

Enrollment Reconciliation & IC834 Workgroup

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September 5, 2019

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IC834 – Housekeeping

- **The PO-IC834 reporting suite** (PO Summary, PO Detailed, and Reinstatement Detailed) for transactions submitted 8/27 – 9/2 were sent out yesterday.
 - If you have any questions about specific IC834 transactions submitted or report delivery, please contact Inbound834@bah.com

Primary Matching – Year Out of Range

- The below codes signify rejection **when a transaction is submitted outside of the presently accepted coverage year:**

BAA Error Codes				Rejection Reasons
Error Code	Error Reason	Element in Error	Modified Data Content	
E126	Effectuation Transaction cannot be processed as it is outside of allocated time			Policy submission year is out of range
E127	Cancel Transaction cannot be processed as it is outside of allocated time			Policy submission year is out of range
E128	Termination Transaction cannot be processed as it is outside of allocated time			Policy submission year is out of range

Note: CMS is not accepting any updates to 2014/2015/2016/2017/2018 policies via IC834 at this time. Issuers should use ER&R for prior year policy updates.

→ What is the error in the IC834 file?

I834 20160101 VS IC834 20150301

Policy submission Year is out of range - Effectuations

IC834 – Resources Available

- Educational material is available on CMS zOne to support Issuer performance
 - [CMS zOne IC834 Site](#)
 - **IC834 Education Suite** – note: these documents were updated on August 29th
 - [IC834 101](#) – *Overview of Inbound834*
 - [IC834 201](#) – *Preparing and Submitting Inbound834s*
 - [IC834 301](#) – *Troubleshooting and Resolving Rejections*
 - **ASC X12 834 Companion Guide**
 - [ASC X12 834 Companion Guide](#) – *guidance on creating X12 files*
 - **OP834T Specifications Document**
 - [PO-IC834 FFM \(OP834T\) MIDAS to Issuer Outbound Specification](#) – *file specifications for weekly detailed PO Report files*

Housekeeping: Pre-Audit & Reconciliation

- **Prior Plan Years (2014-2018)**
 - 1095-A Consumer-Initiated Dispute Update (1095Ax) Files will be distributed to Issuers today, Thursday, September 5th
- **Plan Year 2016**
 - The RCNI16 submission deadline for the next 2016 off-cycle Reconciliation process was yesterday, Wednesday, September 4th at 3PM EDT
 - Data submitted on the RCNI16 Files will be compared to the data extracted from the FFM on August 15th
 - RCNO16 Files from the off-cycle will be distributed to participating Issuers next Tuesday, September 10th
 - This month's 2016 FFM extract will be taken on Sunday, September 15th at 6PM EDT
 - Incremental Pre-Audit (ISIPA6) Files based upon that extract are scheduled for distribution to Issuers on Thursday, September 19th

Housekeeping: Pre-Audit & Reconciliation

- **Plan Year 2017**

- This month's 2017 FFM extract will be taken on Sunday, September 15th at 6PM EDT
- Incremental Pre-Audit (ISIPA7) Files based upon that extract are scheduled for distribution to Issuers on Wednesday, September 18th

- **Plan Year 2018**

- This month's 2018 FFM extract will be taken on Sunday, September 15th at 6PM EDT
- Comprehensive Enrollment Pre-Audit (AUD8) Files based upon that extract are scheduled for distribution to Issuers on Tuesday, September 17th

Housekeeping: Pre-Audit & Reconciliation

- **Plan Year 2019**
 - This month's 2019 FFM extract will be taken on Sunday, September 15th at 6PM EDT
 - Comprehensive Enrollment Pre-Audit (AUD9) Files based upon that extract are scheduled for distribution to Issuers on Tuesday, September 17th
 - The RCNI9 submission deadline for September's Run 10 is Thursday, September 19th at 3PM EDT
 - **Please note:** Run 10 is the **FINAL** reconciliation cycle prior to Batch Auto-Renewal for PY2020

Issuer Enrollment Ops Training for 2020

- CMS has scheduled a series of training sessions targeted at Issuers new to the Marketplace for Plan Year 2020, any new Issuer personnel working with enrollment data, and any Issuer personnel interested in a refresher on the fundamentals of aligning enrollment data with the FFM
- This series will kick off on Wednesday, September 18th at Noon EDT and will continue each Wednesday for five weeks
 - Topics to be covered include Inbound 834 (IC834), Enrollment Pre-Audit and Reconciliation, Enrollment Disputes, Unmatched Issuer Records (UIRs), and the Enrollment Alignment Performance Summary (EAPS) Reports
- The September 18th session will cover:
 - Data Alignment 101
 - Introduction to IC834
- Registration is not required for this series; the following URL and dial-in information can be used to access the training sessions:
 - Webinar URL:
<https://letsmeet.webex.com/letsmeet/k2/j.php?MTID=tac50c1449d39116a7e9145a8445c412d>
 - Password: PREOE
 - Dial-In Information: 855-829-8848, Conference Code: 738-173-865

Refresher: Avoiding FR10 Disposition Codes

- Issuers receive disposition code FR10 when ER&R is unable to locate the disputed record within the HIOS using the primary identifier provided by the issuer.
 - Rule Description: FFM Inventory Number or Policy ID Not Found
 - Issuer Verbiage: *ER&R is unable to process this request. When attempting to find the record using the information the issuer provided, the record was not found for HIOS [@@Dispute.HIOS_ID]. If the FFM Internal Inventory Number was the primary identifier provided on the dispute form, it was not found for the HIOS provided. If the FFM Exchange Assigned Policy ID was the primary identifier provided on the dispute form, it was not found for the FFM Benefit Start Date, FFM Benefit End Date, and HIOS provided. When using the FFM Exchange Assigned Policy ID as the primary identifier, please ensure the FFM Benefit End Date and FFM Benefit Start Date supplied on the dispute form correspond to the most recent RCNO data for the record in dispute.*
- To prevent most instances of these errors, issuers should always fill out the Enrollment Dispute Form with the FFM Benefit Start Date and FFM Benefit End Date values from the RCNO file, NOT the Pre-Audit file.

NOTE: The FFM Benefit Start Date and FFM Benefit End Date fields will be replaced with the Segment ID field in the Enrollment Dispute Form v12. Once v12 is implemented, issuers must fill in the Segment ID field when submitting a prior year dispute without an FFM Internal Record Inventory Number.

Q&A

