DEPARTMENT OF HEALTH & HUMAN SERVICES (HHS)
Centers for Medicare & Medicaid Services (CMS)
Center for Consumer Information and Insurance Oversight (CCIIO)
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2015 Data Pilot Summary and Materials Descriptions

2015 Data Pilot Summary

Beginning in September, CMS will conduct a 2015 Pilot with all Issuers to validate that the effectuated enrollment and payment data in Enrollment and Payment System (EPS) aligns with Issuers' records using 2015 EPS production data. The EPS will take key financial elements from those enrollment profiles and will aggregate policy data to the payee-level and then output Preliminary Payment Reports (PPRs) in pipe-separated format for each payment month. For example, if we ran the files for the September cycle, the payee would receive nine (9) separate pipe separated files (one [1] for each month) with all the policy-level details for those payment months.

During the Pilot, CMS will provide payees with a PPR for each month of 2015 to compare with their systems' enrollment information and ask them to respond with any discrepancies. While no actual payments will be made from this Pilot, it will allow CMS to ensure the Federally-facilitated Marketplace (FFM) is in alignment with issuers and reporting payments as expected. Once payees receive and review the PPRs, CMS will ask payees to respond to CMS with any discrepancies. CMS' intention for this 2015 Pilot is to help identify any enrollment discrepancies that affect payments and any payment discrepancies (such as being paid twice for a policy that did not have two [2] enrollment records). Certain policy characteristics still under development, such as partial-month premiums, will not be reflected on these PPRs during the Pilot.

File Descriptions

The files described below are related to the 2015 Pilot and are available at https://www.REGTAP.info in the REGTAP Library:

- **File 1: Preliminary Payment Report Schema and Business Rules**
  - **File Name:** Preliminary Payment Report Schema 08042015.xlsx

    This file is the PPR Schema, which provides element definitions as well as Business Rules and known defects/issues for the files. Please review and submit any additional known issues that should be included. CMS will release a new version of the PPR schema with updated business rules, as defects/issues are resolved.

- **File 2 (Zip Folder): Preliminary Payment Reports Samples**
  - **File Name:** Preliminary Payment Report Samples.zip

  Within this file are eight (8) PPRs in pipe-separated format (PSV) that EPS generated using synthetic test data (i.e., no real policies, payments, issuers, payees). CMS generated these PPRs for one (1) Payee ID associated with two (2) HIOS IDs. This set of synthetic enrollments range from January through August coverage start dates. EPS generated one (1) PPR for each of those payment months.

- **File 3: Policy Characteristics**
  - **File Name:** Prelim Payment Report Policy-Level Characteristics 070815.xlsx

  This file contains all the policy-level characteristics that CMS inputted into the system to produce the PPR Samples referenced above. Issuers can use the Policy Tracking Numbers in the Excel spreadsheet to compare against the Exchange Assigned Policy Ds in the PPR files. Please note that currently the system will not accommodate mid-month enrollments. Therefore, those enrollments reflected with mid-month dates are not included in the PPR files. Once partial-month is in place later in 2015, then the files will reflect mid-month enrollments