

DISTRIBUTED DATA COLLECTION (DDC) FOR RISK ADJUSTMENT (RA) AND HIGH COST RISK POOL (HCRP): EDGE SERVER BUSINESS RULES (ESBR) UPDATES

October 9, 2018

EDGE Server Webinar Series VIII

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[HTTPS://WWW.REGTAP.INFO](https://www.regtap.info)

Session Agenda

- Purpose
- Session Guidelines
- Intended Audience
- External Data Gathering Environment (EDGE) Server Announcements
- ESBR Overview
- Questions and Answers (Q&As)
- Resources
- Closing Remarks

Purpose

- This EDGE server session will:
 - Provide a general overview of the ESBR updates for Version 10.0, posted in the Registration for Technical Assistance Portal (REGTAP) Library on October 8, 2018.

Session Guidelines

- This is a 60-minute webinar session.
- For technical questions, please submit inquiries to:
EDGE_Server_Data@cms.hhs.gov.
 - Include EDGE server and the Health Insurance Oversight System (HIOS) ID in the subject line.
- For questions regarding logistics and registration, please contact the Registrar at: (800) 257-9520.

Intended Audience

- Health insurance issuers participating in the EDGE server process for the RA program
- Third Party Administrators (TPAs) and Support Vendors

EDGE Server Announcements

ECS and Frequency Command Deployments – 10/16/18

- On Tuesday, October 16, 2018 at 12:01 a.m. ET, CMS will stage the Enrollee Claims Summary (ECS) and the Frequency (Freq) Command on issuers' EDGE servers to ensure that issuers are on track for meeting the first Benefit Year 2018 EDGE data submission deadline.
 - Please execute the commands promptly.
 - CMS will begin outreach on October 18, 2018 to issuers who have not executed the commands.
- The first Benefit Year 2018 EDGE data submission deadline requires issuers to submit 90% of enrollment and 90% of claims data to the EDGE servers for Quarters one (1) and two (2) by October 25, 2018.

EDGE License Agreement

- CMS requires issuers and TPAs to sign and submit the EDGE Server License Agreement.
- CMS has updated the issuer and TPA EDGE server license agreements which requires that all existing issuers and TPAs with an active EDGE server for the 2018 payment year to sign and submit the new version.
 - To reduce issuer and TPA burden, the agreement will be at the parent organization level, with all Benefit Year 2018 HIOS IDs pre-populated.
 - The agreement will be sent through the EDGE_Server_Data@cms.hhs.gov in mid-October 2018.
 - The communication will provide instructions to sign and re-upload the attestation to the EDGE registration site for issuers and TPAs.
 - The agreement will be due by November 2, 2018.

ESBR Overview

ESBR Overview

- The ESBR provides EDGE server file processing rules to facilitate successful submission of enrollment, pharmacy claims, medical claims and supplemental diagnosis code files.
 - It complements the EDGE Server Interface Control Document (ICD) and the EDGE Server Operations and Maintenance Manual (O&MM).
- CMS published the ESBR Version 10.0 in the REGTAP Library on October 8, 2018.
 - CMS updates the ESBR quarterly.
 - It is located on the DDC Homepage under the EDGE Core Resources section.
 - It includes updated information based on issuer inquiries and information previously shared during the EDGE 28.0 Maintenance Release webinar presentation accessible via the following link:
https://www.regtap.info/reg_librarye.php?i=2653.
 - The ESBR does not contain new processes or policies that were not previously published.

ESBR: Updates to Enrollment Files

Enrollment	Key Points	Location in ESBR
Non-Subscriber/Dependent Requirements	A non-subscriber/dependent enrollment period must include only one (1) initial issuance or renewal of Enrollment Period.	Section 5.4.2; Table 22

ESBR: Updates to Pharmacy and Medical Claims Files

Pharmacy and Medical Update	Key Points	Location in ESBR
In- and Out-of-Network Indicator	• The network indicator, which identifies claim header and line as either in- (“I”) or out-of-network (“O”), is determined by the application of the network cost sharing limit, or Maximum Out-of-Pocket (MOOP). • When determining when to use “I” or “O”, an issuer must determine if the cost(s) for the claim service line is being aggregated to the annual limitation on cost sharing (MOOP) as established in 45 CFR 156.130(a).	Sections 6.4 and 7.8

ESBR: Updates to Pharmacy and Medical Claims Files (continued)

Pharmacy and Medical Update	Key Points	Location in ESBR
In- and Out-of-Network Indicator	• If cost sharing on the claim is allocated to the in-network MOOP, then the service would be considered in-network, thus “I”. • If cost sharing is not allocated to the in-network MOOP, then it would be considered out-of-network, thus “O”. • For cases where services provided by an out-of-network provider were aggregated to the in-network MOOP, the “I” should be used.	Sections 6.4 and 7.8

ESBR: Updates to Medical Files

Medical Update	Key Points	Location in ESBR
Institutional Bill Types	Added additional acceptable RA Bill Types: 851 and 857. Note: This is not a change in policy. 851 and 857 were previously excluded from the ESBR due to a typo.	Section 7.18, Table 73
Inpatient Interim Billing and Cross-Year Claims	Inpatient hospital admitting diagnosis codes should not be submitted to the EDGE server.	Section 7.18.2 Table 75
Outpatient Interim Billing and Cross-Year Claims	Cross-year outpatient claims will not be selected for RA if the enrollee does not have any active enrollment in the current benefit year belonging to an active plan or an active rating area. Unlike cross-year inpatient claims, the RA calculation does not create a system-generated cross-year enrollment period for cross-year outpatient claims.	Section 7.18.3 Table 76

ESBR: Updates to Supplemental Diagnosis Files

Supplemental Update	Key Points	Location in ESBR
Void Processing Logic for Supplemental Diagnosis Code Detail Records	A void will be rejected if there is no active medical claim in the claim family.	Section 8.9, Table 91

Questions?

To submit or withdraw questions by phone:

- *Dial *# (star-pound) on your phone's keypad to ask a question.*
 - *Dial *# (star-pound) on your phone's keypad to withdraw your question.*

Upcoming Webinar

Webinar	Scheduled Event Date
EDGE 29.0 Maintenance Release Preview	October 16, 2018

Resources

Resources

Resource	Link/Contact Information
Center for Consumer Information and Insurance Oversight (CCIIO)	http://cms.gov/ccio/
Registration for Technical Assistance Portal (REGTAP) • Registration • Resource Library • Frequently Asked Questions (FAQs)	https://www.REGTAP.info/

FAQ Database on REGTAP

My Dashboard



The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, Benefit Year, and Publish Date.

FAQ Database is available at
<https://www.regtap.info/>.

FAQ Search

FAQ ID Enter single FAQ ID or multiple IDs (1-10 or 15,18,87)

Keyword/Phrase

Program Area

Select All
ACA Financial Appeals
Agent Broker
Distributed Data Collection for RI and RA/Edge Server
Enrollment and Eligibility

Primary Category

Secondary Category

Benefit Year ?

Publish Date

Start Date

22

End Date

22

FAQs to Display: ?

- ☒ Current FAQs Only
☐ Retired FAQs Only
☐ All FAQs (Current and Retired)

Search

Clear Search

DDC/EDGE Server Resource Page

The DDC/EDGE Server Resource Page provides central access to job aids, announcements, documentation, FAQs, deadlines and other resources.

To access the DDC/EDGE Server Resource Page, click on the Program Area Pages icon on 'My Dashboard' or access the page at <https://www.REGTAP.info/ddc.php>.



Distributed Data Collection for RI and RA/Edge Server

[Get Adobe Reader](#)



Welcome to the Distributed Data Collection for RI and RA/Edge Server page designed to assist you with locating accurate and valuable information regarding External Data Gathering Environment (EDGE) server data submission.

CMS conducts the EDGE Server Webinar Series training sessions with the purpose of providing issuers with additional information related to data submission, testing, timelines, submission scenarios, and any additional guidance related to the data submission or blackout periods.

! IMPORTANT ANNOUNCEMENTS:

On June 10, 2016, there will be an EDGE server maintenance release which will update HHS-RADV sampling functionality. CMS will also release remote commands for preliminary HHS-RADV sampling reports. The reports will only be available to CMS.

TOPICS OF INTEREST

DATA SUBMISSION	ENROLLMENT SUBMISSION
MEDICAL SUBMISSION	PHARMACY SUBMISSION
REGISTRATION & PROVISIONING	SUPPLEMENTAL SUBMISSION

EDGE Core Resources

ICD Addendum  CMS-EDGE Server / CMS-ES Interface Control Document - Risk Adjustment and Reinsurance Addendum Version 0.0.0.0 Aug 2016	Interface Control Document (ICD)  CMS-EDGE Server / CMS-ES Interface Control Document Version 0.0.0.0 August 2016	Business Rules  CMS-EDGE Server / CMS-ES EDGE Server Business Rules Version 0.0.0.0 Last Modified: February 2016	XML/XSD Outbound Files  XML/XSD Outbound Files
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EDGE Plan Data Templates Due 9/23/16

Days Left

6

Edge Server – Baseline Submission for 1st and 2nd Quarter 2016

NOVEMBER 2

Edge Server – Data Submission 90% of Enrollment Records / 25% of Medical & Pharmacy Claims for 1st and 2nd Quarter 2016

NOVEMBER 9

Edge Server Commands Deployed – ECS and Frequency Distribution Reports

NOVEMBER 10

DDC/EDGE Server Resource Page

(continued)

TOPICS OF INTEREST

[DATA SUBMISSION](#)

[ENROLLMENT SUBMISSION](#)

[MEDICAL SUBMISSION](#)

[PHARMACY SUBMISSION](#)

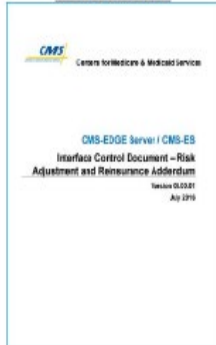
[REGISTRATION & PROVISIONING](#)

[SUPPLEMENTAL SUBMISSION](#)

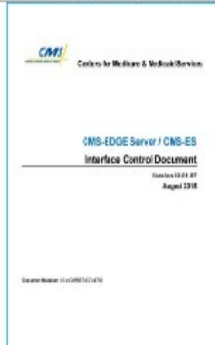
For quick access to related documentation, users can find resources organized by Topics of Interest, such as 'Medical Submission' or 'Registration'.

EDGE Core Resources

ICD Addendum



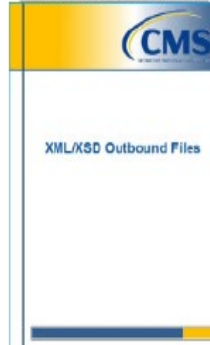
Interface Control Document (ICD)



Business Rules



XML/XSD Outbound Files



Users can also register for active EDGE Server training series, contact CMS, provide feedback and more.

NEW TO REGISTRATION?
click the button below

REGISTER
for next event session



DO YOU HAVE FEEDBACK?
click the button below

PROVIDE FEEDBACK
to DDC



Closing Remarks