

Enrollment Reconciliation & IC834 Workgroup



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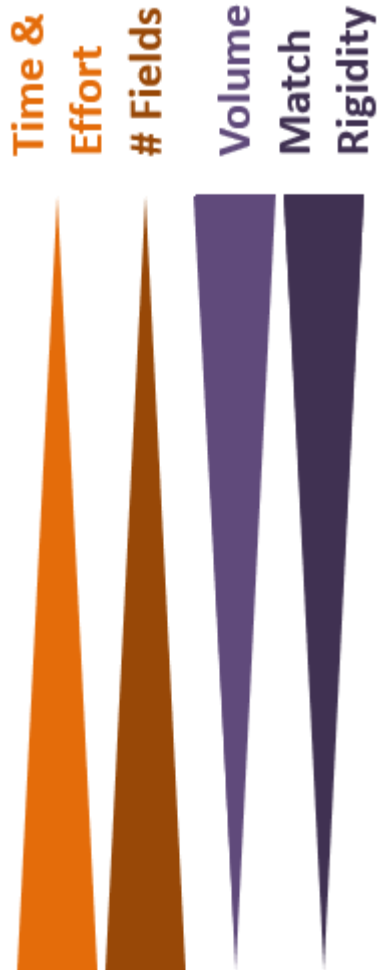
May 28, 2020

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IC834 – Housekeeping

- **The PO-IC834 reporting suite** (PO Summary, PO Detailed, and Reinstatement Detailed) for transactions submitted from 5/19 – 5/25 was sent out yesterday.
- If you have any questions about these reports or any other IC834-related item, please contact Inbound834@bah.com

IC834 Processing Overview: Available Update Channels



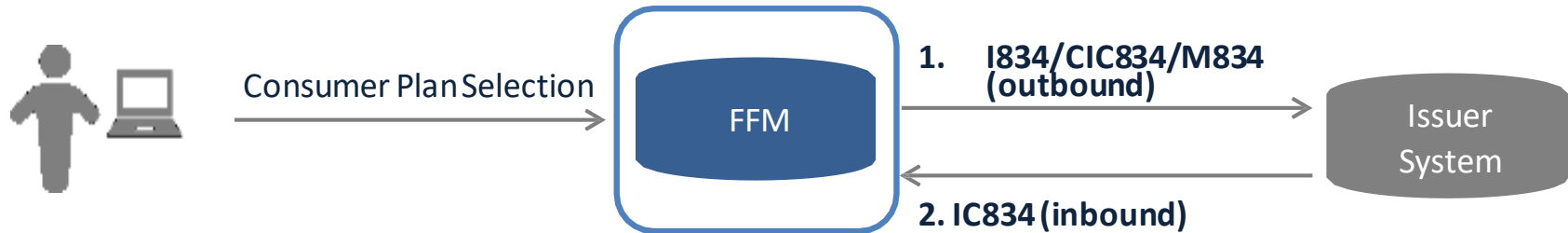
Inbound 834 (IC834) transactions make basic updates to the status of an enrollment such as effectuation, cancellation, termination, or reinstatement; require very strict matching and do not have the flexibility to adjust certain fields such as Benefit Start Date

Monthly Enrollment Reconciliation has greater flexibility to match records algorithmically and the capability to update more fields on each enrollment record

ER&R Contractor Dispute Corrections uses both manual and automated components and involves direct contact with Issuers; should be used only when updates cannot be made through IC834 or Reconciliation

IC834 Processing Overview (cont.)

Inbound 834 (IC834) allows Issuers to respond to and provide updates related to enrollment activity in the Marketplace.



1. **The Initial 834 (I834) transaction is the first outbound transaction from the FFM to an Issuer:**
 - ASC X12 document that communicates consumer enrollment in Marketplace coverage
 - Issuers will also receive a CIC834 or M834 transaction (follow up outbound transactions) from the FFM to the Issuer if a policy is updated or if there is a change in policy
 - The FFM will also use I834 transactions to communicate cancellation or termination of a policy
2. **Inbound 834 (IC834) transactions provide the response to the initial enrollment from the Marketplace:**
 - ASC X12 document that communicates policy status and related information from the issuer system
 - Issuers should use IC834 to report effectuation of the policy once binder payment has been made or cancellation if the binder payment is not made and coverage is cancelled
 - Issuers can later use IC834 to communicate termination of the policy, reinstate a cancelled policy, or provide maintenance updates to Issuer-Assigned IDs

Housekeeping: Pre-Audit & Reconciliation

- **Prior Plan Years (2014-2019)**
 - The next set of 1095-A Consumer-Initiated Dispute Update (1095Ax) Files is scheduled for distribution on Wednesday, June 3rd
- **Plan Year 2014**
 - An incremental 2014 FFM extract is scheduled for Friday, June 12th at 6PM EDT
 - Incremental 2014 Pre-Audit (ISIPA4) Files based upon that extract are scheduled for delivery to Issuers on Tuesday, June 16th
- **Plan Year 2017**
 - Next month's 2017 FFM extract will be taken on Monday, June 15th at 6PM EDT
 - Comprehensive 2017 Pre-Audit (AUD17) Files based upon that extract are scheduled for distribution to Issuers on Thursday, June 18th

Housekeeping: Pre-Audit & Reconciliation

- **Plan Year 2018**

- Next month's 2018 FFM extract will be taken on Monday, June 15th at 6PM EDT
- Incremental 2018 Pre-Audit (ISIPA8) Files based upon that extract are scheduled for distribution to Issuers on Thursday, June 18th

- **Plan Year 2019**

- Next month's 2019 FFM extract will be taken on Monday, June 15th at 6PM EDT
- Comprehensive 2019 Pre-Audit (AUD9) Files based upon that extract are scheduled for distribution to Issuers on Wednesday, June 17th

Housekeeping: Pre-Audit & Reconciliation

- **Plan Year 2020**

- The RCN10 submission deadline for May's Run 6 was last Thursday, May 21st at 3PM EDT
 - RCN00 Files from Run 6 were delivered to Issuers yesterday, Wednesday, May 27th
- Next month's 2020 FFM extract will be taken on Monday, June 15th at 6PM EDT
- Comprehensive 2020 Pre-Audit (AUD0) Files based upon that extract are scheduled for distribution to Issuers on Wednesday, June 17th
 - **Please Note:** The first SADP Effectuation process for 2020 will be run in June
 - SADP Issuers should use IC834 this week to address any outstanding active/uneffectuated policies prior to this process
- The RCN10 submission deadline for June's Run 7 is Thursday, June 18th at 3PM EDT
 - RCN00 Files from Run 7 are scheduled for distribution to Issuers on Tuesday, June 23rd

Updated Recon Education Suite Published

- CMS has published an updated version of the Enrollment Reconciliation Education Suite (Version 5.2)
 - The Enrollment Reconciliation Education Suite is a comprehensive document containing guidance regarding proper use of Enrollment Pre-Audit Files, submission of RCNI Files, understanding enrollment reconciliation data, etc.
- The updated document is available on zONE at:
<https://zone.cms.gov/document/enrollment-data-reconciliation>
- Version 5.2 includes:
 - Added Footnote #REJECTEDSPANCHANGE description and examples
 - Updated recon business rules for Agent/Broker information, Initial Premium Paid Indicator, Cancellation/Termination Reason Codes, Tobacco Status, Start and End Dates
 - Updated Cancellation/Termination Reason section

New UIR Category:

Add_Member_Enrollment_Blocker

- ER&R is introducing the new Add_Member_Enrollment_Blocker UIR category.
 - Impacted issuers will begin to see this category in the Run 6 UIR Reports to be delivered on 06/12/2020.
 - This category will be applied when the issuer record has a corresponding HICS case to add a member(s) in which an Enrollment Blocker has been identified.
- The issuer step to resolve is as follows:
 - The issuer needs to contact their Lead Caseworker in order to get the member(s) added. Lead Caseworkers are proactively working addition of member scenarios in the CMS Regional Office.

NOTE: Since September 2019, CMS has trained Lead Caseworkers to issue an 834 transaction on addition of member Enrollment Blockers.

Q&A

