DISTRIBUTED DATA COLLECTION (DDC) FOR REINSURANCE (RI) AND RISK ADJUSTMENT (RA):
OUTBOUND DETAIL REPORT QUERY -
WHAT TO DO WHEN YOUR DETAIL REPORTS ARE NOT PRODUCED

March 29, 2016

Health Insurance Exchange Program
Training Series

CMS
CENTERS FOR MEDICARE & MEDICAID SERVICES

HTTPS://WWW.REGTAP.INFO/

Agenda

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Session Guidelines

- This is a 90-minute webinar session.
- For questions regarding content and the DDC program, please contact your Financial Management (FM) Service Representative directly at EDGE_server_data@cms.hhs.gov.
- For questions regarding logistics and registration, please contact the Registrar at (800) 257-9520.

Intended Audience

- Amazon and On-Premise External Data Gathering Environment (EDGE) server issuers (Marketplace and non-Marketplace) of plans in states where the U.S. Department of Health & Human Services (HHS) operates the Affordable Care Act (ACA) RA and RI Programs
- Third Party Administrators (TPAs) and Support Vendors

Purpose

- This outbound detail report query Stakeholder training session will provide issuers instructions on how to run the detail accept/reject report queries for medical and pharmacy claims when the detail report is not produced.

Detail Report Query Overview

Detail Report Query Overview

- Issuers submit enrollment, pharmacy, medical and supplemental files to the EDGE server.
- After a file has completed processing, outbound eXtensible Markup Language (XML) files are produced and sent to the issuer's outbound file directory for On-Premise or Simple Storage Service (S3) for Amazon Web Services. Notification of success or failure of every submitted file will be communicated in the outbound detail reports.
- Issuers are responsible for reviewing the outbound error file reports to identify any issues in their submission process.

Detail Report Query Overview (Continued)

- If no detail report is produced issuers should complete the following steps:
  1. Confirm whether the file has finished processing.
  2. Query the tables to obtain a list of records that were accepted and stored and records that were rejected.

File Processing Determination

File Processing Determination

- An issuer who has not received a detail report can find out if their file is finished processing by checking the status of the file.
- The file processing can be monitored using the command:

ps -ef | grep edge

File Processing Determination (Continued)

- If the job is still being processed, a row will be returned that includes the XML ingest file name as shown in the below screenshot:

```
[ec2-user@edgeserver-1806209 ~]$ ps -ef | grep edge
ec2-user 22548 22546  0 18:16 ?        00:00:00 /bin/bash /opt/edge/bin/edge ingest
ec2-user 22634 22548 99 18:16 ?        00:00:28 /usr/bin/java -DEDGE_HOME=/opt/edge -Xms3072m -Xmx3072m -XX:NewRatio=3 -XX:OnO
utOfMemoryError=/opt/edge/bin/outOfMemoryHandler -Denv=prod -cp /opt/edge/config:/opt/edge/config/*:/opt/edge/lib:/opt/edge/li
b/* org.springframework.batch.core.launch.support.CommandLineJobRunner edge-application.xml medicalJob time=1458166577423 file
name=57964.M.D10092014T120205.P.xml
```

File Processing Determination (Continued)

- If a row indicating the job is still in process is not returned then the job has completed, either successfully or in error.
  - This is a screenshot of what it looks like when there is no process running:

```
[ec2-user@edgeserver-1806209 ~]$ ps -ef | grep edge
ec2-user 22177 22145  0 18:11 pts/0    00:00:00 grep edge
[ec2-user@edgeserver-1806209 ~]$
```

  - At this point, the output reports should have been generated.
  - If no reports were generated, the report generation has failed and must be investigated.

File Processing Determination (Continued)

- The line of demarcation for number of records processed, if the file crashes, is 2,500.
  - The accepted and rejected records are saved in increments of 2,500.
  - It is important to note that the records submitted will be reordered during processing and placed in date/time order.
    - Do not assume if more than 2,500 records are processed that the records are records 1 – 2,500 in your submission file unless you have created a file that orders your records by date and time.

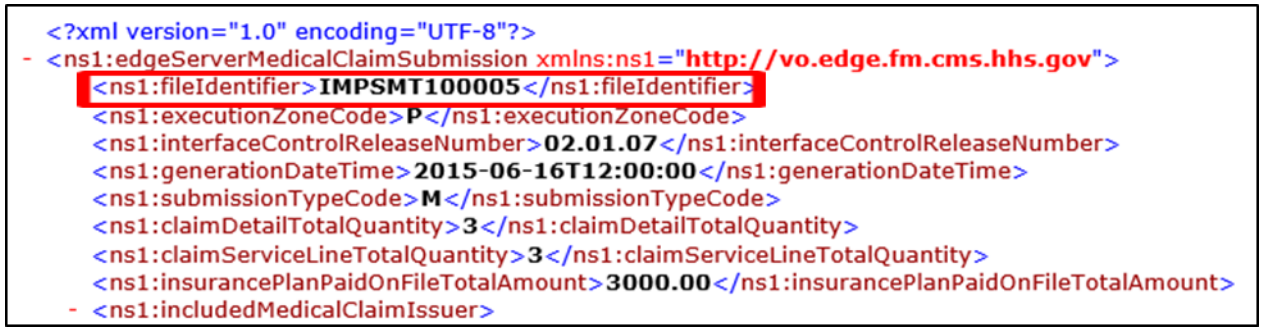
Queries for Accepted/Rejected Records

Queries for Accepted/Rejected Records

- If you did not receive a detail report and the file has finished processing, you can use a query to identify whether any records in the submission file were accepted or rejected.
- These detail record queries identify accepted or rejected records for medical and pharmacy claims only.
  - Issuers can submit enrollment files in their entirety, as they are complete replacement files.
  - Supplemental files either commit all records or no records into the database.

Queries for Accepted/Rejected Records (Continued)

- The File ID in the submitted XML file header (fileIdentifier in the screenshot below) can be used to determine if any records from the file were committed to the database as accepted records (in the MEDICAL_CLAIM or PHARMACY_CLAIM tables) or rejected records with an Error Message (in the EDGE_ERROR_LOG table):



Medical Claims Accepted Records Query

- This query returns the medical Claim ID and corresponding Record ID from the ingest file for all claims accepted and committed to the database:
  - While Informational messages (reported on the detail reports as 'I') are accepted, they will not be returned in this query.

```
SELECT RECORD_ID, MEDICAL_CLAIM_ID FROM MEDICAL_CLAIM WHERE JOB_ID =
(SELECT RCVD_SUBMSN_ID FROM PRM_STBLZTN_SUBMSN_STUS WHERE FIL_ID =
'<FILE ID FROM INGEST FILE>');
```

- Sample output:

```
+-----------+------------------+
| RECORD_ID | MEDICAL_CLAIM_ID |
+-----------+------------------+
|         3 | MCLAIM1          |
|         5 | MCLAIM2          |
|         7 | MCLAIM3          |
+-----------+------------------+
3 rows in set (0.00 sec)
```

Medical Claim Rejected Record Query

- The query on the right will return all records with Error Codes, including records accepted with an Informational message (Status ‘I’) and rejected records (Status ‘R’).

```
SELECT * FROM EDGE_ERROR_LOG WHERE JOBID = (SELECT RCVD_SUBMSN_ID FROM
PRM_STBLZTN_SUBMSN_STUS WHERE FIL_ID = '<FILE ID FROM INGEST
FILE>')\G;
```

```
*************************** 1. row ***************************
                  uid: 1
             RECORDID: 9
          RECORDLEVEL: claimDetailsLevel
          ELEMENTNAME: formTypeCode
         ELEMENTVALUE: R
    ERRORSPECIFICTYPE:
            ERRORCODE: 1
          ERRORDETAIL:
         ERRORMESSAGE: Reference check failed
                JOBID: 2
       SUBMISSIONTYPE: M
           ERROR_TYPE: referenceErrorType
         ERRORLEVELNO: 3
         ERRORTYPENO: 3
         STATUS_TYPE: R
     CLAIM_IDENTIFIER: MCLAIM4
MEDICAL_SRVC_LINE_NUM: NULL
*************************** 2. row ***************************
                  uid: 2
             RECORDID: 10
          RECORDLEVEL: claimServiceLineLevel
          ELEMENTNAME: No Associated Data
         ELEMENTVALUE:
    ERRORSPECIFICTYPE: SERVICE_LINE_LEVEL_CLAIM_FAILED
            ERRORCODE: 32
          ERRORDETAIL:
         ERRORMESSAGE: Claim Service Line level rejected becaus
e the claim header for the medical submission failed validation
                JOBID: 2
       SUBMISSIONTYPE: M
           ERROR_TYPE: businessErrorType
         ERRORLEVELNO: 4
         ERRORTYPENO: 5
         STATUS_TYPE: R
     CLAIM_IDENTIFIER:
MEDICAL_SRVC_LINE_NUM: 1
2 rows in set (0.00 sec)
```

Pharmacy Claim Accepted Record Query

- This query returns the pharmacy Claim ID of any record that was accepted and committed to the database.
- Note the Record ID does not exist in the pharmacy claim table so the Claim ID must be used to link the accepted record to the record in the ingest file.
- The Claim ID can be used to link the error back to the corresponding record in the ingest file.

```
+------------------+
| CLAIM_IDENTIFIER |
+------------------+
| PCLAIM11         |
+------------------+
1 row in set (0.00 sec)
```

```
SELECT CLAIM_IDENTIFIER FROM PHARMACY_CLAIM WHERE JOBID = (SELECT
RCVD_SUBMSN_ID FROM PRM_STBLZTN_SUBMSN_STUS WHERE FIL_ID = '<FILE ID
FROM INGEST FILE>');
```

Pharmacy Claim Rejected Record Query

- The query on the right will return all records with Error Codes, including records accepted with an Informational message (Status ‘I’) and rejected records (Status ‘R’).
- The Record ID can be used to link the error back to the corresponding record in the ingest file:

```
SELECT * FROM EDGE_ERROR_LOG WHERE JOBID = (SELECT RCVD_SUBMSN_ID FROM
PRM_STBLZTN_SUBMSN_STUS WHERE FIL_ID = '<FILE ID FROM INGEST FILE>');
```

- Sample output:
  - This output would look the same as for medical claims.

Summary

- If the EDGE system fails to produce outbound detail reports there are steps that you can take to ensure that your files were processed and your records were accepted.
  - Reminder: The commit interval is in increments of 2,500 records.
- In this webinar we have outlined steps to confirm whether the file has finished processing and how to query the tables to obtain a list of records that were accepted and stored and records that were rejected.

Questions?

To submit questions by phone:

- ❑ Dial ‘14’ on your phone’s keypad
- ❑ Dial ‘13’ to exit the phone queue

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Upcoming Webinars

Webinar Topic	Scheduled Event Date
Question and Answer (Q&A) Session	April 5, 2016 11:30 a.m. – 1:00 p.m. ET

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Resources

HTTPS://WWW.REGTAP.INFO/

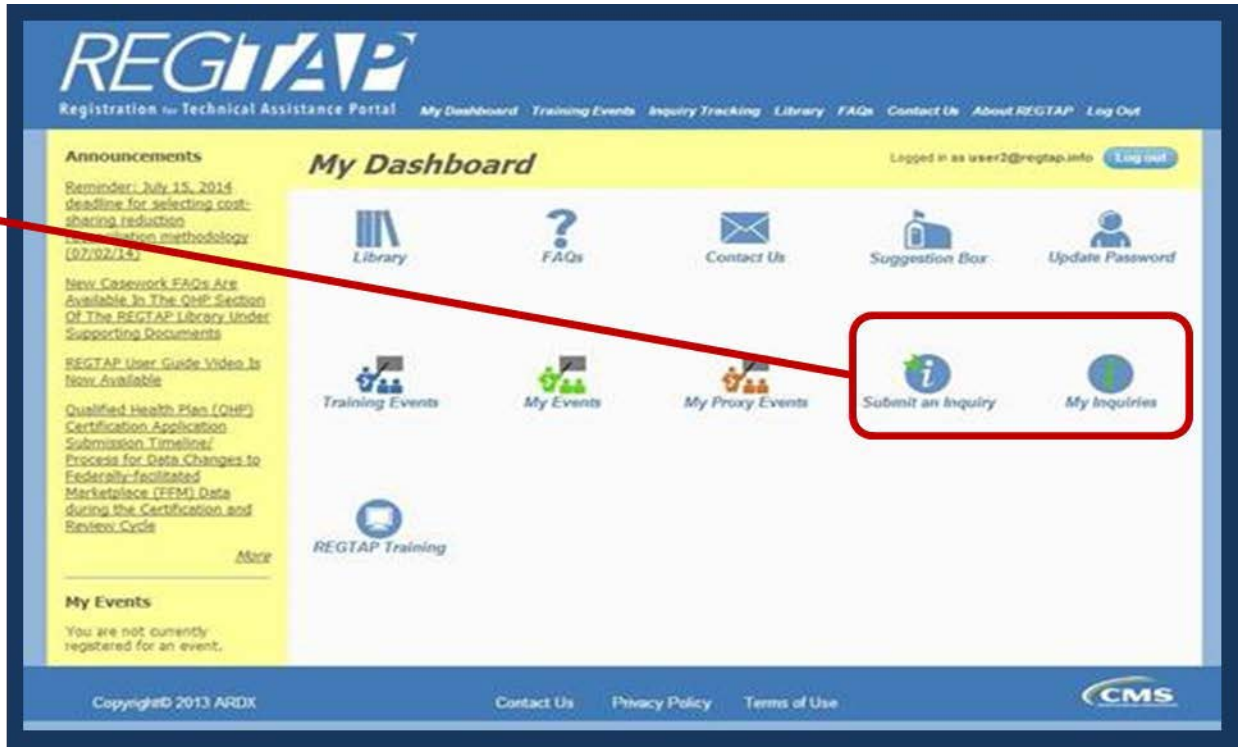
Resources

Resource	Link/Contact Information
Center for Consumer Information and Insurance Oversight (CCIIO)	http://cms.gov/cciio/
Registration for Technical Assistance Portal (REGTAP) • Registration • Inquiry Tracking and Management System (ITMS) • Resource Library • Frequently Asked Questions (FAQs)	https://www.REGTAP.info/

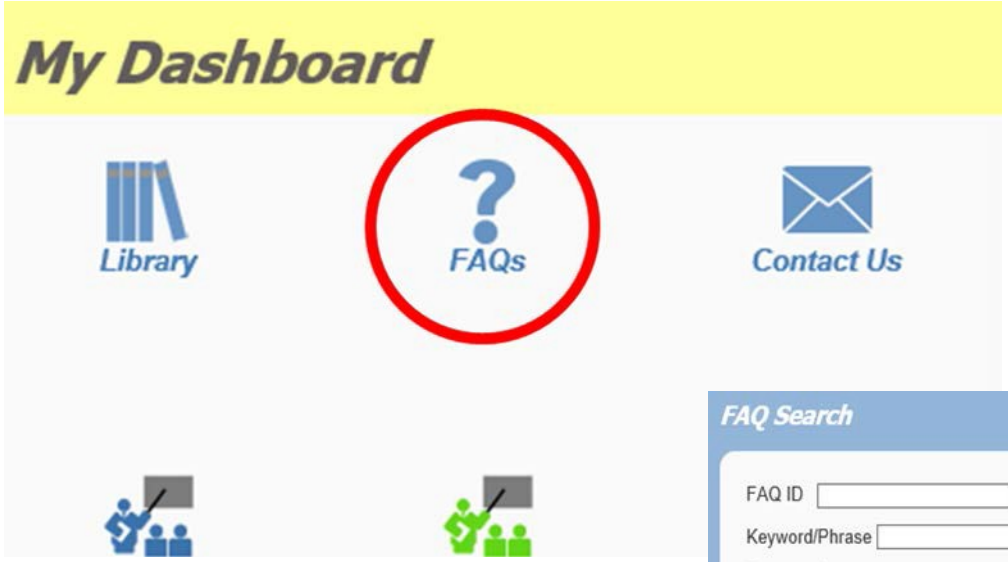
Inquiry Tracking and Management System (ITMS)

Stakeholders can submit inquiries to ITMS at https://www.REGTAP.info

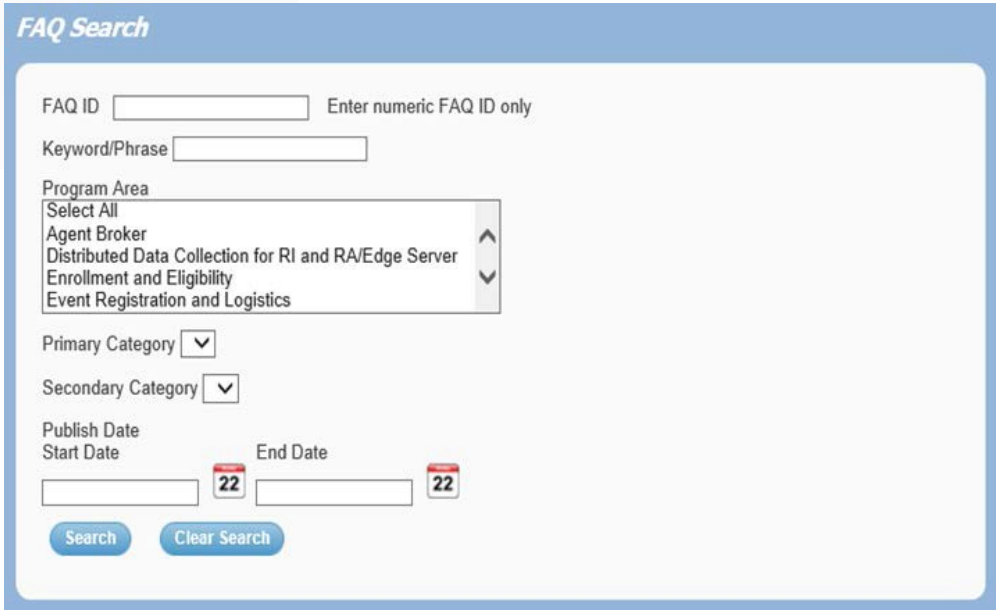
Select “Submit an Inquiry” from My Dashboard.



FAQ Database on REGTAP



The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, and Publish Date.



FAQ Database is available at https://www.REGTAP.info/

Closing Remarks

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