Risk Adjustment (RA) and Reinsurance (RI) Attestation and Discrepancy Reporting Process for the 2016 Benefit Year

May 9, 2017 & May 11, 2017

Payment Policy & Financial Management Group,
Division of Reinsurance Operations Training Series

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Session Agenda

- RA and RI Attestation and Discrepancy Reporting Process Overview for 2016 Benefit Year
- Completing the 2016 Benefit Year Attestation and Discrepancy Reporting Process
- Reminders & Next Steps

Session Guidelines

- This is a 90-minute webinar session.
- For questions regarding content, please submit inquiries to: raripaymentoperations@cms.hhs.gov
  - Include **Attestation/Discrepancy** and the applicable **HIOS ID(s)** in the subject line.
- For questions regarding logistics and registration, please contact the Registrar at: (800) 257-9520.

Goals & Objectives

- Provide an overview of the updated Risk Adjustment and Reinsurance attestation and discrepancy reporting process for the 2016 Benefit Year
- Describe how to complete the attestation and discrepancy reporting process using the Attestation and Discrepancy Reporting Web Form
- Identify the information needed prior to completing the web form
- Identify how to access the Attestation and Discrepancy Reporting Web Form
- Review key dates and deadlines

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Intended Audience

- Health insurance issuers participating in the External Data Gathering Environment (EDGE) server process for the Risk Adjustment and Reinsurance programs
- Third Party Administrators (TPAs) and Support Vendors

RA and RI Attestation and Discrepancy Reporting Process Overview for 2016 Benefit Year

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RA and RI Attestation & Discrepancy Reporting Deadline

- Health insurance issuers participating in the EDGE server process for the RA and RI programs for the 2016 Benefit Year will be required to attest at a company level that the data on their EDGE servers is accurate and complete **by 11:59 p.m. Eastern Time (ET) on Monday, May 22, 2017.**
- If a company would like to report a discrepancy for one (1) or more of the Heath Insurance Oversight System (HIOS) ID(s) within that company, the company will need to report the discrepancy and submit a qualified attestation **by 11:59 p.m. ET on Monday, May 22, 2017.**

RA and RI Attestation & Discrepancy Reporting Deadline (continued)

- Issuers are required to complete an attestation even if the issuer does not intend to file a discrepancy.
- The 2016 Benefit Year attestation and final formal discrepancy reporting process **began 12:00 a.m. ET on Monday, May 8, 2017 and ends at 11:59 p.m. ET on Monday, May 22, 2017.**

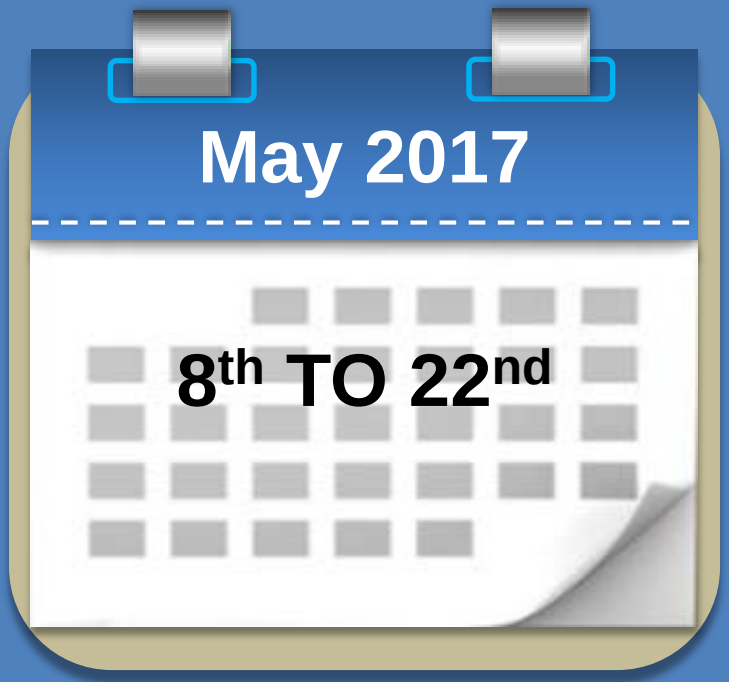
EDGE Data Submission Deadline

Issuers were required to submit 2016 Benefit Year data by the EDGE data submission deadline:



EDGE Data Attestation and Discrepancy Reporting Window

The formal discrepancy window is from **05/08/2017 to 05/22/2017.**



Resolving Discrepancies

- Filing a discrepancy will **NOT** permit an issuer to submit additional data to or correct data loaded on its EDGE server after the May 1, 2017 deadline.
- CMS will not take action to benefit an issuer (that is, decrease charges or increase payments) UNLESS a reported discrepancy describes a CMS error.* Specifically,
  - A processing error by CMS;
  - CMS's incorrect application of the relevant methodology, or
  - CMS's mathematical error

*One caveat set forth on slide 13, if the discrepancy describes a data submission error that results in a low claims count, the issuer will receive the RA default charge if it is smaller than the charge it would have otherwise received.

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Resolving Discrepancies (continued)

- If a reported discrepancy for the RA program is the result of an issuer's own error that is a detriment only to that issuer and to no other issuer in the State market risk pool, issuers are required to adhere to the initial data submission and accept the consequences of the data submission.
- However, if the discrepancy related to the RA program is the result of an issuer's own error that benefits that issuer or causes harm to other issuers in the State market risk pool, CMS will adjust transfers in order to balance the market.
    - For more information, see the Center for Consumer Information & Insurance Oversight (CCIIO) September 2, 2015 Bulletin, available at: https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/RA-Adjustment-Guidance-9-2-15.pdf

Resolving Discrepancies (continued)

IF…	THEN…
A discrepancy describes a data submission inaccuracy that results in an inappropriate payment to an issuer…	that issuer must reimburse CMS the full amount of that inappropriate payment.
A discrepancy describes a data submission error that results in a low enrollment count (that is, less than 90%)*…	that issuer may forgo the benefit of reinsurance payments that it otherwise might have received and that issuer will be subject to a RA default charge.
A discrepancy describes a data submission error that results in a low claims count (that is, less than 90%)*…	that issuer may forgo the benefit of reinsurance payments that it otherwise might have received and that issuer will be subject to an RA default charge if the default charge is smaller than the charge it would have otherwise received.
A discrepancy demonstrates that an issuer has submitted incorrect EDGE server claims or diagnosis data that will have the effect of understating an issuer's plan average risk score, and thus negatively affecting the issuer **without** having a negative effect on other issuers within the market**…	CMS will not permit the issuer to submit supplementary data or correct existing EDGE server data after the data submission deadline. Therefore, CMS will require the issuer to adhere to the initial data submission and accept the consequences of the data submission.

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*To determine if an issuer meets the data quantity standards, CMS compares an issuer's self-reported baseline data on its total enrollment and claims counts by market for a given benefit year to the issuer's data submitted and accepted to its EDGE server. For the 2016 Benefit Year, CMS will use a 90% enrollment and 90% claims data threshold for an issuer to be flagged for outreach on potential data quantity issues.
** Please see https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/EDGE-2016-Q_Q-Guidance_20161222v1.pdf

Resolving Discrepancies (continued)

IF…	THEN…
A discrepancy demonstrates that an issuer has a "high side" data quality outlier…	The issuer could forgo the benefit of RI payments that it otherwise might have received, CMS could assess a RA default charge or make other appropriate adjustments to RA transfer amounts.
A discrepancy demonstrates that an issuer has a "low side" data quality outlier…	CMS will consider this a different version of a data quantity problem for claims. As such, the issuer may forgo the benefit of RI payments that it otherwise might have received and the issuer will be subject to an RA default charge if the default charge is smaller than the charge it would have otherwise received.
A discrepancy demonstrates that an issuer has a premium outlier, either for having a "*high side*" outlier or "*low side*" outlier…	CMS could assess a RA default charge or make other appropriate adjustments to RA transfer amounts.

Please see: https://www.cms.gov/CCIIO/Resources/Regulations-and-Guidance/Downloads/EDGE-2016-Q_Q-Guidance_20161222v1.pdf.

No Data Can be Submitted After May 1, 2017



Be aware of the data submission deadlines – FILING A DISCREPANCY <u>DOES NOT</u> ALLOW ISSUERS TO SUBMIT NEW DATA TO OR CORRECT EXISTING DATA ON AN EDGE SERVER AFTER THE MAY 1, 2017 DATA SUBMISSION DEADLINE.

Completing the 2016 Benefit Year RA and RI Attestation and Discrepancy Reporting Process

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RA and RI Attestation and Discrepancy Reporting Process Flow



Data submitted to EDGE server for the 2016 Benefit Year

EDGE Server ?

Does the information in the final EDGE server report(s) accurately reflect the data the issuer loaded to its EDGE server in accordance with the EDGE Server Business Rules (ESBR)?

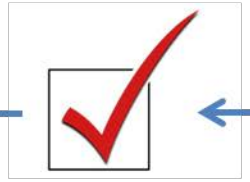


No

Yes



Report discrepancy



Submit an Attestation

Submit

Submit the web form

2016 Benefit Year RA and RI Attestation and Discrepancy Web Form Updates

Returning users will see the following changes in the 2016 web form:

Area	Update
Web Form Access	Chief Executive Officer (CEO) Designates or Alternate CEO Designates will log in to the web form with their EDGE Server Contact Database Access Code, or select 'Create Access Code' or 'Forgot Access Code' as needed.
Contact Information	Submitter Contact Information will not be entered; it will be pulled from the EDGE Server Contact Database to be included in this form.
EDGE Outlier Summary	Summary information related to EDGE outlier communications from CMS has been added; this is provided for informational purposes only.
Communication from CMS	This section is no longer included in the web form.
Missing Data Details	This new section of the web form allows for the entry of details related to any data that was not submitted to the EDGE server by the May 1, 2017 deadline.
Discrepancy-specific Information	Users will select the HIOS ID and associated market from the list of available HIOS IDs.

Information Needed Before Accessing the Web Form

- Log in information (CEO Designate email address, access code)



Issuers will have the opportunity to create/reset a required access code within the web form. The access code is required to log in to the web form and complete attestation of the data submitted to the EDGE server.

- If you anticipate changing your CEO Designate, please do so as soon as possible to minimize any confusion.

Contact Information Needed Before Accessing the Web Form

Alternate Contact Information:

- First Name
- Last Name
- Job Title
- Email Address
- Phone Number

Company Mailing Address:

Address Line 1
Address Line 2 (optional)
City
State
Zip Code

Attester Details:

- First Name
- Last Name
- Job Title
- Email Address
- Phone Number

CEO Contact Information:

To add or update the CEO contact information, please access the EDGE Server Contact Database Web Form:
https://acapaymentoperations.secure.force.com/EdgeContactDatabase

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Accessing the Web Form

- Select the web form link from the email with the "ACTION REQUIRED: RA/RI Attestation and Discrepancy Reporting for the 2016 Benefit Year" subject line.
  - The email will come from the RARI Payment Operations email box.



Web Form: Welcome Page

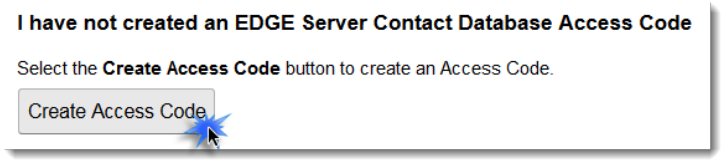
You have an EDGE Server Contact Database Access Code.

Log in with Access Code.



You have not previously accessed the EDGE Server Contact Database to create an Access Code.

Select the 'Create Access Code' button.



You have forgotten your EDGE Server Contact Database Access Code.

Select the 'Forgot Access Code' link to reset it.



Web Form: Access Code Pages

Create Access Code Page:

- You must create an Access Code and complete security questions to attest to the data submitted to your company's EDGE server(s), report discrepancies, and/or upload additional information. Required fields are indicated with a red asterisk (*).
- Select the **Continue** button.

Forgot Access Code Page:

- If you forgot your access code, enter the CEO Designate or Alternate CEO Designate email address.
- Select the **Send PIN** button to have the PIN sent to the CEO Designate and Alternate Contact email addresses on file.
- Enter the six (6)-digit PIN in the **PIN** field and select the **Continue** button.

Web Form: Access Code Pages (continued)

Forgot Access Code Page:

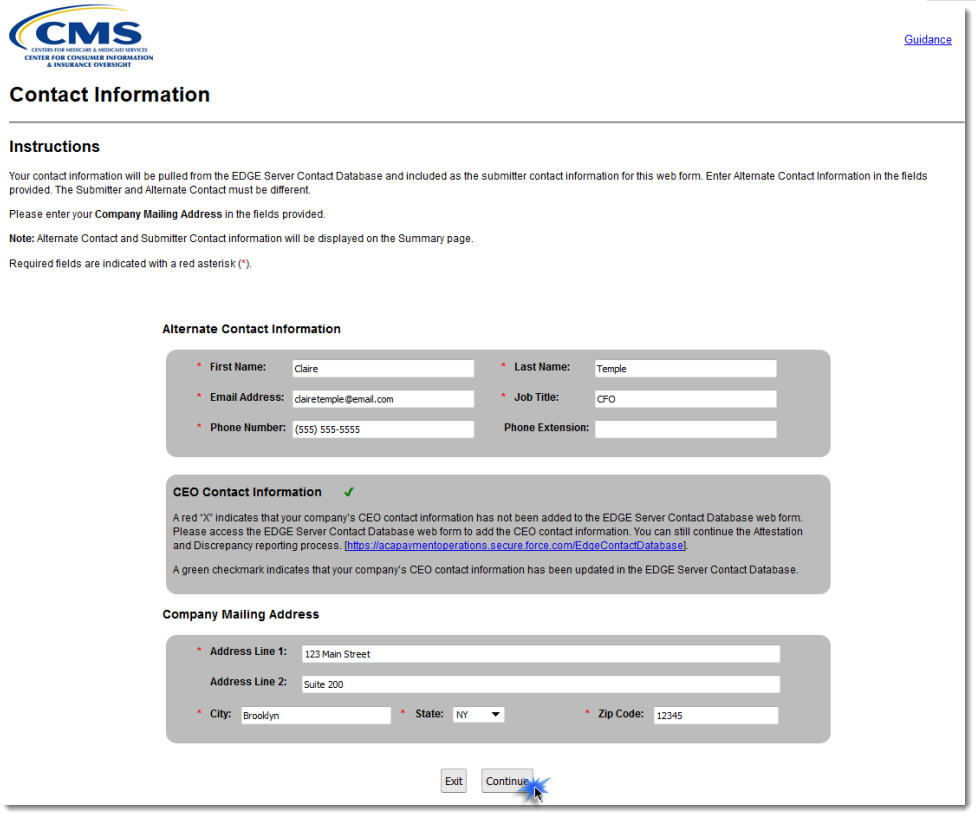
- After entering your **PIN**, you will need to complete all required fields, as indicated with a red asterisk (*).
- Select the **Continue** button.

Access Code Requirements:

- At least eight (8) characters, but no more than 12 characters
- At least one (1) capital letter
- At least one (1) lower-case letter
- At least one (1) number
- Cannot begin with a number
- Access codes must match

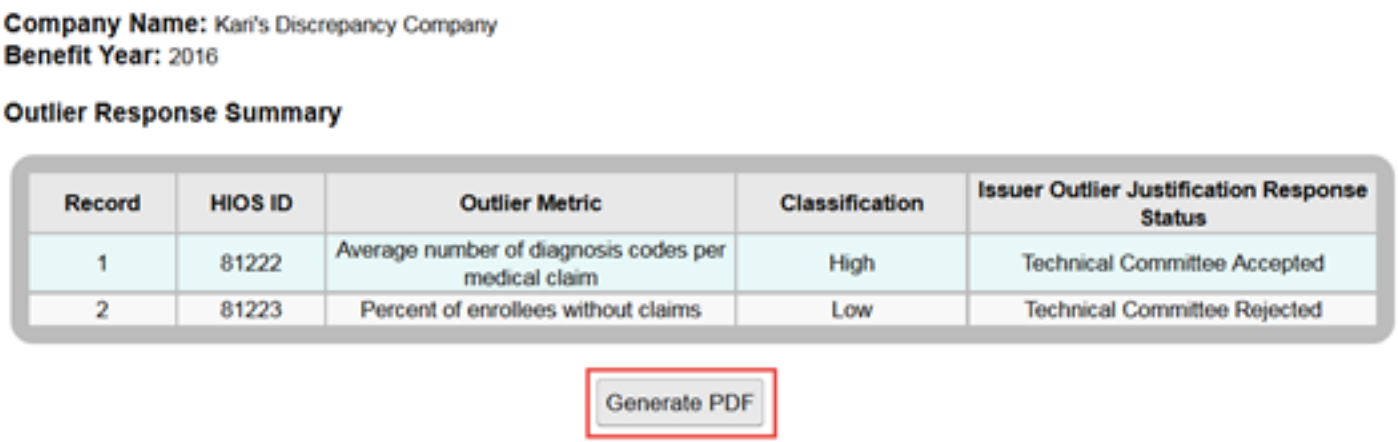
Web Form: Contact Information

- Contact information will be pulled from the EDGE Server Contact Database and included as the submitter contact information for this web form.
- Required fields are indicated with a red asterisk (*).
- Enter the Alternate Contact Information. The Submitter and Alternate Contact must not be the same individual.
- CEO Contact Information:
  - A red “X” indicates that your company's CEO contact information has not been added to the EDGE Server Contact Database web form. Update this information at https://acapaymentoperations.secure.force.com/EdgeContactDatabase.
  - A green checkmark indicates your CEO contact information has been added.
- Enter your Company’s Mailing Address.
- Select the **Continue** button.



Web Form: EDGE Outlier Summary

- The EDGE Outlier Summary table displays the HIOS ID(s) identified by CMS as outlier(s) during the EDGE Data Quality assessment for the RA/RI Programs and the Issuer Justification Response Status.



Company Name: Kari's Discrepancy Company
Benefit Year: 2016

Outlier Response Summary

Record	HIOS ID	Outlier Metric	Classification	Issuer Outlier Justification Response Status
1	81222	Average number of diagnosis codes per medical claim	High	Technical Committee Accepted
2	81223	Percent of enrollees without claims	Low	Technical Committee Rejected

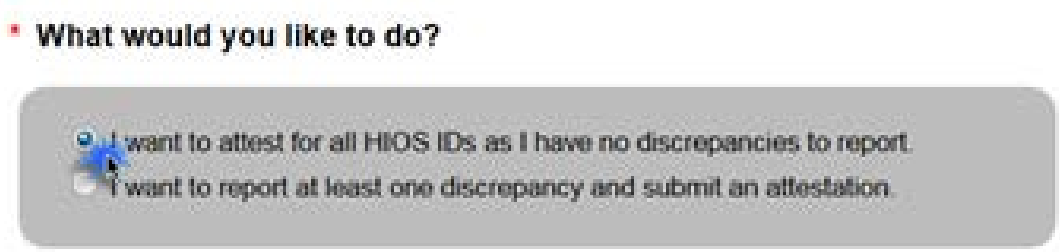
Generate PDF

- Select the **Generate PDF** button for a printable version.
- Select the **Continue** button to navigate to Attest or Report Discrepancy.

NOTE

This page is for informational purposes only and will not affect your submission of attestation or discrepancy.

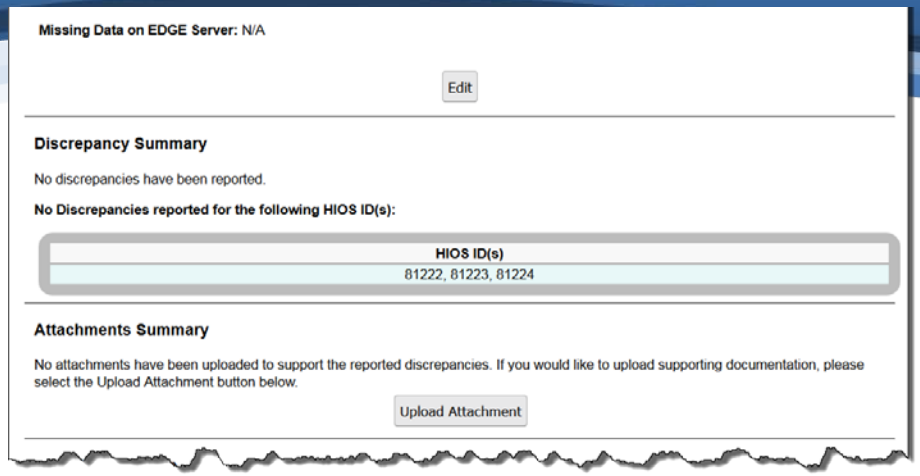
Web Form: Attest



Attest or Report Discrepancy:

- Answer the question, “What would you like to do?”
- If you select the **“I want to attest for all HIOS IDs as I have no discrepancies to report”** radio button,
  - Select the **Continue** button.
  - The form navigates to the **Summary** page.

Web Form: Summary



Summary Page:

- Review the **EDGE Server Data Summary** section to ensure accurate responses have been entered.
- Review the **No Discrepancies Reported for the Following HIOS ID(s)** section.
- Review the **Contact Information** section, and select the **Edit Contact Information** button to make corrections if necessary.
- Click ‘View’ to review the **Outlier Response Summary.**

NOTE

EDGE Server Database Summary will not have any content if they do not report a discrepancy

Web Form: Summary and Verification



Summary Page (continued):

- Answer the question, "**Do you have a new discrepancy to report?"**
  - Select the **Continue** button.
  - The form will navigate to the **Discrepancy Specific Information** Page, if you selected the **Yes** radio button.
  - The form navigates to the **Attestation and Discrepancy Reporting Summary** page, if you select the **No** radio button.

Web Form: Attestation and Discrepancy Reporting Summary

Attestation and Discrepancy Reporting Summary Page:

- Thoroughly review the listed HIOS ID(s)
  - You will provide attestation for each of these HIOS ID(s) on the **Attestation** page.
- Select the **Continue** button.
- Form navigates to the **Attestation** page.



Web Form: Attestation

Attestation Page:

- Thoroughly review the Attestation statements.
- Select the check box next to each Attestation statement to indicate agreement.
- Complete the Attester Details section with the following information:
  - First Name
  - Last Name
  - Job Title
  - Email Address
  - Phone Number
  - Phone Extension (optional)



- Select the **Submit** button to save your data and submit your attestation.
- Form navigates to the **Confirmation** page.

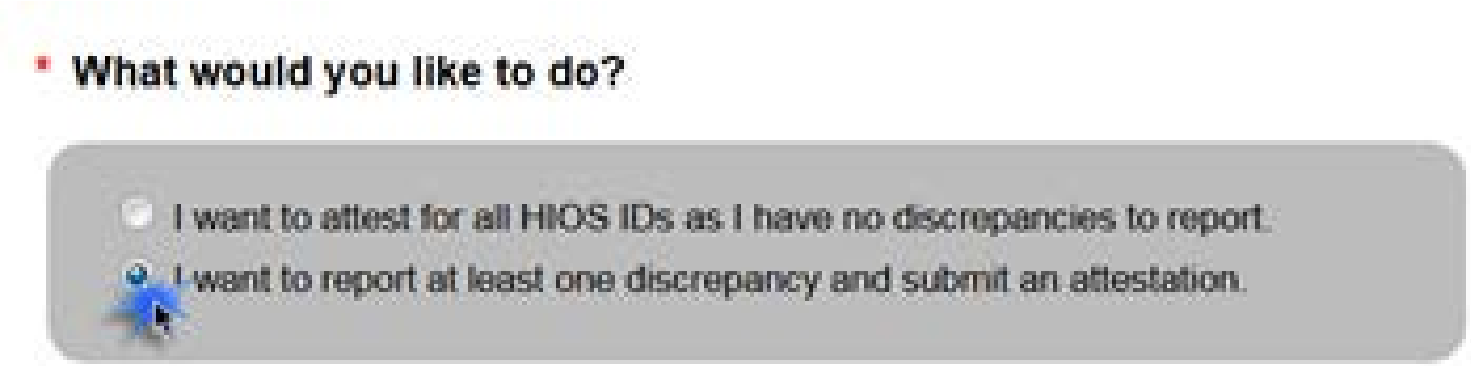
NOTE

The individual providing attestation must be someone who can legally and financially obligate the company.

Web Form: Report Discrepancy and Attest

Attest or Report Discrepancy and Attest Page:

- Answer the question, “What would you like to do?”
  - If you select the “**I want to report at least one discrepancy and submit an attestation”** radio button.
    - Select the **Continue** button.
    - The form navigates to the **EDGE Server Data** page.



NOTE

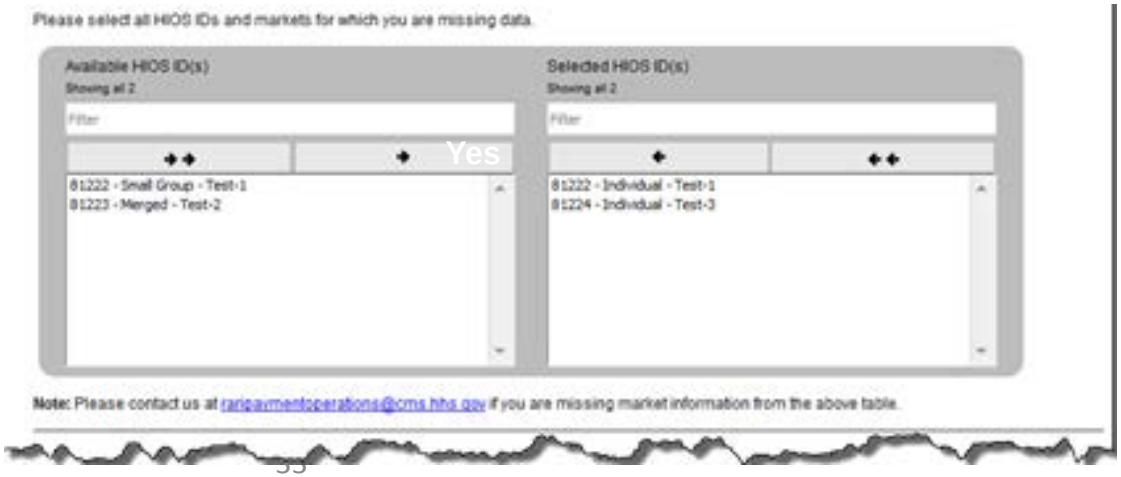
You will need to attest to all HIOS ID(s), even if there are discrepancies. There will be a section in the web form to do this.

Web Form: EDGE Server Data

EDGE Server Data Page:

Select **Yes** or **No** to the question, "**Are you missing any data on your EDGE Server(s) (e.g. you have un-submitted data)?**"

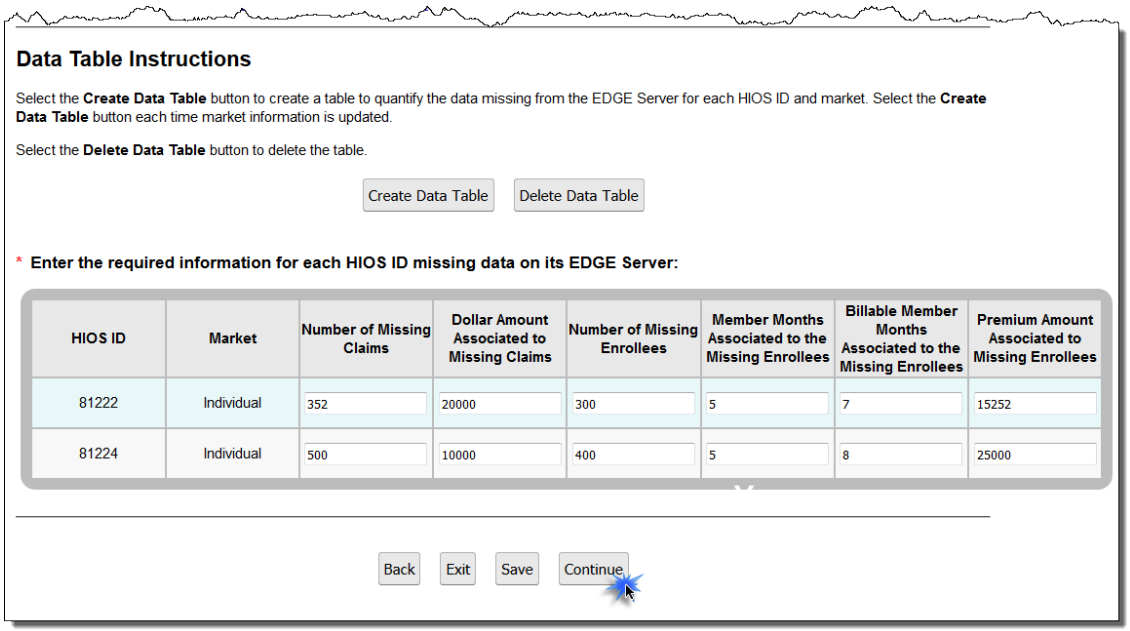
- If **No**, select **Continue** and the form will navigate to the **Discrepancy-Specific Information** page.
- If **Yes**:
  - Select HIOS ID(s) from the **Available HIOS ID(s)** list and then select the right facing arrow button to move it to the **Selected HIOS ID(s)** list.
  - Once you have selected all the HIOS ID(s) and market(s) that are missing data, select the **Create Data Table** button to create a table to quantify the data missing from the EDGE server for each HIOS ID and market.



Web Form: EDGE Server Data (continued)

Data Table Instructions:

Enter the required information for each HIOS ID and market missing data on its EDGE server. Select the **Create Data Table** button each time market information is updated.



Data Table Instructions

Select the **Create Data Table** button to create a table to quantify the data missing from the EDGE Server for each HIOS ID and market. Select the **Create Data Table** button each time market information is updated.

Select the **Delete Data Table** button to delete the table.

Create Data Table | Delete Data Table

* **Enter the required information for each HIOS ID missing data on its EDGE Server:**

HIOS ID	Market	Number of Missing Claims	Dollar Amount Associated to Missing Claims	Number of Missing Enrollees	Member Months Associated to the Missing Enrollees	Billable Member Months Associated to the Missing Enrollees	Premium Amount Associated to Missing Enrollees
81222	Individual	352	20000	300	5	7	15252
81224	Individual	500	10000	400	5	8	25000

Back | Exit | Save | Continue

Select the **Continue** button.

Web Form: Discrepancy-Specific Information

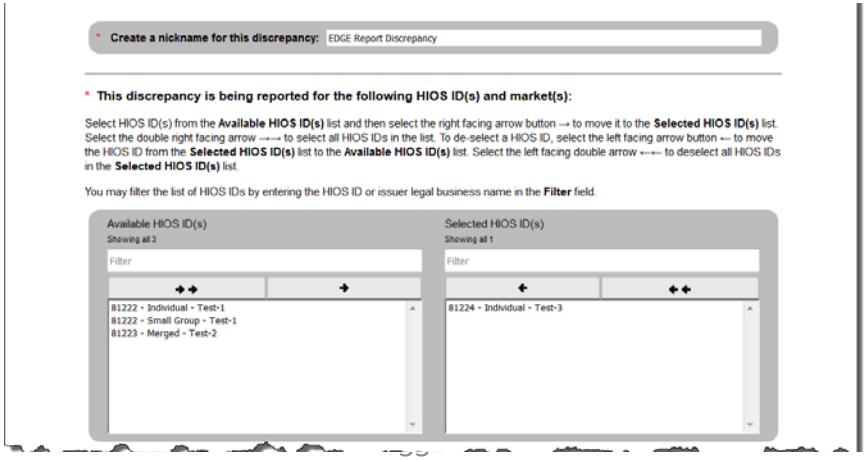
Discrepancy-Specific Information Page:

You may only report one (1) discrepancy at a time. However, you can select all the HIOS ID(s) for which a specific discrepancy applies.

NOTE

You will have the opportunity to report additional discrepancies within the web form prior to submitting your attestation.

- Enter a nickname for the discrepancy you are reporting in the **Create a nickname for this discrepancy** field.
- Select the HIOS ID(s) and market(s) for which you are reporting this specific discrepancy.



Web Form: Discrepancy-Specific Information (continued)

- Prepare to answer **Yes** or **No** to the following questions and statements. In some cases additional information, such as a Batch ID and a description of mitigation steps, will be required:
  - The information in the final risk adjustment and reinsurance reports listed below accurately reflect the data submitted to the EDGE server(s) by 4:00 p.m. ET on May 1, 2017.
  - Is this discrepancy related to any of your EDGE reports?
  - Is this discrepancy related to any of your risk adjustment or reinsurance reports?
  - Is there an Error Code associated with this discrepancy?
    - This question has the following possible answers: **Yes**, **No**, or **Don't Know**
  - Has your company previously reported the issue(s) involved in this discrepancy?
    - Enter contact information (email and/or contact name) to whom was this reported
    - Enter the date reported.
- Select the **Continue** button.
- Form navigates to the **Discrepancy Description** page.



On the web form, the list of relevant EDGE, RA and RI reports will be listed.

Web Form: Discrepancy-Specific Information (continued)

* **The information in the final risk adjustment and reinsurance reports listed below accurately reflect the data submitted to the EDGE server(s) by 4:00 p.m. ET on May 1, 2017.**

Select **Yes** or **No** for each of the final risk adjustment or reinsurance reports. If you select No, provide the **Batch ID** of the final risk adjustment and reinsurance report that does not accurately reflect the data submitted to your EDGE server(s) by 4:00 p.m. ET on May 1, 2017.

Final Risk Adjustment or Reinsurance Report Name	Answer	If No, provide Batch ID
RA Transfer Elements Extract Report (RATEE)	Yes	
Reinsurance Summary Report (RISR)	Yes	
Enrollee (With and Without) Claims – Detail (ECD)	Yes	
Enrollee (With and Without) Claims – Summary (ECS)	Yes	

* **Is this discrepancy related to any of your EDGE reports?**

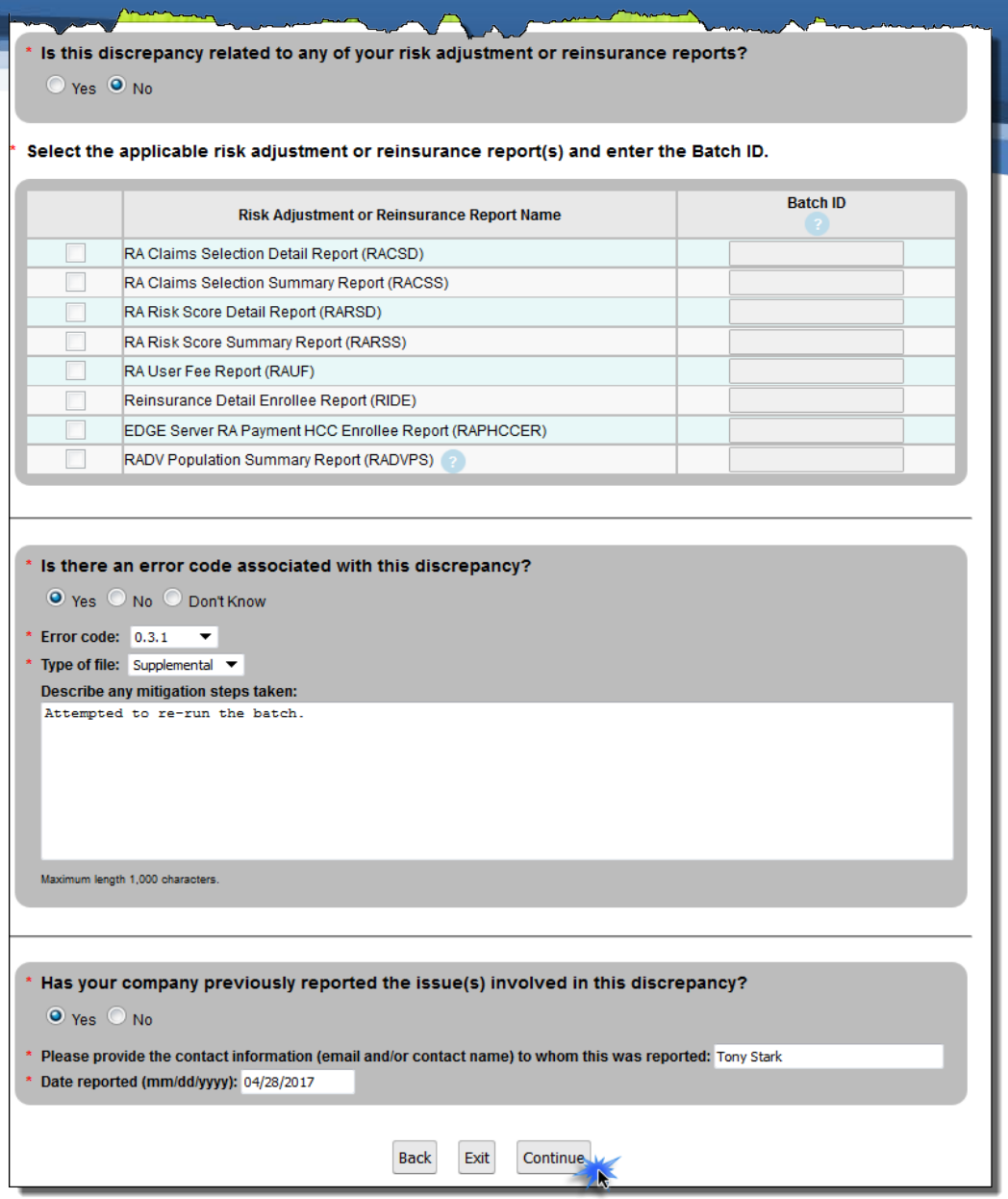
(•) Yes ( ) No

* **Select the applicable EDGE report(s) that describe your discrepancy and enter the Batch ID.**

	EDGE Report Name	Batch ID
☐	EDGE Server File Accept-Reject (ESFAR) report for enrollee, medical, pharmacy and supplemental	
☑	EDGE Server Detail Medical Claim Error Report (ESDMCE)	ABC-2016-12345
☐	EDGE Server Detail Pharmacy Claim Error Report (ESDPCE)	
☐	EDGE Server Detail Enrollment Error Report (ESDEE)	
☐	EDGE Server Detail Supplemental Diagnosis File Error Report (ESDSFE)	
☐	EDGE Server Summary Supplemental Diagnosis File Accept – Reject Error Report (ESSSFE)	
☐	EDGE Server Summary Pharmacy Claim File Accept – Reject Error Report (ESSPFE)	
☐	EDGE Server Summary Medical Claim File Accept – Reject Error Report (ESSMFE)	
☐	EDGE Server Summary Enrollment Accept – Reject Error Report (ESSEFE)	

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Web Form: Discrepancy-Specific Information (continued)



* **Is this discrepancy related to any of your risk adjustment or reinsurance reports?**

  ○ Yes ◉ No

* **Select the applicable risk adjustment or reinsurance report(s) and enter the Batch ID.**

	Risk Adjustment or Reinsurance Report Name	Batch ID
☐	RA Claims Selection Detail Report (RACSD)	
☐	RA Claims Selection Summary Report (RACSS)	
☐	RA Risk Score Detail Report (RARSD)	
☐	RA Risk Score Summary Report (RARSS)	
☐	RA User Fee Report (RAUF)	
☐	Reinsurance Detail Enrollee Report (RIDE)	
☐	EDGE Server RA Payment HCC Enrollee Report (RAPHCCER)	
☐	RADV Population Summary Report (RADVPS)	

* **Is there an error code associated with this discrepancy?**

  ◉ Yes ○ No ○ Don't Know

* **Error code:** 0.3.1
* **Type of file:** Supplemental

Describe any mitigation steps taken:

Attempted to re-run the batch.

Maximum length 1,000 characters.

* **Has your company previously reported the issue(s) involved in this discrepancy?**

  ◉ Yes ○ No

* **Please provide the contact information (email and/or contact name) to whom this was reported:** Tony Stark
* **Date reported (mm/dd/yyyy):** 04/28/2017

Back Exit Continue

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Web Form: Discrepancy Description

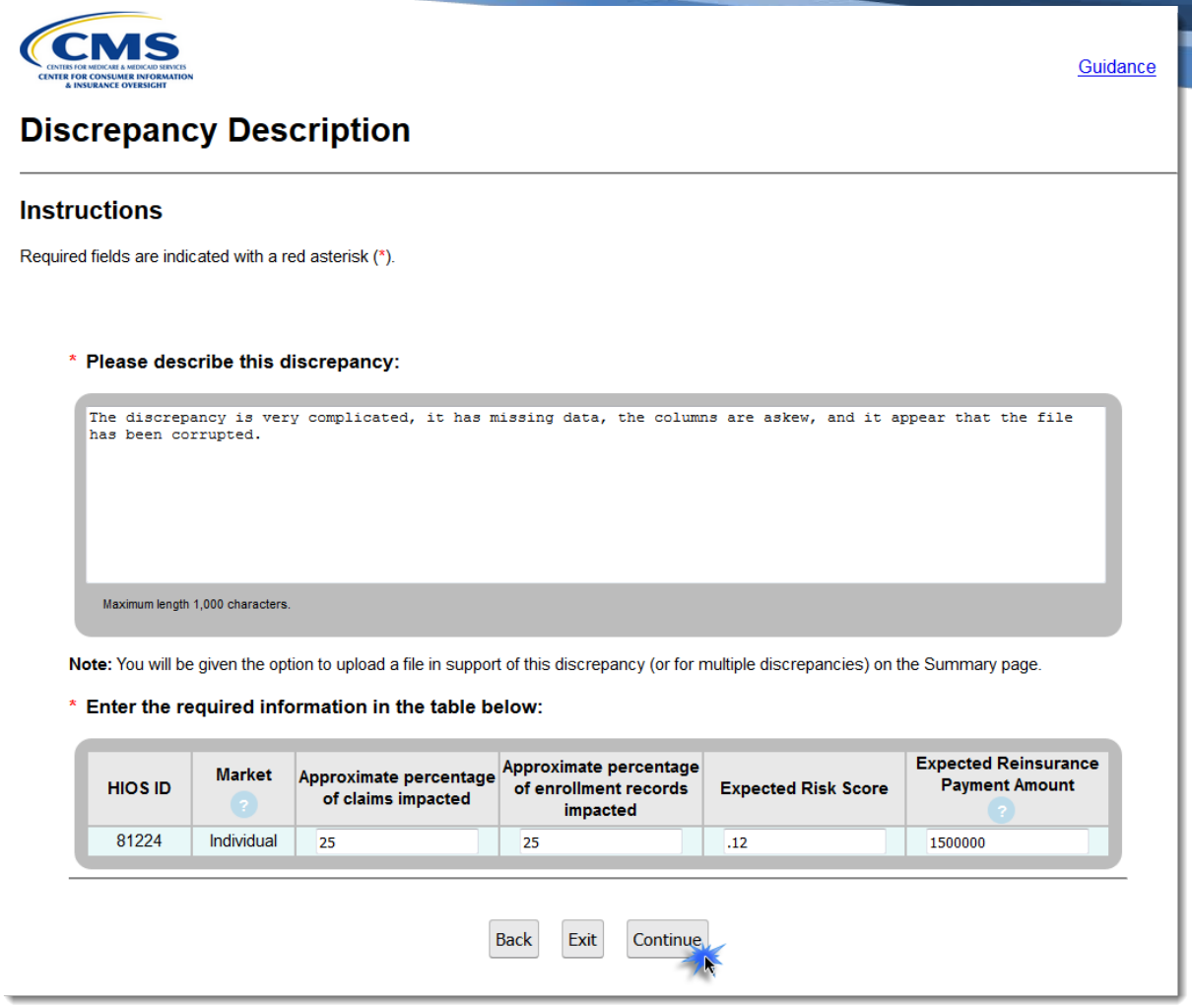
Discrepancy Description Page:

- Enter a brief description for the discrepancy being reported.
  - You will have the ability to upload an attachment in support of this discrepancy or (multiple discrepancies)
  - Attachments are added on the **Summary** page.
- Enter the following required information for each HIOS ID and Market affected by the discrepancy:
  - Approximate percentage of claims impacted
  - Approximate percentage of enrollment records impacted
  - Expected Risk Score
  - Expected Reinsurance Payment Amount
- Select the **Continue** button.
- The form navigates to the **Summary** page.



One attachment can be associated with multiple discrepancies; this selection is made on the Summary page.

Web Form: Discrepancy Description (continued)



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Guidance

Discrepancy Description

Instructions

Required fields are indicated with a red asterisk (*).

* **Please describe this discrepancy:**

The discrepancy is very complicated, it has missing data, the columns are askew, and it appear that the file has been corrupted.

Maximum length 1,000 characters.

Note: You will be given the option to upload a file in support of this discrepancy (or for multiple discrepancies) on the Summary page.

* **Enter the required information in the table below:**

HIOS ID	Market	Approximate percentage of claims impacted	Approximate percentage of enrollment records impacted	Expected Risk Score	Expected Reinsurance Payment Amount
81224	Individual	25	25	.12	1500000

Back Exit Continue

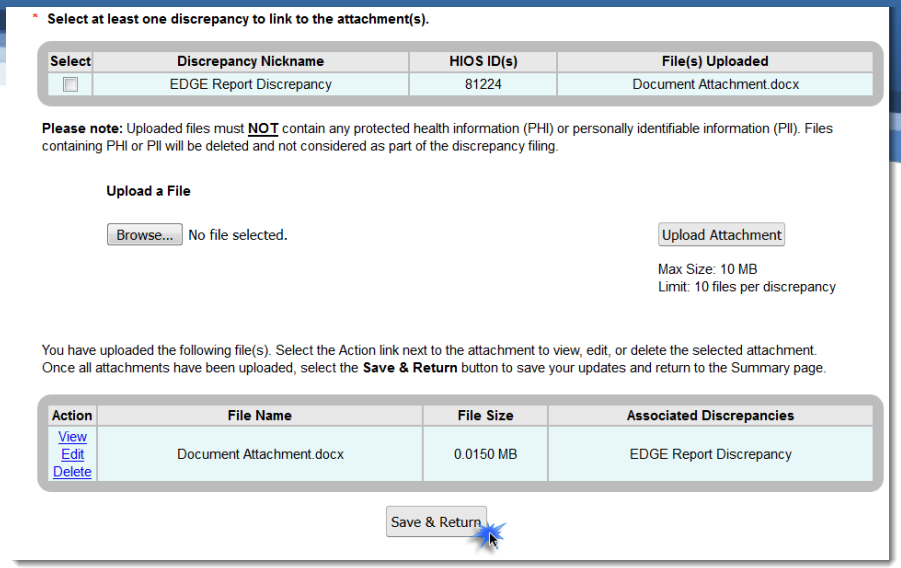
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Web Form: Summary

Summary:

- Review the **Edge Server Data Summary** section.
- Review the **Discrepancy Summary** section.
- Review the **Attachments Summary** section, if applicable.
  - Add attachments using the Upload Attachment button, if applicable.
- Ensure that the **Contact Information** listed is correct.
  - Select the **Edit Contact Information** button to make corrections, if applicable.
- Select View to review the **Outlier Response Summary.**
- Select **Yes** or **No** in response to the question “Do you have a new discrepancy to report?”.
- Form navigates to the **Attestation and Discrepancy Reporting Summary** page, depending on the response to the question.

Web Form: Upload Attachments



Upload Attachments:

- Select at least one (1) discrepancy to link to the attachments.
- Select the **Browse** button to search for a file.
- Select the **Upload Attachment** button to upload the selected attachment.
- Once all attachments have been uploaded, select the **Save & Return** button.
- The form will navigate to the **Summary** page.

NOTE

Attached files must **NOT** contain any protected health information (PHI) or personally identifiable information (PII). Files containing PHI or PII will be deleted and not considered as part of the discrepancy filing.

Web Form: Attestation and Discrepancy Reporting Summary

Attestation and Discrepancy Reporting Summary Page:

- Thoroughly review the listed HIOS ID(s) for accuracy.
- Thoroughly review the discrepancies reported for each HIOS ID(s).
- You will provide attestation on the **Attestation** page for all listed HIOS ID(s).
- Select the **Continue** button.
- The form navigates to the **Attestation** page.

Web Form: Attestation

Attestation Page:

- Thoroughly review the attestation statement.
- Select the check box next to each section of the attestation statement to indicate agreement.
- Complete the **Attester Details** section with the following information:
  - First Name
  - Last Name
  - Job Title
  - Email Address
  - Phone Number
  - Phone Extension (optional)

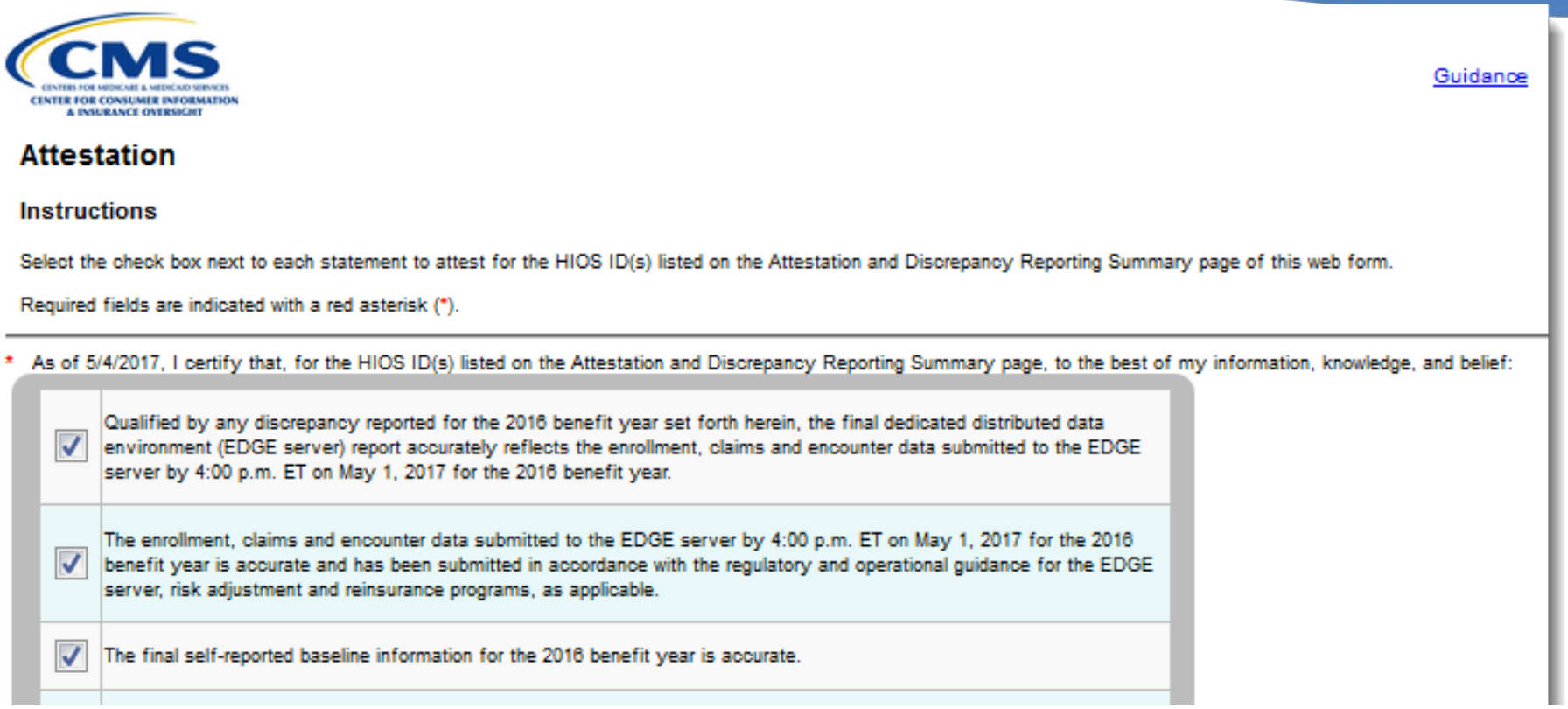


- Select the **Submit** button to save your data and submit your attestation.
- Form navigates to the **Confirmation** page.

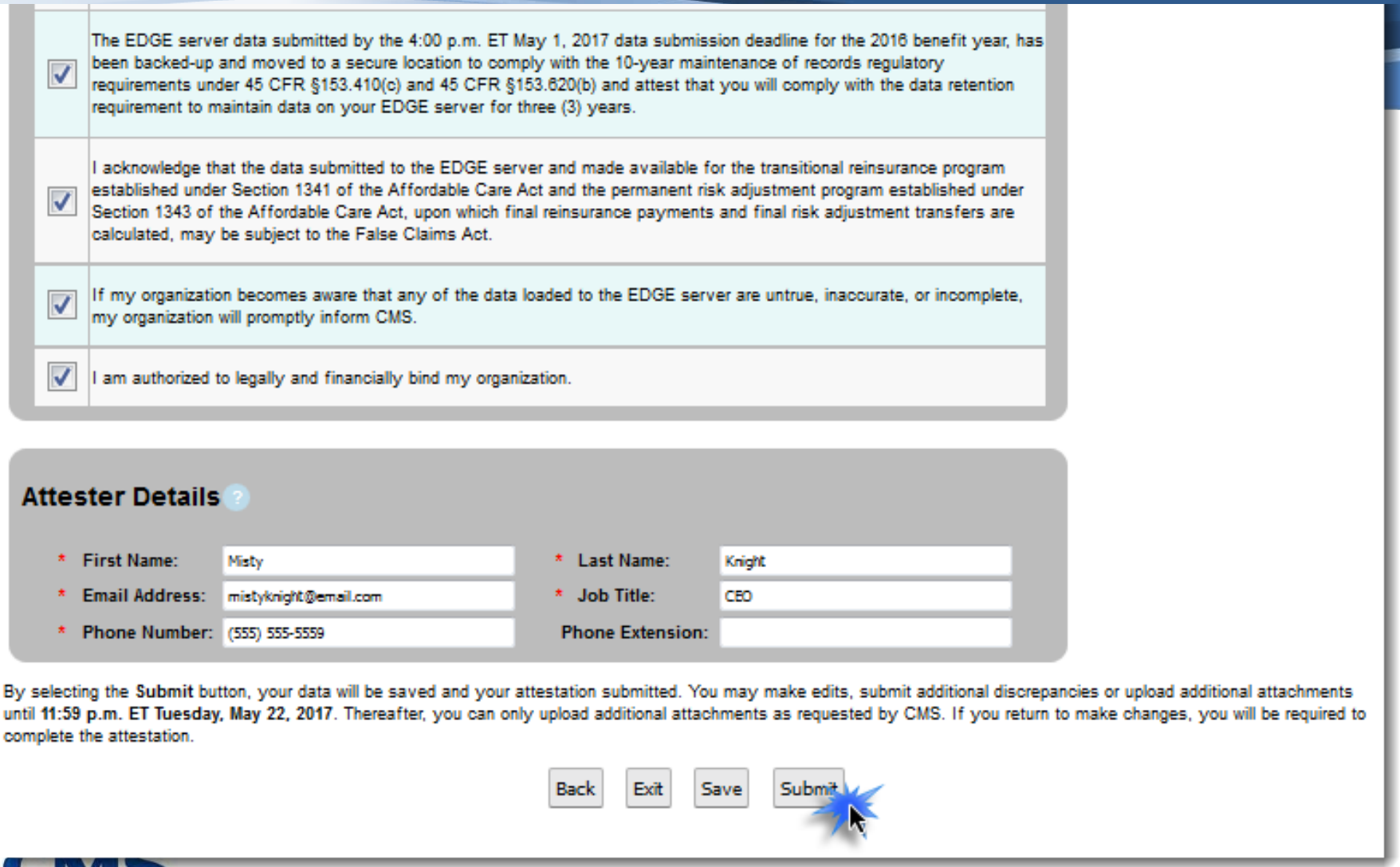
NOTE

The individual providing attestation must be someone who can legally and financially bind the company.

Attestation Statement – Part One



Attestation Statement – Part Two



☑	The EDGE server data submitted by the 4:00 p.m. ET May 1, 2017 data submission deadline for the 2016 benefit year, has been backed-up and moved to a secure location to comply with the 10-year maintenance of records regulatory requirements under 45 CFR §153.410(c) and 45 CFR §153.620(b) and attest that you will comply with the data retention requirement to maintain data on your EDGE server for three (3) years.
☑	I acknowledge that the data submitted to the EDGE server and made available for the transitional reinsurance program established under Section 1341 of the Affordable Care Act and the permanent risk adjustment program established under Section 1343 of the Affordable Care Act, upon which final reinsurance payments and final risk adjustment transfers are calculated, may be subject to the False Claims Act.
☑	If my organization becomes aware that any of the data loaded to the EDGE server are untrue, inaccurate, or incomplete, my organization will promptly inform CMS.
☑	I am authorized to legally and financially bind my organization.

Attester Details

* First Name:	Misty	* Last Name:	Knight
* Email Address:	mistyknight@email.com	* Job Title:	CEO
* Phone Number:	(555) 555-5559	Phone Extension:	

By selecting the **Submit** button, your data will be saved and your attestation submitted. You may make edits, submit additional discrepancies or upload additional attachments until **11:59 p.m. ET Tuesday, May 22, 2017**. Thereafter, you can only upload additional attachments as requested by CMS. If you return to make changes, you will be required to complete the attestation.

Back Exit Save Submit

Web Form: Confirmation

Confirmation Page:

- Submission End Time – date and time EDGE data attestation and discrepancies were submitted.
- Acknowledgement Email and Submission information sent to email addresses – submitter, Alternate Contact and attester email addresses listed.
- PDF button – generates a PDF containing all the HIOS ID number(s) submitted for attestation and if applicable, associated reported discrepancies.



It is recommended that you print and save a copy of the Confirmation information for your records.

Reminders & Next Steps

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Reminders

- **<u>Filing a discrepancy does not permit an issuer to submit new data to or correct data on an issuer's EDGE server after the May 1, 2017 data submission deadline.</u>**
- The RA and RI attestation and discrepancy reporting process for the 2016 Benefit Year requires completion of the web form.
- You will need to attest for all HIOS ID(s) by **May 22, 2017.**
- For questions about the process, please send an email to raripaymentoperations@cms.hhs.gov and include **Attestation/Discrepancy** and at least one (1) **HIOS ID** in the subject line.

Next Steps

First:

- Determine the person from your organization who will submit the Attestation and Discrepancy Reporting web form and an alternate contact and ensure that one (1) of them is the CEO Designate or Alternate CEO Designate.
- Determine any HIOS IDs that require attestation and if applicable, discrepancy reporting.
  - Determine any RA or RI reports related to a discrepancy

Only after you have completed the above steps:

- Complete the Attestation and Discrepancy Reporting Web Form.

Questions?

To submit or withdraw questions by phone:

- Dial “star(*), pound (#)” on your phone’s keypad to ask a question.
- Dial “star(*), pound (#)” on your phone’s keypad to withdraw your question.

Upcoming Webinar

Webinar	Scheduled Event Date
Attestation and Discrepancy Reporting Process	**May 11, 2017**

Resources

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Resources

Resource	Resource Link
U.S. Department of Health & Human Services	http://www.hhs.gov/
Centers for Medicare & Medicaid Services (CMS)	http://www.cms.gov/
The Center for Consumer Information & Insurance Oversight (CCIIO) web page	http://www.cms.gov/cciio
Registration for Technical Assistance Portal (REGTAP) - presentations, FAQs	https://www.REGTAP.info
Premium Stabilization Programs	https://www.cms.gov/CCIIO/Programs-and-Initiatives/Premium-Stabilization-Programs/index.html
The Affordable Care Act Financial Appeals Process for Issuers	https://www.cms.gov/CCIIO/Programs-and-Initiatives/Premium-Stabilization-Programs/Financial-Administrative-Appeals-Process-for-Issuers/Information-and-Insurance-Oversight.html

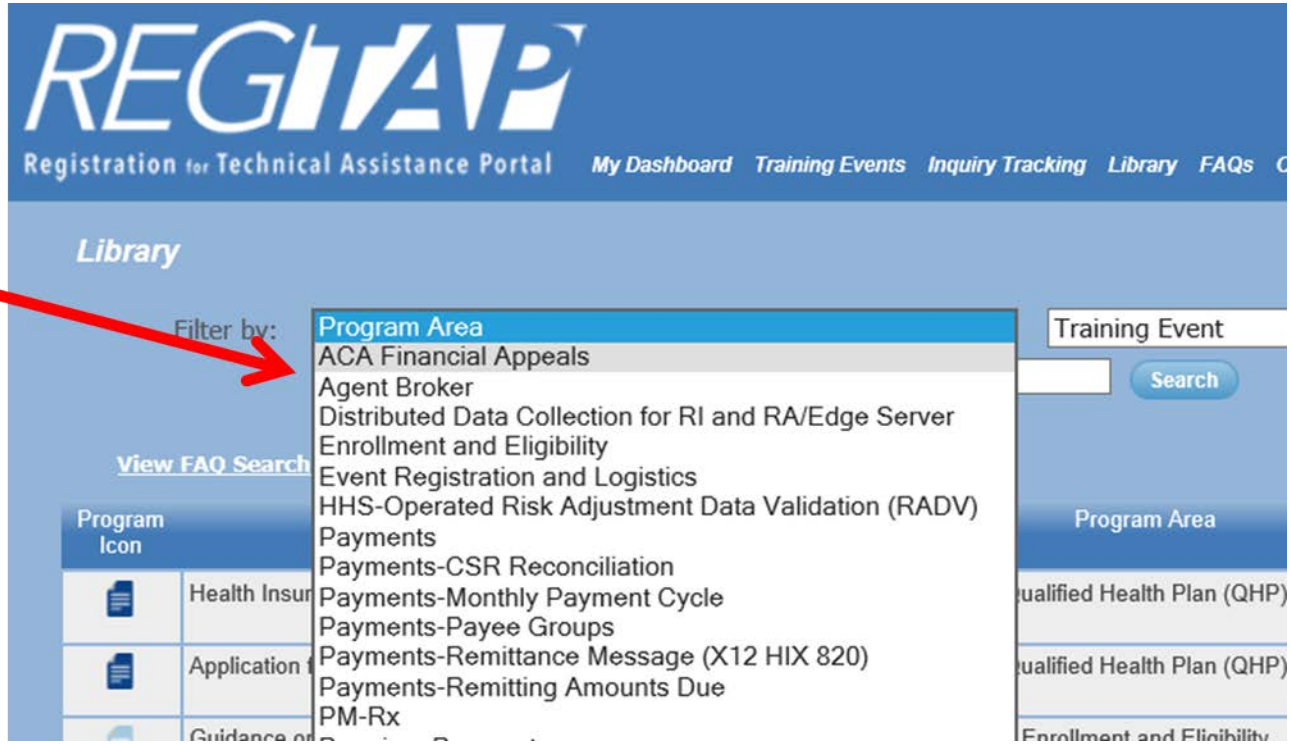
Resources (continued)

Resource	Resource Link
Patient Protection and Affordable Care Act (PPACA)	http://www.gpo.gov/fdsys/pkg/PLAW-111publ148/content-detail.html
Standards Related to Reinsurance, Risk Corridors, and Risk Adjustment under the Affordable Care Act	http://www.gpo.gov/fdsys/pkg/FR-2013-03-11/pdf/2013-04902.pdf
HHS Notice of Benefit and Payment Parameters for 2014 and Amendments to the HHS Notice of Benefit and Payment Parameters for 2014	http://www.gpo.gov/fdsys/pkg/FR-2013-03-11/pdf/2013-04902.pdf
HHS Notice of Benefit and Payment Parameters for 2015	http://www.gpo.gov/fdsys/pkg/FR-2014-03-11/pdf/2014-05052.pdf
HHS Notice of Benefit and Payment Parameters for 2016	http://www.gpo.gov/fdsys/pkg/FR-2015-02-27/pdf/2015-03751.pdf
HHS Notice of Benefit and Payment Parameters for 2017	https://www.gpo.gov/fdsys/pkg/FR-2016-03-08/pdf/2016-04439.pdf
HHS Notice of Benefit and Payment Parameters for 2018	https://www.gpo.gov/fdsys/pkg/FR-2016-12-22/pdf/2016-30433.pdf

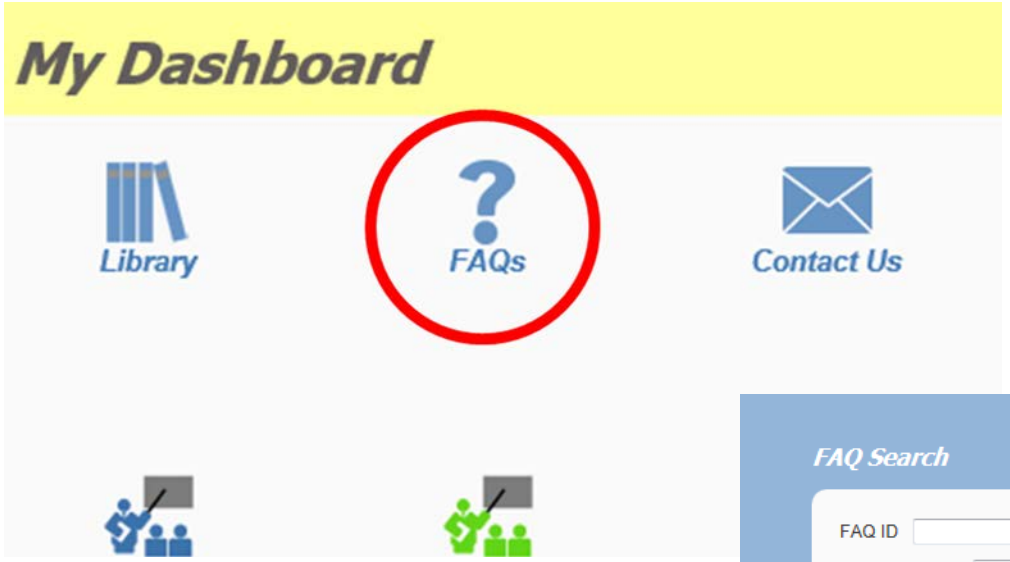
Locating Documents in REGTAP

Stakeholders can access additional documents at https://www.REGTAP.info in the REGTAP Library.

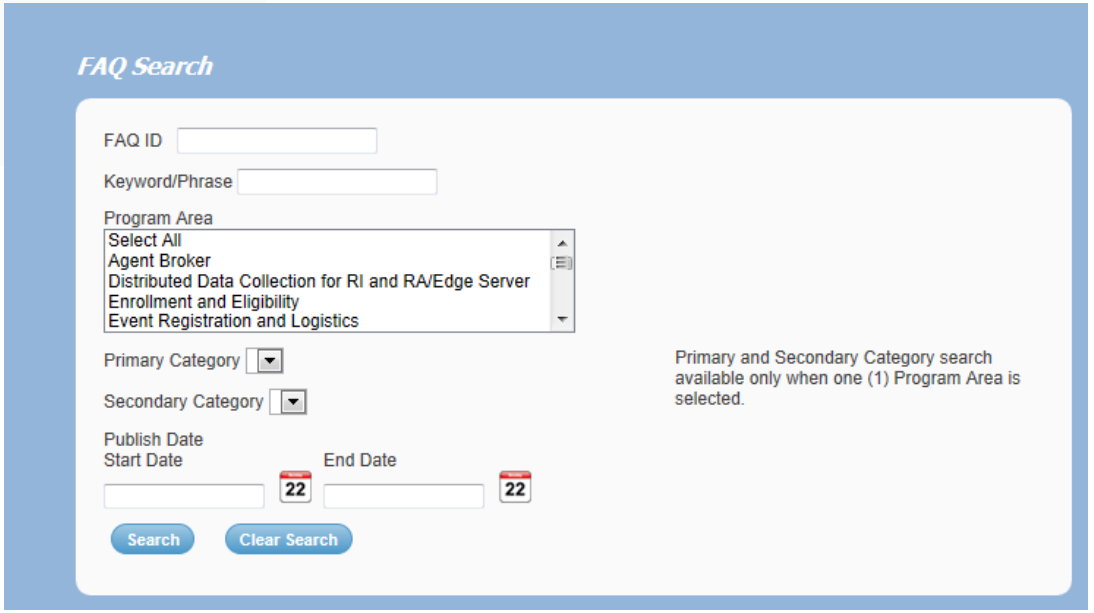
Under Program Area, select 'ACA Financial Appeals'.



FAQ Database on REGTAP



The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, and Publish Date

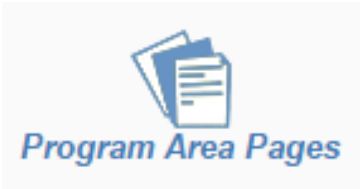


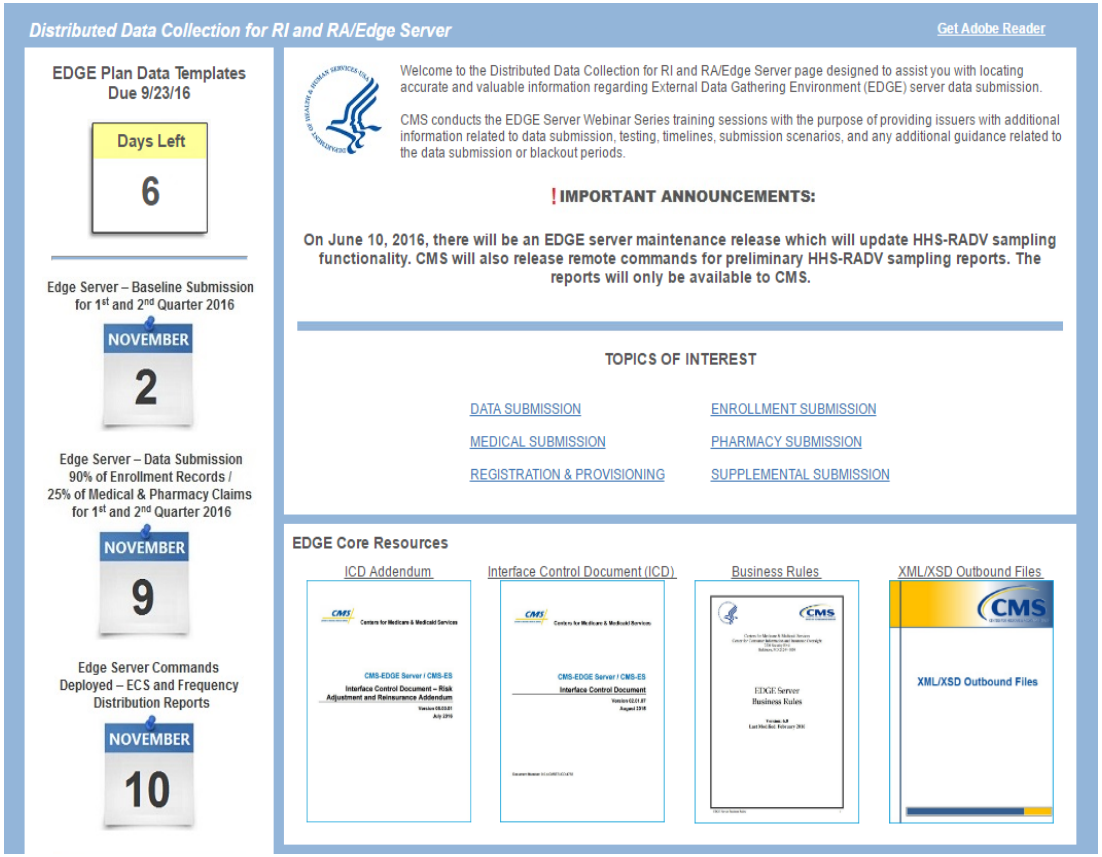
FAQ Database is available at https://www.regtap.info/

DDC/EDGE Server Resource Page

The DDC/EDGE Server Resource Page provides central access to job aids, announcements, documentation, FAQs, deadlines and other resources.

To access the DDC/EDGE Server Resource Page, click on the Program Area Pages icon on 'My Dashboard' or access the page at https://www.REGTAP.info/ddc.php.



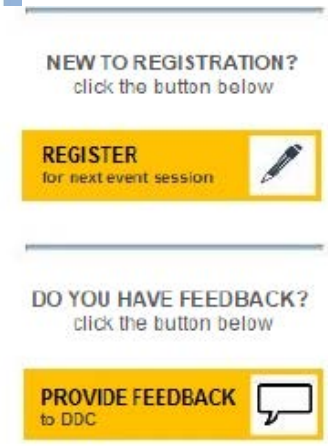


DDC/EDGE Server Resource Page



For quick access to related documentation, users can find resources organized by Topics of Interest, such as ‘Medical Submission’ or ‘Registration’.

Users can also register for active EDGE Server training series, contact CMS, provide feedback and more.





Closing Remarks

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