

DISTRIBUTED DATA COLLECTION (DDC) FOR REINSURANCE (RI) AND RISK ADJUSTMENT (RA): EDGE SERVER 29.0 MAINTENANCE RELEASE DETAIL AND GLOBAL REFERENCE DATA UPDATES

November 6, 2018

EDGE Server Webinar Series VIII



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[HTTPS://WWW.REGTAP.INFO](https://www.regtap.info)

Session Agenda

- Session Guidelines
- Intended Audience
- External Data Gathering Environment (EDGE) Server Announcements
- EDGE Server 29.0 Maintenance Release Updates
- Question and Answer (Q&A)
- Resources
- Closing Remarks

Session Guidelines

- This is a 60-minute webinar session.
- For questions regarding content, submit inquiries to EDGE_Server_Data@cms.hhs.gov.
 - Include EDGE server and the Health Insurance Oversight System (HIOS) ID in the subject line.
- For questions regarding logistics and registration, contact the Registrar at (800) 257-9520.

Intended Audience

- Health Insurance Issuers
- Third Party Administrators (TPAs)

EDGE Server Announcements

Global Reference Data NDC Source Update

- CMS has updated the Global Reference Data National Drug Code (NDC) Source in response to issuer feedback regarding the data and its source
- Specifically, issuers have expressed the following concerns:
 - Structured Product Label (SPL) data file does not provide a comprehensive list of NDCs resulting in the rejection of EDGE claims with valid NDCs
 - Dates from the SPL data do not accurately reflect when the drugs may be prescribed
 - Additional guidance is needed regarding use of NDC qualifier code 02
- The updates to the Global Reference Data NDC Source address these concerns and reduce the rejection of claims with valid, active NDCs
 - The Global Reference Data Update Files with the 10/31/2018 update is currently available at: https://www.regtap.info/reg_librarye.php?i=2703

Global Reference Data

NDC Source Update (continued)

- Prior to the 10/31/2018 update, the Global Reference Data NDC List was a product of a single source file
 - Food and Drug Administration (FDA) Comprehensive NDC SPL Data Elements File
 - The NDC SPL is a single source of drug labeling, including NDC submitted by the manufacturers and maintained by the FDA
 - The source is reflective of what drug products are currently on market as reported by the manufacturer of the drug product
 - Limitations of using the NDC SPL Data Elements File for EDGE
 - Dates are reflective of market production rather than the ability to prescribe
 - Dates are self reported by the manufacturer and therefore, can be dynamic

Global Reference Data

NDC Source Update (continued)

- To enhance the Global Reference Data NDC List, CMS will now use two (2) sources for the monthly updates:
 - RxNorm (Prescription subset within the RXNSAT file)
 - This file includes all active NDCs with the exception of medical supplies and non-FDA over-the-counter (OTC) medications
 - <https://www.nlm.nih.gov/research/umls/rxnorm/>
 - Orange Book
 - CMS will use the Orange Book for the start and end dates
 - Start dates will align with the approval dates
 - End dates will align with the inability to prescribe dates
 - CMS will crosswalk the NDCs in the RxNorm to the Orange Book using the drug application numbers in the NDC SPL
 - If an NDC is not in the NDC SPL, CMS will set the start date to the beginning of the current calendar year (e.g. 1/1/2018) and set the end date to 12/31/9999 until the NDC is no longer active
 - <https://www.fda.gov/Drugs/InformationOnDrugs/ucm129662.htm>

Examples of Improved Claim Acceptance with NDC Source Update

Benefit	NDC	EDGE Status Prior to Update	EDGE Status after Update
More Comprehensive NDC List	00045064612 Budesonide 0.032 MG/ACTUAT	Not Accepted	Accepted
	10135013201 Minastrin 24 Fe Chewable 28 Day Pack	Not Accepted	Accepted
	00516005110 4-Aminobenzoate 500 MG Oral Capsule [Potaba]	Not Accepted	Accepted
More Accurate Effective Dates	00054408425 AZATHIOPRINE 50 mg ORAL TABLET	Not Accepted (End Date: 2017-04-01)	Accepted (End Date: 9999-12-31)
	62541030330 avanafil 200 MG Oral Tablet [Stendra]	Not Accepted (End Date: 2016-09-30)	Accepted (End Date: 9999-12-31)
	13668017001 olanzapine 15 MG Oral Tablet	Not Accepted (End Date: 2012-04-23)	Accepted (End Date: 9999-12-31)

Pharmacy Claim Submission

- **Submission Guidelines for NDC Qualifier Code 02**
 - Drug must be an Active Prescription Drug with an NDC found in the Global Reference Data
 - Claim dates must be within the Start and End Dates of the referenced NDC in the Global Reference Data
 - NDCs must be 11 digits in length and free of special characters
- **Submission Guidelines for NDC Qualifier Code 01**
 - Reserved for paid claims with drugs not found in the Global Reference Data:
 - Medical Supplies (Including Diabetic Supplies)
 - Non-FDA OTC medications
 - Universal Product Codes (UPCs)
 - Do not submit NDC codes listed in the RxNorm file (active or inactive)
 - Do not submit claims that were rejected with an NDC Qualifier Code 02 due to effective date (error code: 3.3.10 – The Product/Service ID was not effective on the Fill Date)
 - NDCs may be one (1) to 11 digits in length. Special characters are not permitted

Pharmacy Claim Submission (continued)

- **Examples of Rejected Claims with NDC qualifier code 02:**
 - Medical Supplies (Should be submitted with NDC qualifier code 01)
 - 83490031065 - The Vios® Compressor
 - 65702043810 - Health Network-AC Aviva Plus Test Strips
 - 98302000105 - Pharm Choice Alcohol Prep Pads
 - Non-FDA OTCs (Should be submitted with NDC qualifier code 01)
 - 50428348640 - CVS Gentle Laxative
 - 37000074044 - Metamucil
 - 11917010546 - Ear Wax Removal Drops

Pharmacy Claim Submission (continued)

- **Additional Examples of Rejected Pharmacy Claims:**
 - Claims with NDC Qualifier Code 01:
 - 00409-6562: NDC with special characters
 - Special characters must be removed.
 - Claims with NDC Qualifier Code 02:
 - 45064612: NDC submitted with fewer than 11 digits
 - All 11-digits must be submitted including any leading zeros (e.g. submit as 00045064612).
 - 88888888888: Unknown NDC
 - Denied claims should never be submitted. If a claim is retroactively denied, issuers should submit a void.
 - 00409-6562: NDC with special characters
 - Special characters must be removed.

EDGE Server 29.0

Maintenance Release Detail

EDGE 29.0 – Scheduled Deployment on 12/7/18

- The following items will be included in the release:
 - Update the system to Improve Claim and Enrollee Frequency Report (CEFR) performance
 - Addition of Cost-sharing Reduction (CSR) variant for Massachusetts (MA) Plans
 - Updates to RA Data Validation Population Summary Statistics (RADVPS) Report
 - Inclusion of pharmacy data elements and interactions

Updates to Improve CEFR Performance

CEFR Performance Issues

- Current: New elements introduced with EDGE 25.0 created high volume scanning which slowed performance for large issuers
- EDGE 29.0 Update implements the following code changes to reduce processing time
 - Created 13 new staging tables
 - Details are located in the Prod_Test and Validation Data Dictionaries
 - Updated SQLs to refer to staging tables rather than normalized ingest tables

MA CSR Variant Update

MA CSR Variant Update

- Current: The CSR factor for certain MA silver plans is entered manually due to higher cost-sharing in MA CSR variant silver plans
- EDGE 29.0 Update:
 - Created State-specific CSR factor RA reference table
 - CSR factor set to 1.12 for MA CSR variant silver plans
 - All other states remain unchanged

RADVPS Report Update

RADVPS Report Updates

- Added the following fields to the RADVPS Report:

- totalRxClaims
- totalRxPlanPaidAmount
- totalRANdcCodes
- totalUniqueRANdcCodes
- totalPaymentRxcs
- totalUniqueHcpcsThatCreatedPmtRxc
- totalUniquePmtRxcsCreatedByHcpcs

```
<totalNumberDiagnosisCodes>3</totalNumberDiagnosisCodes>  
<totalNumberRADiagnosisCodes>0</totalNumberRADiagnosisCodes>  
<totalNumberOfHCCs>0</totalNumberOfHCCs>  
<totalNumberUniqueDiagnosis>3</totalNumberUniqueDiagnosis>  
<totalNumberUniqueRADiagnosis>0</totalNumberUniqueRADiagnosis>  
<totalRxClaims>0</totalRxClaims>  
<totalRxPlanPaidAmount>0.00</totalRxPlanPaidAmount>  
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<totalUniqueRANdcCodes>0</totalUniqueRANdcCodes>  
<totalPaymentRxcs>0</totalPaymentRxcs>  
<totalUniqueHcpcsThatCreatedPmtRxcs>0</totalUniqueHcpcsThatCreatedPmtRxcs>  
<totalUniquePmtRxcsCreatedByHcpcs>0</totalUniquePmtRxcsCreatedByHcpcs>  
</includedStratumLevel>
```

RADVPS Report Updates (continued)

- To include pharmacy data elements and interactions, updated eXtensible Markup Language (XML) element names and descriptions for the following fields:

- totalClaims → totalMedicalClaims
- totalPlanPaidAmountFile
→ totalMedicalPlanPaidAmount
- totalRxPlanPaidAmountFile
→ totalRxPlanPaidAmount

```
<meanAge>10.33</meanAge>  
<averagePremium>1000.00</averagePremium>  
<totalPremiumAmount>73000.00</totalPremiumAmount>  
<totalMedicalClaims>3</totalMedicalClaims>  
<totalMedicalPlanPaidAmount>3000.00</totalMedicalPlanPaidAmount>  
<totalNumberDiagnosisCodes>3</totalNumberDiagnosisCodes>  
<totalNumberRADiagnosisCodes>0</totalNumberRADiagnosisCodes>  
<totalRxPlanPaidAmount>0.00</totalRxPlanPaidAmount>  
<totalRANDcCodes>0</totalRANDcCodes>  
<totalUniqueRANDcCodes>0</totalUniqueRANDcCodes>
```

EDGE 29.0 Report Documentation

- Draft documents to be posted to the Registration for Technical Assistance Portal (REGTAP) Library on or before November 16, 2018:
 - Draft XML files
 - Draft XML Schema Definition (XSD) files
 - Draft Interface Control Document (ICD) – RARI Addendum

Questions?

To submit or withdraw questions by phone:

- *Dial *# (star-pound) on your phone's keypad to ask a question.*
 - *Dial *# (star-pound) on your phone's keypad to withdraw your question.*

Upcoming Webinar

Webinar	Scheduled Event Date
To Be Determined	November 13, 2018

Resources

Resources

Resource	Link/Contact Information
Center for Consumer Information and Insurance Oversight (CCIIO)	http://cms.gov/ccio/
Registration for Technical Assistance Portal (REGTAP) • Registration • Resource Library • Frequently Asked Questions (FAQs)	https://www.REGTAP.info/

FAQ Database on REGTAP

My Dashboard



FAQ Database is available at
<https://www.regtap.info/>.

The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, Benefit Year and Publish Date.

FAQ Search

FAQ ID Enter single FAQ ID or multiple IDs (1-10 or 15,18,87)

Keyword/Phrase

Program Area

Select All
ACA Financial Appeals
Agent Broker
Distributed Data Collection for RI and RA/Edge Server
Enrollment and Eligibility

Primary Category

Secondary Category

Benefit Year

Publish Date

Start Date

22

End Date

22

FAQs to Display:

- ☒ Current FAQs Only
☐ Retired FAQs Only
☐ All FAQs (Current and Retired)

Search

Clear Search

DDC/EDGE Server Resource Page

The DDC/EDGE Server Resource Page provides central access to job aids, announcements, documentation, FAQs, deadlines and other resources.

To access the DDC/EDGE Server Resource Page, click on the Program Area Pages icon on 'My Dashboard' or access the page at <https://www.REGTAP.info/ddc.php>.



Distributed Data Collection (DDC) for RI and RA/EDGE Server

[Get Adobe Reader](#)

Welcome to the Distributed Data Collection for RI and RA/Edge Server page designed to assist you with locating accurate and valuable information regarding External Data Gathering Environment (EDGE) server data submission.

CMS conducts the EDGE Server Webinar Series training sessions with the purpose of providing issuers with additional information related to data submission, testing, timelines, submission scenarios, and any additional guidance related to the data submission or blackout periods.

Please visit [CCIIO's Regulation and Guidance Page](#) for further guidance.

TOPICS OF INTEREST

REFERENCE TABLE UPDATES	BASELINE SUBMISSION
DATA SUBMISSION	ENROLLMENT SUBMISSION
MEDICAL SUBMISSION	PHARMACY SUBMISSION
SUPPLEMENTAL SUBMISSION	

EDGE Core Resources

EDGE Server Operations & Maintenance Manual (O&MM) V 3.0 	EDGE Server Business Rules (ESBR) V 10.0 	Interface Control Document (ICD) V 02.01.15 	EDGE Server Mailbox Assistance
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[View More](#)

Baseline Q1, Q2, and Q3 Data Submission Window Opens

November 6 - November 20

Days Left

17

EDGE 29.0 Released to Validation Zone

NOVEMBER

16

EDGE SERVER TIMELINE
click image to enlarge

DDC/EDGE Server Resource Page

(continued)

TOPICS OF INTEREST

[REFERENCE TABLE UPDATES](#)

[BASELINE SUBMISSION](#)

[DATA SUBMISSION](#)

[ENROLLMENT SUBMISSION](#)

[MEDICAL SUBMISSION](#)

[PHARMACY SUBMISSION](#)

[SUPPLEMENTAL SUBMISSION](#)

For quick access to related documentation, users can find resources organized by Topics of Interest, such as 'Medical Submission' or 'Registration'.

Users can also register for active EDGE Server training series, contact CMS, provide feedback and more.

EDGE Core Resources

[EDGE Server Operations & Maintenance Manual \(O&MM\) V 3.0](#)



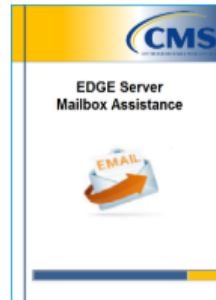
[EDGE Server Business Rules \(ESBR\) V 10.0](#)



[Interface Control Document \(ICD\) V 02.01.15](#)



[EDGE Server Mailbox Assistance](#)



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to DDC



Closing Remarks