support vendors
- Second Validation Audit (SVA) Entities

This includes State-based Exchange (SBE) Issuers, Federally-facilitated Exchange (FFE) Issuers, and Small Business Health Options Programs (SHOP)

Session Purpose

- Provide the 2017 benefit year HHS-RADV timeline
- Provide an overview of IVA selection
- Provide an overview of issuer and IVA Entity conflict of interest
- Provide next steps and upcoming trainings

HHS-RADV Timeline

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Benefit Year 2017 HHS-RADV Timeline

To access the most current HHS-RADV Timeline for the 2017 Benefit Year, please refer to the REGTAP Library under the HHS-Operated Risk Adjustment Data Validation (RADV) program: 2017 Benefit Year HHS-RADV Timeline

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IVA Entity Selection Regulatory Requirements

HHS-RADV Regulatory Requirements for Selecting an IVA Entity

- Per 45 C.F.R. §153.630, issuers must ensure that the designated IVA Entity meets the following criteria:

1. Capable of performing an IVA according to the standards established by HHS for such audit, and must ensure that the audit is so performed.
2. Free of conflicts of interest, such that it is able to conduct the IVA in an impartial manner and its impartiality is not reasonably open to question.
3. An IVA review of enrollee health status must be conducted by medical coders certified after examination by a nationally recognized accrediting agency for medical coding.

CMS may not accept an issuer's IVA Entity designation if the designated IVA Entity does not meet regulatory requirements

HHS-RADV Regulatory Requirements for Selecting an IVA Entity (continued)

Regulatory requirement for the IVA Entity Selection:

1 Capable of performing an IVA according to the standards established by HHS for such audit, and must ensure that the audit is so performed.

- Issuers must retain documentation from the selection and review process that demonstrates the IVA Entity meets regulatory requirements
- CMS may request documentation regarding the issuer's IVA Entity selection process and the IVA Entity's compliance with applicable requirements

HHS-RADV Regulatory Requirements for Selecting an IVA Entity (continued)

Regulatory requirement for the IVA Entity Selection:

2 Free of conflicts of interest, such that it is able to conduct the IVA in an impartial manner and its impartiality is not reasonably open to question.

- Owners, directors and officers of the issuer may not be owners, directors or officers of the IVA Entity, and vice versa
- Members of the data validation audit team of the IVA Entity may not be married to, in a domestic partnership with, or otherwise be in the same immediate family as an owner, director, officer, or employee of the issuer and
- The IVA Entity may not have had a role in establishing any relevant internal controls of the issuer related to the RADV process when HHS is operating risk adjustment (RA) on behalf of a state, or serve in any capacity as an advisor to the issuer regarding the IVA

HHS-RADV Regulatory Requirements for Selecting an IVA Entity (continued)

Regulatory requirement for IVA the Entity Selection:

2 Free of conflicts of interest, such that it is able to conduct the IVA in an impartial manner and its impartiality is not reasonably open to question.

- Issuers must verify that no key individuals involved in supervising or performing the initial validation audit have been excluded from working with either the Medicare or Medicaid program, are on the Office of Inspector General (OIG) exclusion list or, to its knowledge, are under investigation with respect to any HHS programs
- Issuers must verify there are no material or financial ownership interests between the issuer, the IVA Entity, or any member of either management team (or any member of the immediate family of such member) such that the financial success of the issuer or IVA Entity could be seen as materially affecting the success of the other entity, calling into question the impartiality of the IVA process, and/or could reasonably be seen as having the ability to influence the decision making of the other entity.

HHS-RADV Regulatory Requirements for Selecting an IVA Entity (continued)

Regulatory requirement for the IVA Entity Selection:

3 An IVA review of enrollee health status must be conducted by medical coders certified after examination by a nationally recognized accrediting agency for medical coding.

- The IVA Entity must use medical coders with relevant skills as demonstrated through certification after examination by a nationally recognized accrediting agency for medical coding, such as the American Health Information Management Association (AHIMA) or the American Academy of Professional Coders (AAPC), and in good standing
- A senior reviewer is required to confirm any finding of an RA error discovered during the IVA
  - A senior reviewer is a reviewer certified as a medical coder by a nationally recognized accrediting agency who possesses at least five (5) years of experience in medical coding

HHS-RADV Regulatory Requirements for Selecting an IVA Entity (continued)

Additional Regulatory Requirements

The issuer Chief Executive Officer (CEO)/Chief Financial Officer (CFO) will be required to attest to the following:

- There are no conflicts of interest between the IVA Entity (or the members of its audit team, owners, directors, officers, or employees) and the issuer (or its owners, directors, officers, or employees), to its knowledge, following reasonable investigation
- That the issuer has obtained an equivalent representation from the IVA Entity and
- That no key individual involved in supervising or performing the IVA appears on the Office of the Inspector General List of Excluded Individuals and Entities or, to the issuer's knowledge, are under investigation with respect to any HHS program

HHS-RADV Regulatory Requirements for Selecting an IVA Entity (continued)

Non-Compliance Implications of Not Engaging an IVA Entity

- Issuers are required to engage and have CMS accept an IVA Entity before the IVA process begins
- If an issuer of an RA covered plan fails to engage an IVA Entity, CMS will impose an RA default charge and may take other action (as appropriate)
  - For the 2017 benefit year, the default RA charge will be calculated as the 90th percentile plan risk transfer amount expressed as a percentage of the respective statewide average per member per month (PMPM) premiums for the risk pool, multiplied by a plan's monthly enrollment

Issuer and IVA Entity Conflict of Interest Examples

HHS-RADV Conflict of Interest

Example 1

Background: Issuer A contracts with IVA Entity B to conduct the HHS-RADV IVA. IVA Entity B's Director, John, is an owner of issuer A.

Question: Does a conflict of interest exist?

Answer: YES

Regulatory reference:

- Owners, directors and officers of the issuer may not be owners, directors or officers of the initial validation auditor, and vice versa

HHS-RADV Conflict of Interest (continued)

Example 2

Background: Issuer A contracts with IVA Entity B to conduct the HHS-RADV IVA. IVA Entity B's management team member, John, has a material financial interest in issuer A. John is married to Susan, who is a part owner of issuer A.

Question: Does a conflict of interest exist?

Answer: YES

Regulatory reference:

- Neither the initial validation auditor nor any member of its management team or data validation audit team (or any member of the immediate family of such a member) may have any material financial or ownership interest in the issuer, such that the financial success of the issuer could be reasonably seen as materially affecting the financial success of the initial validation auditor or management team or audit team member (or immediate family member) and the impartiality of the initial validation audit process could reasonably be called into question, or such that the initial validation auditor or management or audit team member (or immediate family member) could be seen as having the ability to influence the decision making of the issuer

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HHS-RADV Conflict of Interest (continued)

Example 3

Background: Issuer A contracts with IVA Entity B to conduct the HHS-RADV IVA. IVA Entity B hires five (5) medical coders to work as members of the data validation audit team. New medical coder employee, Gerry, has no material financial interest or ownership interest in issuer A. However, Gerry's brother is a director of issuer A.

Question: Does a conflict of interest exist?

Answer: YES

Regulatory reference:

- Members of the data validation audit team of the initial validation auditor may not be married to, in a domestic partnership with, or otherwise be in the same immediate family as an owner, director, officer, or employee of the issuer

HHS-RADV Conflict of Interest (continued)

Example 4

Background: Issuer A contracts with IVA Entity B to conduct the HHS-RADV IVA. IVA Entity B hires Kate to participate on the data validation audit team. Kate has no material financial interest or ownership interest in issuer A. Kate's husband is employed in a non-management position for issuer A.

Question: Does a conflict of interest exist?

Answer: YES

Regulatory reference:

- Members of the data validation audit team of the initial validation auditor may not be married to, in a domestic partnership with, or otherwise be in the same immediate family as an owner, director, officer, or employee of the issuer

HHS-RADV Conflict of Interest (continued)

Example 5

Background: Issuer A has contracted with Organization X to provide consultation services and assist in preparing issuer A for the HHS RA and RADV processes. Organization X has assisted in the establishment of internal controls over enrollee files and medical record documentation, as well as the upload of documentation to the EDGE server. Because of their familiarity with issuer A's organizational structure, and having demonstrated excellent quality of work products, issuer A contracts with Organization X to serve as their IVA Entity.

Question: Does a conflict of interest exist?

Answer: YES

Regulatory reference:

- The initial validation auditor may not have had a role in establishing any relevant internal controls of the issuer related to the RA data validation process when HHS is operating RA on behalf of a State, or serve in any capacity as an advisor to the issuer regarding the initial validation audit

HHS-RADV Conflict of Interest (continued)

Example 6

Background: Issuer A has contracted with Organization Z to act as a TPA during the HHS-RADV process. Issuer A then contracts with Organization Z to serve as their IVA Entity.

Question: Does a conflict of interest exist?

Answer: YES

Regulatory reference:

- The initial validation auditor may not have had a role in establishing any relevant internal controls of the issuer related to the RA data validation process when HHS is operating RA on behalf of a State, or serve in any capacity as an advisor to the issuer regarding the initial validation audit

HHS-RADV Conflict of Interest (continued)

Example 7

Background: Issuer A contracts with IVA Entity B to conduct the HHS-RADV IVA. IVA Entity B hires Amy, a senior medical coder, to serve on the data validation audit team and act in a supervisory role on the HHS-RADV process. The previous year, Amy was barred from working with the Medicare program, and was placed on the OIG exclusion list.

Question: Is this a reason for non-selection of an IVA Entity?

Answer: YES

Regulatory reference:

- No key individuals involved in supervising or performing the initial validation audit have been excluded from working with either the Medicare or Medicaid program, are on the OIG exclusion list or, to its knowledge, are under investigation with respect to any HHS programs

HHS-RADV Conflict of Interest (continued)

Example 8

Background: TPA Y only performs the HR functions for issuer A. TPA Y will also perform the IVA for issuer A.

Question: Does a conflict of interest exist?

Answer: NO, since the human resource function was not related to the establishment of internal controls around RA data of the HHS-RADV process, it is not a conflict of interest

Regulatory reference:

- The initial validation auditor may not have had a role in establishing any relevant internal controls of the issuer related to the RA data validation process when HHS is operating RA on behalf of a State, or serve in any capacity as an advisor to the issuer regarding the initial validation audit

Issuer’s Assessment of an IVA Entity’s Capabilities

Assessing IVA Entity Capabilities

1 Capable of performing an IVA according to the standards established by HHS for such audit, and must ensure that the audit is so performed.

IVA Technical capabilities should include:

- The IVA Entity must have a method of receiving claims and encounter data, as well as medical record documentation, from the issuer
- The IVA Entity must be able to validate medical records according to the standards for coding and reporting approved by American Health Information Management Association (AHIMA), American Hospital Association, CMS and the National Center for Health Statistics (NCHS)
- The IVA Entity must be able to measure and report its Inter-rater Reliability (IRR) among its reviewers to ensure the reviewers meet consistency measures as required in regulation
- The IVA Entity must be able to comply with privacy and security standards for Protected Health Information (PHI) in connection with the IVA
- The IVA Entity must submit reports and data to CMS through the Audit Tool

Assessing IVA Entity Capabilities (continued)

IVA Personnel Qualifications include the following:

- The IVA Entity and/or key personnel cannot be on the Federal OIG List of Excluded Individuals and Entities or under investigation with respect to any HHS program
- The IVA Entity must have medical coders with relevant skills as demonstrated through certification after examination by a nationally recognized accrediting agency for medical coding, such as the AHIMA or the American Academy of Professional Coders (AAPC)
- The IVA Entity must have at least two (2) coders to perform medical record reviews, with one (1) being a senior coder having five (5) years of experience
- The IVA Entity must have knowledge of reviewing financial, enrollment and insurance premiums

Assessing IVA Entity Capabilities
(continued)

Issuer Assessment of IVA Entity's Capabilities:

Issuer will ensure that the IVA Entity is capable of conducting the audit in the following manner:

- Once the audit sample is selected by CMS, the issuer would provide the IVA Entity with source enrollment and source medical record documentation, as well as claims and encounter data, to validate issuer submitted RA data for each sampled enrollee
- The issuer and IVA Entity would determine a timeline and information-transfer methodology that satisfies data security and privacy requirements, including the applicable provisions of HIPAA, and enables the IVA Entity to meet HHS established timelines
- The IVA Entity would validate the health status of each enrollee in the sample in accordance with the standards established by HHS
- **The issuer discusses** IVA findings with IVA Entity prior to submission and sign-off in the HHS-RADV Audit Tool
    - Resolve disputes while maintaining the integrity of the IVA Entity's independence
- The IVA Entity would provide CMS with the final results from the IVA and all requested information for the Second Validation Audit (SVA)

IVA Entity Solicitation

HHS-RADV IVA Entity Solicitation

- The issuer must document that the IVA Entity's capability of performing an IVA will be considered during the solicitation and review process
- The issuer must document that the issuer and IVA Entity do not have any conflicts of interest and the issuer has obtained equivalent representation from the IVA Entity regarding conflict of interest
    - This will be done via an attestation within the Audit Tool. Details about this process will be provided at a later date in an upcoming webinar
- The issuer must provide CMS with information regarding the IVA Entity for CMS review via the Audit Tool
- The IVA Entity will detail for the issuer its technical capabilities and approach to performing the IVA and submitting its findings in the timeframe specified by CMS

IVA Entity Election

IVA Entity Election

- The IVA Entity election requires IVA Entities to declare their intent to participate as an IVA Entity for the 2017 HHS-RADV benefit year
- IVA Entities submit their election to CMS before an issuer is able to designate their IVA Entity

IVA Entity Election Process

New!

IVA Entity receives a link to the web form in an invitation email. If a new IVA Entity does not receive an email with the link to the IVA Entity Election web form, they should email CCIIOACARADataValidation@cms.hhs.gov and the link can be sent

IVA Entity accesses the web form, submits election as an IVA Entity, and identifies IVA Entity SOs, if necessary.

CMS reviews submission and determines if the IVA Entity is on the Office of Inspector General (OIG) exclusion list.

IVA Entity receives an email from CMS indicating whether the election was accepted or not accepted.

CMS publishes a list of IVA Entities who have completed the election process and are registered for the applicable benefit year.

IVA Entity Election Web Form

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Web Form Overview

The 2017 Benefit Year HHS-RADV IVA Entity Election web form allows organizations to declare their intent to participate as an IVA Entity and to identify two (2) individuals from their organization, who will serve in the IVA Entity Senior Official (SO) role, if necessary

NOTE

The web form must be completed in a single session, and must only be completed once per organization

Web Form Availability

The web form will be available **March 28, 2018.**

Before You Begin the Web Form

- Understand that your organization will only be allowed to complete the web form once
- Decide who from your organization will be completing the web form
- Determine who from your organization will be the Alternate Contact, if you are an organization that has not participated as an HHS-RADV IVA Entity previously
- Determine if you wish to share the tax identification number (TIN) for your organization
- Determine the address for the location at which you conduct your audit
- Determine the individuals from your organization who will act as IVA Entity Senior Officials (SOs), if you are an organization that has not participated as an HHS-RADV IVA Entity previously
  - You must maintain a minimum of two (2) SOs with a current effective date
- Ensure you have the accurate business name of your organization

An IVA Entity may only complete the web form **one (1) time**

Before You Begin the Web Form (continued)

If you represent an organization that has not participated as an IVA Entity for HHS-RADV previously, gather the following information before you begin the 2017 Benefit Year HHS-RADV IVA Entity election web form:

Contact Information Data

- Contact information for the Submitter and Alternate Contact
  - Name
  - Email Address
  - Job Title
  - Phone Number
  - Phone Extension (optional)

IVA Entity and IVA Entity SO Data

- IVA Entity Name
- IVA Entity Senior Officials (SO) information
  - Effective Date
  - Name
  - Email Address
  - Job Title
  - Phone Number
  - Phone Extension (optional)

Before You Begin the Web Form
(continued)

If you represent an organization that has participated as an IVA Entity for HHS-RADV previously, gather the information of a current IVA Entity Senior Official or current IVA Entity RADV Coordinator to enter as the Submitter Contact:

Contact Information Data

- Contact information for a IVA Entity SO or IVA Entity RADV Coordinator Contact
  - Name
  - Email Address
  - Job Title
  - Phone Number
  - Phone Extension (optional)

Completing the Web Form

Welcome Page

- Review the instructions for electing to act as an IVA Entity

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CENTER FOR CONSUMER INFORMATION & INSURANCE OVERSIGHT

Guidance

Welcome

For the HHS-RADV program, issuers are required to engage an independent auditor to perform validation of demographic and enrollment data and health status information for a CMS-defined sample of enrollees.

The Initial Validation Audit (IVA) Entity Election web form allows organizations to self-identify as an IVA Entity for the HHS-RADV program for the current benefit year. The web form also allows new IVA Entities to identify the individuals from their organization who will serve in the IVA Entity Senior Official (SO) role.

Note: This web form must be completed in a single session, and may only be completed once per organization.

If you require assistance or have questions related to the completion of this web form, please send an email to CCIIOACARADataValidation@cms.hhs.gov.

Continue

Participation Status Page

- Respond to the question: Did your IVA Entity submit HHS-RADV audit results to CMS for the previous benefit year?
  - The response to this question means that you will provide different information on the subsequent pages of the web form

Participation Status

Instructions

The red asterisk (*) indicates required fields.

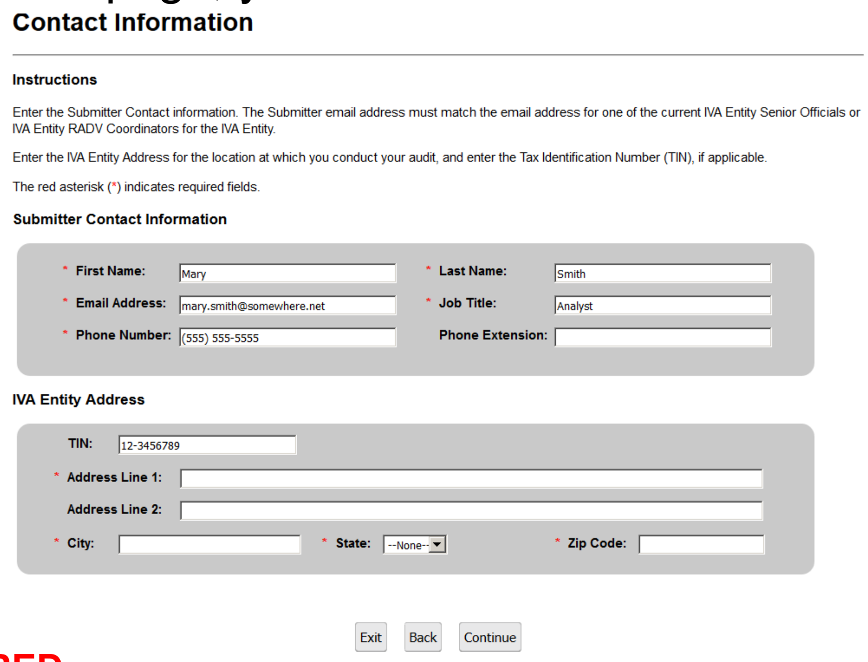
* **Did your IVA Entity submit HHS-RADV audit results to CMS for the previous benefit year?**

Yes No

Back Continue

Contact Information Page – Existing IVA Entity

- Enter a Submitter contact, which must be one of the current IVA Entity Senior Officials or IVA Entity RADV Coordinators
- Enter the IVA Entity TIN, if available, and the address for where the IVA is being conducted
- After completing this page, you will be directed to the Summary page



Contact Information

Instructions

Enter the Submitter Contact information. The Submitter email address must match the email address for one of the current IVA Entity Senior Officials or IVA Entity RADV Coordinators for the IVA Entity.

Enter the IVA Entity Address for the location at which you conduct your audit, and enter the Tax Identification Number (TIN), if applicable.

The red asterisk (*) indicates required fields.

Submitter Contact Information

* First Name:	Mary	* Last Name:	Smith
* Email Address:	mary.smith@somewhere.net	* Job Title:	Analyst
* Phone Number:	(555) 555-5555	Phone Extension:	

IVA Entity Address

TIN: 12-3456789

* Address Line 1:

Address Line 2:

* City: * State: --None-- * Zip Code:

Exit Back Continue

Contact Information Page – New IVA Entity

- Enter contact information for the Submitter and an Alternate Contact
  - Submitter and Alternate Contacts must be different
- Enter the IVA Entity TIN, if available, and the address for where the IVA is being conducted

Contact Information

Instructions

Enter the Submitter Contact and Alternate Contact information. The Submitter and Alternate Contact must be different.

Enter the IVA Entity Address for the location at which you conduct your audit, and enter the Tax Identification Number (TIN), if applicable.

The red asterisk (*) indicates required fields.

Submitter Contact Information

* First Name:	Bob	* Last Name:	Jones
* Email Address:	bob.jones@somewhere.net	* Job Title:	Analyst
* Phone Number:	(123) 456-7890	Phone Extension:	

Alternate Contact Information

* First Name:	Jane	* Last Name:	White
* Email Address:	jane.white@somewhere.net	* Job Title:	Analyst
* Phone Number:	(123) 456-7890	Phone Extension:	9876

IVA Entity Address

TIN:	98-7654321
* Address Line 1:	
Address Line 2:	

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New IVA Entity and IVA Entity Senior Officials Page

- First, enter IVA Entity Legal Business Name

NOTE

The IVA Entity entered should be the official name of the organization registering as an IVA Entity. Each IVA Entity is only entered through this page one (1) time

- Second, identify IVA Entity Senior Officials

NOTE

It is possible to enter as many IVA Entity Senior Officials as desired, but a minimum of two (2) must be entered

New IVA Entity and IVA Entity Senior Officials Page

Guidance

New IVA Entity and IVA Entity Senior Officials

Instructions

Enter your organization's name in the **IVA Entity Legal Business Name** field to identify your organization as an IVA Entity for the current benefit year. The IVA Entity legal business name is the official name of the organization which you are registering with CMS to do business.

An IVA Entity must maintain a minimum of two (2) IVA Entity SO contacts with a current effective date. An IVA Entity may maintain as many IVA Entity SO contacts as necessary.

Select the **Add IVA Entity Senior Official** link to create an IVA Entity SO contact. Select the **Edit** or **Delete** Action link to update or delete an IVA Entity SO contact.

The red asterisk (*) indicates required fields.

* **IVA Entity Legal Business Name:**

IVA Entity Senior Officials Add IVA Entity Senior Official

Action	Effective Date	First Name	Last Name	Email Address	Job Title	Phone Number	Phone Extension
No IVA Entity Senior Officials assigned.							

Exit Back Continue

Add IVA Entity Senior Official Page

Guidance

Add IVA Entity Senior Official

Instructions

Enter the IVA Entity Senior Official Contact Information.

The red asterisk (*) indicates required fields.

IVA Entity Senior Official Contact Information

* Effective Date:	3/19/2018		
* First Name:	Jill	* Last Name:	Smith
* Email Address:	jill.smith@somewhere.net	* Job Title:	Analyst
* Phone Number:	(987) 654-3210	Phone Extension:	

Cancel | Save & Return

RETIRED

Summary Page

- Review the IVA Entity Legal Business Name and the response to the Participation Status question
- If necessary, review the IVA Entity Senior Officials information for accuracy
- Review contact information for accuracy
- Review TIN and IVA Entity Address for accuracy
- Select the checkbox next to the statement “By submitting this form, my IVA Entity is electing to self-identify as an IVA Entity for the current benefit year”
- Select Submit button to complete the web form

Confirmation Page

- Submission End Time – date and time the form was submitted
- An email acknowledgement of submission will be sent to the Submitter, Alternate Contact, and IVA Entity SOs (if a new IVA Entity)
- An email acknowledgement of submission will be sent to active IVA Entity SOs and Coordinators (if an existing IVA Entity)
- Button to generate a PDF containing the election information submitted

NOTE

It is recommended that you print and save a copy of the PDF for your records, as it is not included in the acknowledgement email

Web Form Questions

To contact us with questions about the web form, please email the RADV team at:

CCIIOACARADataValidation@cms.hhs.gov

Issuer IVA Entity Designation

Next Steps for Issuers to Designate

- After an IVA Entity registers and elects to participate in HHS-RADV, issuers can designate the IVA Entity
- The issuer will review the list of registered IVA Entities within the Audit Tool and make their designation
- Only the issuer's HHS-RADV Senior Official is able to designate their IVA Entity within the Audit Tool for CMS to review
- This form will be available within the Audit Tool starting April 16, 2018

Next Steps for Issuers to Designate (continued)

- Issuers who do not find their contracted IVA Entity on the list of registered IVA Entities, should contact the IVA Entity and advise them to complete the HHS-RADV IVA Entity Election form
  - If an IVA Entity needs the link to the IVA Entity Election web form, they should email CCIIOACARADataValidation@cms.hhs.gov and the link can be sent

Issuer IVA Entity Designation (continued)

- CMS accepts or rejects the issuer's IVA Entity designation in the Audit Tool
- Accepted IVA Entity is linked to designating issuer in the Audit Tool
- Please refer to the Audit Tool user manual for additional step-by-step details
- Additional details about this process will be shared during the April 11, 2018 HHS-RADV Series III webinar

Questions?

To submit questions by computer:

- *Type your question in the text box under the "Q&A" tab located to the left hand panel of your screen.*
    - *To submit the question, click "Submit"*

If your question did not receive a response during this webinar session, please submit your question to the RADV team at CCIIOACARADataValidation@cms.hhs.gov

Next Steps

Next Steps: Training Sessions

- The PPACA HHS-Operated Risk Adjustment Data Validation Webinar Series II is now closed
- To attend future RADV training sessions, Stakeholders **must** register for the new PPACA HHS-Operated Risk Adjustment Data Validation Webinar Series III
- To register, visit https://www.REGTAP.info, select Training Events and register for the PPACA HHS-Operated Risk Adjustment Data Validation Webinar Series III

Your registration for Series II will **not** automatically register you for Series III

Next Steps: Training Sessions (continued)

Upcoming Webinars

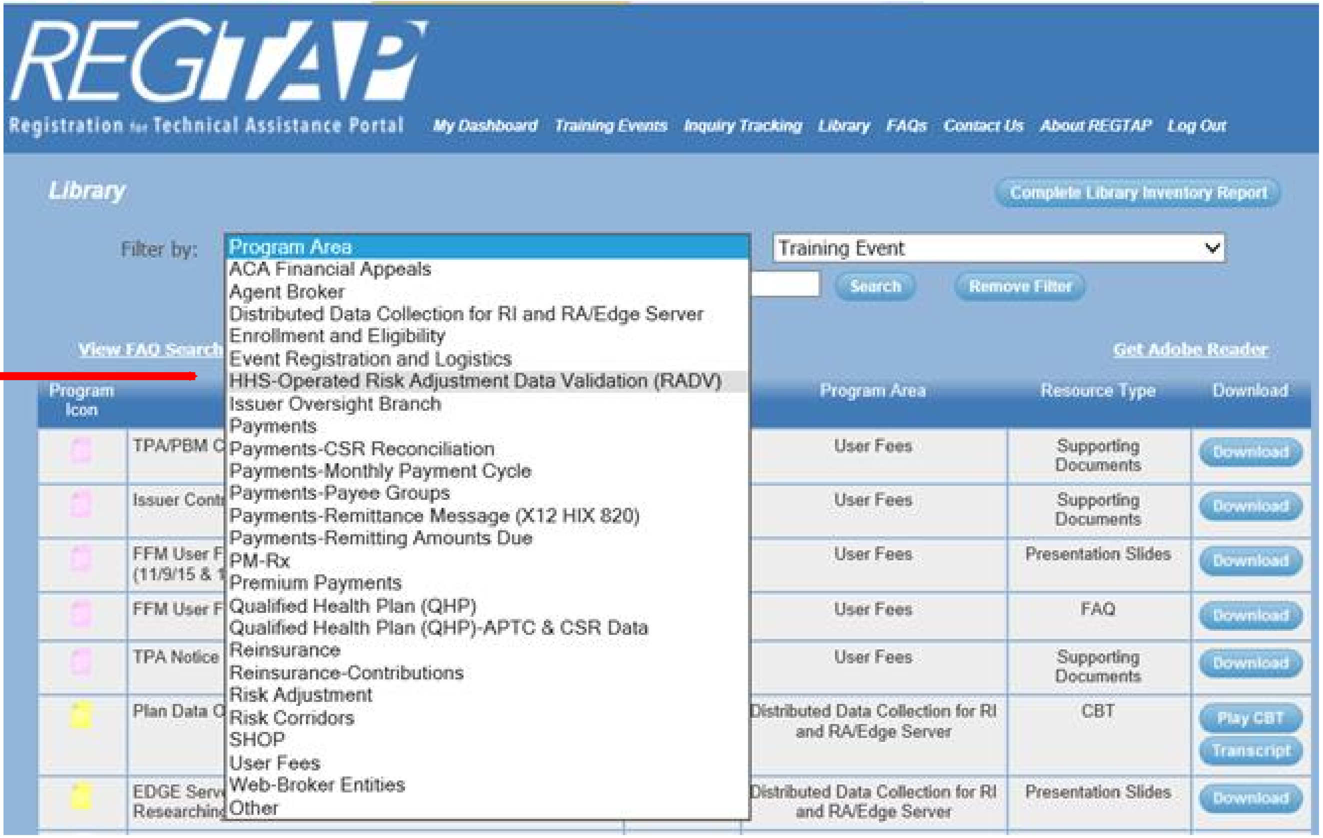
Date	Time	Topic
Wednesday, April 11, 2018	2:00 p.m. – 3:30 p.m. ET	Issuer Participation Requirement Issuer SO Designation IVA Entity Selection

Resources

Resources: Locating HHS-RADV Documents in REGTAP

Stakeholders can access additional documents at https://www.REGTAP.info in the REGTAP Library.

Under Program Area, select "HHS-Operated Risk Adjustment Data Validation"



Resources: Contact Information

Resource	Contact Information
For RADV policy questions, contact the RADV Team.	CCIIOACARADataValidation@cms.hhs.gov
EDGE server questions, please contact your Financial Management (FM) Service Representative directly and copy the Centers for Medicare & Medicaid Services (CMS) Help Desk.	EDGE_server_data@cms.hhs.gov and copy CMS_FEPS@cms.hhs.gov

Resources: Links

Resource	Resource Link
U.S. Department of Health & Human Services (HHS)	http://www.hhs.gov/
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
The Center for Consumer Information & Insurance Oversight (CCIIO) web page	http://www.cms.gov/cciio
Consumer website on Health Reform	http://www.healthcare.gov/
Registration for Technical Assistance Portal (REGTAP) - presentations, FAQs	https://www.REGTAP.info
Patient Protection and Affordable Care Act (PPACA)	http://www.gpo.gov/fdsys/pkg/PLAW-111publ148/content-detail.html

Resources: Links (continued)

Resource	Resource Link
HHS Notice of Benefit and Payment Parameters for 2014	http://www.gpo.gov/fdsys/pkg/FR-2013-03-11/pdf/2013-04902.pdf
HHS Notice of Benefit and Payment Parameters for 2015	http://www.gpo.gov/fdsys/pkg/FR-2014-03-11/pdf/2014-05052.pdf
HHS Notice of Benefit and Payment Parameters for 2016	http://www.gpo.gov/fdsys/pkg/FR-2015-02-27/pdf/2015-03751.pdf
HHS Notice of Benefit and Payment Parameters for 2017	https://www.gpo.gov/fdsys/pkg/FR-2016-03-08/pdf/2016-04439.pdf
HHS Notice of Benefit and Payment Parameters for 2018	https://www.gpo.gov/fdsys/pkg/FR-2016-12-22/pdf/2016-30433.pdf

Resources: Links (continued)

Resource	Resource Link
Affordable Care Act (PPACA) HHS-Operated Risk Adjustment Data Validation (RADV) Process White Paper, June 22, 2013	https://www.regtap.info/uploads/library/ACA_HHS_OperatedRADVWhitePaper_062213_5CR_062213.pdf
Proposed HHS Notice of Benefit and Payment Parameters for 2019	https://gpo.gov/fdsys/pkg/FR-2017-11-02/pdf/2017-23599.pdf

Closing Remarks