DISTRIBUTED DATA COLLECTION (DDC) FOR REINSURANCE (RI) AND RISK ADJUSTMENT (RA): EDGE Server Status Reporting for the 2016 Benefit Year

August 30, 2016

Health Insurance Marketplace Program Training Series

CMS CENTERS FOR MEDICARE & MEDICAID SERVICES

HTTPS://WWW.REGTAP.INFO

Agenda

- Purpose
- Session Guidelines
- EDGE Server Status Reporting for the 2016 Benefit Year
- EDGE Server Status Web Form Overview
- Resources
- Closing Remarks

Purpose

This External Data Gathering Environment (EDGE) server status reporting session will provide information on the following topics:

- Purpose of EDGE server status reporting.
- Guidance on how to complete the EDGE Server Status web form.
- Provide issuers with the timeline to complete EDGE server status reporting.

Session Guidelines

- This is a 90-minute webinar session.
- For questions regarding content, please submit inquiries to the Registration for Technical Assistance Portal (REGTAP) at https://www.REGTAP.info/.
- For questions regarding logistics and registration, please contact the Registrar at (800) 257-9520.

EDGE Server Status Reporting for the 2016 Benefit Year

EDGE Status Reporting Background

- CMS instituted final rule 45CFR §153.700, which requires issuers of Reinsurance (RI)-eligible plans and Risk Adjustment (RA) covered plans to purchase and operate a dedicated EDGE server to submit enrollment and claims data.
- Each benefit year, issuers are required to report the status of their EDGE server or submit an explanation regarding why data will not be submitted for the identified Health Insurance Oversight System (HIOS) ID(s).
- For the 2016 Benefit Year, the Centers for Medicare & Medicaid Services (CMS) will introduce to its issuer community a user-friendly method to accurately report the status of their EDGE server using a web form reporting process.

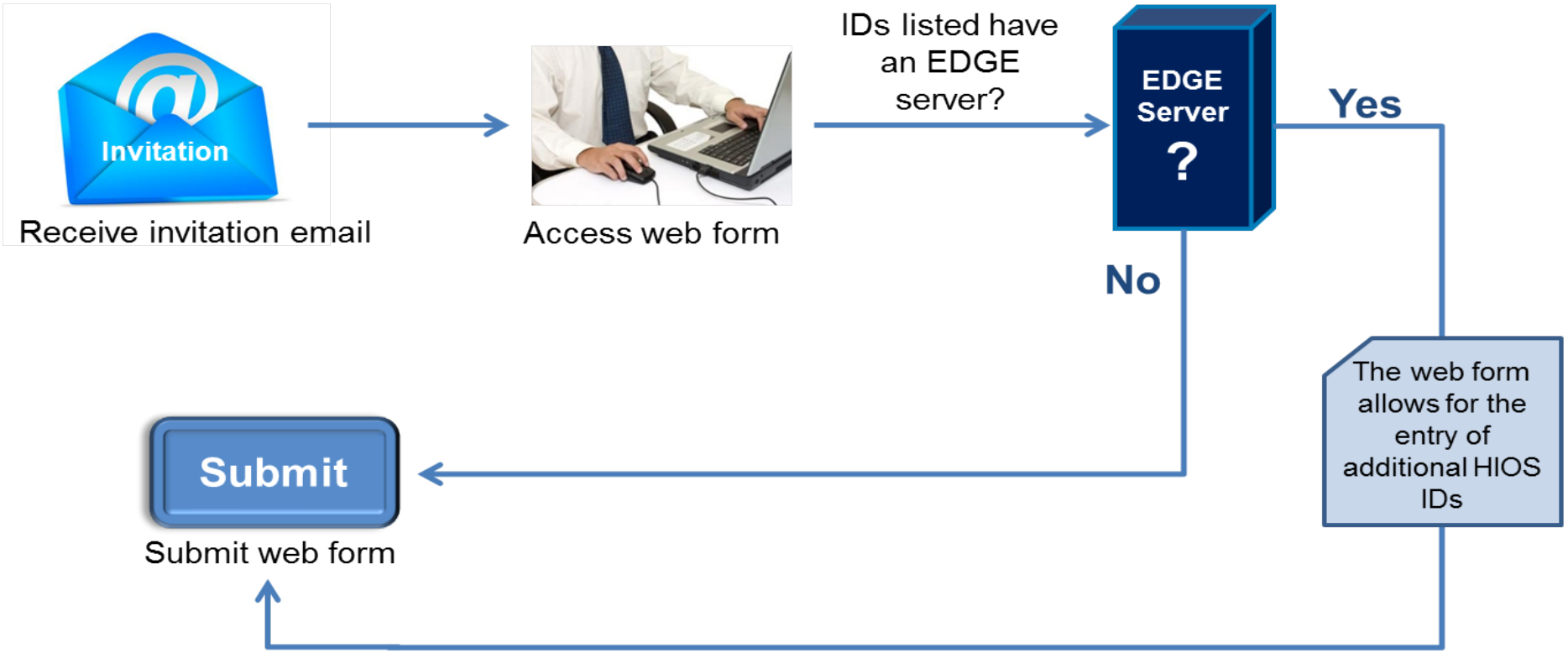
EDGE Server Status Web Form Overview

EDGE Status Reporting Overview

CMS will gather information to identify the list of issuers with EDGE servers participating in the Risk Adjustment (RA) and Reinsurance (RI) programs for the 2016 Benefit Year, using a web form reporting process.



EDGE Status Reporting Process



EDGE Server Status – Resources

In order to support the EDGE server status reporting process, a quick start guide will be available through the web form and on REGTAP at www.regtap.info.

- The quick start guide will provide step-by-step instructions on how to complete the EDGE server status web form.

EDGE Status Web Form: Invitation Email

- Company Chief Executive Officer (CEO) Designates and Alternate CEO Designates will receive an invitation email to report 2016 EDGE server status.



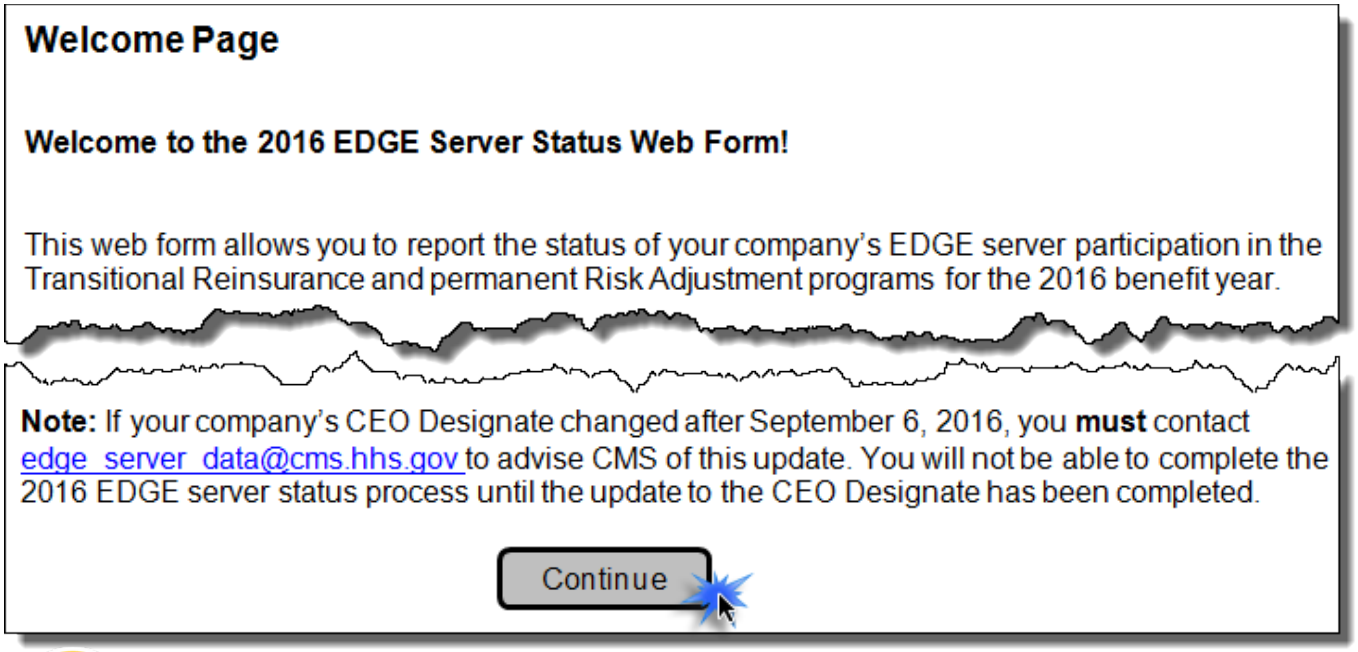
If your company's CEO Designate changes, you must contact edge_server_data@cms.hhs.gov to advise CMS of this update. You cannot complete the 2016 EDGE server status process until the update to the CEO Designate is completed.

- *If you did not participate in the program for the 2015 Benefit Year*, CMS has selected a CEO Designate from the contacts provided to HIOS for your company.
  - The individual to whom the invitation email is addressed is the individual CMS selected as the CEO Designate.
  - To change the CMS selected CEO Designate, you must submit a request to raripaymentoperations@cms.hhs.gov.

EDGE Status Web Form: Welcome Page

Welcome Page:

- Review the instructions on reporting EDGE server status for the 2016 Benefit Year.
- Select the **Continue** button.



NOTE

The web form must be completed in a single session.

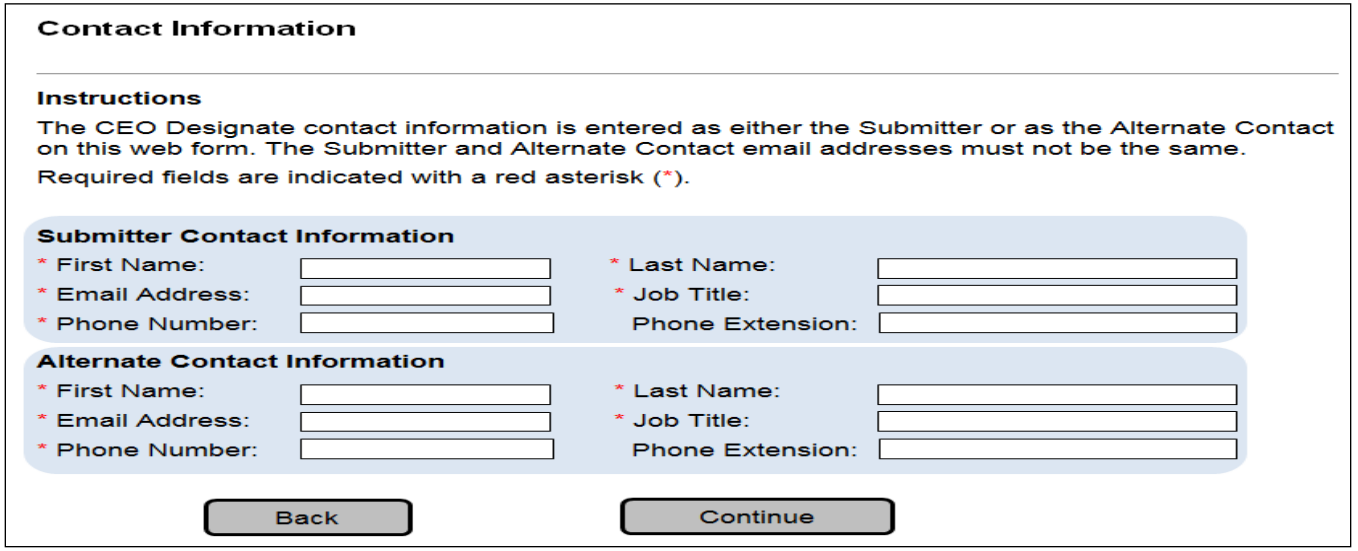
EDGE Status Web Form: Contact Information Page

Contact Information:

- Enter all required submitter and alternate contact details, as indicated with a red asterisk (*).
- Select the **Continue** button.

NOTE

The Submitter and Alternate contact information (one of these people must be the CEO designate) is required on the Contact Information page.



EDGE Status Web Form: TPA Page

Third Party Administrator:

Answer the required question: “Is your company working with a Third Party Administrator (TPA) or other third party to support EDGE server operations?”

Yes

Enter all required TPA information, as indicated with a red asterisk (*)

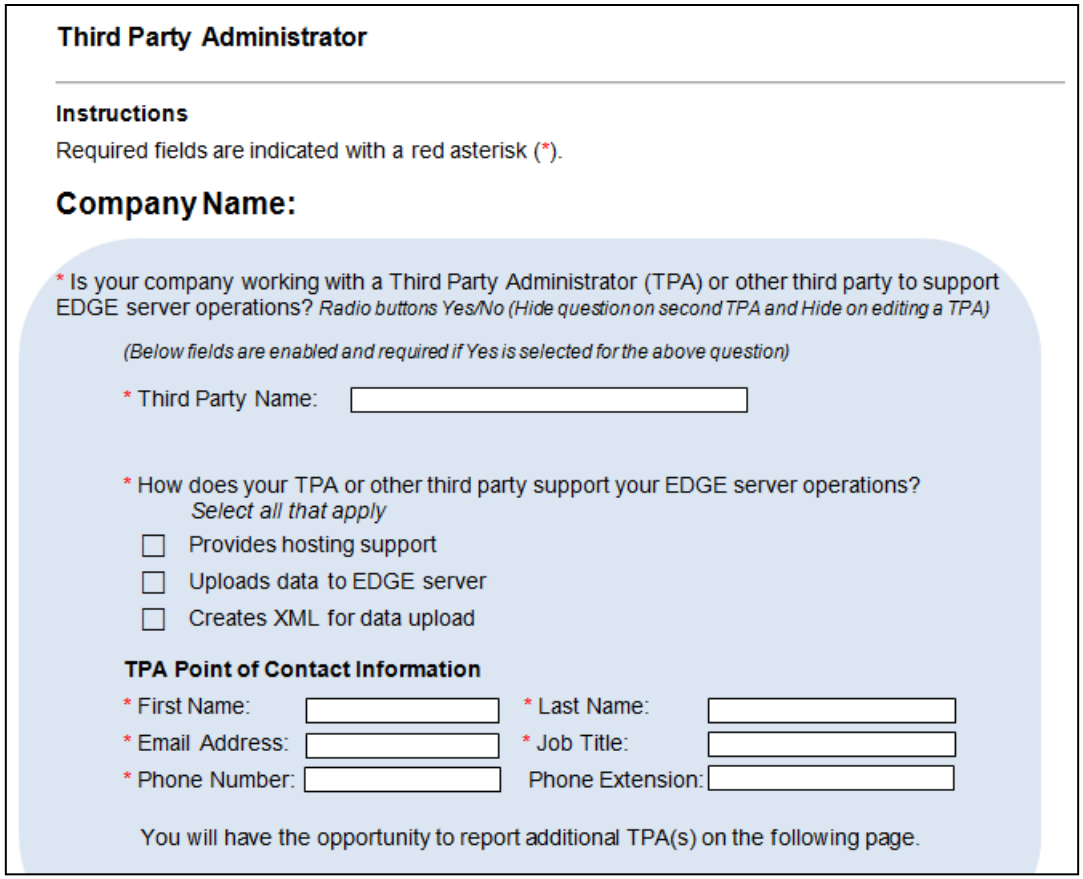
No

Select the **Continue** button

NOTE

You will have the opportunity to report additional TPA(s) on the following page.

EDGE Status Web Form: TPA Page (Continued)



Third Party Administrator

Instructions

Required fields are indicated with a red asterisk (*).

Company Name:

* Is your company working with a Third Party Administrator (TPA) or other third party to support EDGE server operations? *Radio buttons Yes/No (Hide question on second TPA and Hide on editing a TPA)*

(Below fields are enabled and required if Yes is selected for the above question)

* Third Party Name:

* How does your TPA or other third party support your EDGE server operations?
Select all that apply

- ☐ Provides hosting support
- ☐ Uploads data to EDGE server
- ☐ Creates XML for data upload

TPA Point of Contact Information

* First Name: * Last Name:

* Email Address: * Job Title:

* Phone Number: Phone Extension:

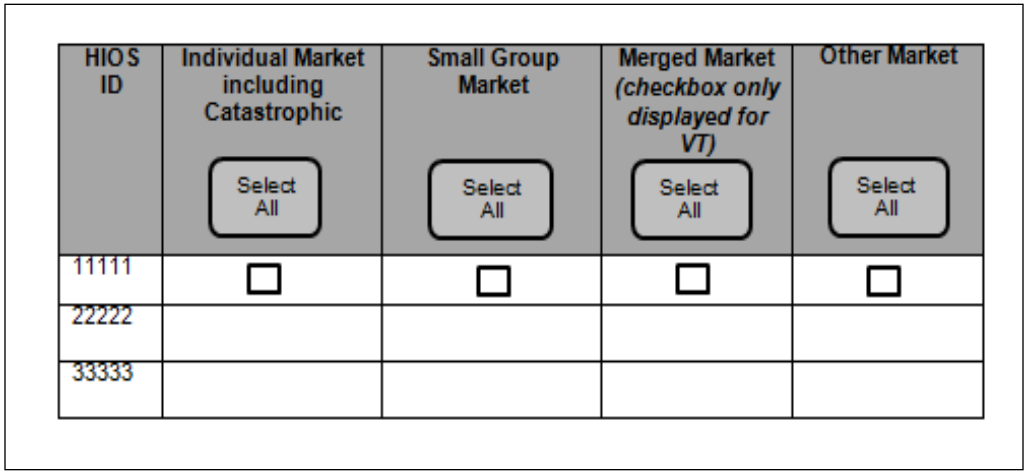
You will have the opportunity to report additional TPA(s) on the following page.

EDGE Status Web Form: 2016 EDGE Markets

2016 EDGE Markets:

HIOS IDs associated with your company's CEO Designate are listed in the table.

- For each HIOS ID listed, select the market(s) for which Affordable Care Act (ACA)-compliant plans are being offered and/or providing coverage for the 2016 Benefit Year.
- Choose the **Select All** button to populate an entire column if, for every HIOS ID in the table, a plan is offered and/or provides coverage in that market.



HIOS ID	Individual Market including Catastrophic Select All	Small Group Market Select All	Merged Market *(checkbox only displayed for VT)* Select All	Other Market Select All
11111	☐	☐	☐	☐
22222				
33333				

EDGE Status Web Form: 2016 EDGE Server Status

2016 EDGE Server Status:

The HIOS IDs and associated markets are listed in the table.

- Answer the question: “Did you sell or have enrollment in ACA-compliant plans; and therefore, will you have EDGE data to submit for 2016?”

HIOS ID	Market	*Did you sell or have enrollment in ACA-compliant plans; and therefore, will you have EDGE data to submit for 2016? Yes, I'm setting up an EDGE server for ALL	Reason	Explanation
11111	Individual	Picklist: • Yes, I'm setting up an EDGE server. • No, I do not intend to set up an EDGE server.	Picklist: • Issuer offers only grandfathered, transitional, or large group plans in 2016. • Issuer offers only standalone dental in 2016. • Issuer has or will have 500 or fewer billable member months statewide in 2016. • Issuer has no 2016 enrollment in any of its plans that are subject to the risk adjustment and/or reinsurance programs. • Other (must provide additional details in the Explanation column).	Maximum 500 characters.
11111	Small Group			
22222	Other			

EDGE Status Web Form: 2016 EDGE Server Status (Continued)

Response options are:

- **Yes, I'm setting up an EDGE server for ALL (column button)**
  - This button selects **all** HIOS IDs listed in the table as **Yes, I'm setting up an EDGE server**.
- **Yes, I'm setting up an EDGE server**
  - This option is available for each HIOS ID listed in the table.
- **No, I do not intend to set up an EDGE server**
  - This option is available for each HIOS ID listed in the table
  - Requires selection of a "Reason".
  - If "Other" is selected as a reason, additional details are required in the "Explanation" field.

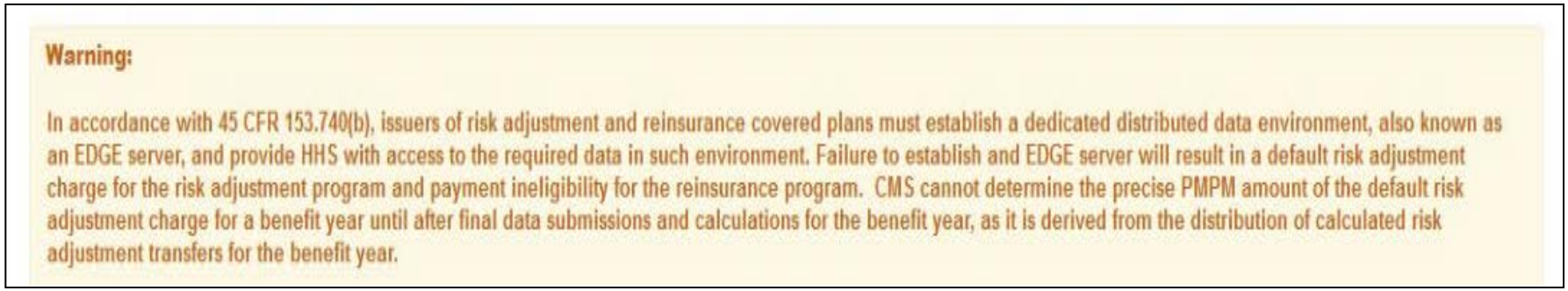
EDGE Status Web Form: 2016 EDGE Server Status (Continued)

Issuers who indicate they will not be submitting data or setting up an EDGE server will need to select a reason from the list of options:

- Issuer offers only grandfathered, transitional, or large group plans in 2016.
- Issuer offers only standalone dental in 2016.
- Issuer has or will have 500 or fewer billable member months statewide in 2016.
- Issuer has no 2016 enrollment in any of its plans that are subject to the RA and/or RI programs.
- Other.
  - If “Other” is selected, an explanation is required.

EDGE Status Web Form Guidance: Issuers Who Elect To Not Set Up an EDGE Server

- Issuers will be subject to a default RA charge if they do not purchase and operate an EDGE server. During the EDGE server status web form process, issuers will be asked in the web form to provide a reason as to why they will not operate an EDGE server and will be provided a warning indicator that they will be subject to a default RA charge.



Warning:

In accordance with 45 CFR 153.740(b), issuers of risk adjustment and reinsurance covered plans must establish a dedicated distributed data environment, also known as an EDGE server, and provide HHS with access to the required data in such environment. Failure to establish and EDGE server will result in a default risk adjustment charge for the risk adjustment program and payment ineligibility for the reinsurance program. CMS cannot determine the precise PMPM amount of the default risk adjustment charge for a benefit year until after final data submissions and calculations for the benefit year, as it is derived from the distribution of calculated risk adjustment transfers for the benefit year.

EDGE Status Web Form Guidance: Issuers Who Elect To Not Set Up an EDGE Server (Continued)

- Under 45 CFR §153.740(b), issuers of RA covered plans that fail to comply with the Department of Health & Human Services (HHS)-operated RA reporting requirements will be assessed a default RA charge. For the 2016 Benefit Year, the default RA charge is generally equal to the product of the statewide average premium (expressed as a Per Member Per Month [PMPM] amount) for a risk pool and the 90th percentile plan risk transfer amount expressed as a percentage of the respective Statewide average PMPM premiums for the risk pool, multiplied by the issuer's billable member months of enrollment.

EDGE Status Web Form Guidance: Issuers Who Elect To Not Set Up an EDGE Server (Continued)

- Beginning with the 2016 Benefit Year, CMS will assess a lower, separate default RA charge for issuers whose total enrollment in the Individual and Small Group markets combined, statewide, is 500 billable member months or fewer. For these issuers, the default RA charge will be a PMPM charge that is 14 percent of statewide average premium, multiplied by the issuer's billable member months of enrollment.

EDGE Status Web Form: 2016 EDGE Server Status

At the bottom of the **2016 EDGE Server Status** page, respond to the question: “Are there any additional HIOS IDs for which you have EDGE data for the 2016 Benefit Year associated with this CEO Designate?”

Yes

Web Form moves to the **Additional HIOS ID** page

No

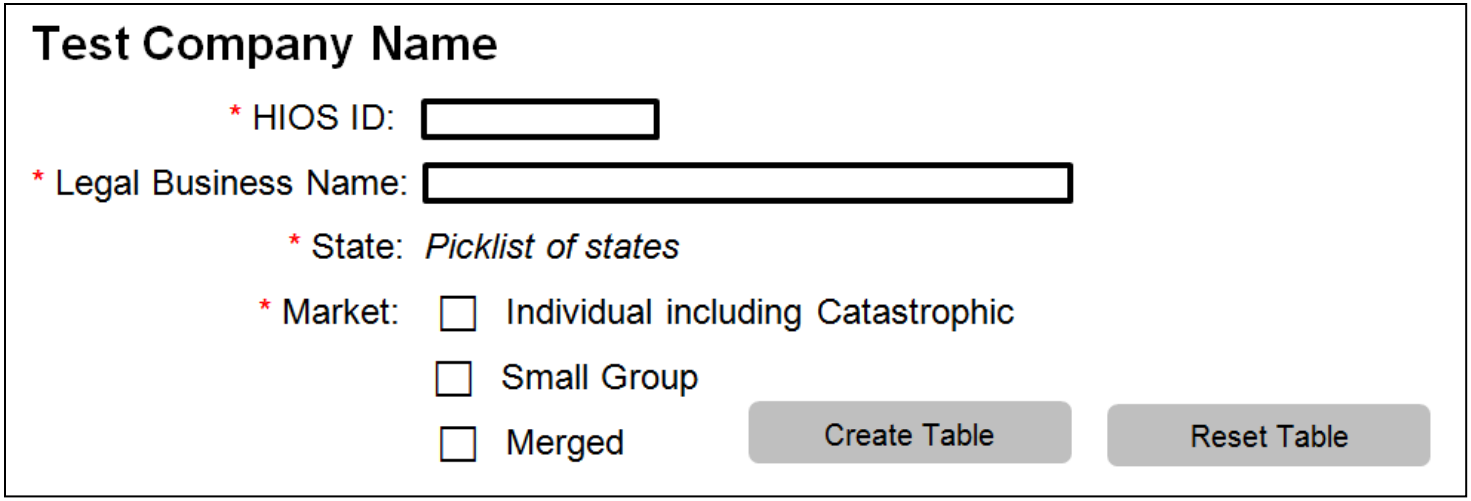
Web Form moves to the **Summary** page

EDGE Status Web Form: Additional HIOS ID

The Additional HIOS ID page allows for entry of HIOS IDs that were not included in the previous HIOS ID table.



Do not enter HIOS IDs for issuers that do not offer or have enrollment in any ACA-compliant plans in the individual market, including catastrophic, small group, or merged markets.



EDGE Status Web Form: Additional HIOS ID (Continued)

Additional HIOS ID:

- Enter all required company level HIOS ID information.
- Select the **Create Table** button to populate the table.
- A row for each selected market for the HIOS ID is added to the table.
- For each HIOS ID, answer the question: “Did you sell or have enrollment in ACA-compliant plans; and therefore, will you have EDGE data to submit for 2016?”
  - The response options are:
    - Yes, I’m setting up an EDGE server.
    - No, I do not intend to set up an EDGE server.
      - Requires the selection of a “Reason”.
      - If “Other” is selected as a reason, additional details are required in the “Explanation” field.
  - Select the **Continue** button.



Select the **Delete** link in the Action column to remove a HIOS ID.

EDGE Status Web Form: Add HIOS ID

On the **Add HIOS ID** page, respond to the question: “Are there any additional HIOS IDs for which you have EDGE data for the 2016 Benefit Year associated with this CEO Designate?”

Yes

Web Form allows for the entry of another HIOS ID in the **Additional HIOS ID** page

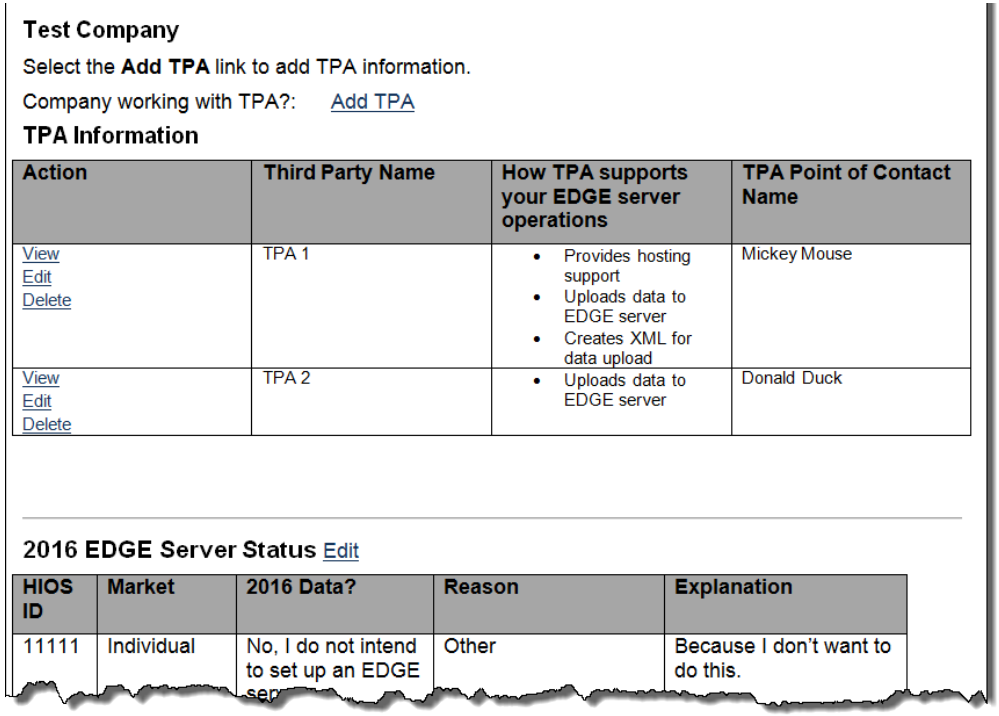
No

Web Form moves to the **Summary** page

EDGE Status Web Form: Summary Page

Summary Page:

- Review your EDGE status information.
- Select **Edit** in the, EDGE Server Status, Additional HIOS ID, or Contact Information sections to change information within the selected section.



Test Company

Select the **Add TPA** link to add TPA information.

Company working with TPA?: Add TPA

TPA Information

Action	Third Party Name	How TPA supports your EDGE server operations	TPA Point of Contact Name
View Edit Delete	TPA 1	• Provides hosting support • Uploads data to EDGE server • Creates XML for data upload	Mickey Mouse
View Edit Delete	TPA 2	• Uploads data to EDGE server	Donald Duck

2016 EDGE Server Status Edit

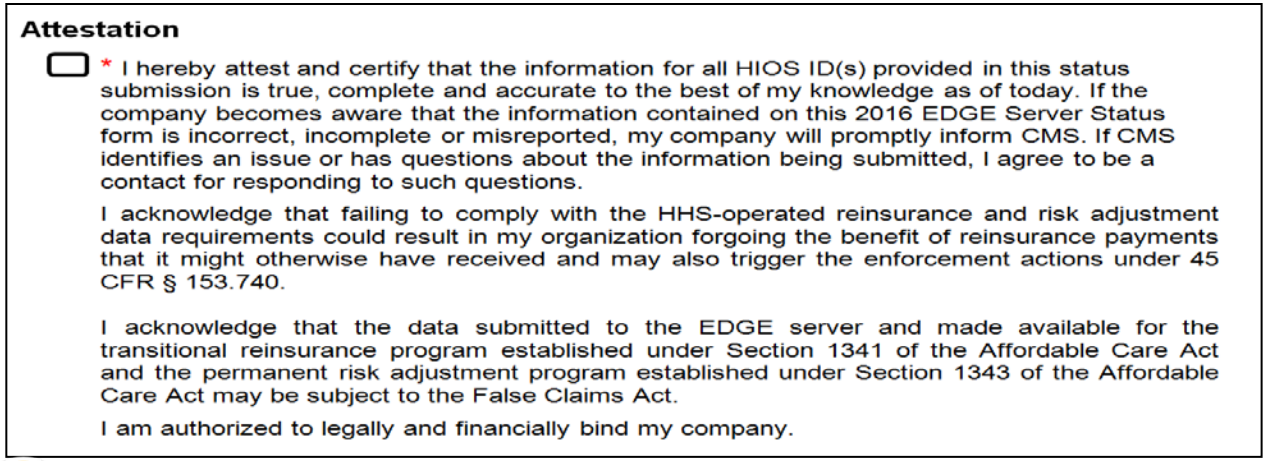
HIOS ID	Market	2016 Data?	Reason	Explanation
11111	Individual	No, I do not intend to set up an EDGE ser	Other	Because I don't want to do this.

EDGE Status Web Form: Attestation Page

Attestation Page:

An individual with the authority to legally and financially bind the company must attest to the information submitted in the EDGE server status web form.

- Read the attestation statement and select the check box.
- Enter all required attester contact details, as indicated with a red asterisk (*).
- Select the **Submit** button submit your data and attestation for EDGE server status.



Attestation

☐ * I hereby attest and certify that the information for all HIOS ID(s) provided in this status submission is true, complete and accurate to the best of my knowledge as of today. If the company becomes aware that the information contained on this 2016 EDGE Server Status form is incorrect, incomplete or misreported, my company will promptly inform CMS. If CMS identifies an issue or has questions about the information being submitted, I agree to be a contact for responding to such questions.

I acknowledge that failing to comply with the HHS-operated reinsurance and risk adjustment data requirements could result in my organization forgoing the benefit of reinsurance payments that it might otherwise have received and may also trigger the enforcement actions under 45 CFR § 153.740.

I acknowledge that the data submitted to the EDGE server and made available for the transitional reinsurance program established under Section 1341 of the Affordable Care Act and the permanent risk adjustment program established under Section 1343 of the Affordable Care Act may be subject to the False Claims Act.

I am authorized to legally and financially bind my company.

NOTE

The individual's information entered on the Attestation page does not need to be the person submitting the web form.

EDGE Status Web Form: Confirmation Page

Confirmation Page:

This page includes the submission end time and a **PDF** button, which generates a PDF confirmation of your EDGE server status. Please print and save this document for your records.

An acknowledgment email is sent to the submitter contact, alternate contact and attester.



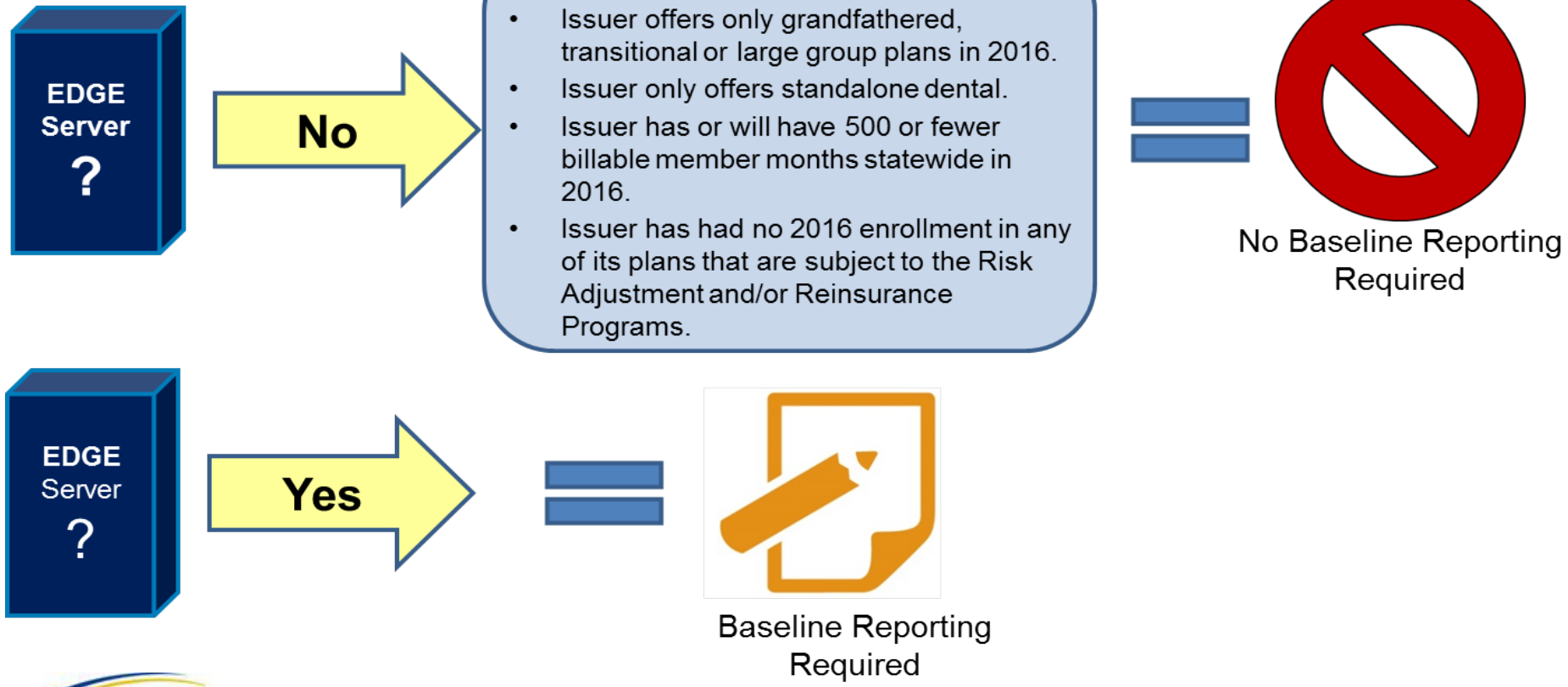
The acknowledgment email does not include the PDF confirmation – you **MUST** print the PDF from the Confirmation page.

EDGE Status Reporting Deadline

The window for submitting 2016 EDGE server status is from September 6, 2016 to September 22, 2016.



How Status Ties to Baseline



Questions?

To submit questions by phone:

- ❑ Dial ‘14’ on your phone’s keypad
- ❑ Dial ‘13’ to exit the phone queue

Upcoming Webinar

Webinar	Scheduled Event Date
Q&A Session	**September 6, 2016** **11:30 a.m. – 12:30 p.m. ET**

HTTPS://WWW.REGTAP.INFO/

Resources

HTTPS://WWW.REGTAP.INFO

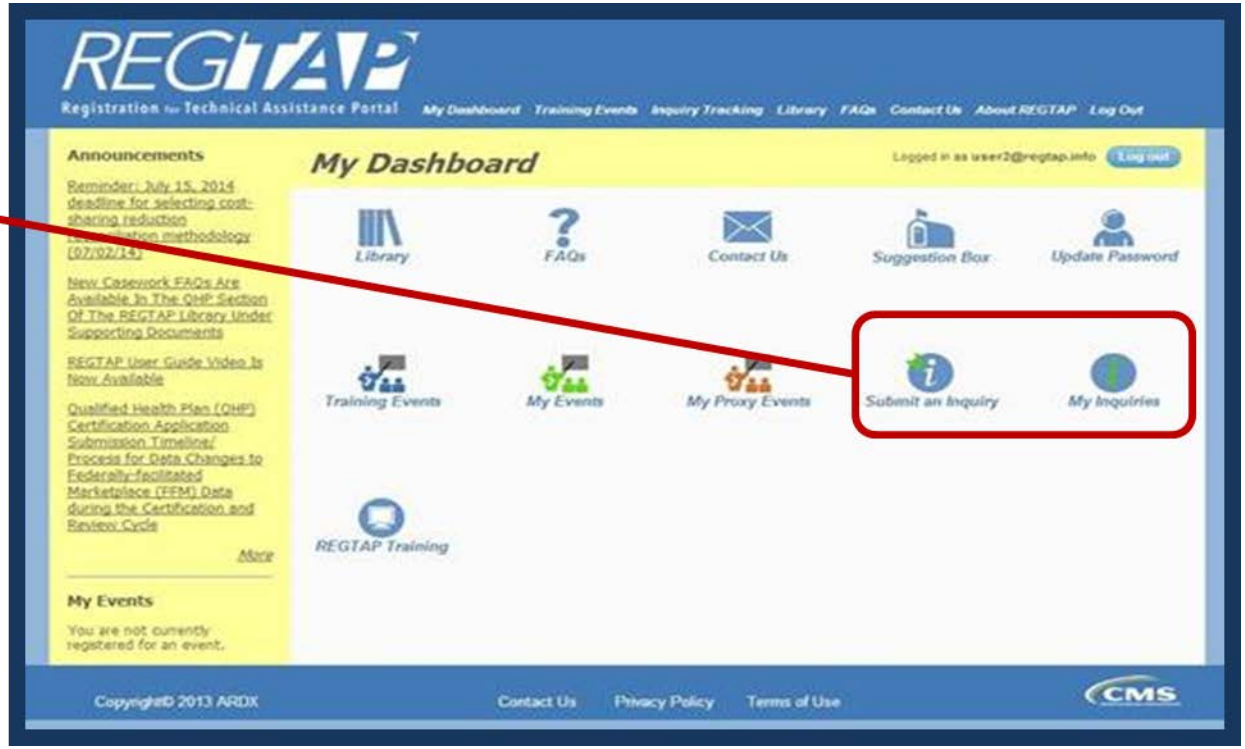
Resources

Resource	Resource Link
Center for Consumer Information and Insurance Oversight (CCIIO)	http://www.cms.gov/cciio
REGTAP • Registration • Inquiry Tracking and Management System (ITMS) • Resource Library • Frequently Asked Questions (FAQs)	https://www.REGTAP.info/

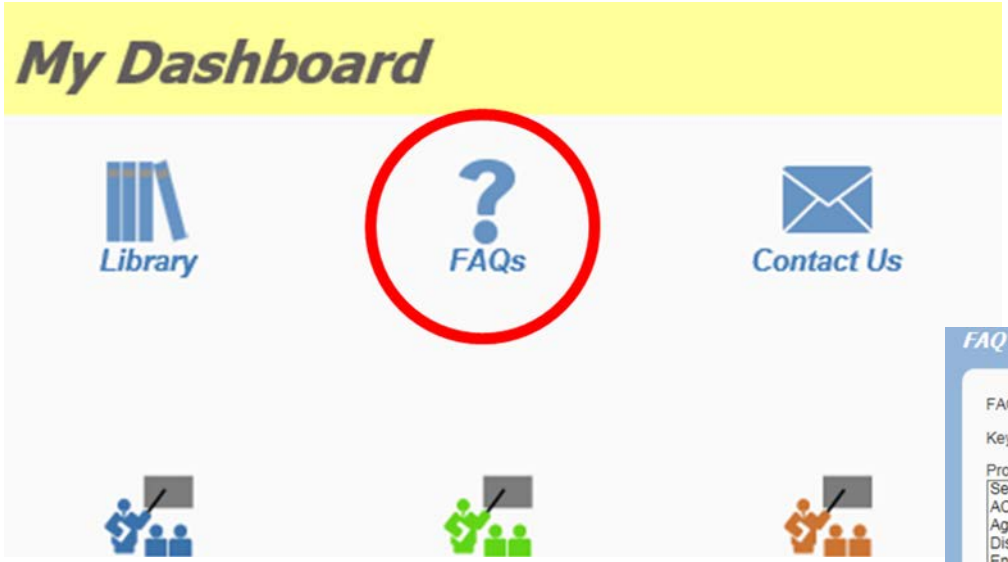
Inquiry Tracking and Management System (ITMS)

Stakeholders can submit inquiries to ITMS at https://www.REGTAP.info.

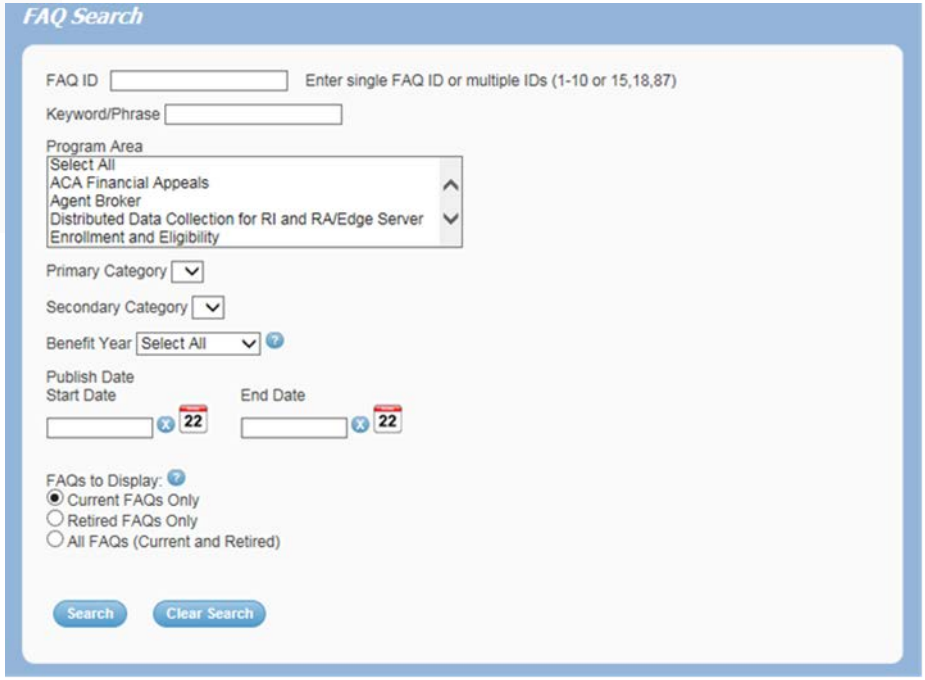
Select ‘Submit an Inquiry’ from My Dashboard.



FAQ Database on REGTAP



The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, Benefit Year and Publish Date.



FAQ Database is available at https://www.regtap.info/.

Closing Remarks