



**Centers for Medicare & Medicaid
Services
CMS eXpedited Life Cycle (XLC)**

Medicare Part B Drug Average Sales Price (ASP)

User Manual

Version 2.3

01/12/23

Document Number: DCCA.CMS.ASP User Manual.V2.3

Contract Number: HHSM-500-2014-00445G

Disclosure Statement According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0938-XXXX (Expires XX/XX/XXXX). The time required to complete this information collection is estimated to average [Insert Time (hours or minutes)] per response, including the time to review instructions, search existing data resources, gather the data needed, and complete and review the information collection. If you have comments concerning the accuracy of the time estimate(s) or suggestions for improving this form, please write to: CMS, 7500 Security Boulevard, Attn: PRA Reports Clearance Officer, Mail Stop C4-26-05, Baltimore, Maryland 21244-1850. ****CMS Disclosure**** Please do not send applications, claims, payments, medical records or any documents containing sensitive information to the PRA Reports Clearance Office. Please note that any correspondence not pertaining to the information collection burden approved under the associated OMB control number listed on this form will not be reviewed, forwarded, or retained.

Table of Contents

1. Introduction	1
1.1 What is the Medicare Part B Drug Average Sales Price (ASP) Application? ...	1
1.2 Purpose of the ASP Application	1
1.3 ASP Business Process	2
1.4 The user creates an output file to share with OIG, so they can complete ASP comparison studies. Updates with the Average Manufacturer Price (AMP) provided by OIG are added to the drug pricing file to replace the ASP for some billing codes. ASP User Roles	3
1.5 ASP Reference Materials	3
2. ASP Application Access	3
2.1 ASP Data Collection Application Access Process	4
2.1.1 Obtaining a CMS EIDM Username and Password	4
2.1.2 Requesting ASP Application Access	10
2.2 Points of Contact	15
2.2.1 Tier 1 Support – FFSDCS (ASP) Application Helpdesk	15
2.2.2 Tier 2 Support – CM Policy Support	15
2.2.3 Tier 3 Application/System Support (Data Computer Corporation of America [DCCA])	16
2.2.4 Tier 4 Support	16
3. ASP Application Home Page	17
4. Manage NDC1/ALT ID - Submitter	18
5. Compliance Summary	23
5.1 Submitter	23
5.2 Certifier	28
6. Product Data	34
6.1 Add Product Data	34
6.2 Upload Product Data	39
6.3 Update Product Data	45
6.4 View Submitted Drugs	50
7. Financial Data	51
7.1 Add/Edit Financial Data	51
7.2 Upload Financial Data	53
8. Generate One Time Password (OTP) - Submitter	59
9. Verify OTP - Certifier	61

10. Assumptions 62

 10.1 Assumptions - Submitter 62

 10.2 Assumptions – Certifier 65

11. Re-Statements 68

 11.1 Add/Edit Restate Financial Data 68

 11.2 Upload Re-State Financial Data..... 70

12. Drug Certification..... 77

Appendix A: Record of Changes 79

Appendix B: Acronyms..... 80

Appendix C: Field Definitions 82

List of Figures

Figure 2-1: CMS Enterprise Portal Home Page 4

Figure 2-2: Step #1: Choose Your Application Page 4

Figure 2-3: Terms and Conditions Page 5

Figure 2-4: Step #2: Register Your Information Page..... 6

Figure 2-5: Step #3: Create User ID, Password & Challenge Questions Page 7

Figure 2-6: Step #3: Create User ID, Password & Challenge Questions Page Populated
..... 8

Figure 2-7: Registration Summary Page 9

Figure 2-8: Confirmation Message 10

Figure 2-9: CMS Enterprise Portal Home Page 10

Figure 2-10: My Portal Page 11

Figure 2-11: Access Catalog Page 11

Figure 2-12: Request New System Access Page 12

Figure 2-13: Identify Verification Page 12

Figure 2-14: Terms and Conditions Page 13

Figure 2-15: Your Information Page 13

Figure 2-16: Multi-Factor Authentication Information	14
Figure 2-17: Register Your Phone, Computer, or Email Page	14
Figure 2-18: Successful MFA Registration Message	14
Figure 2-19: Request Acknowledgement Page.....	15
Figure 3-1: My Portal Home Page	17
Figure 3-2: ASP Application Home Page – ASP Submitter	18
Figure 3-3: ASP Application Home Page – ASP Certifier	18
Figure 4-1: Manage NDC1/ALT ID Screen.....	19
Figure 4-2: Manage NDC/ALT ID - Filter	19
Figure 4-3: Manage NDC/ALT ID – NDC1 Field Populated	20
Figure 4-4: Manage NDC/ALT ID – NDC1 Saved Successfully	20
Figure 4-5: Manage NDC/ALT ID – ALT ID Field Populated	21
Figure 4-6: Manage NDC/ALT ID – ALT ID Saved Successfully.....	21
Figure 4-7: Manage NDC/ALT ID – Select NDCs/ALT IDs for Assignment	22
Figure 4-8: Manage NDC/ALT ID – NDC1/ALT ID Assigned Successfully	22
Figure 5-1: Compliance Summary Overview Page: Submitter	23
Figure 5-2: Manufacturer’s Compliance Summary Report: Submitter	24
Figure 5-3: Compliance Summary: Submitter - Missing	25
Figure 5-4: Compliance Summary: Submitter – Add/Edit Financial Data	25
Figure 5-5: Compliance Summary: Submitter – Financial Data Saved Successfully	26
Figure 5-6: Compliance Summary: Submitter – Pending Certification	26
Figure 5-7: Compliance Summary: Submitter – Pending Restatement Certification	27
Figure 5-8: Compliance Summary: Submitter – Total Certification	27
Figure 5-9: Compliance Summary: Submitter – Total Restatement Certification	28
Figure 5-10: Compliance Summary: Submitter – Total New Drugs	28
Figure 5-11: Compliance Summary Overview Page: Certifier	29
Figure 5-12: Manufacturer’s Compliance Summary Report: Certifier	29

Figure 5-13: Compliance Summary: Certifier - Missing	30
Figure 5-14: Compliance Summary: Certifier – Pending Certification.....	31
Figure 5-15: Compliance Summary: Certifier – Pending Restatement Certification.....	31
Figure 5-16: Compliance Summary: Certifier – Total Certification	32
Figure 5-17: Compliance Summary: Certifier – Total Restatement Certification	32
Figure 5-18: Compliance Summary: Certifier – Total New Drugs	33
Figure 6-1: Add Product Data Screen	34
Figure 6-2: Add Product Data – Fields Populated	36
Figure 6-3: Add Product Data – Product Submission Saved Successfully	37
Figure 6-4: Add Product Data – Add Fields by Alternate ID	39
Figure 6-5: Add Product Data – Product Submission Saved Successfully	39
Figure 6-6: Upload Product Data Screen	40
Figure 6-7: File Directory Window	40
Figure 6-8: Upload Product Data Browse Field Populated	40
Figure 6-9: Upload Product Data Saved Successfully	41
Figure 6-10: Upload Product File.....	41
Figure 6-11: Upload Product Data Column Editing Dropdown	42
Figure 6-12: Upload Product Data Format Cells Window	42
Figure 6-13: Upload Product Data Format Cells Custom Editing Example	43
Figure 6-14: Upload Product Data Format Cells Custom Editing Example	44
Figure 6-15: Upload Product Data Format Cells Date Custom Editing Example	45
Figure 6-16: Update Product Data Screen	46
Figure 6-17: Update Product Data Screen, Update by NDC Tab Fields Populated	46
Figure 6-18: Update Product Data Screen – Update by NDC Saved Successfully	48
Figure 6-19: Update Product Data Screen – Update by Alternate ID Updated Successfully	49
Figure 6-20: View Submitted Drugs Screen	50

Figure 7-1: Add/Edit Financial Data Screen 51

Figure 7-2: Add/Edit Financial Data Screen – Fields Populated 52

Figure 7-3: Add/Edit Financial Data Screen – Financial Data Saved..... 53

Figure 7-4: Add/Edit Financial Data Screen – Selected Financial Data..... 53

Figure 7-5: Upload Financial Data Screen 54

Figure 7-6: File Directory Window 54

Figure 7-7: Upload Financial Data Browse Field Populated 54

Figure 7-8: Upload Financial Data Saved Successfully 55

Figure 7-9: Upload Financial Data File 55

Figure 7-10: Upload Financial Data Column Editing Dropdown 56

Figure 7-11: Upload Financial Data Format Cells Number Editing 56

Figure 7-12: Upload Financial Data Format Cells Number Custom Editing Example 57

Figure 7-13: Upload Financial Data Format Cells Number Editing Example 58

Figure 8-1: Generate One Time Password (OTP) Screen..... 59

Figure 8-2: Generate OTP – Please select the Manufacturer name*: Field Populated.. 59

Figure 8-3: OTP Generated Successfully..... 60

Figure 9-1: Verify One Time Password (OTP) Screen 61

Figure 9-2: Verify OTP – Enter OTP provided by your data submitter*: Field Populated
..... 61

Figure 9-3: Verify OTP – OTP Verified Message 61

Figure 10-1: Assumptions Screen - Submitter 62

Figure 10-2: Assumptions – For Reporting Period Field Populated 62

Figure 10-3: Assumptions – Comments Field Populated 63

Figure 10-4: Assumptions Saved Successfully 63

Figure 10-5: File Directory Window 64

Figure 10-6: Assumptions Saved Successfully 64

Figure 10-7: Assumptions Listed 64

Figure 10-8: Assumptions Screen - Certifier	65
Figure 10-9: Assumptions – For Reporting Period Field Populated	65
Figure 10-10: Assumptions – Comments Field Populated	65
Figure 10-11: Assumptions Saved Successfully	66
Figure 10-12: File Directory Window	66
Figure 10-13: Assumptions Saved Successfully	67
Figure 10-14: Assumptions Listed	67
Figure 11-1: Add/Edit Restate Financial Data Screen	68
Figure 11-2: Add/Edit Restate Financial Data – Select Re-Statement Period and Drug Identifier.....	68
Figure 11-3: Add/Edit Restate Financial Data – Add/Edit Data	69
Figure 11-4: Add/Edit Restate Financial Data – Data Saved Successfully	70
Figure 11-5: Upload Restate Financial Data Screen	70
Figure 11-6: Upload Restate Financial Data Screen – Select Re-Statement Field Populated	71
Figure 11-7: File Directory Window	71
Figure 11-8: Upload Restate Financial Data Screen – Browse Field Populated	71
Figure 11-9: Upload Restate Financial Data Screen – Re-Stated Financial Data Successfully	72
Figure 11-10: Upload Restate Financial Data File	72
Figure 11-11: Upload Restate Financial Data Column Editing Dropdown	73
Figure 11-12: Upload Restate Financial Data Format Cells Number Editing	74
Figure 11-13: Upload Restate Financial Data Format Cells Number Custom Editing Example	75
Figure 11-14: Upload Restate Financial Data Screen – Re-Stated Financial Data Successfully	76
Figure 12-1: Drug Certification Screen	77
Figure 12-2: Selected Drugs to be Certified	77
Figure 12-3: Data Certification Statement	78

Figure 12-4: Drug Information Successfully Certified 78

1. Introduction

1.1 What is the Medicare Part B Drug Average Sales Price (ASP) Application?

Section 303 (b) and (c) of the Medicare Modernization Act (MMA) of 2003 revised the payment methodology for the vast majority of Part B covered drugs and biologicals that are not priced on a cost or prospective payment basis (hereafter referred to as drugs). Per the MMA, beginning January 01, 2005, the ASP methodology is used to determine the payment limit for these drugs. Pricing for compounded drugs is performed by the local contractor. Additionally, the ASP methodology is used to determine the payment limit for certain eligible outlier service drugs and biologicals under the End Stage Renal Disease (ESRD) Prospective Payment System (PPS), as well as specified covered outpatient drugs, and drugs and biologicals with pass-through status under the Outpatient Prospective Payment System (OPPS). In accordance with Section 11101 of the Inflation Reduction Act of 2022, CMS also uses the ASP methodology to establish the inflation adjusted beneficiary coinsurance and inflationary rebates for certain single source drugs and biologicals with prices increasing at a rate faster than the rate of inflation. The ASP methodology is based on quarterly data submitted to the Centers for Medicare and Medicaid Services (CMS) by drug manufacturers. CMS supplies the Medicare Fee-for-Service (FFS) claims processing contractors with the drug pricing files for Medicare Part B drugs on a quarterly basis.

In general, under the ASP methodology, the payment limits are based on the volume-weighted average of the manufacturers' ASP. However, in certain instances, the payment limits are based on the Wholesale Acquisition Cost (WAC). Further, the payment limits for some drugs continue to be based on the Average Wholesale Price (AWP) methodology. These data (WAC and AWP) are published in drug pricing compendia, such as Redbook, Medi-span and First Databank. A Medicare Contractor retrieves the data from drug pricing compendia and provides the pricing data to CMS on a quarterly basis.

In addition, other considerations impact the ASP methodology. Under certain circumstances, the ASP-based payment limits for certain drugs may be replaced with a payment limit identified by the Office of the Inspector General (OIG). If errors in either the ASP data or the payment limit calculation occur, revised drug pricing files may be implemented. If drug manufacturers do not report ASP data or do not report timely, the accuracy of the payment limits may be impacted.

1.2 Purpose of the ASP Application

The purpose of the ASP Application is to:

- Provide users with an Internet-based software application for automating the collection, editing and processing of drug product pricing data received from drug manufacturers on a quarterly basis
- Eliminate data entry errors, data formatting errors, incomplete submitted data and to greatly reduce the process cycle time and resource time needed to provide the pricing to contractors through automation of the manually intensive processes
- Accepts and stores Medicare Part B drug data received from manufacturers

1.3 ASP Business Process

Drug Manufacturers report ASPs by National Drug Codes (NDC), which are 11-digit identifiers that indicate the manufacturer of the drug, the product dosage form, and package size. Manufacturers must provide CMS with the ASP and volume of sales for each NDC on a quarterly basis in one of two methods. Drug product data may be submitted either by uploading a file or keying data into a predefined data entry screen. In both instances, data are edited and saved awaiting the manufacturer to certify the accuracy of the data. During the 30-day submission period after the end of the quarter.

Thirty days after the beginning of each quarter (calendar year), manufacturers are required to submit pricing of their Medicare Part B (not paid on a cost or perspective payment basis) qualifying drugs. Once drug manufacturers are registered with the Medicare Part B ASP drug submission application, they need to choose either to submit their data online or upload the data via file transfer. A majority of the drugs are injectable drugs furnished by physicians and other qualified practitioners.

If the drug manufacturer decides to enter their Medicare Part B ASP drug information online, then they log on to the secure website and enter the required drug information into the online application. Validations and error messages ensure that the drug manufacturer is entering data in adherence to the application requirements.

If the drug manufacturer has a large amount of drug data to report to Medicare, they may decide to submit their Medicare Part B ASP drug information by uploading their data via file transfer. In this case, the ASP drug data are entered into a formatted file that is in compliance with Medicare's specifications and it is uploaded. Along with the submission, the user can submit any pertinent information to share with CM regarding their drug product data submissions. The user can view and check their submitted file and resubmit, if necessary. If the file records do not meet the file transfer validations and edits, then they are rejected, and the drug manufacturer can resubmit the drug data through file transfer or enter it online. With both submission options, the drug manufacturer must certify the accuracy of the data at the time of submission in order for it to be accepted. Regardless, every instance a drug manufacturer submits data they must submit a drug certification along with their submission and they may submit multiple times within a submission time period. Once data have been submitted, the drug manufacturer can view all drug data certified in the current reporting period and view whether current and previous drug submissions are in compliance with the reporting requirements. With drug data corrections within the current reporting period, the user can correct the drug data via data entry or upload. If data needs to be reported after the quarter has ended, the drug manufacturer has the capability to report restated ASP data via upload or online for any reporting period (greater than or equal to Quarter 3 2018) to the ASP application at any time.

CM assigns each drug to one or more billing codes and determine the billing units per billing code. The ASP for each billing code is calculated based on the weighted average of all ASPs within a billing code. Where a billing code does not exist, users may submit a request for one to be established.

Either users, through quality review or drug manufacturers, may identify errors in the data. The drug manufacturer submits any corrected data so that users can re-calculate the ASP for any affected billing code.

Once the drug manufacturer submits the Reporting Manufacturer data and it is successfully received by CM/Division of Data Analysis and Market-based Pricing (DDAMB) they process and prepare the data accordingly for the ASP calculation. If the ASP Reporting Manufacturer Data submission falls within the 30-day deadline, then, thereafter, the CM/DDAMB runs drug

submission reports. These reports include Impact Analysis Report, Management Reports and Manufacturer Reports.

1.4 The user creates an output file to share with OIG, so they can complete ASP comparison studies. Updates with the Average Manufacturer Price (AMP) provided by OIG are added to the drug pricing file to replace the ASP for some billing codes. ASP User Roles

The ASP Application is a role-based application. This means that certain application functions have been linked to specific “user role profiles.” The ASP Application user roles are as follows:

- Drug Manufacturers: Drug manufacturers can be either Submitters and Certifiers of data
- CM Personnel: Responsible for the calculation and quality of the Part B drug prices

1.5 ASP Reference Materials

The following additional reference materials are utilized in order to successfully submit and certify applicable data into the ASP data collection application:

- [EIDM User Guide](#)
- [ASP Data Reporting Templates](#)
- Contextual Help

Click on [EIDM Links](#) for any assistance with using the application and to view applicable videos

2. ASP Application Access

Users are required to access the CMS Portal at <https://portal.cms.gov> to begin the registration and role assignment process.

CMS has established the Enterprise Identity Management (EIDM) system to provide our Business Partners with a means to apply for, obtain approval, and receive a single User ID they can use to access one or more CMS applications. The EIDM Authentication System prompts the user to create a username and password that conforms to the system’s policies; this user ID and password is not affiliated with the user’s CMS User ID (Enterprise User Administration [EUA]) and password. After the user successfully creates a username and password, the user must create security questions and answers. The user must then re-log in with the new credentials and request the specific Fee-for-Service Data Collection System (FFSDCS) ASP Submitter or ASP Certifier role as applicable. FFSDCS is a system umbrella that houses various Fee-for-Schedule modules. ASP is one of the modules under the FFSDCS system.

As part of the role request process the EIDM Authentication System begins the Remote Identity Proofing (RIPD) process. RIPD is the process of validating sufficient information about the user (e.g., credit history, personal demographic information, and other indicators) to uniquely identify an individual. After the user’s identity is verified, the CMS Portal pushes the user’s data to CM to review the role request and approve it.

The registration process also involves Multi-Factor Authentication (MFA). This allows the user to authenticate their phone/tablet/PC/laptop, text message Short Message Service (SMS), Interactive Voice Response (IVR), E-mail, and One-Time Security Code.

For additional details on EIDM, review the EIDM User Guide.

2.1 ASP Data Collection Application Access Process

ASP users with an existing CMS Portal username and password can skip Section 2.1.1 and continue on to Section 2.1.2 Requesting ASP Application Access. Users should allot up to 72 hours to receive access to the ASP Portal following the submission of a CMS Portal username and password and request for access to the ASP application.

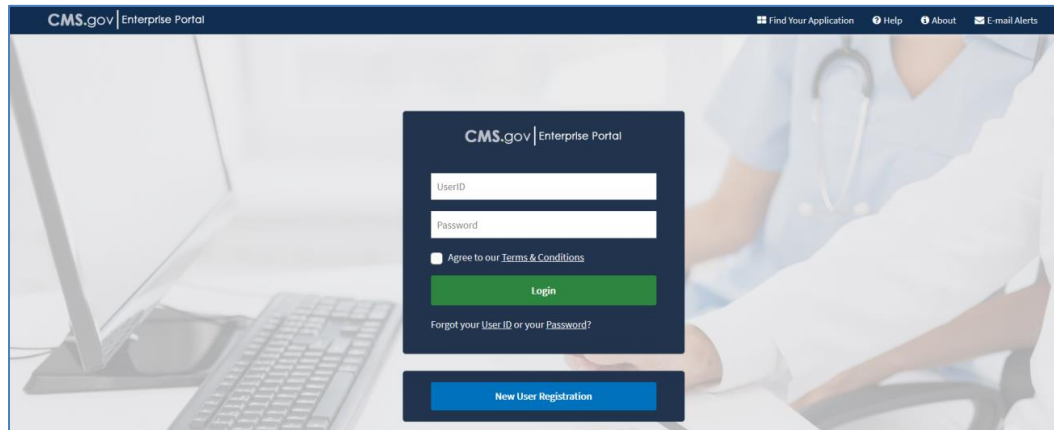
2.1.1 Obtaining a CMS EIDM Username and Password

A CMS EIDM username and password are required in order to access the ASP Application. Perform the following steps in order to receive the required credentials:

1. Access the CMS Portal by entering the following Uniform Resource Locator (URL) in your browser: <https://portal.cms.gov>.

The CMS Enterprise Portal Home Page is shown in Figure 2-1.

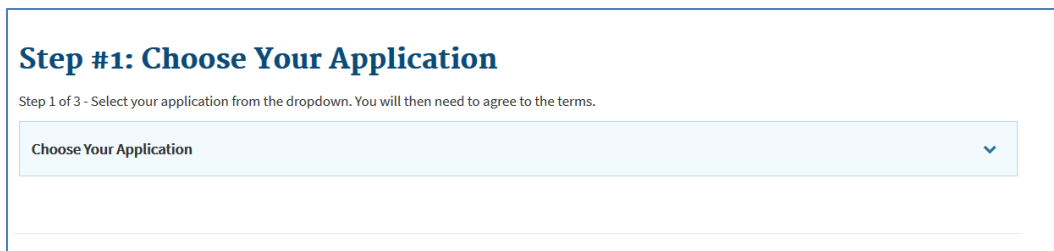
Figure 2-1: CMS Enterprise Portal Home Page



2. Click on the **New User Registration** button.

The “Step #1: Choose Your Application” page opens, as shown in Figure 2-2.

Figure 2-2: Step #1: Choose Your Application Page



3. Select “FFSDCS: Fee-For-Service Data Collection System” from the dropdown list.

The “Terms and Conditions” page opens, as shown in Figure 2-3.

Figure 2-3: Terms and Conditions Page

Step #1: Choose Your Application

Step 1 of 3 - Select your application from the dropdown. You will then need to agree to the terms.

FFDCS: Fee-For-Service Data Collection System

Terms & Conditions

OMB No. 0938-1236 | Expiration Date: 04/30/2017 |

OMB No.0938-1236 | Expiration Date: 04/30/2017 (OMB Re-Certification Pending) | [Paperwork Reduction Act](#)

Consent to Monitoring

By logging onto this website, you consent to be monitored. Unauthorized attempts to upload information and/or change information on this web site are strictly prohibited and are subject to prosecution under the Computer Fraud and Abuse Act of 1986 and Title 18 U.S.C. Sec. 1001 and 1030. We encourage you to

I agree to the terms and conditions

Next [Cancel](#)

Note: Read through the Terms and Conditions on the page. The page states that you consent to monitoring while accessing and using this website. The page also details the reasons for collecting Personal Identifiable Information (PII), which are that it is only used to uniquely identify the new user who is registering with the application. The page provides links to the *HHS Rules of Behavior* and the *CMS Privacy Act Statement*.

- If you agree to the terms and conditions, click the corresponding check box and click on the **Next** button.

Note: Users must agree to the terms and conditions to continue the registration process. The “Step #2: Register Your Information” page opens, as shown in Figure 2-4.

Figure 2-4: Step #2: Register Your Information Page

Step #2: Register Your Information

Step 2 of 3 - Please enter your personal and contact information.

All fields are required unless marked 'Optional'.

Enter First Name

Enter Middle Name (optional)

Enter Last Name

Suffix (optional) ▼

Enter Social Security Number (optional)

Birth Month ▼

Birth Date ▼

Birth Year ▼

Is Your Address US Based?

Yes No

Enter Home Address #1

Enter Home Address #2 (optional)

Enter City

State ▼

Enter Zip Code

Enter Zip+4 (optional)

Enter E-mail Address

Confirm E-mail Address

Enter Phone Number

Back

Next

Cancel

5. Enter your personal information in the required fields which are indicated by an asterisk (the additional fields are optional but may be required for further identity verification) and click on the **Next** button.

The “Step 3: Create User ID, Password & Challenge Questions” page displays as shown in Figure 2-5.

Figure 2-5: Step #3: Create User ID, Password & Challenge Questions Page

Step #3: Create User ID, Password & Challenge Questions

Step 3 of 3 - Please create User ID and Password, Select Challenge questions and provide answers.

Enter User ID

Enter Password

Enter Confirm Password

Select Challenge Question #1 ▼

Enter Challenge Question #1 Answer

Select Challenge Question #2 ▼

Enter Challenge Question #2 Answer

Select Challenge Question #3 ▼

Enter Challenge Question #3 Answer

Back

Next

Cancel

6. Enter your desired User ID in the “User ID” field. The User ID must be a minimum of 6 and a maximum of 74 alphanumeric characters. Allowed special characters are dashes (-), underscores (_), apostrophes (’), @ and periods (.).
7. Enter your desired password in the “Password” field. The CMS Portal password must conform to the following CMS Acceptable Risk Safeguards (ARS) Password Policy:
 - a. Be changed at least every sixty (60) days;
 - b. Be a minimum of eight (8) and a maximum of twenty (20) characters;
 - c. Be changed only once every 24 hours;
 - d. Contain at least one (1) letter, one (1) number, and (1) special character;
 - e. Contain at least one (1) uppercase and one (1) lowercase letter;
 - f. Not contain your User ID;
 - g. Be different from your previous six (6) passwords.
 - h. Not contain commonly used words; and
 - i. The following special characters may not be used: ? < > () ‘ “ / \ &
8. Re-enter your desired password in the “Confirm Password” field.

Note: The passwords must match before you can continue.

9. Select a Security Question from each of the three (3) dropdown lists for which the answer is known.
10. Enter the answers to the Security Questions in the corresponding “Answer” fields.
The fields populate as shown in Figure 2-6.

Figure 2-6: Step #3: Create User ID, Password & Challenge Questions Page Populated

Step #3: Create User ID, Password & Challenge Questions

Step 3 of 3 - Please create User ID and Password, Select Challenge questions and provide answers.

User ID

Password

Confirm Password

Challenge Question #1 Answer

Challenge Question #2 Answer

Challenge Question #3 Answer

Back Next Cancel

11. Click on the **Next** button to complete the registration process.

Note: You may click on the **Cancel** button to exit out of the registration process. New information or changes entered will not be saved.

The “Registration Summary” screen displays as shown in Figure 2-7.

Figure 2-7: Registration Summary Page

Registration Summary

Please review your information and make any necessary changes before submitting.

FFS/DCS: Fee-For-Service Data Collection System

All fields are required unless marked "Optional".

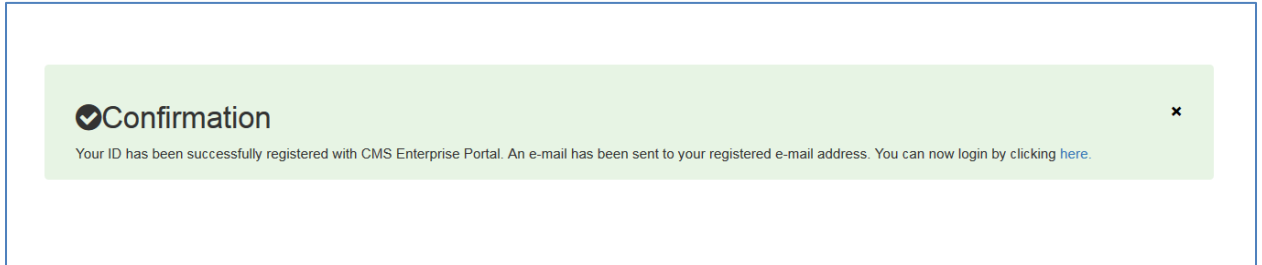
First Name	Enter Middle Name (optional)	Last Name	Suffix (optional)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Enter Social Security Number (optional)	Birth Month	Birth Date	Birth Year
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Home Address #1	Enter Home Address #2 (optional)		
<input type="text"/>	<input type="text"/>		
City	State	Zip Code	Enter Zip4 (optional)
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
E-mail Address	Confirm E-mail Address		
<input type="text"/>	<input type="text"/>		
Phone Number	<input type="text"/>		

New ID	<input type="text"/>		
Password	Confirm Password	<input type="text"/>	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
<input type="text"/>	<input type="text"/>	<input type="text"/>	
<input type="text"/>	<input type="text"/>	<input type="text"/>	

12. Review, your information, make any necessary changes, and click on the **Submit User** button to complete the registration process.

A “Confirmation” message displays as shown in Figure 2-8.

Figure 2-8: Confirmation Message



13. Please wait at least 5 minutes before logging on to the CMS Portal with your new EIDM user ID and password.

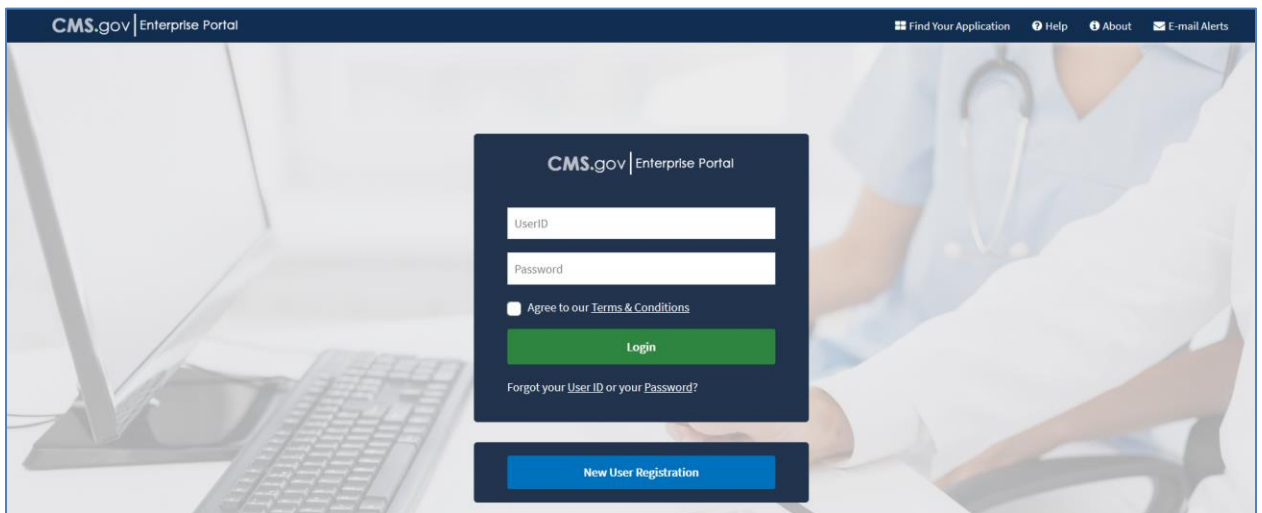
2.1.2 Requesting ASP Application Access

Perform the following steps to request access to the ASP Application:

1. Enter the address for the CMS portal (<https://portal.cms.gov/wps/portal/unauthportal/home/>) into your web browser and click on the **Enter** button.

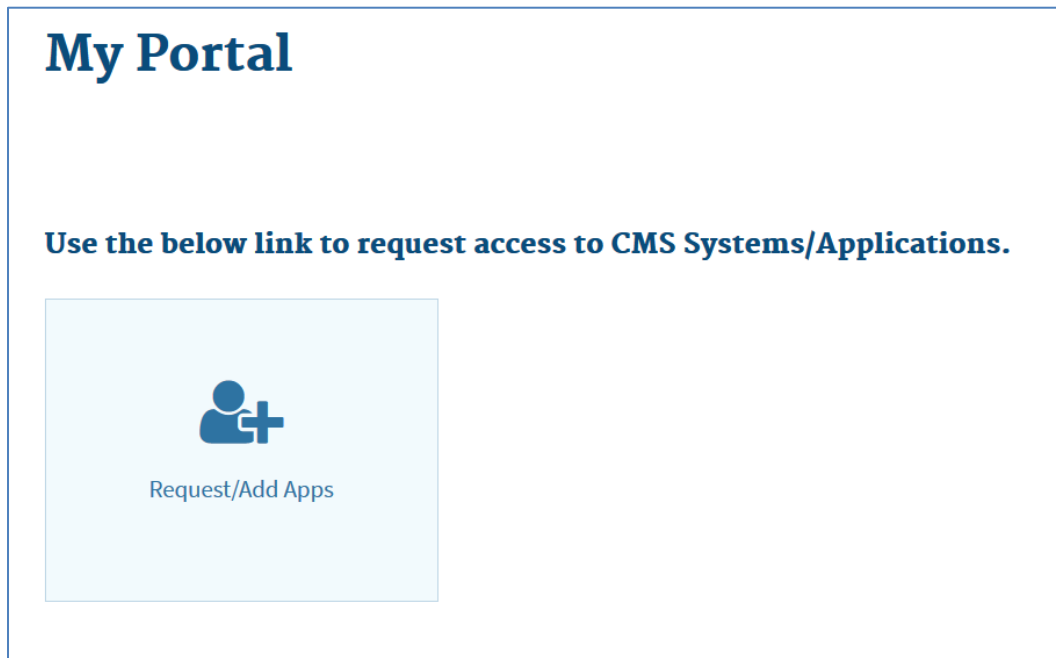
The CMS Enterprise Portal Home Page is shown in Figure 2-9.

Figure 2-9: CMS Enterprise Portal Home Page



2. Enter your UserID and Password and click on the **Login** button.
The “My Portal” page displays as shown in Figure 2-10.

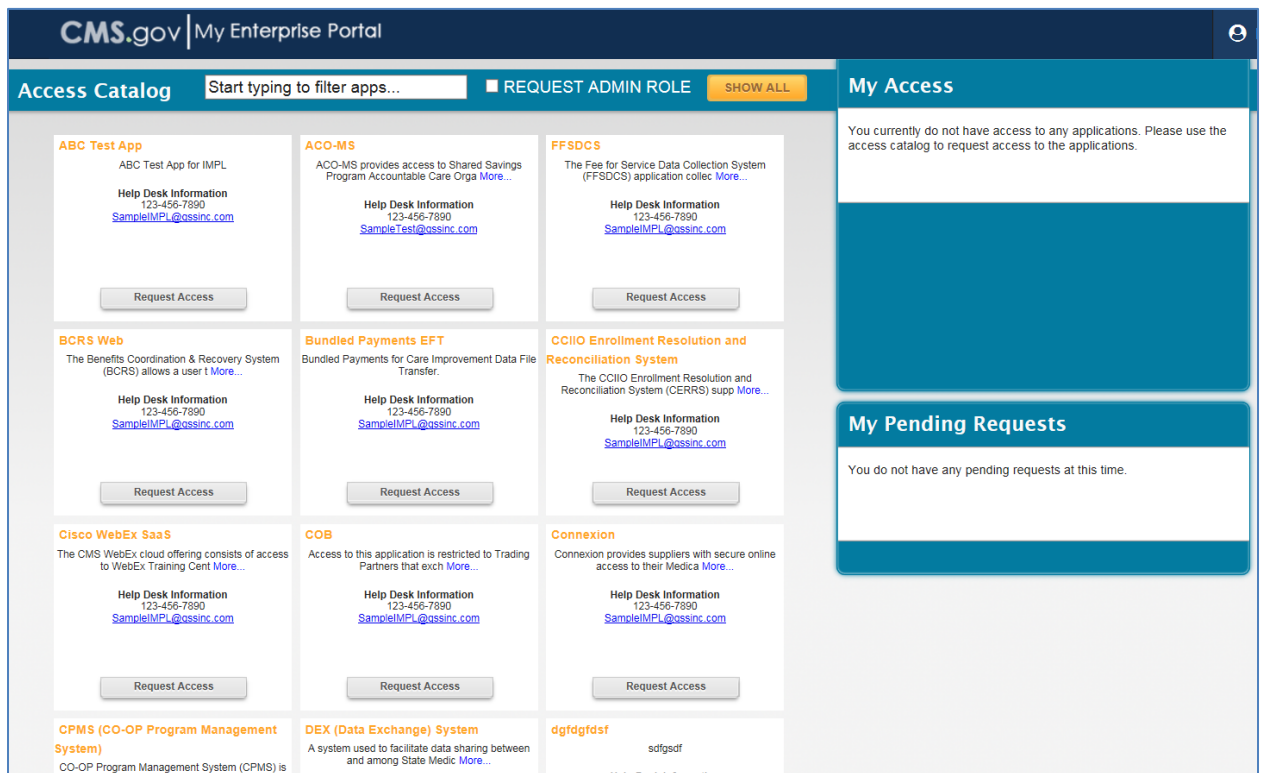
Figure 2-10: My Portal Page



3. Click on **Request/Add Apps**.

The “Access Catalog” page displays as shown in Figure 2-11.

Figure 2-11: Access Catalog Page



4. Click on the **Request Access** button in the “FFSDCS” section.
The “Request New System Access” page displays as shown in Figure 2-12.

Figure 2-12: Request New System Access Page

5. There are two roles that are applicable for ASP quarterly data submission:
 - a. ASP Submitter (who can only submit data; if you are the Submitter, select the “ASP End User” role).
 - b. ASP Certifier (who can only certify data)

If your role is to submit data, click on the “Role” dropdown list and select **ASP End User**.

If your role is to certify, click on the “Role” dropdown list and select **ASP Certifier**.

6. If desired, enter any notes to the approver, and click on the **Submit** button.

The “Identify Verification” page displays as shown in Figure 2-13.

Figure 2-13: Identify Verification Page

7. Review the information and click on the **Next** button.

The “Terms and Conditions” page displays as shown in Figure 2-14.

Figure 2-14: Terms and Conditions Page

My Access
[Request New System Access](#)
[View and Manage My Access](#)
[Annual Certification](#)

Terms and Conditions
 OMB No. 0938-1236 | Expiration Date: 04/30/2017 (OMB Re-Certification Pending) | [Paperwork Reduction Act](#)

Protecting Your Privacy
 Protecting your Privacy is a top priority at CMS. We are committed to ensuring the security and confidentiality of the user registering to EIDM. Please read the [CMS Privacy Act Statement](#), which describes how we use the information you provide.

"Personal" information is described as data that is unique to an individual, such as a name, address, telephone number, social security number, and date of birth (DOB). CMS is very aware of the privacy concerns around PII data. In fact, we share your concerns. We will only collect personal information to verify your identity. Your information will be disclosed to Experian, an external authentication service provider, to help us verify your identity. If collected, we will validate your Social Security number with Experian only for the purposes of verifying your identity. Experian verifies the information you give us against their records. We may also use your answers to the challenge questions and other PII to later identify you in case you forget or misplace your User ID /Password.

HHS Rules Of Behavior
 We encourage you to read the [HHS Rules of Behavior](#), which provides the appropriate use of all HHS information technology resources for Department users, including Federal employees, contractors, and other system users.

I have read the HHS Rules of Behavior for Privileged User Accounts (addendum to the HHS Rules of Behavior (HHS RoB), document number HHS-OCIO-2013-0003S and dated July 24, 2013), and understand and agree to comply with its provisions. I understand that violations of the HHS Rules of Behavior for Privileged User Accounts or information security policies and standards may lead to disciplinary action and that these actions may include termination of employment, removal or disbarment from work on federal contracts or projects, revocation of access to federal information, information systems, and/or facilities, criminal penalties, and/or imprisonment. I understand that exceptions to the HHS Rules of Behavior for Privileged User Accounts must be authorized in advance in writing by the OpDiv Chief Information Officer or his/her designee. I also understand that violation of certain laws, such as the Privacy Act of 1974, copyright law, and 18 USC 2071, which the HHS Rules of Behavior for Privileged User Accounts draw upon, can result in monetary fines and/or criminal charges that may result in imprisonment.

Identity Verification
 I understand that the identity proofing services being requested are regulated by the Fair Credit Reporting Act and that my explicit consent is required to use these services. I understand that any special procedures established by CMS for identity proofing using Experian have been met and the services requested by CMS to Experian will be used solely to confirm the applicant's identity to avoid fraudulent transactions in the applicant's name.

I agree to the terms and conditions

Next Cancel

- Review the information, click in the box next to "I agree to the terms and conditions," and click on the **Next** button.

The "Your Information" page displays as shown in Figure 2-15.

Figure 2-15: Your Information Page

My Access
[Request New System Access](#)
[View and Manage My Access](#)
[Annual Certification](#)

Your Information

Enter your legal first name and last name, as it may be required for identity verification.

First Name:

Last Name: Suffix:

State Name:

Enter your E-mail address, as it will be used for account related communications.

E-mail Address:

Re-enter your E-mail address.

Confirm E-mail Address:

Enter your full 9 digit social security number, as it may be required for identity verification.

Social Security Number:

Enter your date of birth in MMDDYYYY format, as it may be required for identity verification.

Date of Birth:

U.S. Home Address Foreign address

Enter your current or most recent home address, as it may be required for identity verification.

Home Address Line 1:

Home Address Line 2:

City: State: Zip Code: Zip Code Extension: Country: USA

Enter your primary phone number, as it may be required for identity verification.

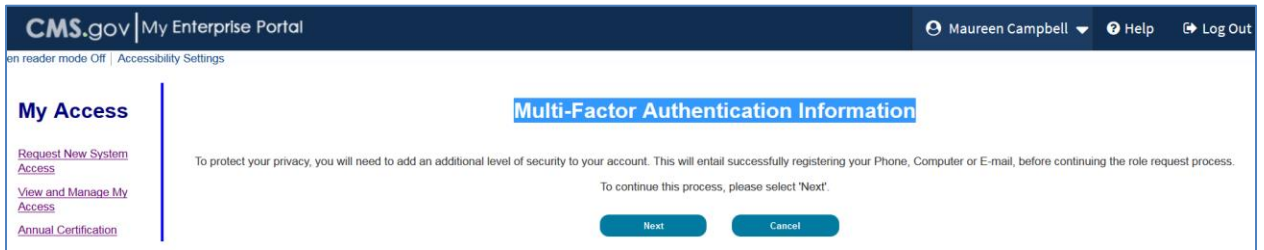
Primary Phone Number:

Next Cancel

- Review your information, complete any additional required fields, and click on the **Next** button.

The "Multi-Factor Authentication Information" page displays as shown in Figure 2-16.

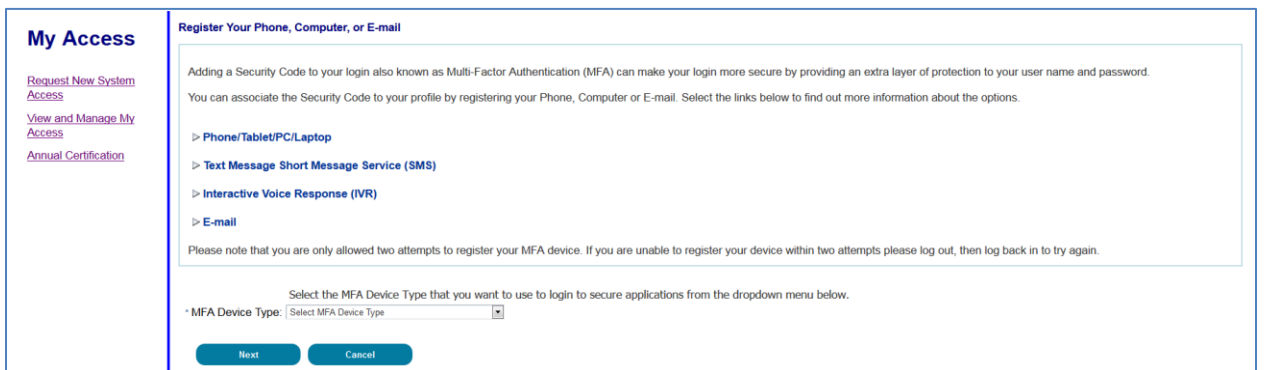
Figure 2-16: Multi-Factor Authentication Information



10. Click on the **Next** button.

The “Register Your Phone, Computer, or Email” page displays as shown in Figure 2-17.

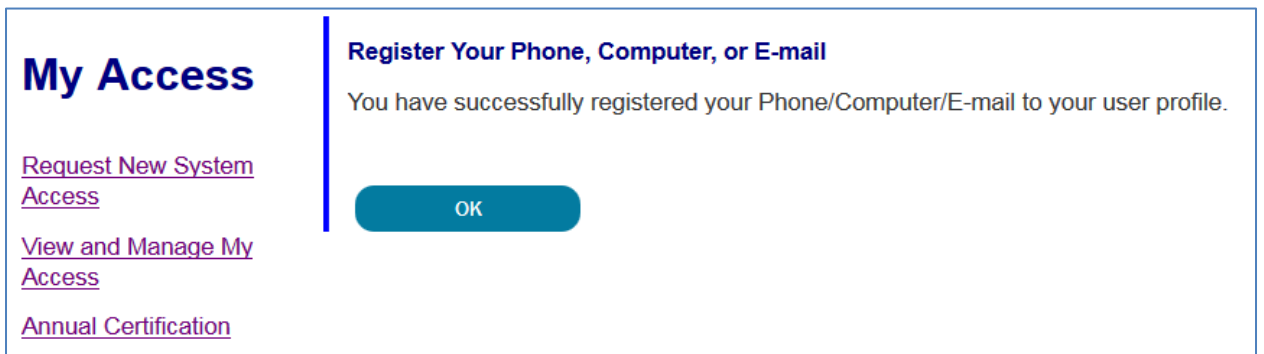
Figure 2-17: Register Your Phone, Computer, or Email Page



11. Select a device from the “MFA Device Type” dropdown list, enter any required information requested for the selected device, and click on the **Next** button.

A message displays that your device has been registered successfully displays, as shown in Figure 2-18.

Figure 2-18: Successful MFA Registration Message



12. Click on the **OK** button.

A “Request Acknowledgement” screen displays as shown in Figure 2-19.

Figure 2-19: Request Acknowledgement Page

<p>My Access</p> <p>Request New System Access</p> <p>View and Manage My Access</p> <p>Annual Certification</p>	<p>Request Acknowledgement</p> <p>Your request to access ASP using the ASP End User role has been successfully submitted.</p> <p>Your request id is : 2693343</p> <p>Use this number in all correspondence concerning this request. You will be contacted via E-mail after your request has been processed.</p> <p>OK</p>
---	---

13. Click on the **OK** button.

Note: After role submission, please wait up to 72 hours to receive an e-mail notification.

2.2 Points of Contact

2.2.1 Tier 1 Support – FFSDCS (ASP) Application Helpdesk

- Email: ASPHelpDesk@dcca.com
- Phone: 844-876-0765
 - 9AM-6PM Eastern, Non-Peak
 - 9AM-9PM Eastern, Peak
 - Jan 1st – Jan 31st
 - Apr 1st – Apr 30th
 - Jul 1st – Jul 31st
 - Oct 1st - Oct 31st
- Tier 1 Issue examples:
 - Account Unlock
 - Password Reset
 - Registration process questions
 - Policy Question escalations
 - System Availability escalations
 - Other

2.2.2 Tier 2 Support – CM Policy Support

- sec303aspdata@cms.hhs.gov
- Remedy/Service Now (SNOW) Service Tickets

2.2.3 Tier 3 Application/System Support (Data Computer Corporation of America [DCCA])

- Remedy/SNOW Service Tickets

2.2.4 Tier 4 Support

- Data Center SR Workflow

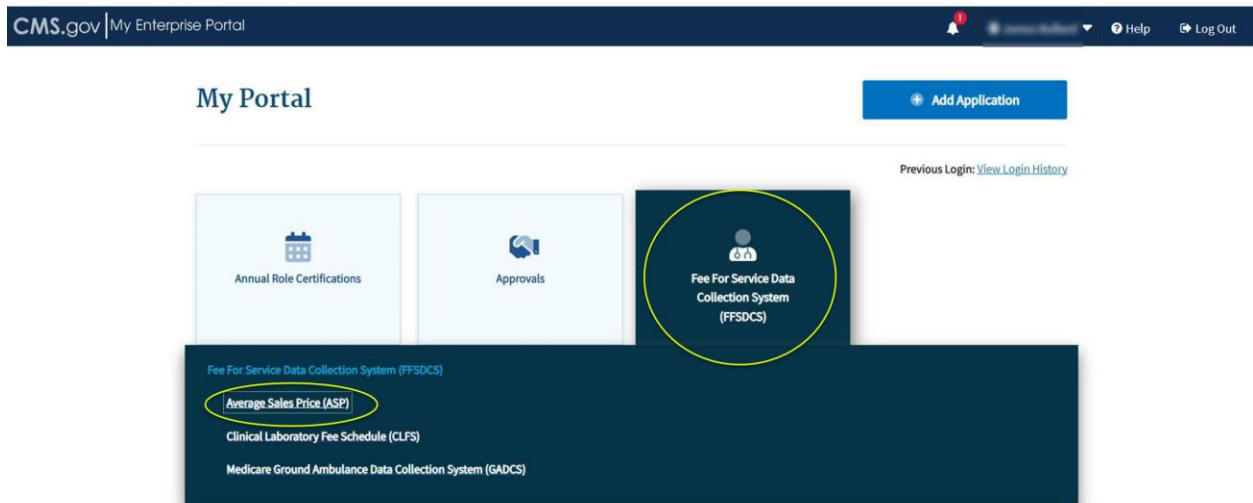
3. ASP Application Home Page

The ASP Application is comprised of numerous pages and pop-up windows to allow drug manufacturers to add, update, and view data entries (product data, financial data, certifications, re-statements, and compliance). The ASP Application uses a consistent layout across pages. The fields displayed on each page differ based on the type of user logged in and the privileges assigned to the user role for the logged in user. You can enter data into fields in the ASP Application unless the field displays with a gray background.

After logging into the CMS Enterprise Portal (<https://portal.cms.gov/wps/portal/unauthportal/home/>), you will see your My Portal page.

Click on the Fee For Service Data Collection System (FFSDCS) icon to show the selections. Then click on the first link Average Sale Price (ASP) [Figure 3-2].

Figure 3-1: My Portal Home Page



If the user is new to the application, the user is placed directly into the Home page (for Submitter [Figure 3-2] or Certifier [Figure 3-33]).

Figure 3-2: ASP Application Home Page – ASP Submitter

Medicare Part B Average Sales Price Home

Welcome, Robert!

Downloads: [User Guide \(PDF\)](#) [File Upload Formats \(zip\)](#)

Messages
View All

ASP Business Process Flow

SUBMITTER

Submitter → Register NDC1 → Enter Product Data Online OR Enter Financial Data Online → Upload Product Data OR Upload Financial Data → Generate One Time Password (OTP)

CERTIFIER

Certifier → Authenticate using OTP → Review Submitted Financial Data → Certify Submitted Financial Data

For the best website experience, we recommend using the latest version of one of the following supported browsers: Chrome (version 49 or greater), Firefox (version 10 or greater), or Internet Explorer 9.0 or greater

Reporting Summary

Pricing Quarter :	Q1 2019
Current Submission Period :	04/01/2019 - 05/01/2019
Days Remaining in the Current Submission Period :	15

Compliance Report

Currently Not Available please use Compliance Summary instead

Figure 3-3: ASP Application Home Page – ASP Certifier

Medicare Part B Average Sales Price Home

Welcome, Garry!

Downloads: [User Guide \(PDF\)](#) [File Upload Formats \(zip\)](#)

Messages
View All

ASP Business Process Flow

SUBMITTER

Submitter → Register NDC1 → Enter Product Data Online OR Enter Financial Data Online → Upload Product Data OR Upload Financial Data → Generate One Time Password (OTP)

CERTIFIER

Certifier → Authenticate using OTP → Review Submitted Financial Data → Certify Submitted Financial Data

For the best website experience, we recommend using the latest version of one of the following supported browsers: Chrome (version 49 or greater), Firefox (version 10 or greater), or Internet Explorer 9.0 or greater

Reporting Summary

Pricing Quarter :	Q1 2019
Current Submission Period :	04/01/2019 - 05/01/2019
Days Remaining in the Current Submission Period :	15

Compliance Report

Currently Not Available please use Compliance Summary instead

4. Manage NDC1/ALT ID - Submitter

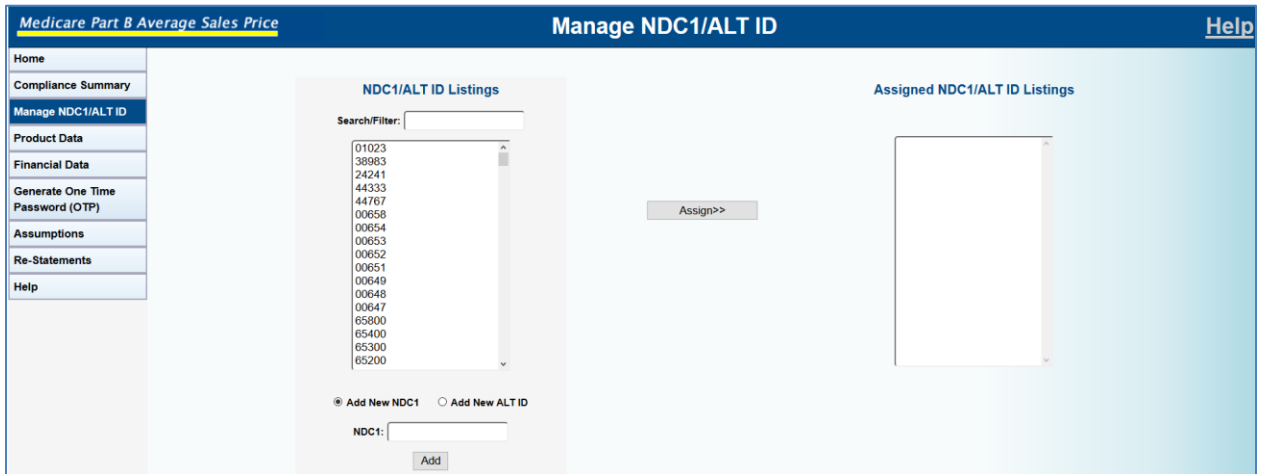
Before a labeler (Submitter) can begin submitting Product and Financial data for their respective labeler codes (NDC1/ALT ID), they are required to assign those labeler codes to their unique user account.

To assign NDC1 or Alternate ID codes, they must first be listed in the “NDC1/ALT ID Listings” list. If the NDC1 or Alternate ID is not on the list, you must add them to the list. Once on the list, they can then be assigned. Perform the following steps to manage NDC1s and Alternate IDs.

1. Click on **Manage NDC1/ALT ID** from the menu on the left side of the screen.

The “Manage NDC1/ALT ID” screen displays with the global list visible, as shown in Figure 4-1.

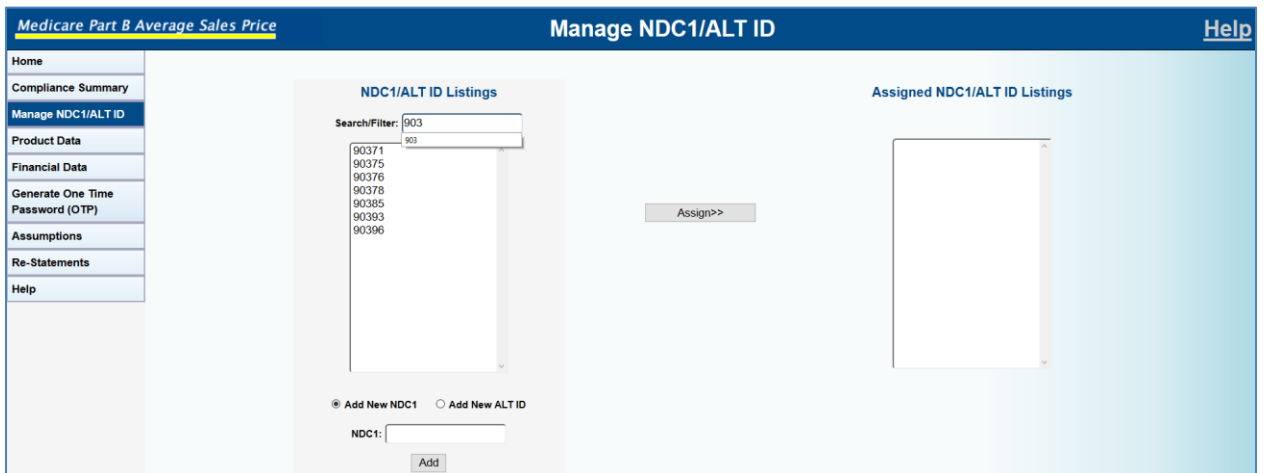
Figure 4-1: Manage NDC1/ALT ID Screen



- To search for an NDC1, enter the partial or full NDC1 in the “Search/Filter:” field.

The application filters the entered NDC1, as shown in Figure 4-2.

Figure 4-2: Manage NDC/ALT ID - Filter

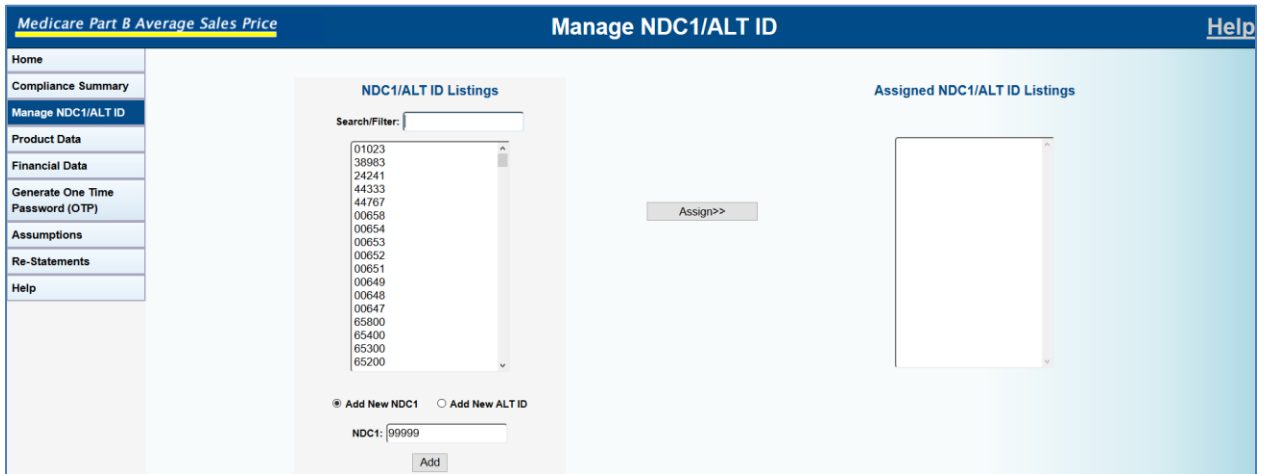


- To add an NDC1 to the global list, click on the “Add New NDC1” radio button and enter your new NDC1 in the “NDC1:” field.

Note: the NDC1 must be 5 digits.

The “NDC1:” field is populated, as shown in Figure 4-3.

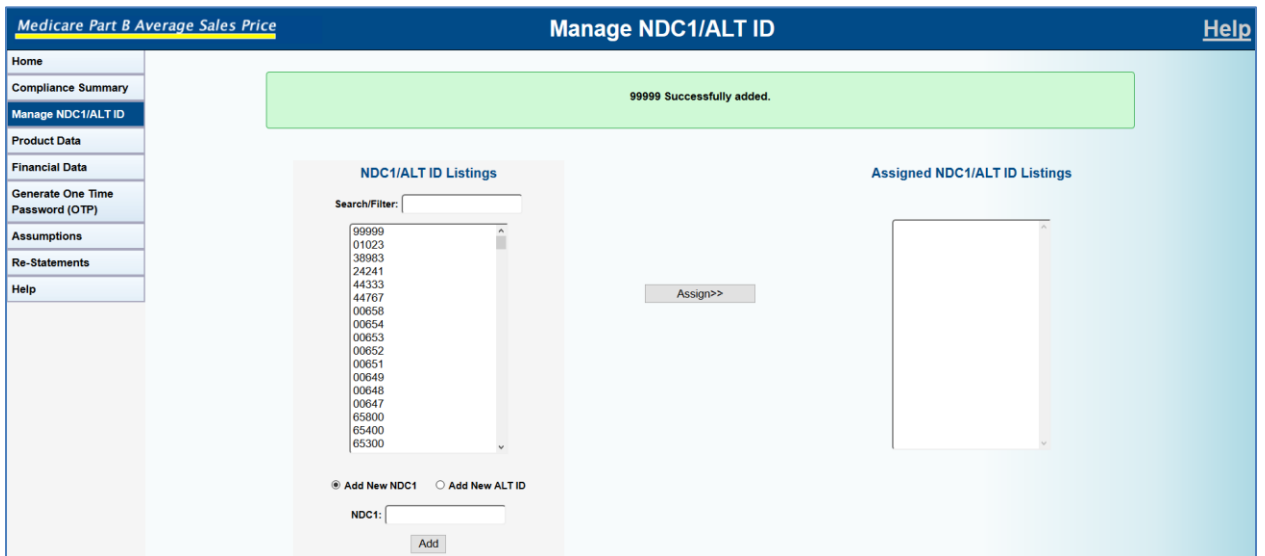
Figure 4-3: Manage NDC/ALT ID – NDC1 Field Populated



4. Click on the **Add** button.

A message displays confirming that the new NDC1 was added successfully and the new NDC1 is listed at the top of the global list, as shown in Figure 4-4.

Figure 4-4: Manage NDC/ALT ID – NDC1 Saved Successfully

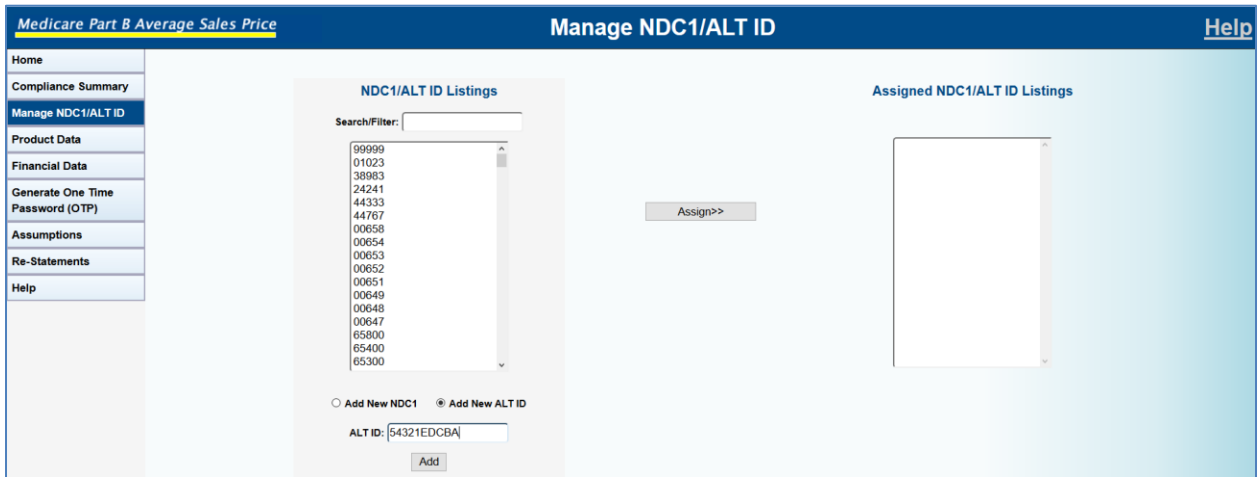


5. To add an Alternate ID to the global list, click on the “Add New ALT ID” radio button and enter your new Alternate ID in the “ALT ID:” field.

Note: The ALT ID can be up to 23 alphanumeric characters including colon (:), period (.), and dash (-).

The “ALT ID:” field is populated, as shown in Figure 4-5.

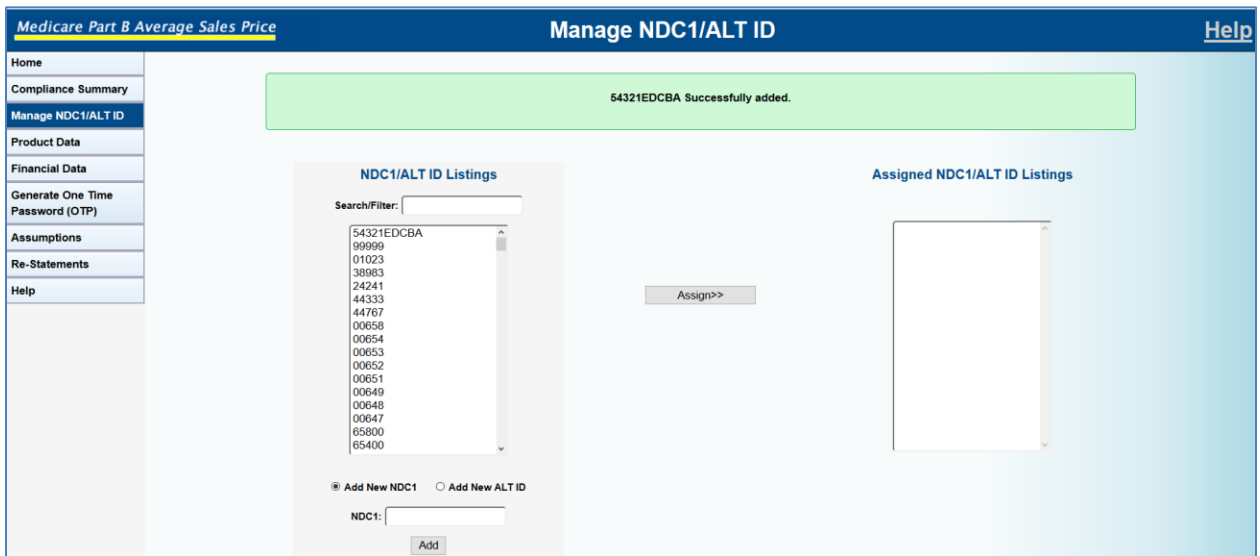
Figure 4-5: Manage NDC/ALT ID – ALT ID Field Populated



6. Click on the **Add** button.

A message displays confirming that the new ALT ID was added successfully, and the new Alternate ID is listed at the top of the global list, as shown in Figure 4-6.

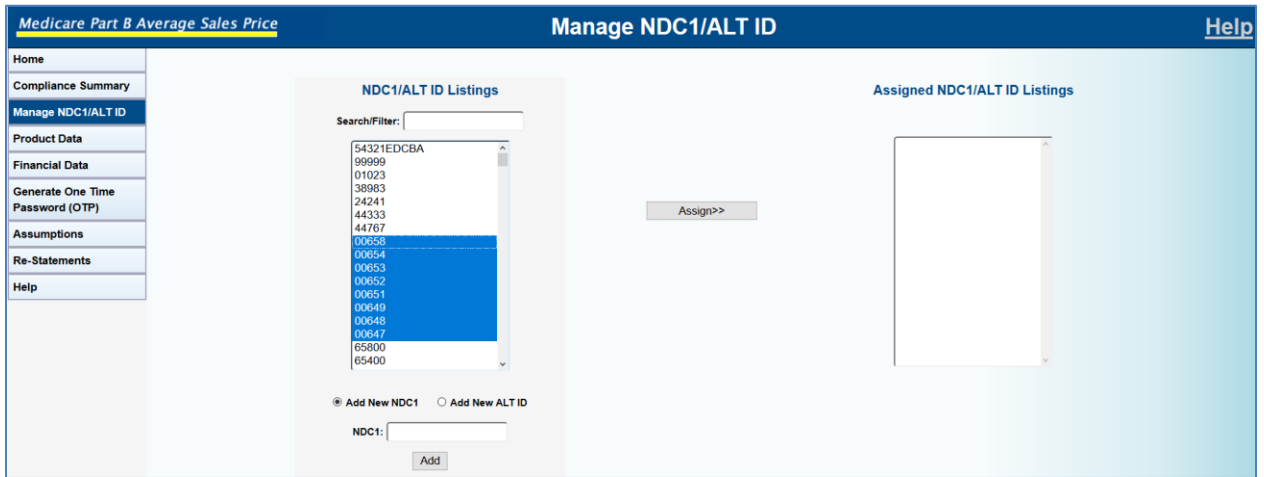
Figure 4-6: Manage NDC/ALT ID – ALT ID Saved Successfully



7. To assign NDC1s and ALT IDs, select one or more items from the “NDC1/ALT ID Listings” field.

The selected item(s) are highlighted, as shown in Figure 4-7.

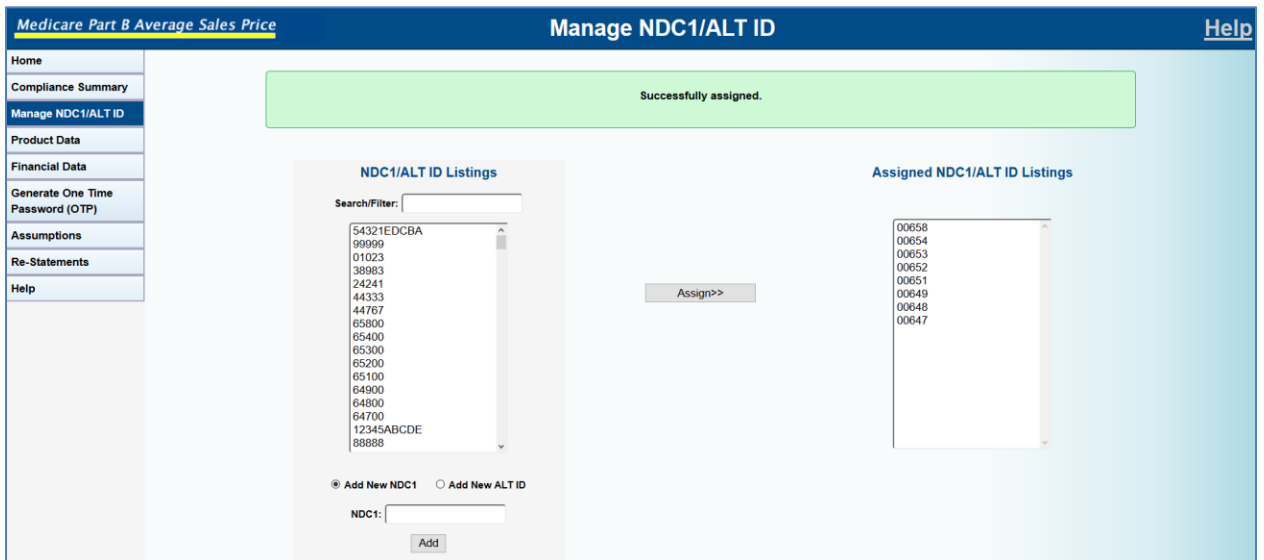
Figure 4-7: Manage NDC/ALT ID – Select NDCs/ALT IDs for Assignment



8. Click on the **Assign>>** button.

A message displays stating that the items were successfully assigned. The selected item(s) appear in the “Assigned NDC1/ALT ID Listings” field, as shown in Figure 4-8.

Figure 4-8: Manage NDC/ALT ID – NDC1/ALT ID Assigned Successfully



5. Compliance Summary

5.1 Submitter

The Compliance Summary features allow Drug Manufacturers to view whether their drugs are in compliance with the drug submission reporting requirements. Drug Manufacturers can access a compliance summary for all drugs using the Compliance Summary menu tab.

1. From the menu on the left side of the page, click on **Compliance Summary**.

The “Compliance Summary Overview” page displays with the current reporting period as the default, as shown in Figure 5-1.

Note: The “Compliance Summary Overview” screen lists the compliance summary for all manufacturers assigned to a Submitter by default.

Figure 5-1: Compliance Summary Overview Page: Submitter

The screenshot displays the 'Compliance Summary Overview' page. On the left is a navigation menu with options: Home, Compliance Summary (selected), Manage NDC1/ALT ID, Product Data, Financial Data, Generate One Time Password (OTP), Assumptions, Re-Statements, and Help. The main content area includes a 'Reporting Period *' dropdown set to 'Q2 2019', a 'Manufacturer *' dropdown set to 'Select', and a 'Drug Identifier' text box. Below these is a 'View Compliance Overview Detail' button. The summary section shows 'Selected Quarter: Q2 2019' and a message: 'Labelers are out of compliance with data reporting requirements. 99% of drugs are certified out of 306 total drugs. (115 Certified, 190 Restatement Certified)'. A table below provides the following data:

Missing	0
Pending Certification	0
Pending Restatement Certification	1
Total Certification	115
Total Restatement Certification	190
Total New Drugs	3

2. Select the desired reporting period from the “Reporting Period” dropdown list (required), the desired manufacturer from the “Manufacturer” dropdown list (required), the labeler code from the dropdown list (optional), and either a full or partial drug identifier (optional), and click on the **View Compliance Overview Detail** button to display the summary report.

The summary results display for the selected manufacturer for the selected reporting period as shown in Figure 5-2.

Figure 5-2: Manufacturer's Compliance Summary Report: Submitter

Compliance Summary Overview

Reporting Period *: Q2 2019

Manufacturer *: RWH9

Drug Identifier:

View Compliance Overview Detail

Selected Quarter: Q2 2019

Labelers are out of compliance with data reporting requirements.

95% of drugs are certified out of 320 total drugs.

(115 Certified, 190 Restatement Certified)

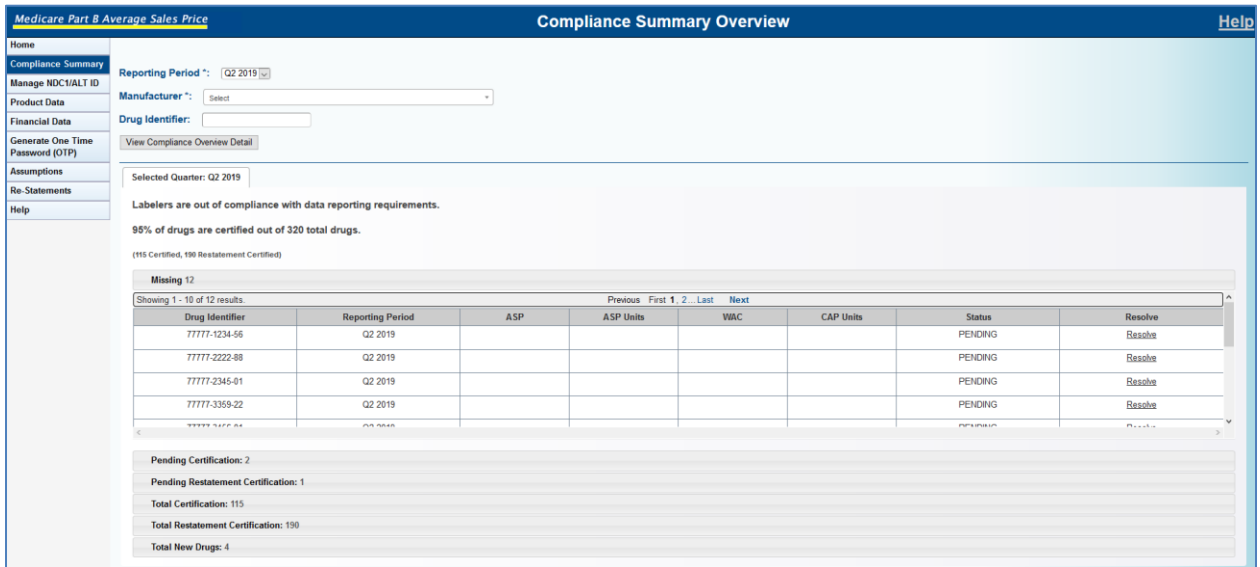
Missing	12
Pending Certification	2
Pending Restatement Certification	1
Total Certification	115
Total Restatement Certification	190
Total New Drugs	4

The Compliance Summary Overview screen displays statements whether or not the Drug Manufacturer is within compliance for the reporting period. Also listed is the percentage of drugs assigned to the user that are certified for the selected reporting period:

- Missing (go to step 3)
 - Pending Certification (go to Step 6)
 - Pending Restatement Certification (go to Step 8)
 - Total Certification (go to Step 10)
 - Total Restatement Certification (go to Step 12)
 - Total New Drugs (go to Step 14)
3. To view drugs that are not compliant because the financial data for the drug have not been submitted, click on the **Missing** panel.

The “Missing” report displays, as shown in Figure 5-3.

Figure 5-3: Compliance Summary: Submitter - Missing



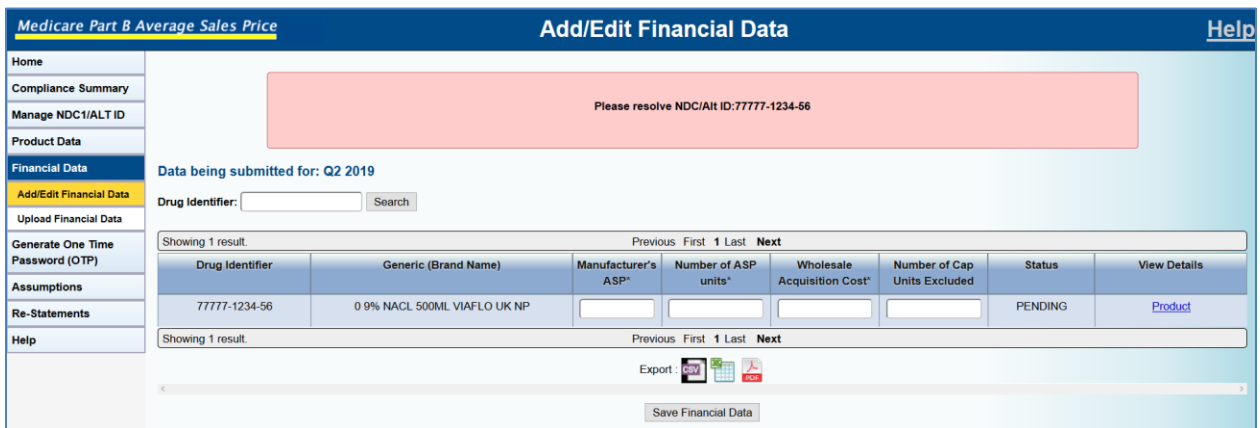
Drug Manufacturers have the ability to enter financial data by clicking on the “Resolve” link for the specific drug identifier.

4. Click on the **Resolve** link.

The “Add/Edit Financial Data” screen displays for the drug identifier selected from the “Compliance Summary” screen, as shown in Figure 5-4.

Note: If the reporting period selected is not the current reporting period, the “Add/Edit Restate Financial Data” screen displays.

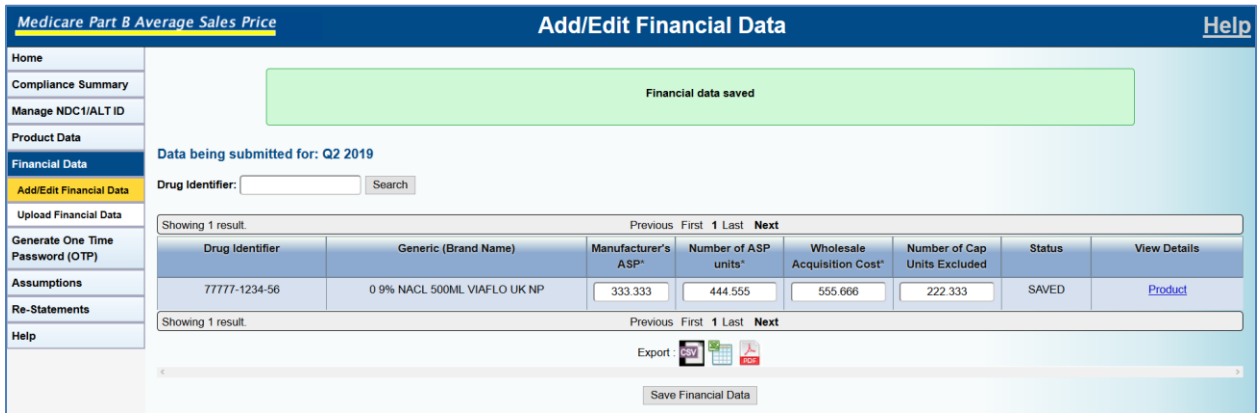
Figure 5-4: Compliance Summary: Submitter – Add/Edit Financial Data



5. Enter the missing financial data and click on the **Save Financial Data** button.

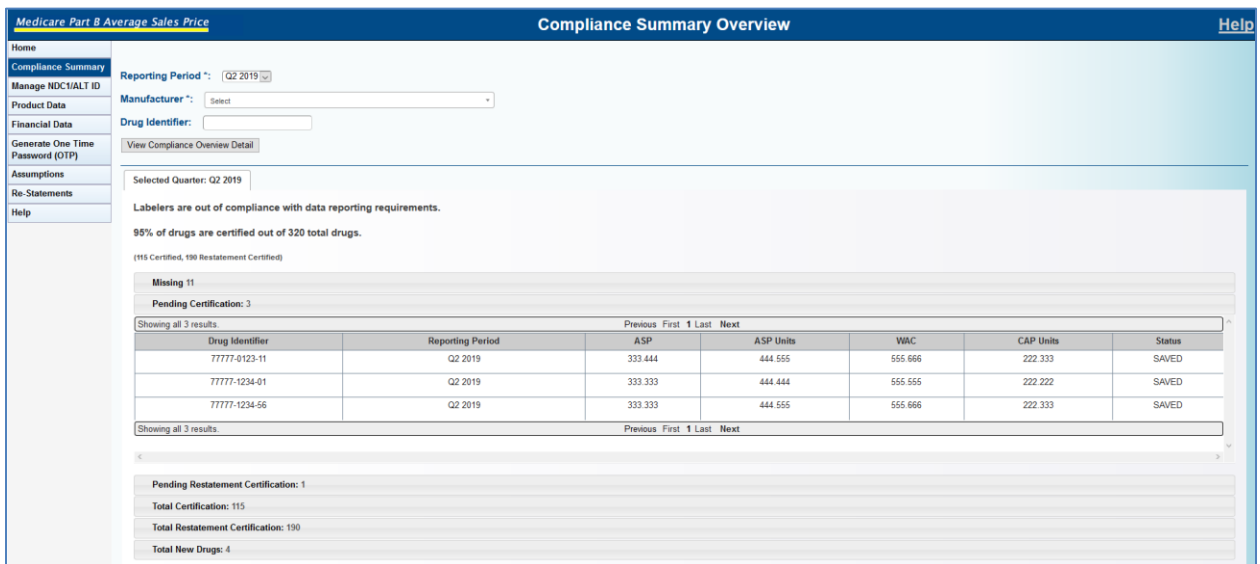
A message displays stating that the financial data were saved successfully, as shown in Figure 5-5. The drug identifier status will now say “SAVED.”

Figure 5-5: Compliance Summary: Submitter – Financial Data Saved Successfully



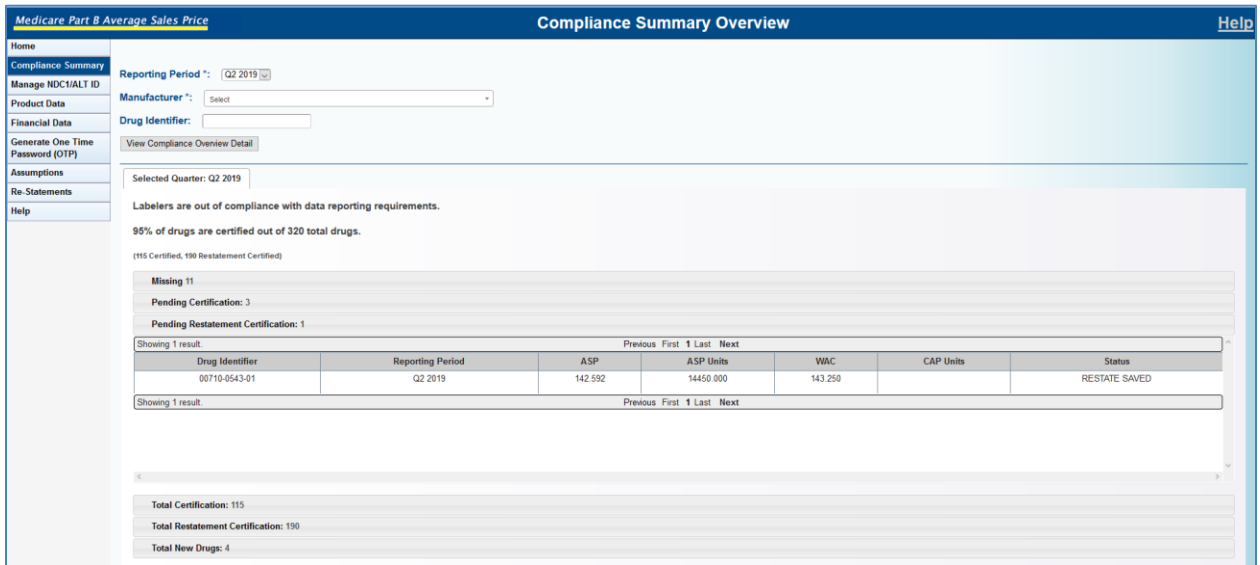
- To view drugs that have saved financial data, but whose certifications are pending, click on the **Pending Certification** panel from the “Compliance Summary Overview” screen. The “Pending Certification” report displays, as shown in Figure 5-6.

Figure 5-6: Compliance Summary: Submitter – Pending Certification



- Click on the **Pending Certification** panel to hide the drug information that is pending certification.
- To view drugs that have saved financial data that were restated but whose certifications are pending, click on the **Pending Restatement Certification** panel from the “Compliance Summary Overview” page. The “Pending Restatement Certification” report displays, as shown in Figure 5-7.

Figure 5-7: Compliance Summary: Submitter – Pending Restatement Certification

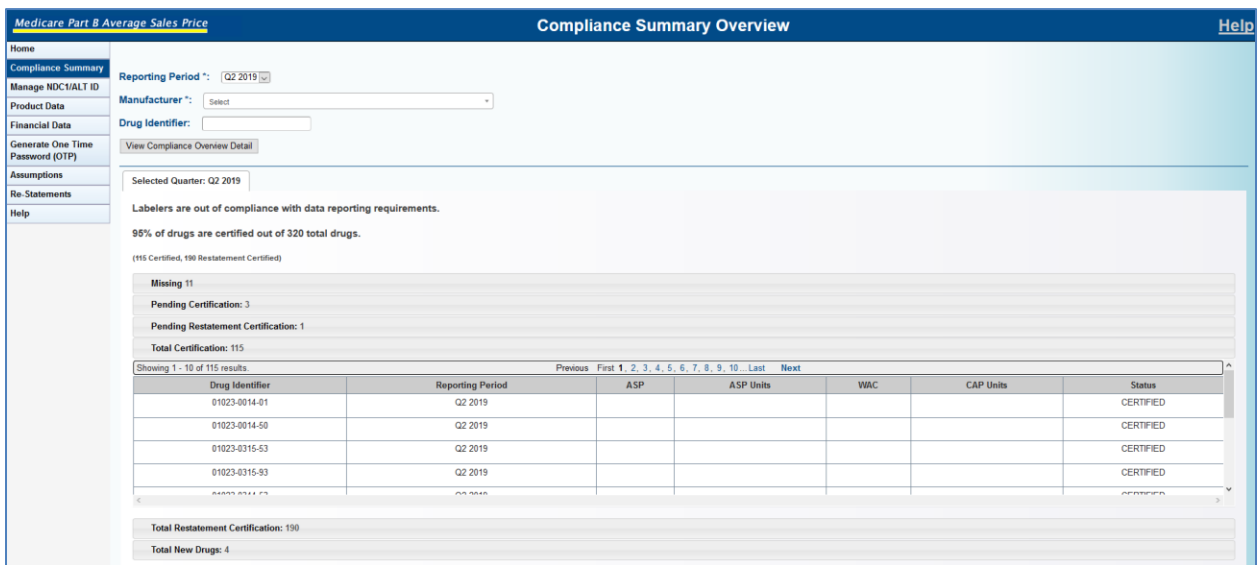


9. Click on the **Pending Restatement Certification** panel to hide the drug information that is pending restatement certification.

10. To view drugs that have been certified during the selected reporting period, click on the **Total Certification** panel from the “Compliance Summary Overview” page.

The “Total Certification” report displays, as shown in Figure 5-8.

Figure 5-8: Compliance Summary: Submitter – Total Certification

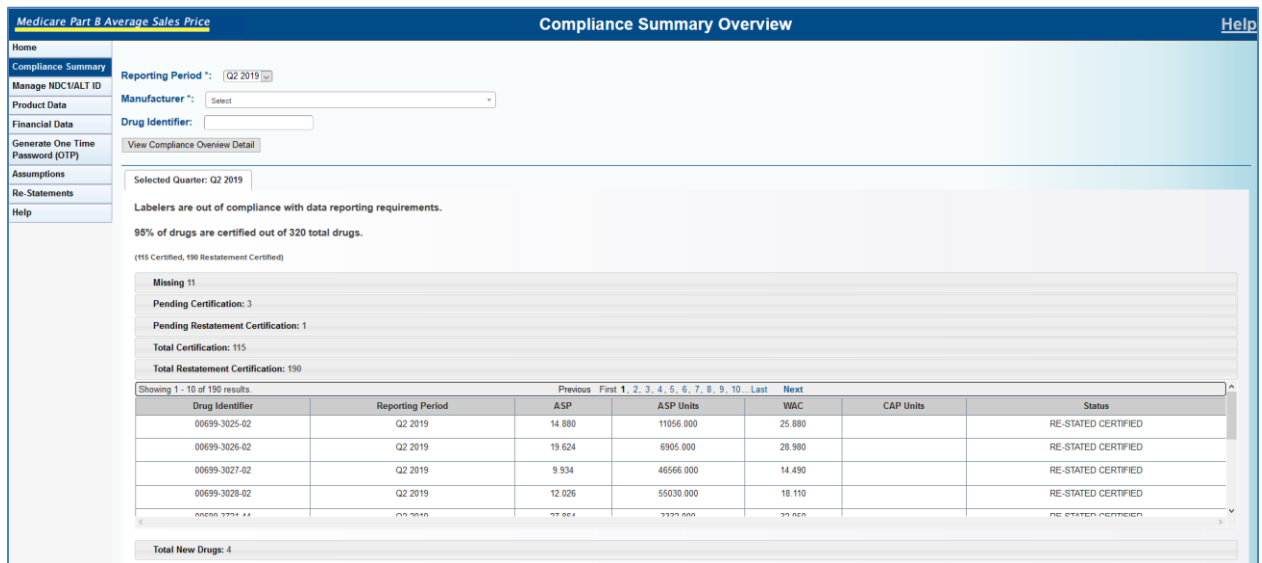


11. Click on the **Total Certification** panel to hide the certified drug information.

12. To view the information for drugs that have been restated and certified, click on the **Total Restatement Certification** panel from the “Compliance Summary Overview” page.

The “Total Restatement Certification” report displays, as shown in Figure 5-9.

Figure 5-9: Compliance Summary: Submitter – Total Restatement Certification

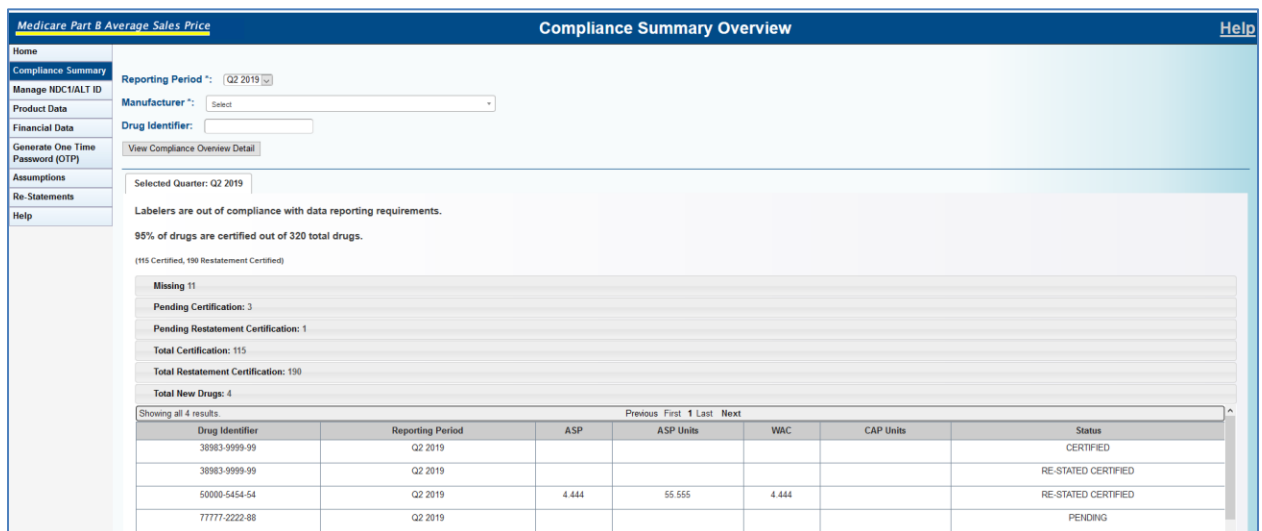


13. Click on the Total Restatement Certification panel to hide the certified drug information.

14. To view the product and financial information for new drugs that have been certified or saved, click on the **Total New Drugs** panel.

The “Total New Drugs” report displays, as shown in Figure 5-10.

Figure 5-10: Compliance Summary: Submitter – Total New Drugs



5.2 Certifier

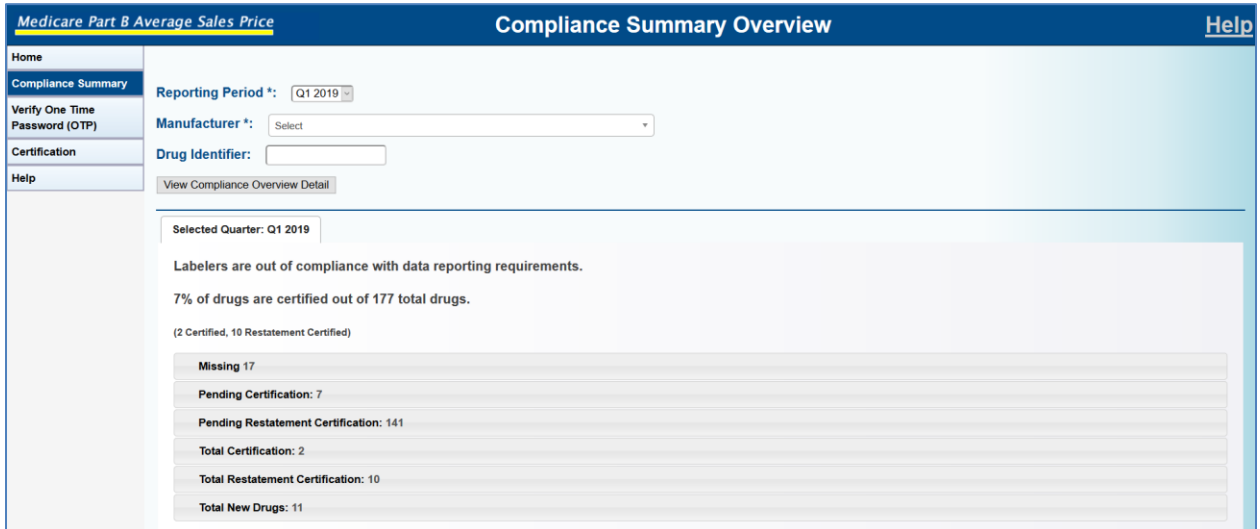
The Compliance Summary features allow Drug Manufacturers to view whether their drugs are in compliance with the drug submission reporting requirements. Drug Manufacturers can access a compliance summary for all drugs using the Compliance Summary menu tab.

1. From the menu on the left side of the page, click on **Compliance Summary**.

The “Compliance Summary Overview” page displays.

Note: The “Compliance Summary Overview” screen lists the compliance summary for all manufacturers assigned as a default, as shown in Figure 5-11.

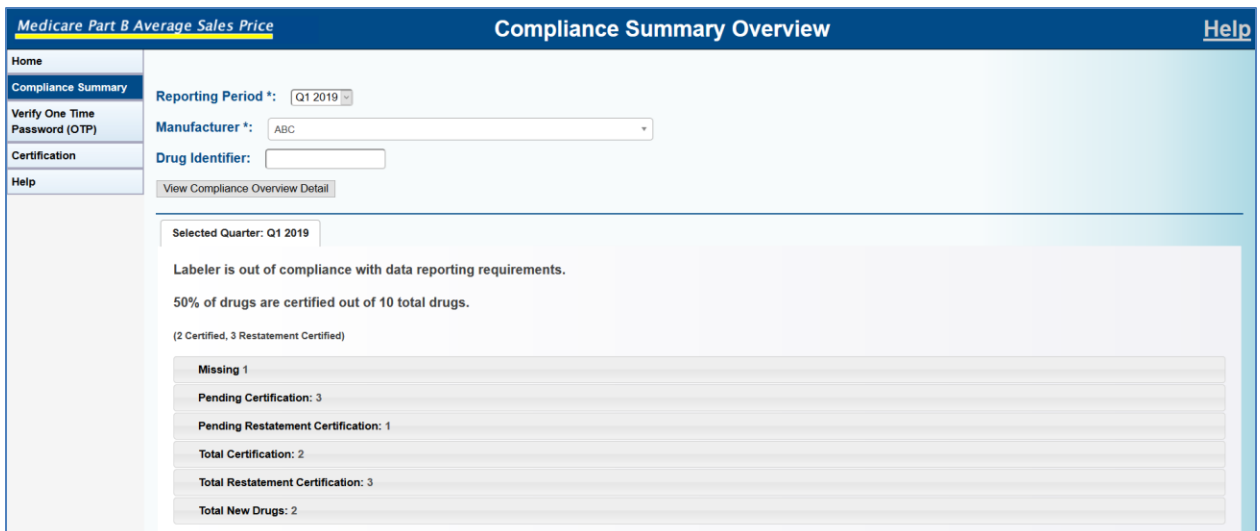
Figure 5-11: Compliance Summary Overview Page: Certifier



2. Select the desired reporting period from the “Reporting Period” dropdown list (required), the desired manufacturer from the “Manufacturer” dropdown list (required), the labeler code from the dropdown list (optional), and either a full or partial drug identifier (optional) and click on the **View Compliance Overview Detail** button to display the summary report.

The summary results display for the selected manufacturer for the selected reporting period, as shown in Figure 5-12.

Figure 5-12: Manufacturer’s Compliance Summary Report: Certifier



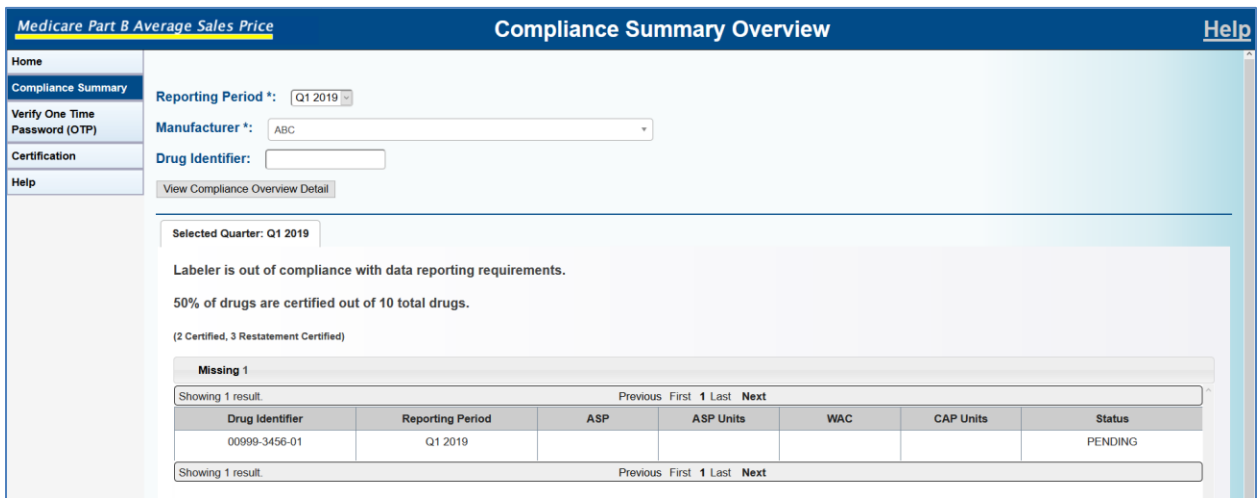
The “Compliance Summary Overview” screen displays statements whether or not the Drug Manufacturer is within compliance for the reporting period. Also listed is the percentage of drugs assigned to the user that are certified for the selected reporting period.

Drugs that are listed in the panels are:

- Missing (go to Step 3)
 - Pending Certification (go to Step 6)
 - Pending Restatement Certification (go to Step 8)
 - Total Certification (go to Step 10)
 - Total Restatement Certification (go to Step 12)
 - Total New Drugs (go to Step 13)
3. To view drugs that are not compliant because the financial data for the drug have not been submitted, click on the **Missing** panel.

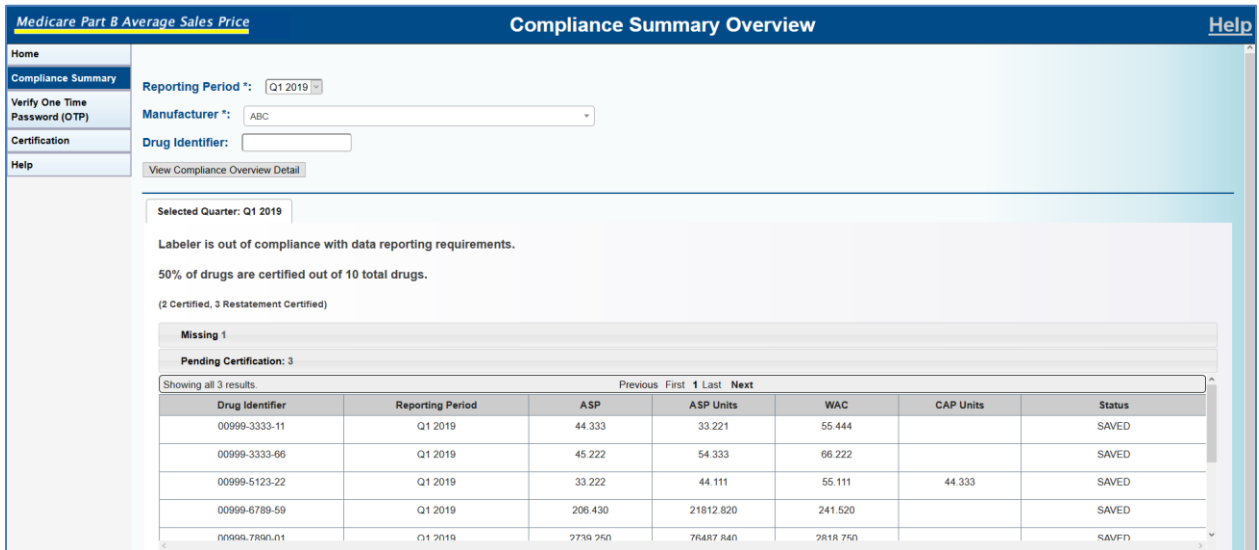
The “Missing” report displays with the status of “PENDING,” as shown in Figure 5-13.

Figure 5-13: Compliance Summary: Certifier - Missing



4. Click on the **Missing** panel to hide the drug information that needs financial data.
5. To view drugs that have saved financial data but whose certifications are pending, click on the **Pending Certification** panel from the “Compliance Summary Overview” screen.
- The “Pending Certification” report displays, as shown in Figure 5-14.

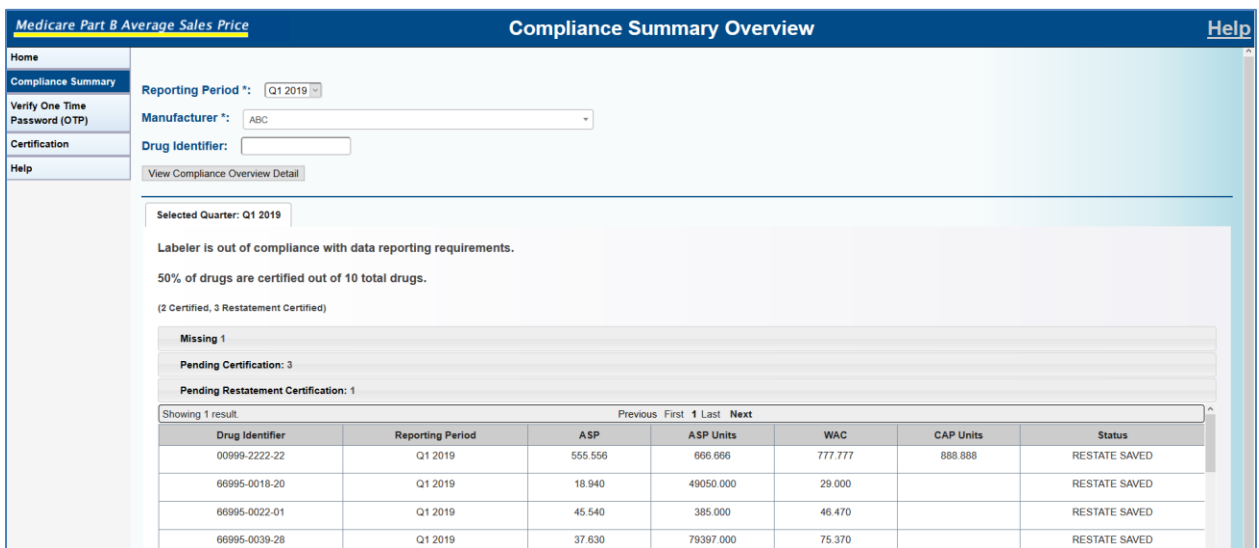
Figure 5-14: Compliance Summary: Certifier – Pending Certification



- Click on the **Pending Certification** panel to hide the drug information that is pending certification.
- To view drugs that have saved financial data that were restated but whose certifications are pending, click on the **Pending Restatement Certification** panel from the “Compliance Summary Overview” page.

The “Pending Restatement Certification” report displays, as shown in Figure 5-15.

Figure 5-15: Compliance Summary: Certifier – Pending Restatement Certification



- Click on the **Pending Restatement Certification** panel to hide the drug information that is pending restatement certification
- To view drugs that have been certified during the selected reporting period, click on the **Total Certification** panel from the “Compliance Summary Overview” page.

The “Total Certification” report displays, as shown in Figure 5-16.

Figure 5-16: Compliance Summary: Certifier – Total Certification

Medicare Part B Average Sales Price Compliance Summary Overview

Reporting Period *: Q1 2019
 Manufacturer *: ABC
 Drug Identifier: []

Selected Quarter: Q1 2019

Labeler is out of compliance with data reporting requirements.
 50% of drugs are certified out of 10 total drugs.
 (2 Certified, 3 Restatement Certified)

Missing 1
 Pending Certification: 3
 Pending Restatement Certification: 1
 Total Certification: 2

Drug Identifier	Reporting Period	ASP	ASP Units	WAC	CAP Units	Status
00999-1234-01	Q1 2019	6444.545	19639.020	773.100		CERTIFIED
00999-4567-01	Q1 2019	104.650	3211566.740	130.000		CERTIFIED

- Click on the **Total Certification** panel to hide the certified drug information.
 - To view the information for drugs that have been restated and certified, click on the **Total Restatement Certification** panel from the “Compliance Summary Overview” page.
- The “Total Restatement Certification” report displays, as shown in Figure 5-17.

Figure 5-17: Compliance Summary: Certifier – Total Restatement Certification

Medicare Part B Average Sales Price Compliance Summary Overview

Manufacturer *: ABC
 Drug Identifier: []

Selected Quarter: Q1 2019

Labeler is out of compliance with data reporting requirements.
 50% of drugs are certified out of 10 total drugs.
 (2 Certified, 3 Restatement Certified)

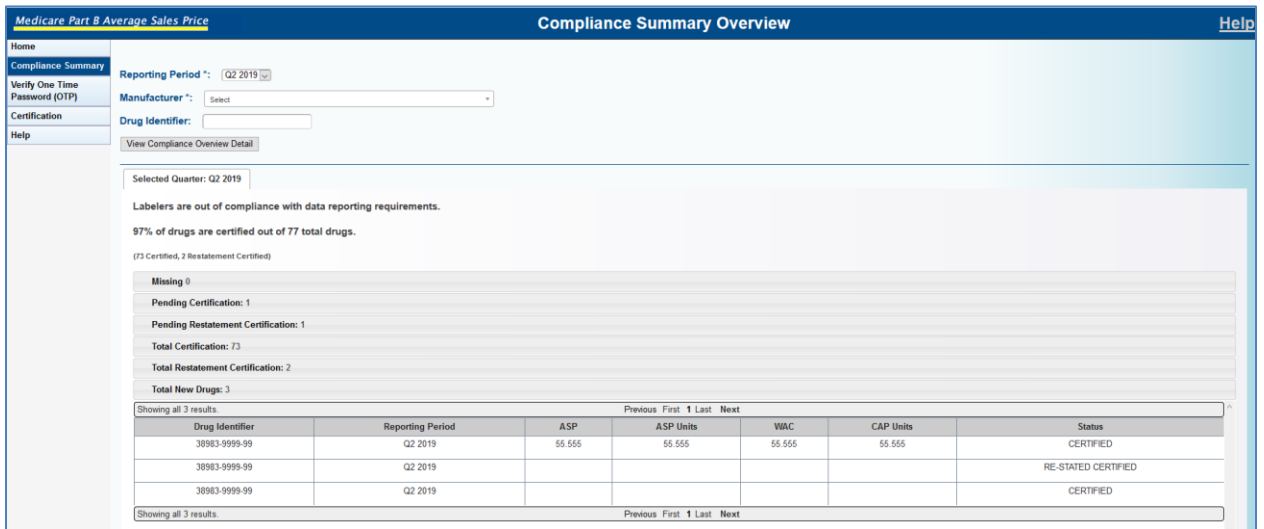
Missing 1
 Pending Certification: 3
 Pending Restatement Certification: 1
 Total Certification: 2
 Total Restatement Certification: 3

Drug Identifier	Reporting Period	ASP	ASP Units	WAC	CAP Units	Status
00999-0123-11	Q1 2019	545.370	224.880	111.222	0.000	RE-STATED CERTIFIED
00999-2345-01	Q1 2019	111.222	90.100	148.190		RE-STATED CERTIFIED
00999-5678-17	Q1 2019	17.180	329708.240	42.000	222.333	RE-STATED CERTIFIED
66995-0003-02	Q1 2019	16.130	17678.000	18.290		RE-STATED CERTIFIED
66995-0004-01	Q1 2019	33.380	3370.000	38.640		RE-STATED CERTIFIED

- Click on the **Total Restatement Certification** panel to hide the certified drug information.
- To view the product and financial information for new drugs that have been certified or saved, click on the Total New Drugs panel from the “Compliance Summary Overview” page.

The “Total New Drugs” report displays, as shown in Figure 5-18.

Figure 5-18: Compliance Summary: Certifier – Total New Drugs



14. Click on the **Total New Drugs** panel to hide the certified drug information.

6. Product Data

Drug manufacturers are required to submit quarterly drug data to the ASP application for ASP pricing using a file transfer process or through online data entry. Drug data consists of product data and financial data. The following subsections detail the steps required to submit drug product data using online data entry and through approved file uploads.

6.1 Add Product Data

Add Product Data allows drug manufacturers the ability to manually submit drug product data one at a time to CMS. To upload product data for multiple drugs at once from a file, skip to section 6.2.

1. Click on **Product Data** from the menu on the left side of the screen, and then click on **Add Product Data**.

The “Add Product Data” screen displays, as shown in Figure 6-1.

Figure 6-1: Add Product Data Screen

2. To add fields by NDC, select the “Add by NDC” tab and use the following requirements:

Note: To add fields by Alternate ID, go to step 4.

NDC1: dropdown

Note: if the NDC1 desired is not in the dropdown list, click on **Manage NDC1/ALT ID** from the menu on the left side of the screen, and add and assign your NDC1.

NDC2: numeric
required
4-digit entry

NDC3: numeric
required
2-digit entry

Manufacturer Name: required
limited to 250 characters

Note: When entering Product Data for the same Manufacturer more than once, be sure that the spelling is the same each time for that Manufacturer. If data were entered through “Upload Product Data,” the spelling must match that as well.

Has Brand Name?: checkbox
optional

Brand Name: field is only displayed if the “Has Brand Name?” box is checked
required if “Has Brand Name?” box is checked
limited to 250 characters

Generic Name: dropdown list
required

New Generic Name: displayed only if selecting “Add New Generic Name” from the
“Generic Name” dropdown list
required
limited to 250 characters

Date of First Sale: MM/DD/YYYY format
required
cannot occur before the FDA approval date
must occur prior to the “Current Reporting Period” start date

Expiration Date of Final Lot Sold: MM/DD/YYYY format
optional

Strength of the Product: required
limited to 500 characters

Volume Per Item: required
limited to 250 characters

Number of Items per NDC: numeric
required
limited to 9 digits and 2 decimal places

FDA Approval Date: required
MM/DD/YYYY format
must be prior to “Current Reporting Period” start date

FDA Application Number/Registration Number: required
 alphanumeric
 up to 10 characters
 can have up to 2 more optional entries by clicking on the “Add New Application Numbers” link

FDA Approval Type: required
 dropdown list

FDA Application Supplement Number: alphanumeric
 optional
 up to 9 characters
 can have up to 2 more optional entries by clicking on the “Add New Application Numbers” link

The field windows populate with the entered data, as shown in Figure 6-2.

Figure 6-2: Add Product Data – Fields Populated

The screenshot shows the 'Add Product Data' form with the following populated fields:

- NDC1***: 6666
- NDC2***: 2222
- NDC3***: 44
- Manufacturer Name***: TEST - New Manufacturer
- Generic Name***: 0.9% NAACL 250ML VIAFLO UK NP
- Date of First Sale***: 06/01/2019
- Expiration Date of Final Lot Sold**: (empty)
- Strength of the Product***: 1000
- Volume per Item***: 10
- Number of Items per NDC***: 1.00
- FDA Approval Date***: 05/05/2019
- FDA Approval Type***: 510(K)
- FDA Application Number/Registration Number***: 4444
- FDA Application Supplement Number**: (empty)

A 'Save' button is located at the bottom right of the form.

3. Click on the **Save** button.

The screen displays the confirmation that the product submission has been successfully saved, as shown in Figure 6-3.

Figure 6-3: Add Product Data – Product Submission Saved Successfully

4. To add fields by Alternate ID, select the “Add by Alternate ID” tab, and use the following requirements:

Alternate ID: required
dropdown

Note: if the Alternate ID you want to use is not in the dropdown list, you must click on **Manage NDC1/ALT ID** from the menu on the left side of the screen, and add and assign the Alternate ID.

Manufacturer Name: required
limited to 250 characters

Note: When entering Product Data for the same Manufacturer more than once, be sure that the spelling is the same each time for that Manufacturer. If data were entered through “Upload Product Data,” the spelling must match that as well.

Has Brand Name?: checkbox
optional

Brand Name: field is only displayed if the “Has Brand Name?” box is checked
required if “Has Brand Name?” box is checked
limited to 250 characters

Generic Name: dropdown list
required

New Generic Name: only displayed when selecting “Add New Generic Name” from the “Generic Name” dropdown list
required
limited to 250 characters

Date of First Sale: MM/DD/YYYY format
numeric
cannot occur before the FDA approval date
must occur prior to the “Current Reporting Period” start date

Expiration Date of Final Lot Sold: MM/DD/YYYY format
optional

Strength of the Product: required
limited to 500 characters

Volume Per Item: required
limited to 250 characters

Number of Items per Alternate ID: numeric
required
up to 9 digits allowed and 2 decimal places

FDA Approval Date: optional
MM/DD/YYYY format
must be prior to “Current Reporting Period” start date

FDA Application Number/Registration Number: optional
alphanumeric
up to 10 characters
can have up to 2 more optional
entries by clicking on the “Add New
Application Numbers” link

FDA Approval Type: optional
dropdown list

FDA Application Supplement Number: alphanumeric
optional
up to 9 characters
can have up to 2 more optional entries by
clicking on the “Add New Application
Numbers” link

The fields populate with the entered data, as shown in Figure 6-4.

Figure 6-4: Add Product Data – Add Fields by Alternate ID

The screenshot shows the 'Add Product Data' form with the following fields and values:

- Alternate ID***: 5432AMDC
- Manufacturer Name***: TEST - Manufacturer Name by Alternate ID
- Date of First Sale***: 05/05/2018
- Generic Name***: 0.9% NAACL 250ML VIAFLO UK NP
- Strength of the Product***: 1000
- Volume per Item***: 100
- Number of Items per Alternate ID***: 1.00
- FDA Approval Date**: (empty)
- FDA Approval Type**: Select
- FDA Application Number/Registration Number**: (empty)
- FDA Application Supplement Number**: (empty)

5. Click on the **Save** button.

The screen displays the confirmation that the product submission has been successfully saved, as shown in Figure 6-5.

Figure 6-5: Add Product Data – Product Submission Saved Successfully

The screenshot shows the 'Add Product Data' form with a green confirmation message at the top: "5432AMDC product data has been saved successfully." The form fields are now empty or in a different state:

- NDC1***: Select
- NDC2***: (empty)
- NDC3***: (empty)
- Manufacturer Name***: (empty)
- Generic Name***: Select Generic Name
- Strength of the Product***: (empty)
- Volume per Item***: (empty)
- Number of Items per NDC***: (empty)
- FDA Approval Date***: (empty)
- FDA Approval Type***: Select
- FDA Application Number/Registration Number***: (empty)
- FDA Application Supplement Number**: (empty)

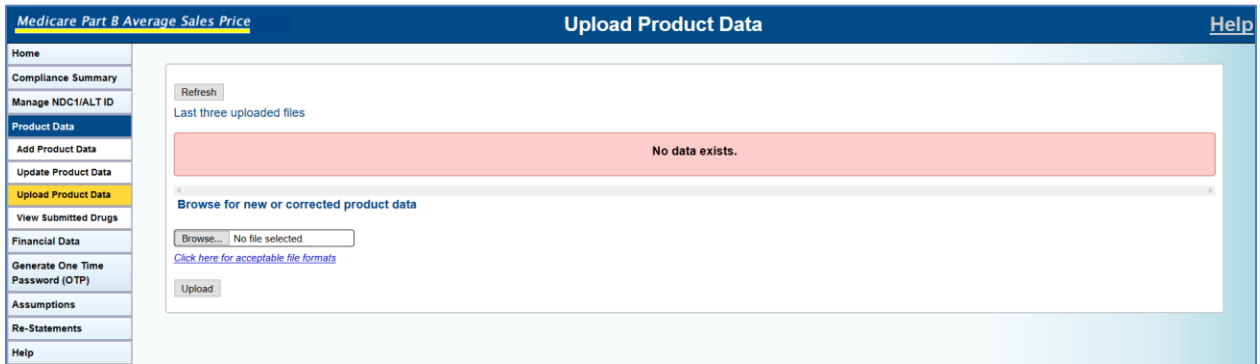
6.2 Upload Product Data

ASP provides drug manufacturers the ability to submit Medicare Part B drug data to CMS. Perform the following steps to upload drug product data using the file transfer process.

1. Click on **Product Data** from the menu on the left side of the screen, and then click on **Upload Product Data**.

The "Upload Product Data" screen displays, as shown in Figure 6-6.

Figure 6-6: Upload Product Data Screen



- To upload data, click on the **Browse...** button.

The file directory opens, as shown in Figure 6-7.

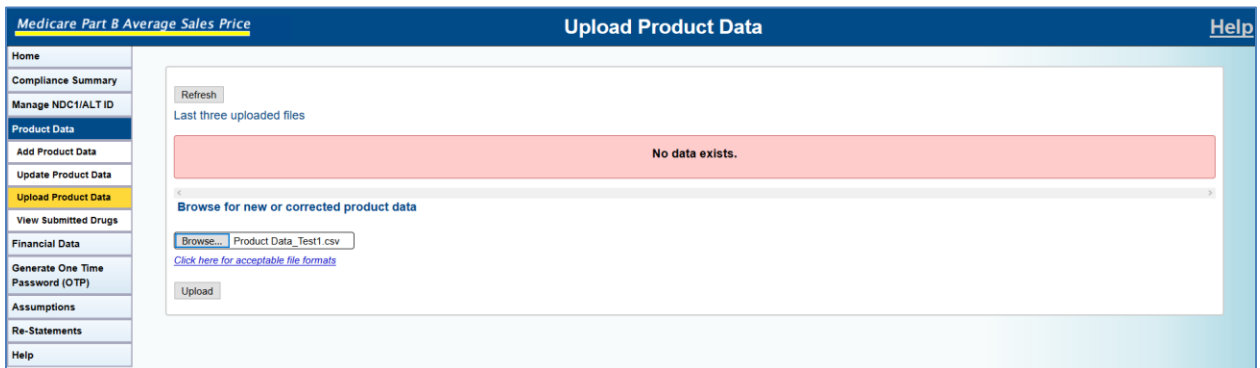
Figure 6-7: File Directory Window

	Financial Data_Test	7/2/2018 11:52 AM	Microsoft Excel Comma Separated Values File	8 KB
	Product Data_Test	4/16/2018 3:43 PM	Microsoft Excel Comma Separated Values File	13 KB

- Select a file and double-click on it.

The “Browse...” field is populated, as shown in Figure 6-8.

Figure 6-8: Upload Product Data Browse Field Populated



- Click on the **Upload** button.

A message displays confirming that the product data were saved successfully, and the drug data are listed, as shown in Figure 6-9.

Note: ASP offers a “Refresh” button for times when an upload takes longer to process; the system will notify you to come back later while the status for the upload will say “Processing.” If you want to know if the upload finished processing, you would click on the **Refresh** button and the system will give the current status of the upload. When the upload is finished, the status will say “Completed.”

Figure 6-9: Upload Product Data Saved Successfully

Note: Errors will be displayed in the “Status” column detailing what you will have to change in the Upload File.

Note: If there are errors in uploading the document where leading zeros are removed from the NDC and date field values, the file will need to be edited and certain columns reformatted. To do this, open your file and continue with Step 0. To be certain of file column formatting, click on the “Click here for acceptable file formats” link, or follow the criteria below.

- Open the “Upload Product” file, as shown in Figure 6-10.

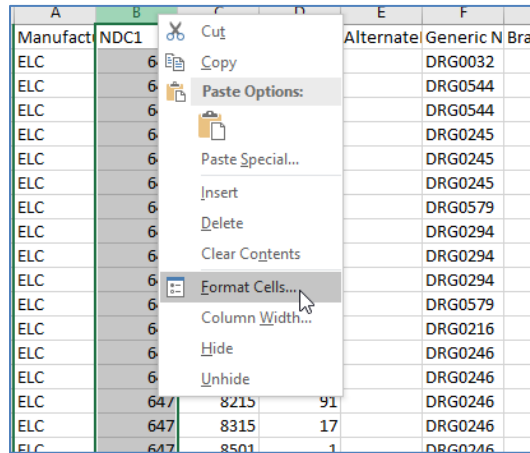
Figure 6-10: Upload Product File

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O	P	Q	R	S	T	U	V
Manufactur	NDC1	NDC2	NDC3	Alternate	Generic N	Brand	Strength	c	Volume	P	Number o	Expiration	Date of Firs	FDA Appli	FDA Appr	Additional	Additional	Additional	Additional	FDA Approval	Date
ELC	647	7140	1		DRG0032		2 mg/ml		5 ml		1		2/1/2005	103575	5110	BLA					12/16/2003
ELC	647	7501	1		DRG0544		200 MG		1		1		5/16/1996	20509	70	NDA					5/15/1996
ELC	647	7502	1		DRG0544		1 GM		1		1		5/16/1996	20509	70	NDA					5/15/1996
ELC	647	7510	1		DRG0245		100units/		10 ml		1		7/15/1996	20563	126	NDA					6/14/1996
ELC	647	7510	17		DRG0245		100units/		3 ml		1		1/4/2010	20563	126	NDA					6/14/1996
ELC	647	7516	59		DRG0245		100units/		3 ml		5		8/2/2000	20563	126	NDA					6/14/1996
ELC	647	7623	1		DRG0579		500 mg		1		1		2/13/2004	21462	40	NDA					2/4/2004
ELC	647	7635	11		DRG0294		210 MG		1		1		3/1/2010	22173	14	NDA					12/11/2009
ELC	647	7636	11		DRG0294		300 MG		1		1		3/1/2010	22173	14	NDA					12/11/2009
ELC	647	7637	11		DRG0294		405 MG		1		1		3/1/2010	22173	14	NDA					12/11/2009
ELC	647	7640	1		DRG0579		100 mg		1		1		1/10/2008	21462	40	NDA					2/4/2004
ELC	647	8031	1		DRG0216		1 mg		1		1		2/22/1999	20928	44	NDA					9/11/1998
ELC	647	8215	1		DRG0246		100units/		10 ml		1		7/1/1983	18780	138	NDA					10/28/1982
ELC	647	8215	17		DRG0246		100units/		3 ml		1		1/4/2010	18780	138	NDA					10/28/1982
ELC	647	8215	91		DRG0246		100units/		10 ml		1		8/16/2010	18780	138	NDA					10/28/1982
ELC	647	8315	17		DRG0246		100units/		3 ml		1		7/12/2010	18781	124	NDA					7/1/1983
ELC	647	8501	1		DRG0246		500 units/		20 ml		1		12/11/1996	18780	138	NDA					10/28/1982
ELC	647	8725	59		DRG0245		100units/		3 ml		5		11/9/1998	20563	126	NDA					6/14/1996
BMSC	648	293	5		DRG0384		40mg/ml		1		1		9/30/1990	14901		NDA					2/1/1965
BMSC	648	293	20		DRG0384		40mg/ml		5		1		9/30/1990	14901		NDA					2/1/1965
BMSC	648	293	28		DRG0384		40mg/ml		10		1		9/30/1990	14901		NDA					2/1/1965
BMSC	648	371	13		DRG0074		250mg		1		1		6/27/2011	125288		BLA					6/15/2011
BMSC	648	494	20		DRG0384		10mg/ml		5		1		9/30/1990	12041		NDA					1/4/1960
BMSC	648	2187	10		DRG0031		250mg		1		1		2/9/2006	125118		BLA					12/23/2005
BMSC	648	2327	11		DRG0563		5mg/ml		10		1		4/6/2011	125377		BLA					3/25/2011
BMSC	648	2328	22		DRG0563		5mg/ml		40		1		4/6/2011	125377		BLA					3/25/2011
GNTIH	649	188	9		DRG0232		3MG/3ML		0		1		3/2/2006	21858		NDA					1/6/2006
GNTIH	649	191	9		DRG0233		3MG/3ML		0		1		3/2/2006	21859		NDA					1/6/2006
GNTIH	649	259	1		DRG0465		250 MG. C		0		100		6/12/1995	50722		NDA					5/3/1995
GNTIH	649	259	5		DRG0465		250 MG. C		0		1440		6/12/1995	50722		NDA					5/3/1995
GNTIH	649	259	43		DRG0465		250 MG. C		0		500		6/12/1995	50722		NDA					5/3/1995
GNTIH	649	260	1		DRG0465		500MG TA		0		100		8/12/1997	50723		NDA					6/19/1997
GNTIH	649	260	43		DRG0465		500MG TA		0		500		8/12/1997	50723		NDA					6/19/1997
GNTIH	649	261	29		DRG0465		175ML ML		0		175		5/10/1999	50759		NDA					10/1/1998
GNTIH	649	1100	20		DRG0489		150 MG TA		0		60		5/15/1998	20896		NDA					4/30/1998
GNTIH	649	1101	50		DRG0489		500MG TA		0		120		5/15/1998	20896		NDA					4/30/1998

- To reformat a column, right-click on a column header.

The “Column Editing” dropdown displays, as shown in Figure 6-11.

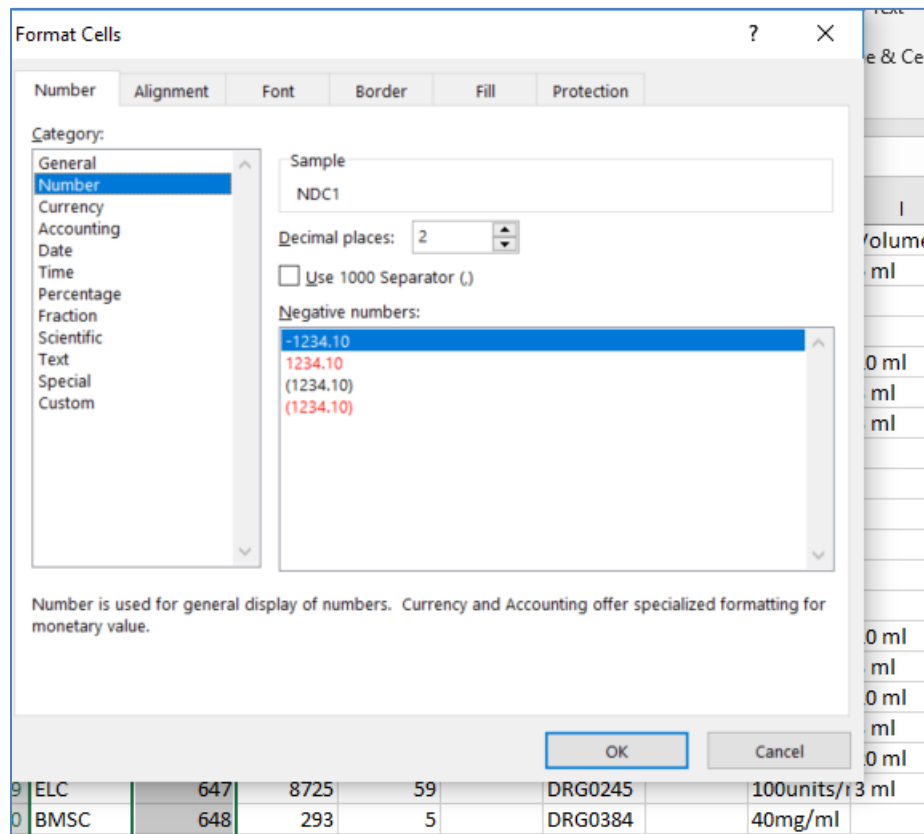
Figure 6-11: Upload Product Data Column Editing Dropdown



- Select “Format Cells.”

The “Format Cells” window displays, as shown in Figure 6-12.

Figure 6-12: Upload Product Data Format Cells Window

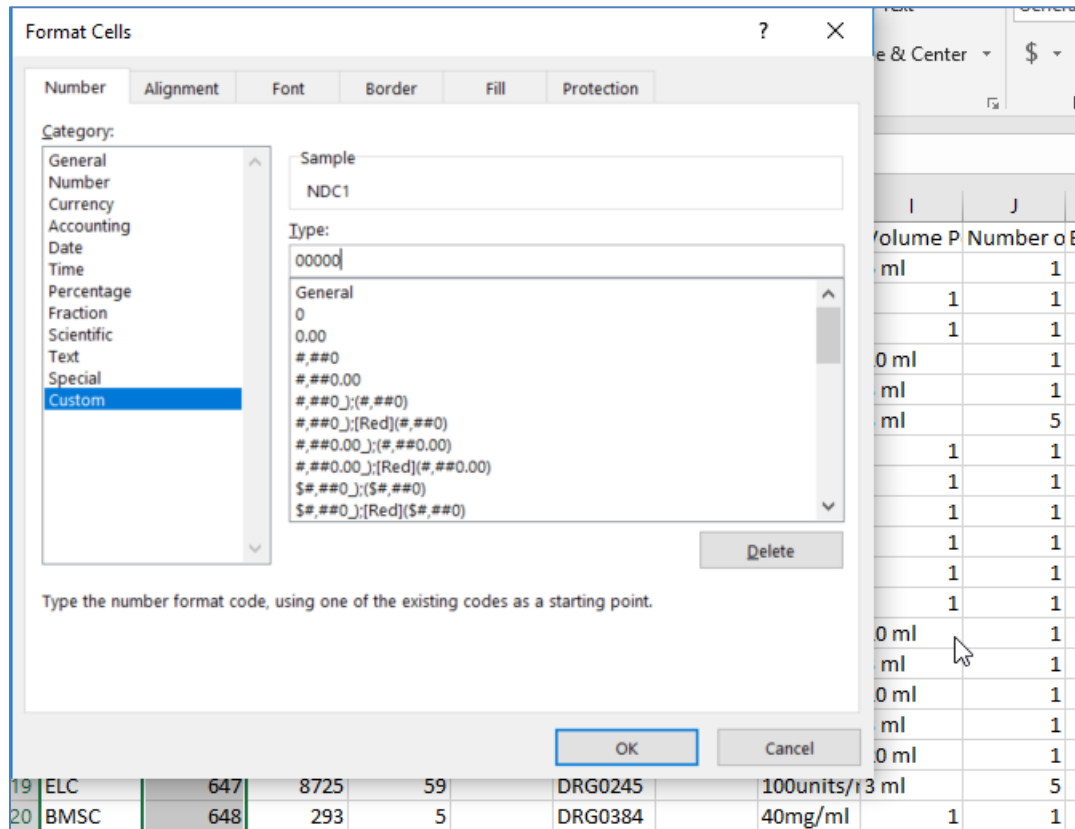


8. Make the following changes according to the below criteria:

Note: For NDC1, NDC2, and NDC3 columns, select “Number” and then “Custom.”

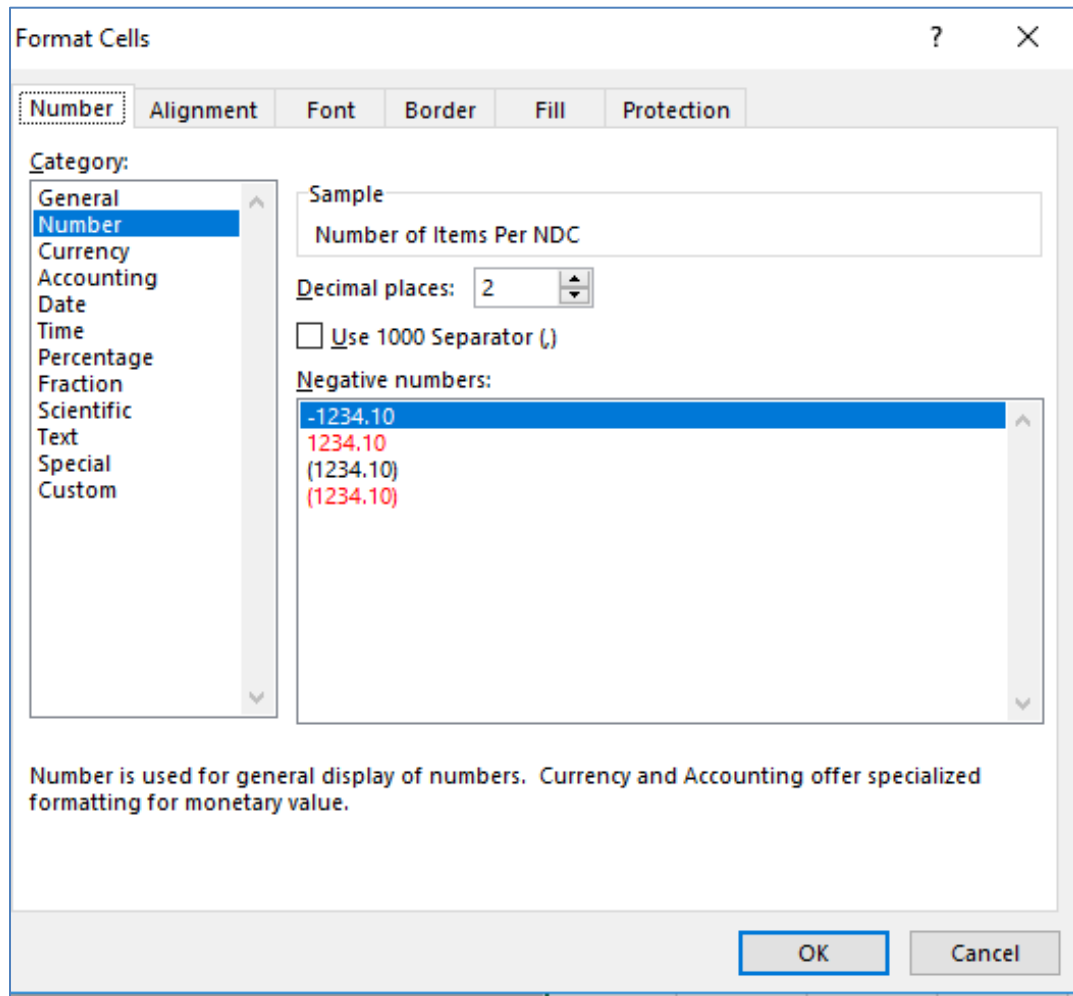
- NDC1: Type 5 0s (00000), click on the **OK** button, and repeat from Step 6 for any other column changes
- NDC2: Type 4 0s (0000), click on the **OK** button, and repeat from Step 6 for any other column changes
- NDC3: Type 2 0s (00), click on the **OK** button, and repeat from Step 6 for any other column changes

Figure 6-13: Upload Product Data Format Cells Custom Editing Example



Note: For the Number of Items Per NDC column, select “Number” ensure the “Decimal places” field is set to 2, and then click on the **OK** button. Repeat from Step 6 for any other column changes, as shown in Figure 6-14.

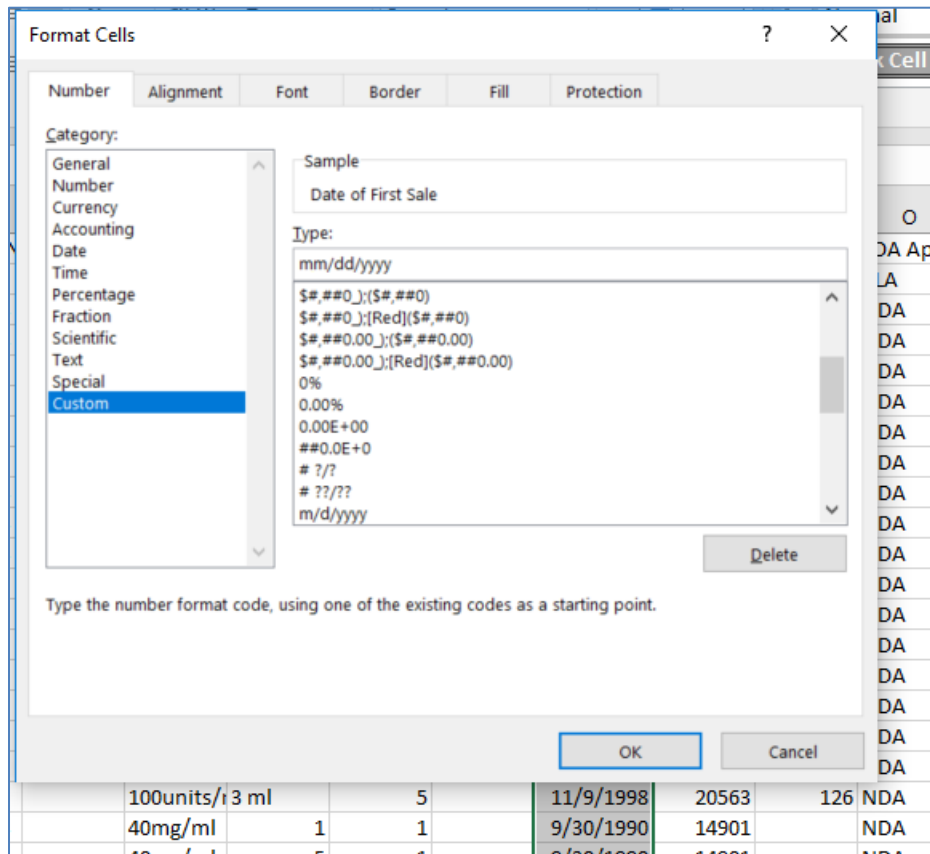
Figure 6-14: Upload Product Data Format Cells Custom Editing Example



Note: For the Expiration Date, Date of First Sale, and FDA Approval Date columns, select “Date” and then “Custom.”

- Expiration Date of Final Lot Sold: Type MM/DD/YYYY, click on the **OK** button, and repeat from Step 6 for any other column changes
- Date of First Sale: Type MM/DD/YYYY, click on the **OK** button, and repeat from Step 6 for any other column changes
- FDA Approval Date: Type MM/DD/YYYY, click on the **OK** button, and repeat from Step 6 for any other column changes

Figure 6-15: Upload Product Data Format Cells Date Custom Editing Example



9. Save the file and go back to Step 2.

Note: Be sure that you do NOT change any of the column headers, as that will invalidate the upload.

Note: Any time that you have to retrieve a file to edit, you will have to perform Steps 0 through 8 again, before you resave the file.

6.3 Update Product Data

Update Product Data allows drug manufacturers the ability to update drug product data to CMS.

1. Click on **Product Data** from the menu on the left side of the screen, and then click on **Update Product Data**.

The “Update Product Data” screen displays with the current reporting period showing, as shown in Figure 6-16.

Figure 6-16: Update Product Data Screen

The screenshot shows the 'Update Product Data' screen with the following fields and options:

- Update by NDC** (selected) / **Update by Alternate ID**
- Select Drug Identifier**: dropdown menu (empty)
- Manufacturer Name***: text input (empty)
- Has Brand Name?**: checkbox (unchecked)
- Generic Name***: dropdown menu (empty)
- Date of First Sale***: text input (empty)
- Expiration Date of Final Lot Sold**: text input (empty)
- Strength of the Product***: text input (empty)
- FDA Approval Date***: text input (empty)
- FDA Approval Type***: dropdown menu (empty)
- FDA Application Number/Registration Number***: text input (empty)
- FDA Application Supplement Number**: text input (empty)
- Volume Per Item***: text input (empty)
- Number of Items per NDC***: text input (empty)

- To update fields by NDC, select the “Update by NDC” tab.
Note: To update fields by Alternate ID, go to step 6.
- Select Drug Identifier: dropdown menu required

All of the fields automatically populate, as shown in Figure 6-17.

Figure 6-17: Update Product Data Screen, Update by NDC Tab Fields Populated

The screenshot shows the 'Update Product Data' screen with the following fields populated:

- Update by NDC** (selected) / **Update by Alternate ID**
- Select Drug Identifier***: 66666-2222-44
- Manufacturer Name***: TEST - NEW MANUFACTURER
- Has Brand Name?**: checkbox (checked)
- Generic Name***: 0.9% NAACL 250ML VIALFO UK NP
- Date of First Sale***: 06/01/2019
- Expiration Date of Final Lot Sold**: (empty)
- Strength of the Product***: 1000
- FDA Approval Date***: 05/05/2019
- FDA Approval Type***: 510(K)
- FDA Application Number/Registration Number***: 4444
- FDA Application Supplement Number**: (empty)
- Volume Per Item***: 10
- Number of Items per NDC***: 2.00

- Make any updates using the following criteria.
 - Has Brand Name?: checkbox optional
 - Brand Name: field is only displayed if the “Has Brand Name?” box is checked required if “Has Brand Name?” box is checked limited to 250 characters
 - Generic Name: dropdown list required

New Generic Name: displayed only if selecting “Add New Generic Name” from the “Generic Name” dropdown list
required
limited to 250 characters

Date of First Sale: MM/DD/YYYY format
required
cannot occur before the FDA approval date
must occur prior to the “Current Reporting Period” start date

Expiration Date of Final Lot Sold: MM/DD/YYYY format
optional

Strength of the Product: required
limited to 500 characters

Volume Per Item: required
limited to 250 characters

Number of Items per NDC: numeric
required
limited to 9 digits and 2 decimal places

FDA Approval Date: required
MM/DD/YYYY format
must be prior to “Current Reporting Period” start date

FDA Application Number/Registration Number: required
alphanumeric
up to 10 characters
can have up to 2 more optional entries by clicking on the “Add New Application Numbers” link

FDA Approval Type: required
dropdown list

FDA Application Supplement Number: optional
alphanumeric
up to 9 characters
can have up to 2 more optional entries by clicking on the “Add New Application Numbers” link

The fields populate with the entered data.

5. Click on the **UPDATE** button.

The screen displays the confirmation that the product submission has been saved, as shown in Figure 6-18.

Figure 6-18: Update Product Data Screen – Update by NDC Saved Successfully

6. To update fields by Alternate ID, select the “Update by Alternate ID” tab, select an alternate ID from the dropdown list, and use the following requirements:

Has Brand Name?: checkbox
optional

Brand Name: field is only displayed if “Has Brand Name?” box is checked
required if “Has Brand Name?” box is checked
limited to 250 characters

Generic Name: dropdown list
required

New Generic Name: only displayed when selecting “Add New Generic Name” from the “Generic Name” dropdown list
required
limited to 250 characters

Date of First Sale: MM/DD/YYYY format
required
cannot occur before the FDA approval date
must occur prior to the “Current Reporting Period” start date

Expiration Date of Final Lot Sold: MM/DD/YYYY format
optional

Strength of the Product: required
limited to 500 characters

Volume Per Item: required
limited to 250 characters

Number of Items per Alternate ID: numeric
required
up to 9 digits and 2 decimal places

FDA Approval Date: optional
MM/DD/YYYY format
must be prior to “Current Reporting Period” start date

FDA Application Number/Registration Number: optional
alphanumeric
up to 10 characters
can have up to 2 more optional entries by clicking on the “Add New Application Numbers” link

FDA Approval Type: optional
dropdown list

FDA Application Supplement Number: optional
alphanumeric
up to 9 characters
can have up to 2 more optional entries by clicking on the “Add New Application Numbers” link

The fields populate with the entered data.

- Click on the **UPDATE** button.

The screen displays the confirmation that the product submission has been saved, as shown in Figure 6-19.

Figure 6-19: Update Product Data Screen – Update by Alternate ID Updated Successfully

6.4 View Submitted Drugs

Drug manufacturers have the ability to view drug data that have been submitted during the current reporting period. Drug manufacturers cannot update or edit drug data using this feature.

Perform the following steps to view submitted drug data:

1. Click on **Product Data** from the menu on the left side of the screen, and then click on **View Submitted Drugs**.

The “View Submitted Drugs” window displays, as shown in Figure 6-20.

Figure 6-20: View Submitted Drugs Screen

Drug Identifier	Generic (Brand Name)	Manufacturer's ASP	Status
32334-9029-11	CINACALCET (Sensipar®)		PENDING
32334-9029-22	CINACALCET (Sensipar®)		PENDING
32334-9029-22	0.9% NAACL 250ML VIAFLO UK NP		PENDING
32334-9029-33	CINACALCET (Sensipar®)		PENDING

This can be used to scroll through the list of drugs displayed on the “View Submitted Drugs” page in order to view submitted drug data and status. This can also be used to enter the “Drug Identifier” field and click on the **Search** button to filter the results to view a particular drug’s data, using either a full or partial search of the drug identifier.

7. Financial Data

Drug manufacturers are required to submit quarterly drug data to the ASP application for ASP pricing using a file transfer process or through online data entry. Drug data consists of product data and financial data. The following subsections detail the steps required to submit drug financial data using online data entry and through approved file uploads

7.1 Add/Edit Financial Data

The ASP application provides the drug manufacturer the ability to submit Medicare Part B drug financial data to CMS. Perform the following steps to add drug financial data manually using the online data entry process. To upload financial data for multiple drugs at once from a file, skip to section 7.2.

1. Click on **Financial Data** from the menu on the left side of the screen, and then click on **Add/Edit Financial Data**.

The “Add/Edit Financial Data” page displays a listing of the drugs, as shown in Figure 7-1.

Figure 7-1: Add/Edit Financial Data Screen

Drug Identifier	Generic (Brand Name)	Manufacturer's ASP*	Number of ASP units*	Wholesale Acquisition Cost*	Number of Cap Units Excluded	Status	View Details
32334-9029-11	CINACALCET (Sensipar®)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	PENDING	Product
32334-9029-22	CINACALCET (Sensipar®)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	PENDING	Product
32334-9029-22	0.9% NAACL 250ML VIAFLO UK NP	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	PENDING	Product
32334-9029-33	CINACALCET (Sensipar®)	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	PENDING	Product

2. Scroll through the list of drugs displayed on the “Add/Edit Financial Data” page in order to locate the drug(s) needing financial data added or updated, or enter the drug identifier in the “Drug Identifier” field, and click on the **Search** button to filter the results.
3. Enter the Manufacturer’s ASP, Number of ASP Units, Wholesale Acquisition Cost, and Number of CAP Units Excluded in the respective fields, using the following criteria:

Manufacturer’s ASP: numeric
 Must have three decimal places (i.e., XXXXXXXXXXXX.XXX).
 can be a positive number, a negative number, or be equal to 0.000

Number of ASP units: numeric
 must have three decimal places (i.e., XXXXXXXXXXXX.XXX).
 can be a positive number, a negative number, or be equal to 0.000

Wholesale Acquisition Cost: numeric
 must have three decimal places (i.e., XXXXXXXXXXXX.XXX).
 can be a positive number, a negative number, or be equal to 0.000

Number of Cap Units Excluded: optional
 numeric
 must have three decimal places (i.e., XXXXXXXXXXXX.XXX).
 can be a positive number or be equal to 0.000

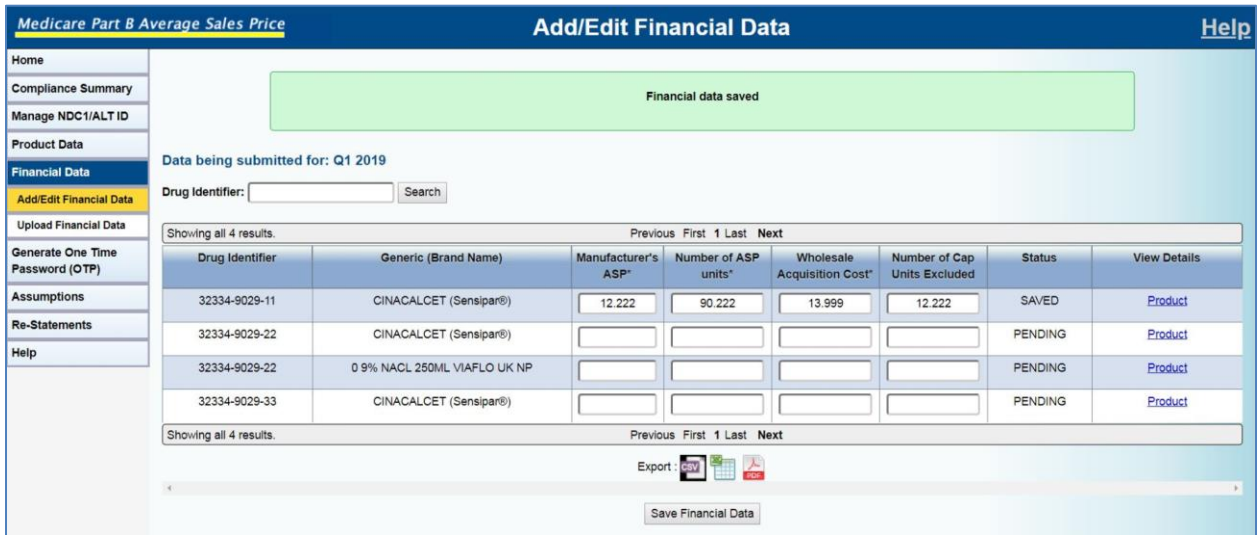
The fields populate, as shown in Figure 7-2.

Figure 7-2: Add/Edit Financial Data Screen – Fields Populated

Drug Identifier	Generic (Brand Name)	Manufacturer's ASP*	Number of ASP units*	Wholesale Acquisition Cost*	Number of Cap Units Excluded	Status	View Details
32334-9029-11	CINACALCET (Sensipar®)	12.222	90.222	13.999	12.222	PENDING	Product
32334-9029-22	CINACALCET (Sensipar®)					PENDING	Product
32334-9029-22	0.9% NAACL 250ML VIAFLO UK NP					PENDING	Product
32334-9029-33	CINACALCET (Sensipar®)					PENDING	Product

- Click on the **Save Financial Data** button to add/update the Drug Identifier financial data. A message displays indicating that the Drug Identifier financial data have been saved to the ASP application and the status of the drug changes from “PENDING” to “SAVED,” as shown in Figure 7-3.

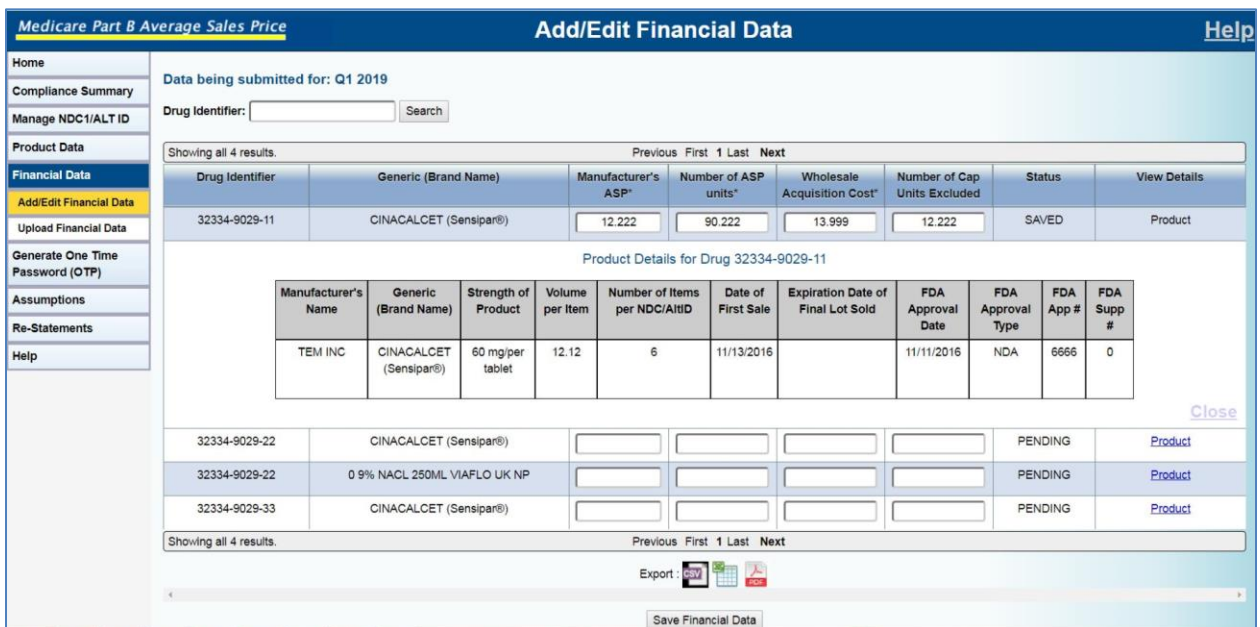
Figure 7-3: Add/Edit Financial Data Screen – Financial Data Saved



- To view the product data for the Drug Identifier, click on the “Product” link in the “View Details” tab.

The product data for the selected financial data display, as shown in Figure 7-4.

Figure 7-4: Add/Edit Financial Data Screen – Selected Financial Data



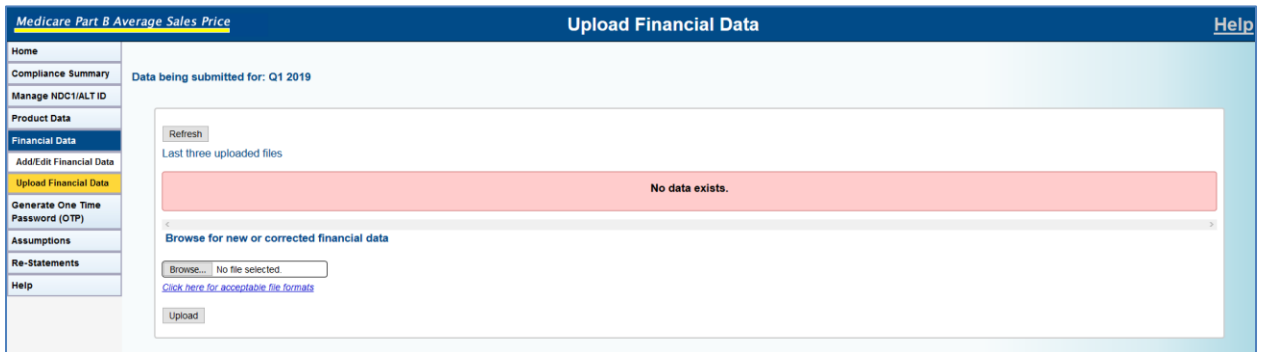
7.2 Upload Financial Data

ASP provides the drug manufacturer the ability to submit Medicare Part B financial data to CMS. Perform the following steps to upload drug financial data using the file transfer process.

- Click on **Financial Data** from the menu on the left side of the screen, and then click on **Upload Financial Data**.

The “Upload Financial Data” screen displays, as shown in Figure 7-5.



Figure 7-5: Upload Financial Data Screen



- To upload data, click on the **Browse...** button.

The file directory opens, as shown in Figure 7-6.

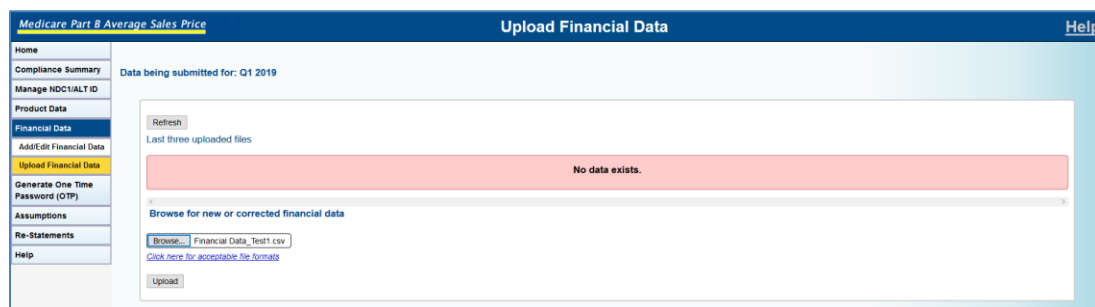
Figure 7-6: File Directory Window

	Financial Data_Test	12/23/2016 4:52 PM	Microsoft Excel Comma Separated Values File
	Product Data_Test	4/16/2018 3:43 PM	Microsoft Excel Comma Separated Values File

- Select a file and double-click on it.

The “Browse...” field is populated, as shown in Figure 7-7.

Figure 7-7: Upload Financial Data Browse Field Populated

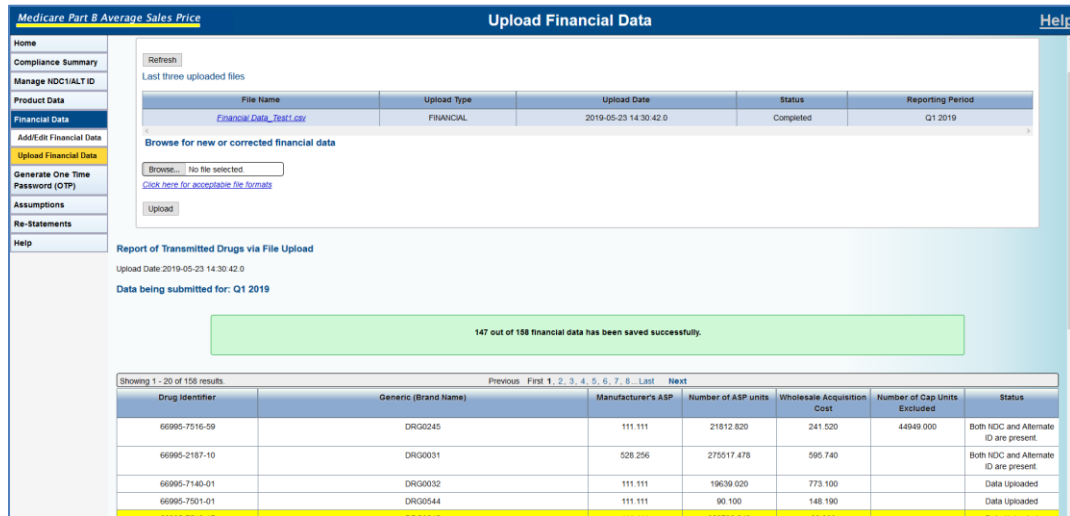


- Click on the **Upload** button.

A message displays confirming that the financial data were uploaded successfully, and the drug financial data are listed, as shown in Figure 7-8.

Note: ASP offers a “Refresh” button for times when an upload takes longer to process; the system will notify you to come back later while the status for the upload will say “Processing.” If you want to know if the upload finished processing, you would click on the **Refresh** button and the system will give the current status of the upload. When the upload is finished, the status will say “Completed.”

Figure 7-8: Upload Financial Data Saved Successfully



Note: Errors will be displayed in the “Status” column detailing what you will have to change in the Upload File.

Note: If there are errors in uploading the document where leading zeros are removed from the NDC and date field values, the file will need to be edited and certain columns reformatted. To do this, open your file and continue with Step 5. To be certain of file column formatting, click on the “Click here for acceptable file formats” link, or follow the criteria below.

- Open the “Upload Financial” file, as shown in Figure 7-9.

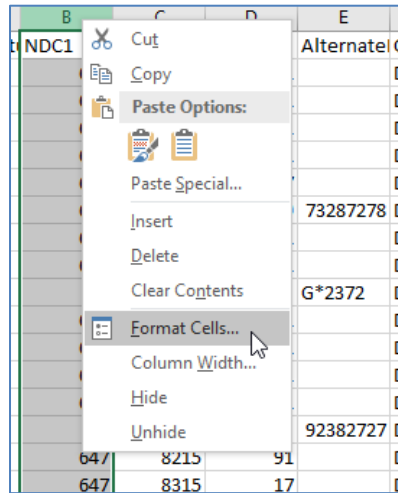
Figure 7-9: Upload Financial Data File

Manufacturer	NDC1	NDC2	NDC3	Alternate	Generic N	Brand Name	Manufacturer's ASP	Number of ASP units	Wholesale Acquisition Cost	Number of Cap Units Excluded
ELC	647	7140	1	DRG0032			967644.6	19639.02	773.1	
ELC	647	7501	1	DRG0544			145.23	90.1	148.19	
ELC	647	7502	1	DRG0544			398.71	5.23E+09		
ELC	647	7510	1	DRG0245			104.65	3.21E+09	130	
ELC	647	7510	17	DRG0245			17.18	329708.2	39	
ELC	647	7516	59	73287278	DRG0245		206.43	21812.82	241.52	44949
ELC	647	7623	1	DRG0579			2739.25	76487.84	2818.75	
ELC	647	7635	11	DRG0294			545.37	224.88	556.5	0
ELC	647	7637	11	G*2372	DRG0294		779.1	2197.76	795	-1
ELC	647	7640	1	DRG0294			1051.13	1512.29	1073.25	2
ELC	647	8031	1	DRG0579			546.94	-7	563.75	hh
ELC	647	8215	1	DRG0216			135.75	213207.3	138.98	
ELC	647	8215	1	DRG0246			46.12	229545.6	70.4	
ELC	647	8215	91	92382727	DRG0246		4.66	455360.2	21.12	1E+09
ELC	647	8315	17	DRG0246			17.69	0	18.15	
ELC	647	8315	17	DRG0246			6.01	76992.82		
ELC	647	8501	1	DRG0246			565.89	79204.13	704	
ELC	647	8725	59	DRG0245			0	0	207.85	
BMSC	648	293	5	DRG0384			8.042	781947.7	8.55	
BMSC	648	293	20	DRG0384			39.447	148675.6	43.39	
BMSC	648	293	28	DRG0384			0	236381.5	64.8	
BMSC	648	371	13	DRG0074			897.76	2657.74	923	
BMSC	648	2187	10	68329828	DRG0384		9.767	142772.6	11.04	
BMSC	648	2327	11	DRG0031			528.256	275517.5	595.74	
BMSC	648	2327	11	DRG0563			5904.116	4150.718	6000	
BMSC	648	2328	22	DRG0563			23616.52	0	24000	
GNTHI	649	188	9	DRG0232			0	0	439.16	
GNTHI	649	191	9	DRG0233			428.789	5110	439.16	
GNTHI	649	259	1	DRG0465			0	26631	439.16	
GNTHI	649	259	5	DRG0465			7648.63	181	7942.81	
GNTHI	649	259	43	DRG0465			2647.225	1682	7942.81	
GNTHI	649	260	1	DRG0465			1061.597	18168	1103.17	
GNTHI	649	260	43	DRG0465			5275.052	1461	5515.84	
GNTHI	649	261	29	DRG0465			882.067	11362	908.85	
GNTHI	649	1100	20	DRG0489			502.218	6880	527.72	
GNTHI	649	1101	50	DRG0489			3341.122	54671	3517.67	
GNTHI	649	1101	75	DRG0489			0	0	3517.67	

- To reformat a column, right-click on a column header.

The “Column Editing” dropdown displays, as shown in Figure 7-10.

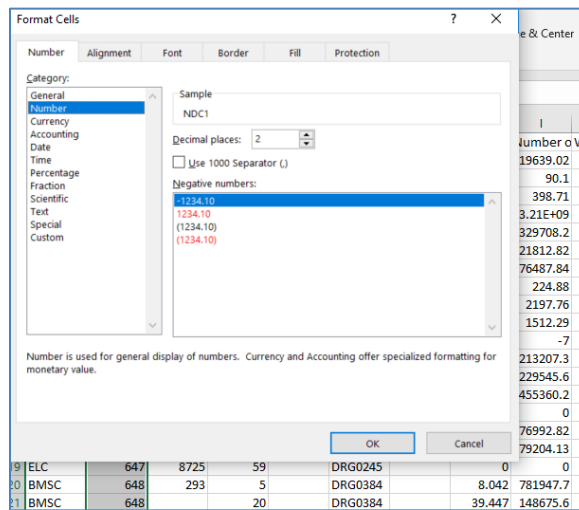
Figure 7-10: Upload Financial Data Column Editing Dropdown



7. Select “Format Cells.”

The “Format Cells” window displays, as shown in Figure 7-11.

Figure 7-11: Upload Financial Data Format Cells Number Editing

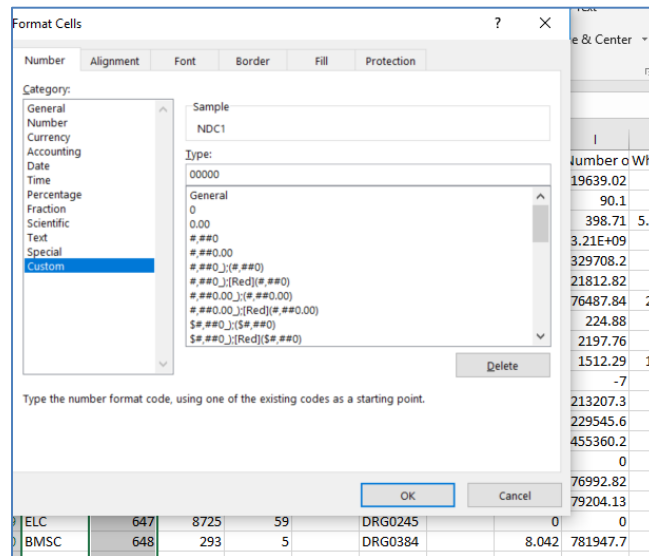


8. Make the following changes in the “Type” field according to the below criteria:

Note: For NDC1, NDC2, and NDC3 columns, select “Number” and then “Custom.”

- NDC1: Type 5 0s (00000), click on the **OK** button, and repeat from Step 7 for any other column changes
- NDC2: Type 4 0s (0000), click on the **OK** button, and repeat from Step 7 for any other column changes
- NDC3: Type 2 0s (00), click on the **OK** button, and repeat from Step 7 for any other column changes

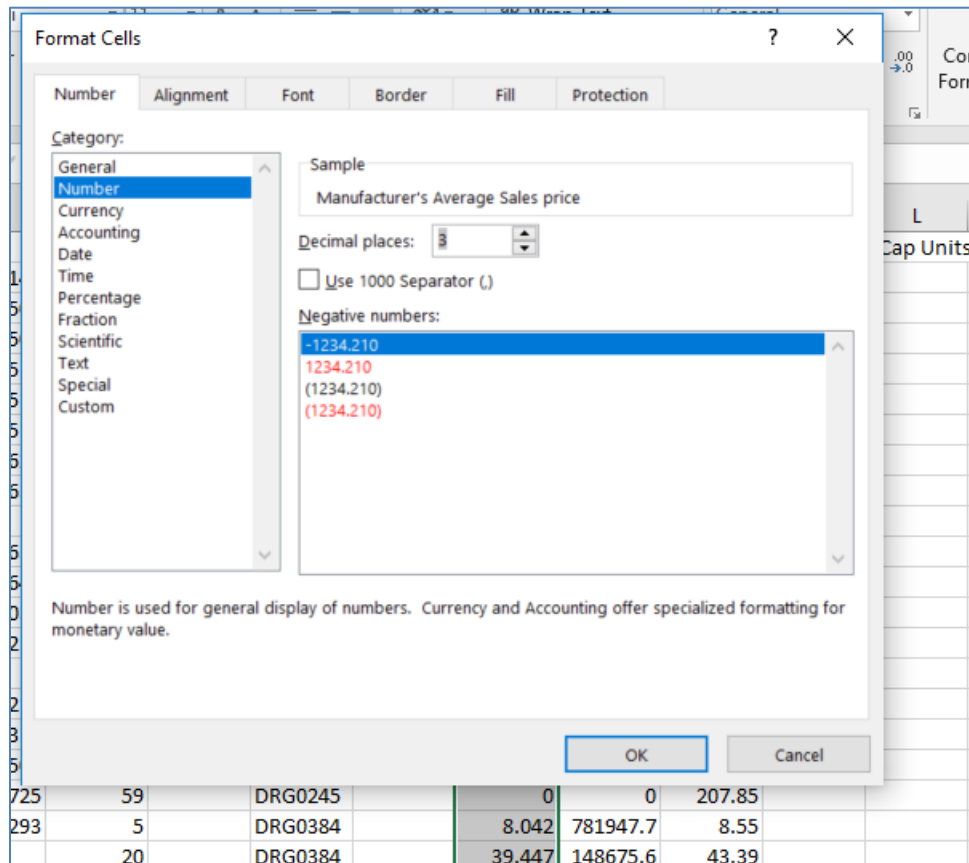
Figure 7-12: Upload Financial Data Format Cells Number Custom Editing Example



Note: For Manufacturer’s Average Sales Price, Number of ASP Units, Wholesale Acquisition Cost, and Number of CAP Units Excluded, select “Number.”

- Manufacturer’s Average Sales Price: Change the number of decimal places to “3,” click on the **OK** button, and repeat from Step 7 for any other column changes
- Number of ASP Units: Change the number of decimal places to “3,” click on the **OK** button, and repeat from Step 7 for any other column changes
- Wholesale Acquisition Cost: Change the number of decimal places to “3,” click on the **OK** button, and repeat from Step 7 for any other column changes
- Number of CAP Units Excluded: Change the number of decimal places to “3,” click on the **OK** button, and repeat from Step 7 for any other column changes

Figure 7-13: Upload Financial Data Format Cells Number Editing Example



9. Save the file and go back to Step 2.

Note: Be sure that you do NOT change any of the column headers, as that will invalidate the upload.

Note: Any time that you have to retrieve a file to edit, you will have to perform Steps 6 through 8 again, before you resave the file.

8. Generate One Time Password (OTP) - Submitter

Once the ASP Submitter successfully enters all the Product and Financial Data for the first time into the ASP application, the ASP Submitter can generate a One Time Password (OTP) for each Manufacturer Name. Once the OTP is generated, the ASP Submitter can provide the OTP to the Certifier. The OTP expires after 7 days of being generated. If the OTP expires, the ASP Submitter can generate another OTP and once again provide the OTP to the ASP Certifier. There can only be one active Certifier for a manufacturer. If the Certifier changes, the Submitter has to share a new OTP with the new Certifier.

1. Click on **Generate One Time Password (OTP)** from the menu on the left side of the screen.

The “Generate One Time Password (OTP)” screen displays, as shown in Figure 8-1.

Figure 8-1: Generate One Time Password (OTP) Screen

2. Select a Manufacturer name from the “Please select the Manufacturer Name*.” dropdown list.

The selected Manufacturer populates in the field, as shown in Figure 8-2.

Figure 8-2: Generate OTP – Please select the Manufacturer name*: Field Populated

3. Click on the **Generate One Time Password (OTP)** button.

The OTP displays and is available for 7 days, as shown in Figure 8-3.

Figure 8-3: OTP Generated Successfully

Medicare Part B Average Sales Price Generate One Time Password (OTP) [Help](#)

Home
Compliance Summary
Manage NDC1/ALT ID
Product Data
Financial Data
Generate One Time Password (OTP)
Assumptions
Re-Statements
Help

Generated OTP successfully. Expires 11/12/2018.

Please select the Manufacturer Name* :

[Generate One Time Password \(OTP\)](#)

All ASP submissions by an authorized submitter to CMS must be certified by an authorized certifier. Please click below to generate a one-time password (OTP) and share this with your data certifier. Upon accessing the system to certify your manufacturer's data, they will be asked for this password to verify their identity.

irtj1t8MSzy1iQz4xecr7A

One Time Password expires on 11/12/2018

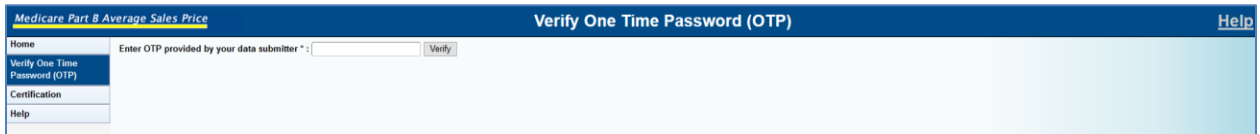
9. Verify OTP - Certifier

Once the ASP Submitter generates and provides an OTP for each Manufacturer Name to the Certifier, the Certifier must verify the OTP. The one-time password expires after 7 days of being generated. If the OTP expires, the ASP Submitter can generate another OTP and once again provide the OTP to the ASP Certifier.

1. Click on **Verify One Time Password (OTP)** from the menu on the left side of the screen.

The “Verify One Time Password (OTP)” screen displays, as shown in Figure 9-1.

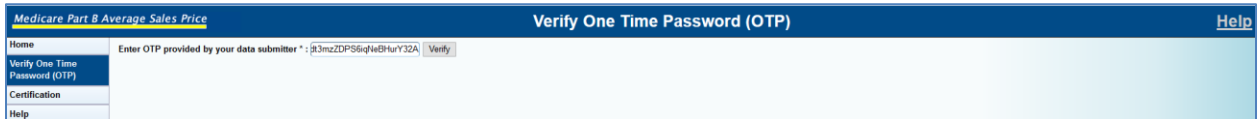
Figure 9-1: Verify One Time Password (OTP) Screen



2. Enter the OTP in the “Enter OTP provided by your data submitter*” field.

The “Enter OTP provided by your data submitter*” field populates, as shown in Figure 9-2.

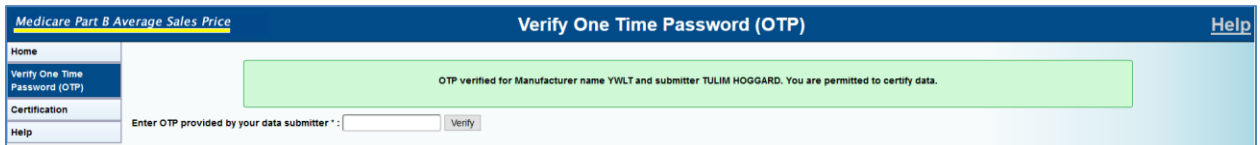
Figure 9-2: Verify OTP – Enter OTP provided by your data submitter*: Field Populated



3. Click on the **Verify** button.

A message displays that the OTP has been verified and the data for that manufacturer are ready for certification, as shown in Figure 9-3.

Figure 9-3: Verify OTP – OTP Verified Message



10. Assumptions

10.1 Assumptions - Submitter

Drug Manufacturers can submit comments regarding their certifications to CMS. These comments may be submitted for either the current or prior reporting periods. Perform the following steps to submit certification assumptions to CMS.

1. Begin by clicking on **Assumptions** button from the menu on the left side of the screen.

The “Assumptions” page displays showing the current report period, as shown in Figure 10-1.

Figure 10-1: Assumptions Screen - Submitter

2. Select the desired reporting period from the “For Reporting Period” dropdown list and select the desired manufacturer name from the “Manufacturer Name” dropdown list.

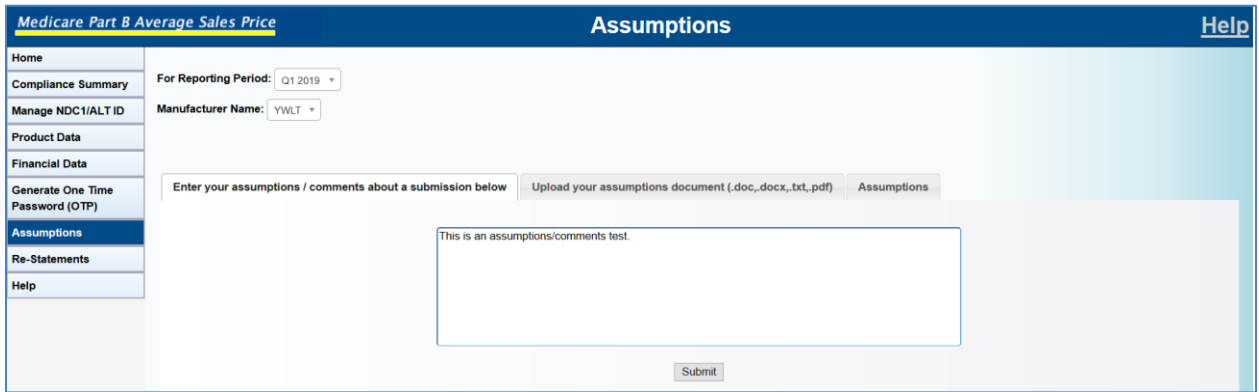
The “Assumptions” page is shown with the Manufacturer name populated, as shown in Figure 10-2.

Figure 10-2: Assumptions – For Reporting Period Field Populated

3. Select the “Enter your assumptions / comments about a submission below” tab and enter your comment in the text field.

The text field is populated, as shown in Figure 10-3.

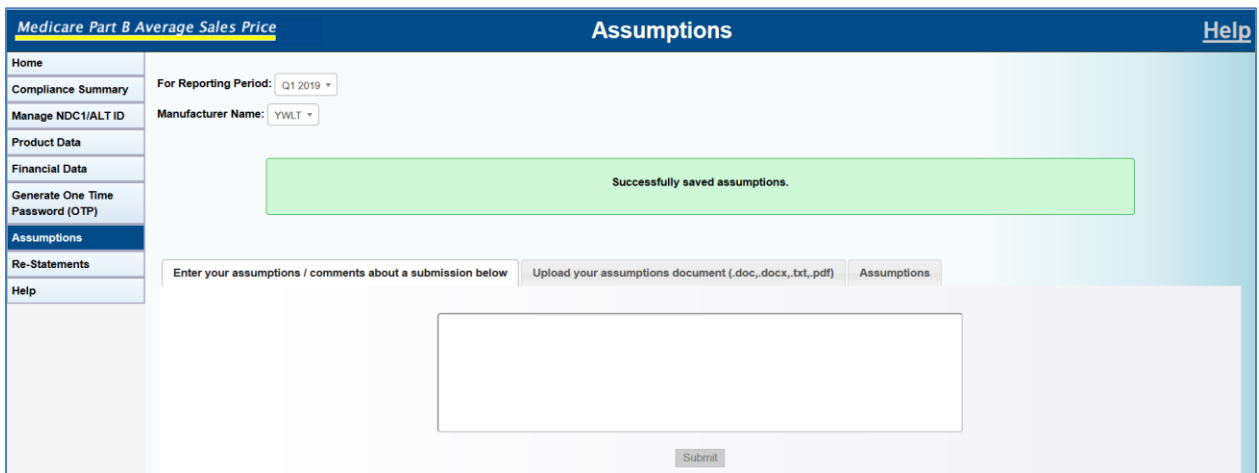
Figure 10-3: Assumptions – Comments Field Populated



4. Click on the **Submit** button.

A message displays that the assumption has been successfully saved, as shown in Figure 10-4.









Figure 10-4: Assumptions Saved Successfully



5. To upload an assumption, select a Manufacturer Name, select the “Upload your assumptions document (.doc,.docx,.txt,.pdf)” tab, and click on the **Browse** button.

The file directory window opens, as shown in Figure 10-5.

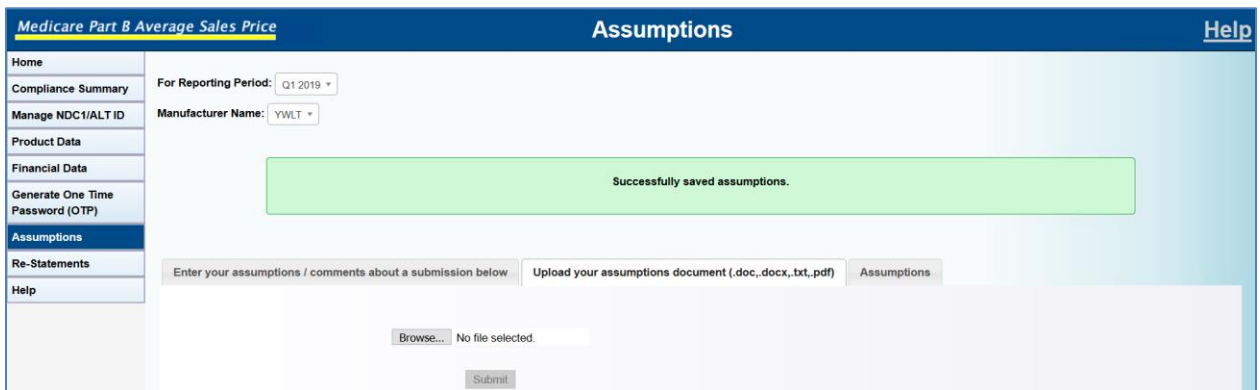
Figure 10-5: File Directory Window

 Test Upload Files	11/8/2017 10:59 AM	File folder	
 Assumptions.docx	2/2/2018 10:39 AM	Microsoft Word D...	12 KB
 FinanceTest1.csv	2/17/2017 11:59 AM	Microsoft Excel C...	7 KB
 FinanceTest2.csv	2/17/2017 12:03 PM	Microsoft Excel C...	7 KB
 FinanceTest3.csv	9/19/2017 3:35 PM	Microsoft Excel C...	8 KB
 NewProductTest1.csv	9/18/2017 11:01 AM	Microsoft Excel C...	11 KB
 NewProductTest2.csv	9/18/2017 10:50 AM	Microsoft Excel C...	11 KB
 NewProductTest3.csv	9/18/2017 10:54 AM	Microsoft Excel C...	12 KB

6. Select the document to upload, and click on the **Submit** button.

A message displays that the assumption has been successfully saved, as shown in Figure 10-6.

Figure 10-6: Assumptions Saved Successfully

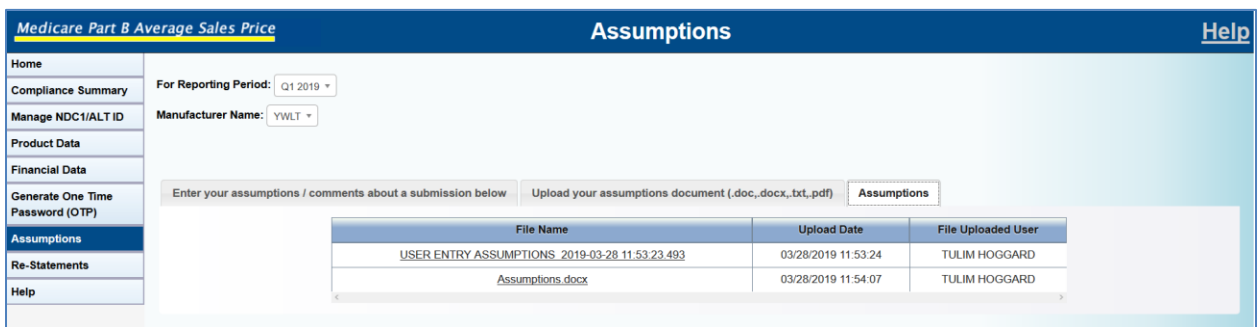


The screenshot shows the 'Assumptions' page with a green message box stating 'Successfully saved assumptions.' The page includes a navigation menu on the left, a reporting period dropdown set to 'Q1 2019', and a manufacturer name dropdown set to 'YWLT'. There are input fields for assumptions and a file upload section with a 'Browse...' button and a 'Submit' button.

7. To view assumptions that have been added, select a Manufacturer Name and click on the “Assumptions” tab.

The added assumptions are listed, as shown in Figure 10-7.

Figure 10-7: Assumptions Listed



The screenshot shows the 'Assumptions' page with the 'Assumptions' tab selected. A table lists the following assumptions:

File Name	Upload Date	File Uploaded User
USER ENTRY ASSUMPTIONS_2019-03-28_11:53:23.493	03/28/2019 11:53:24	TULIM HOGGARD
Assumptions.docx	03/28/2019 11:54:07	TULIM HOGGARD

The Assumptions can be viewed and opened by clicking the file link in the “File Name” column.

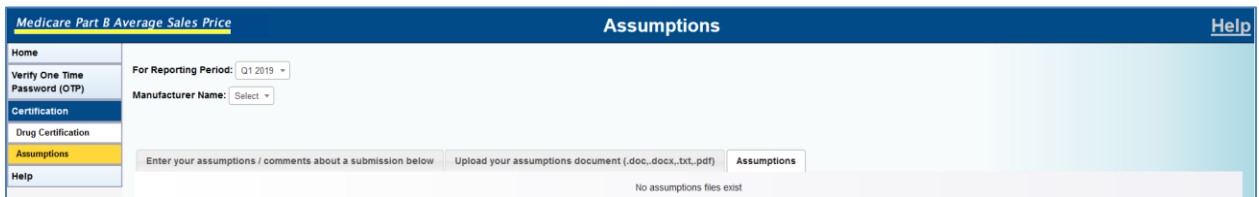
10.2 Assumptions – Certifier

Drug Manufacturers can submit comments regarding their certifications to CMS. These comments may be submitted for either the current or prior reporting periods. Perform the following steps to submit certification assumptions to CMS.

1. Begin by clicking on **Certification** from the menu on the left side of the screen, and then click on **Assumptions**.

The “Assumptions” page displays showing the current report period, as shown in Figure 10-8.

Figure 10-8: Assumptions Screen - Certifier



2. Select the desired reporting period from the “For Reporting Period” dropdown list and select the desired manufacturer name from the “Manufacturer Name” dropdown list.

The “Assumptions” page is shown with the Manufacturer name populated, as shown in Figure 10-9.

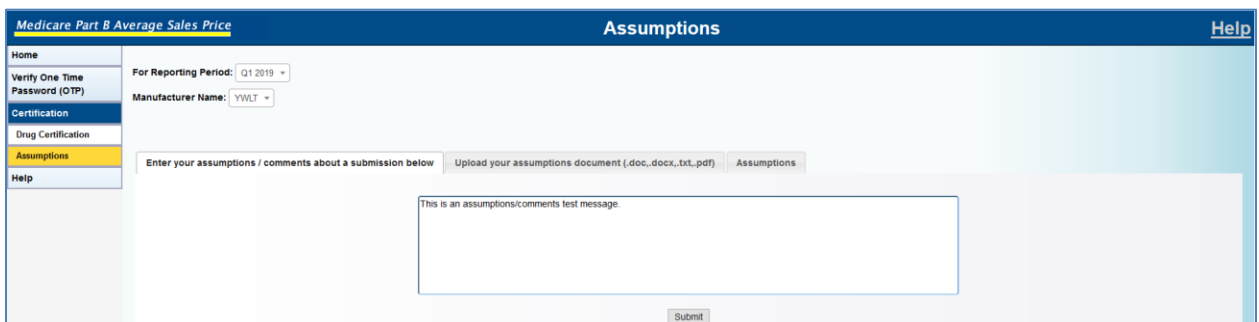
Figure 10-9: Assumptions – For Reporting Period Field Populated



3. Select the “Enter your assumptions / comments about a submission below” tab and enter your comment in the text field.

The text field is populated, as shown in Figure 10-10.

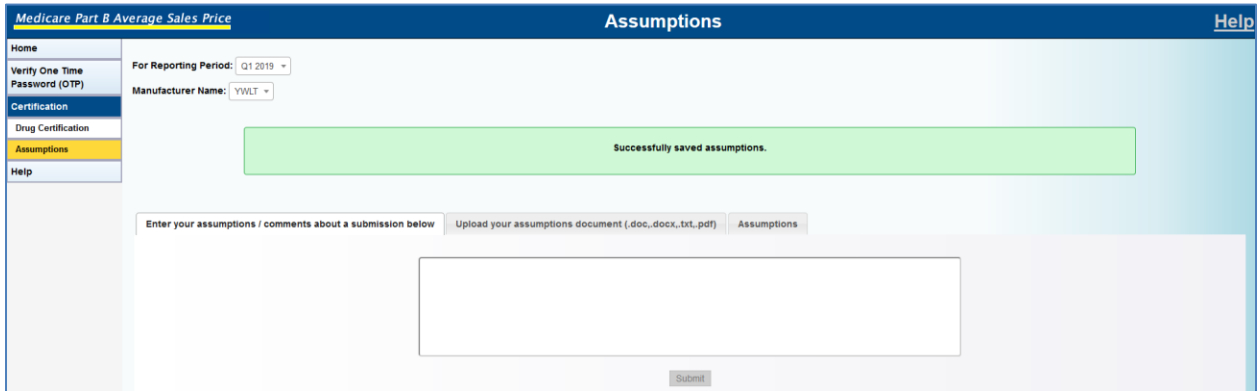
Figure 10-10: Assumptions – Comments Field Populated



- Click on the **Submit** button.

A message displays that the assumption has been successfully saved, as shown in Figure 10-11.

Figure 10-11: Assumptions Saved Successfully



- To upload an assumption, select a Manufacturer Name, select the “Upload your assumptions document (.doc,.docx,.txt,.pdf)” tab, and click on the **Browse** button.

The file directory opens, as shown in Figure 10-12.

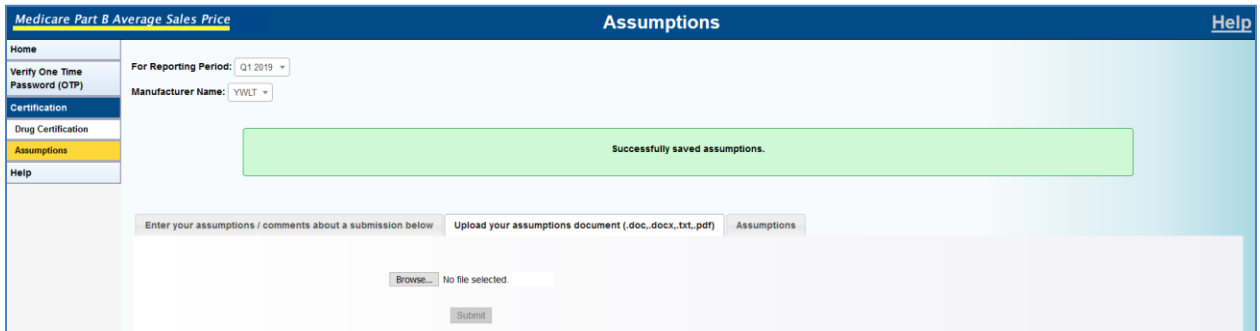
Figure 10-12: File Directory Window

Test Upload Files	11/8/2017 10:59 AM	File folder	
Assumptions.docx	2/2/2018 10:39 AM	Microsoft Word D...	12 KB
FinanceTest1.csv	2/17/2017 11:59 AM	Microsoft Excel C...	7 KB
FinanceTest2.csv	2/17/2017 12:03 PM	Microsoft Excel C...	7 KB
FinanceTest3.csv	9/19/2017 3:35 PM	Microsoft Excel C...	8 KB
NewProductTest1.csv	9/18/2017 11:01 AM	Microsoft Excel C...	11 KB
NewProductTest2.csv	9/18/2017 10:50 AM	Microsoft Excel C...	11 KB
NewProductTest3.csv	9/18/2017 10:54 AM	Microsoft Excel C...	12 KB

- Select the document to upload, and click on the **Submit** button.

A message displays that the assumption has been successfully saved, as shown in Figure 10-13.

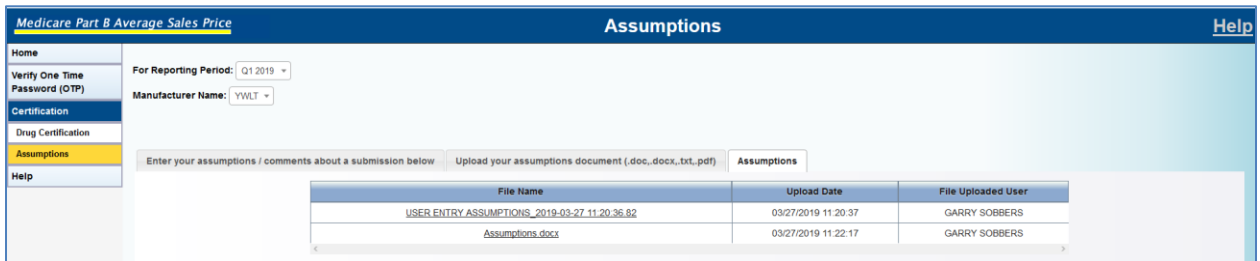
Figure 10-13: Assumptions Saved Successfully



- To view assumptions that have been added select a Manufacturer Name and select the “Assumptions” tab.

The added assumptions are listed, as shown in Figure 10-14.

Figure 10-14: Assumptions Listed



The Assumptions can be viewed and opened by clicking the file link in the “File Name” column.

11. Re-Statements

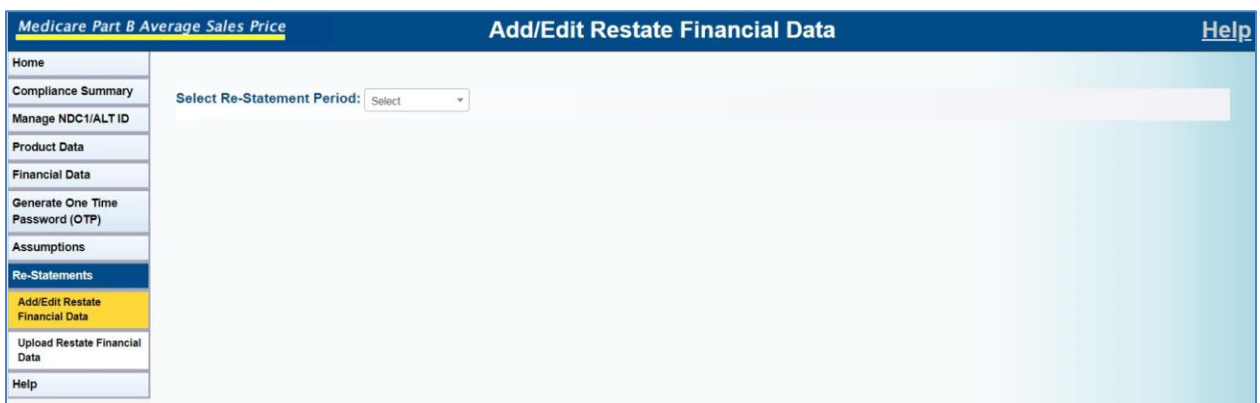
11.1 Add/Edit Restate Financial Data

The ASP application provides the drug manufacturers the ability to restate Medicare Part B drug financial data to CMS. Perform the following steps to restate the drug financial data manually using the online data entry process.

1. Click on **Re-Statements** from the menu on the left side of the screen, and then click on **Add/Edit Restate Financial Data**.

The “Add/Edit Restate Financial Data” screen displays, as shown in Figure 11-1.

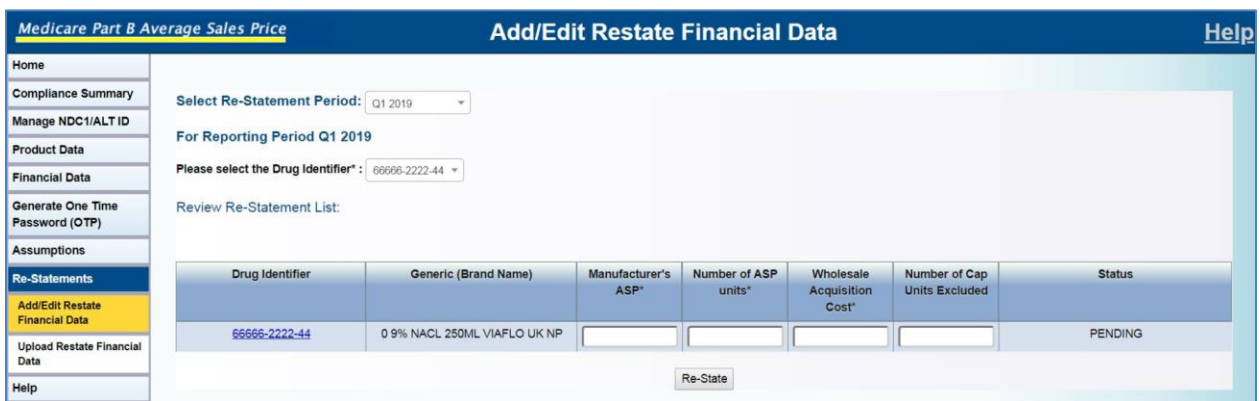
Figure 11-1: Add/Edit Restate Financial Data Screen



2. To add or edit data, select a reporting period from the dropdown menu in the “Select Re-Statement Period:” field and select a drug identifier.

The “Select Re-Statement Period:” and “Please select the Drug Identifier*.” fields populate, as shown in Figure 11-2.

Figure 11-2: Add/Edit Restate Financial Data – Select Re-Statement Period and Drug Identifier



3. Add or edit the Manufacturer’s ASP, Number of ASP Units, Wholesale Acquisition Cost, and Number of CAP Units Excluded in the respective fields, using the following criteria:

Manufacturer's ASP: numeric
 must have three decimal places (i.e., XXXXXXXXXXXX.XXX).
 can be a positive number, a negative number, or be equal to 0.000

Number of ASP units: numeric
 must have three decimal places (i.e., XXXXXXXXXXXX.XXX).
 can be a positive number, a negative number, or be equal to 0.000

Wholesale Acquisition Cost: numeric
 must have three decimal places (i.e., XXXXXXXXXXXX.XXX).
 can be a positive number, a negative number, or be equal to 0.000

Number of Cap Units Excluded: optional
 numeric
 must have three decimal places (i.e., XXXXXXXXXXXX.XXX).
 can be a positive number or be equal to 0.000

The fields populate, as shown in Figure 11-3.

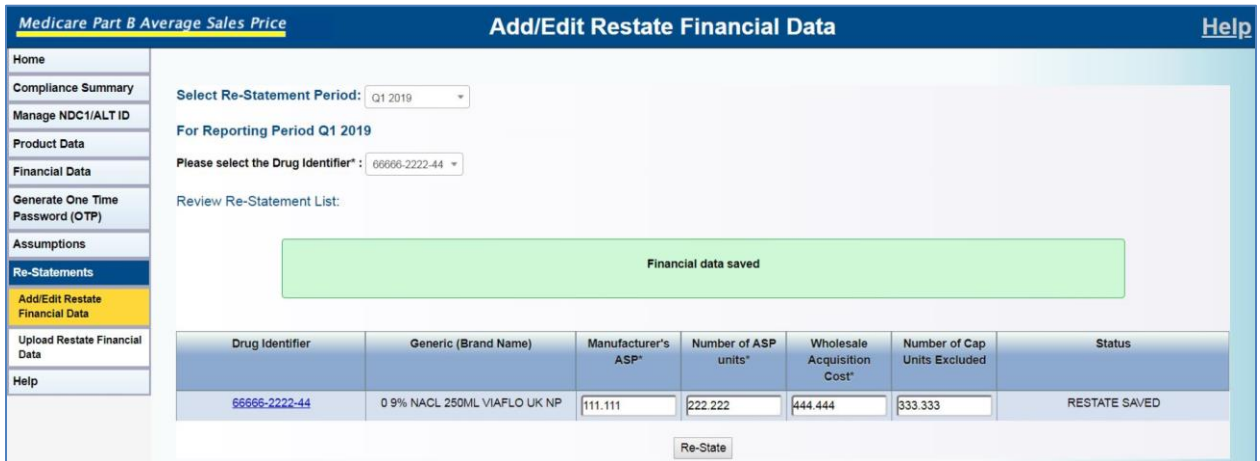
Figure 11-3: Add/Edit Restate Financial Data – Add/Edit Data

Drug Identifier	Generic (Brand Name)	Manufacturer's ASP*	Number of ASP units*	Wholesale Acquisition Cost*	Number of Cap Units Excluded	Status
66666-2222-44	0.9% NAACL 250ML VIAFLO UK NP	111.111	222.222	444.444	333.333	PENDING

- Click on the **Re-State** button.

A message displays stating that the financial data have been saved, as shown in Figure 11-4.

Figure 11-4: Add/Edit Restate Financial Data – Data Saved Successfully



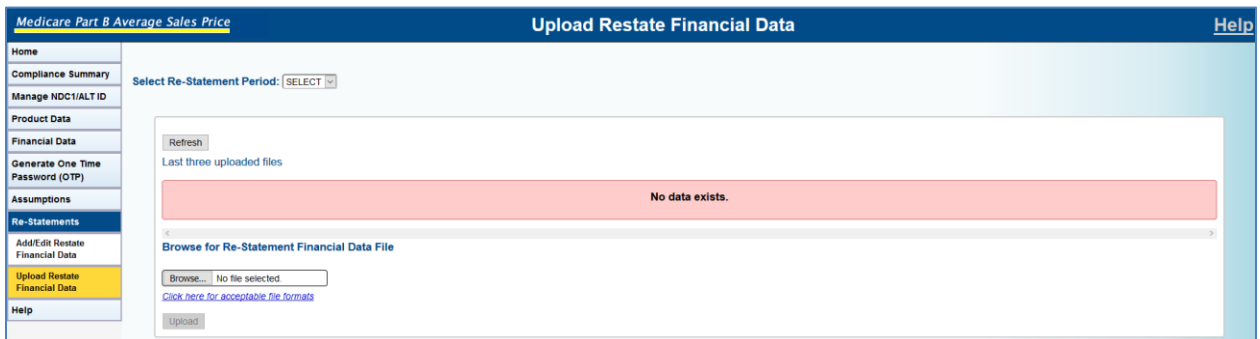
11.2 Upload Re-State Financial Data

ASP provides drug manufacturers the ability to restate Medicare Part B financial data to CMS. Perform the following steps to upload financial data using the file transfer process.

1. Click on **Re-statements** from the menu on the left side of the screen, and then click on **Upload Restate Financial Data**.

The “Upload Restate Financial Data” screen displays, as shown in Figure 11-5.

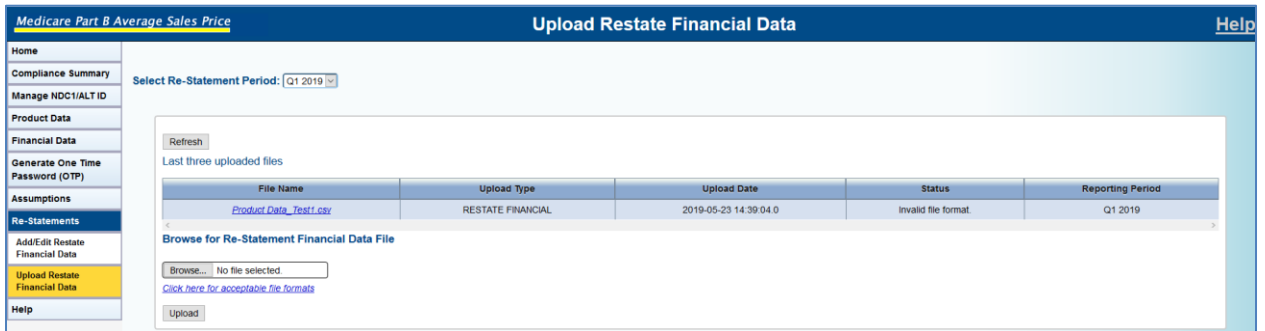
Figure 11-5: Upload Restate Financial Data Screen



2. To upload data, select a reporting period from the dropdown menu in the “Select Re-Statement Period:” field.

The “Select Re-Statement Period:” field populates, as shown in Figure 11-6.

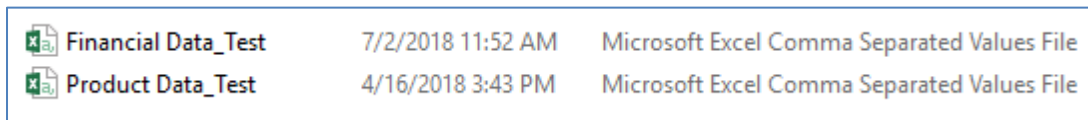
Figure 11-6: Upload Restate Financial Data Screen – Select Re-Statement Field Populated



3. Click on the **Browse** button.

The file directory opens, as shown in Figure 11-7.

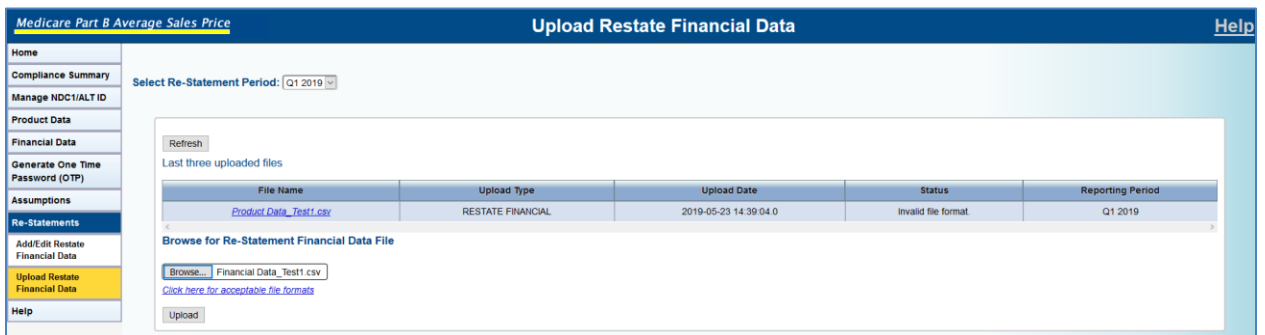
Figure 11-7: File Directory Window



4. Select a file in the appropriate format and double-click on it.

The “Browse” field is populated, as shown in Figure 11-8.

Figure 11-8: Upload Restate Financial Data Screen – Browse Field Populated



5. Click on the **Upload** button.

A message displays confirming that the financial data were saved successfully, and the financial data are listed, as shown in Figure 11-9.

Note: ASP offers a “Refresh” button for times when an upload takes longer to process; the system will notify you to come back later while the status for the upload will say “Processing.” If you want to know if the upload finished processing, you would click on the **Refresh** button and the system will give the current status of the upload. When the upload is finished, the status will say “Completed.”

Figure 11-9: Upload Restate Financial Data Screen – Re-Styled Financial Data Successfully

Medicare Part B Average Sales Price Upload Restate Financial Data [Help](#)

Name: _____

Compliance Summary **Select Re-Statement Period:** Q1 2019

Manage NDC1/ALT ID

Product Data

Financial Data

Generate One Time Password (OTP)

Assumptions

Re-Statements

Add/Edit Restate Financial Data

Upload Restate Financial Data

Help

Refresh

Last three uploaded files

File Name	Upload Type	Upload Date	Status	Reporting Period
Financial_Data_Test1.csv	RESTATE FINANCIAL	2019-05-23 14:43:52.0	Completed	Q1 2019
Product_Data_Test1.csv	RESTATE FINANCIAL	2019-05-23 14:39:04.0	Invalid file format	Q1 2019

Browse for Re-Statement Financial Data File

Browse... No file selected

[Click here for acceptable file formats](#)

Upload

Report of Transmitted Drugs via File Upload

Upload Date: 2019-05-23 14:43:52.0

Data being submitted for: Q1 2019

147 out of 158 financial data has been saved successfully.

Showing 1 - 20 of 158 results.

Drug Identifier	Generic (Brand Name)	Manufacturer's ASP	Number of ASP units	Wholesale Acquisition Cost	Number of Cap Units Excluded	Status
66995-0555-01	DRG0265	18.210	3090.000	18.610		Data Uploaded
66995-0555-02	DRG0265	68.850	14505.000	70.380		Data Uploaded
66995-0626-01	DRG0153	188.470	1967.000	202.010		Data Uploaded
66995-0698-01	DRG0361	14.730	84937.000	21.380		Data Uploaded
66995-0758-01	DRG0361	6.080	51432.000	11.290		Data Uploaded

Note: Errors will be displayed in the “Status” column detailing what you will have to change in the Upload File.

Note: If there are errors in uploading the document where leading zeros are removed from the NDC and date field values, the file will need to be edited and certain columns reformatted. To do this, open your file and continue with Step 6. To be certain of file column formatting, click on the “Click here for acceptable file formats” link, or follow the criteria below.

- Open the “Upload Financial” file, as shown in Figure 11-10.

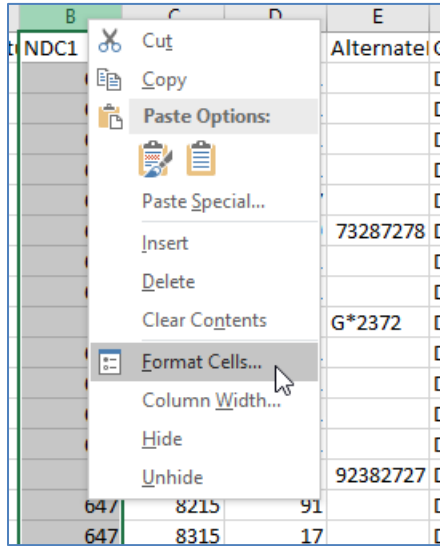
Figure 11-10: Upload Restate Financial Data File

A	B	C	D	E	F	G	H	I	J	K	L	M	N	O
Manufact	NDC1	NDC2	NDC3	Alternate	Generic N	Brand Nar	Manufact	Number o	Wholesa	Number of Cap Units Excluded				
2	ELC	647	7140	1	DRG0032		967644.6	19639.02	773.1					
3	ELC	647	7501	1	DRG0544		145.23	90.1	148.19					
4	ELC	647	7502	1	DRG0544			398.71	5.23E+09					
5	ELC	647	7510	1	DRG0245		104.65	3.21E+09	130					
6	ELC	647	7510	17	DRG0245		17.18	329708.2	39					
7	ELC	647	7516	59	73287278	DRG0245	206.43	21812.82	241.52	44949				
8	ELC	647	7623	1	DRG0579		2729.25	76487.84	2818.75					
9	ELC	647	7635	11	DRG0294		545.37	224.88	556.5	0				
10	ELC	647	7635	11	G*2372	DRG0294	779.1	2197.76	795	-1				
11	ELC	647	7637	11	DRG0294		1051.13	1512.29	1073.25	2				
12	ELC	647	7640	1	DRG0579		546.94	-7	563.75	hh				
13	ELC	647	8031	1	DRG0216		135.75	213207.3	138.98					
14	ELC	647	8215	1	DRG0246		46.12	229545.6	70.4					
15	ELC	647	8215	91	92382727	DRG0246	4.66	455360.2	21.12	1E+09				
16	ELC	647	8215	91	DRG0246		17.69	0	18.15					
17	ELC	647	8315	17	DRG0246		6.01	76992.82						
18	ELC	647	8501	1	DRG0246		565.89	79204.13	704					
19	ELC	647	8725	59	DRG0245		0	0	207.85					
20	BMSC	648	293	5	DRG0384		8.042	781947.7	8.55					
21	BMSC	648	293	20	DRG0384		39.447	148675.6	43.39					
22	BMSC	648	293	28	DRG0384		0	236581.5	64.8					
23	BMSC	648	371	13	DRG0074		897.76	2657.74	923					
24	BMSC	648	371	13	DRG0384		9.767	142772.6	11.04					
25	BMSC	648	2187	10	G8329828	DRG0031	528.256	275515.7	595.74					
26	BMSC	648	2327	11	DRG0563		5904.116	4150.718	6000					
27	BMSC	648	2328	22	DRG0563		23616.52	0	24000					
28	GNTHI	649	188	9	DRG0232		0	0	439.16					
29	GNTHI	649	191	9	DRG0233		428.789	5110	439.16					
30	GNTHI	649	259	1	DRG0465		0	26631	439.16					
31	GNTHI	649	259	5	DRG0465		7648.63	181	7942.81					
32	GNTHI	649	259	43	DRG0465		2647.225	1682	7942.81					
33	GNTHI	649	260	1	DRG0465		1061.597	18168	1103.17					
34	GNTHI	649	260	43	DRG0465		5275.052	1461	5515.84					
35	GNTHI	649	261	29	DRG0465		882.067	11362	908.85					
36	GNTHI	649	1100	20	DRG0489		502.218	6880	527.72					
37	GNTHI	649	1101	50	DRG0489		3341.122	54671	3517.67					
38	GNTHI	649	1101	75	DRG0489		0	0	3517.67					

- To reformat a column, right-click on a column header.

The “Column Editing” dropdown displays, as shown in Figure 11-11.

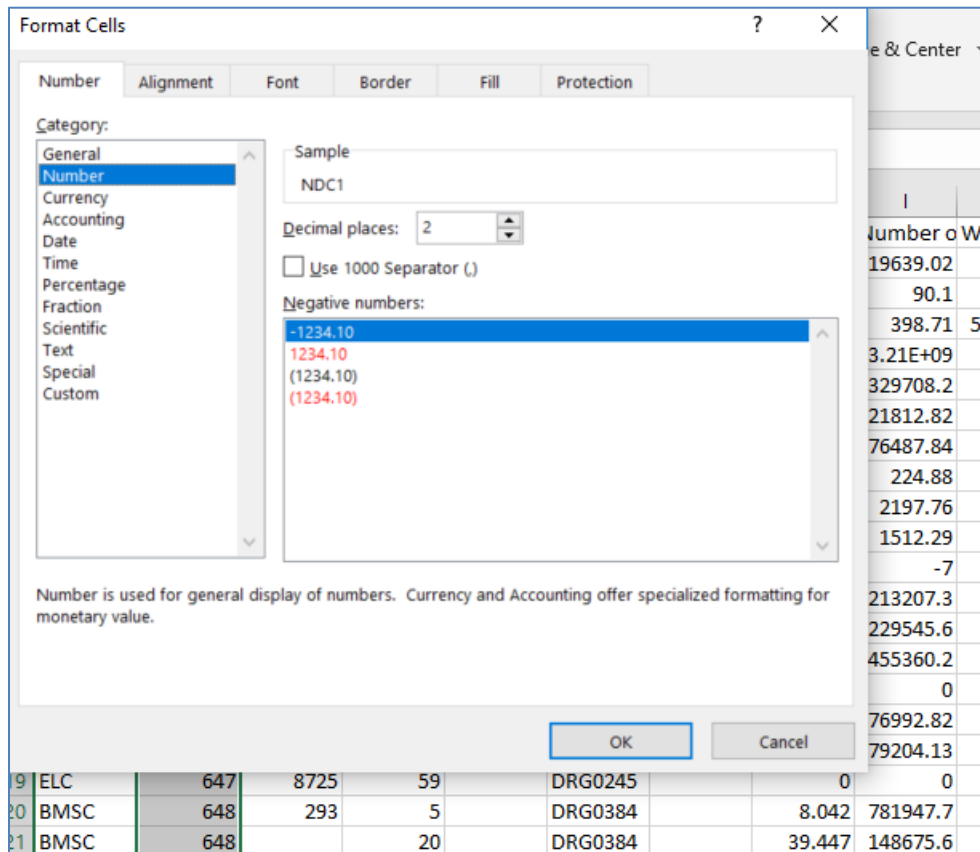
Figure 11-11: Upload Restate Financial Data Column Editing Dropdown



- Select “Format Cells.”

The “Format Cells” window displays, as shown in Figure 11-12.

Figure 11-12: Upload Restate Financial Data Format Cells Number Editing



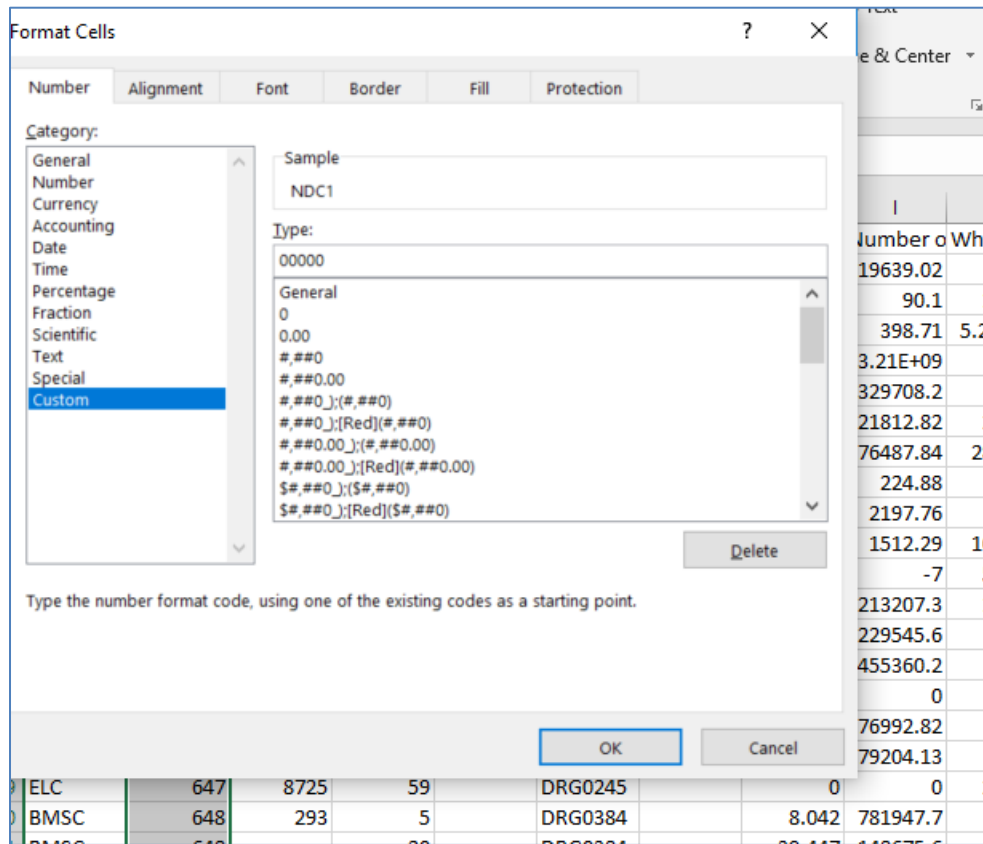
9. Make the following changes as shown in Figure 11-13 and Figure 11-14, in the “Type” field according to the below criteria:

Note: For NDC1, NDC2, and NDC3 columns, select “Number” and then “Custom.”

- NDC1: Type 5 0s (00000), click on the **OK** button, and repeat from Step 7 for any other column changes
- NDC2: Type 4 0s (0000), click on the **OK** button, and repeat from Step 6 for any other column changes
- NDC3: Type 2 0s (00), click on the **OK** button, and repeat from Step 6 for any other column changes

Example:

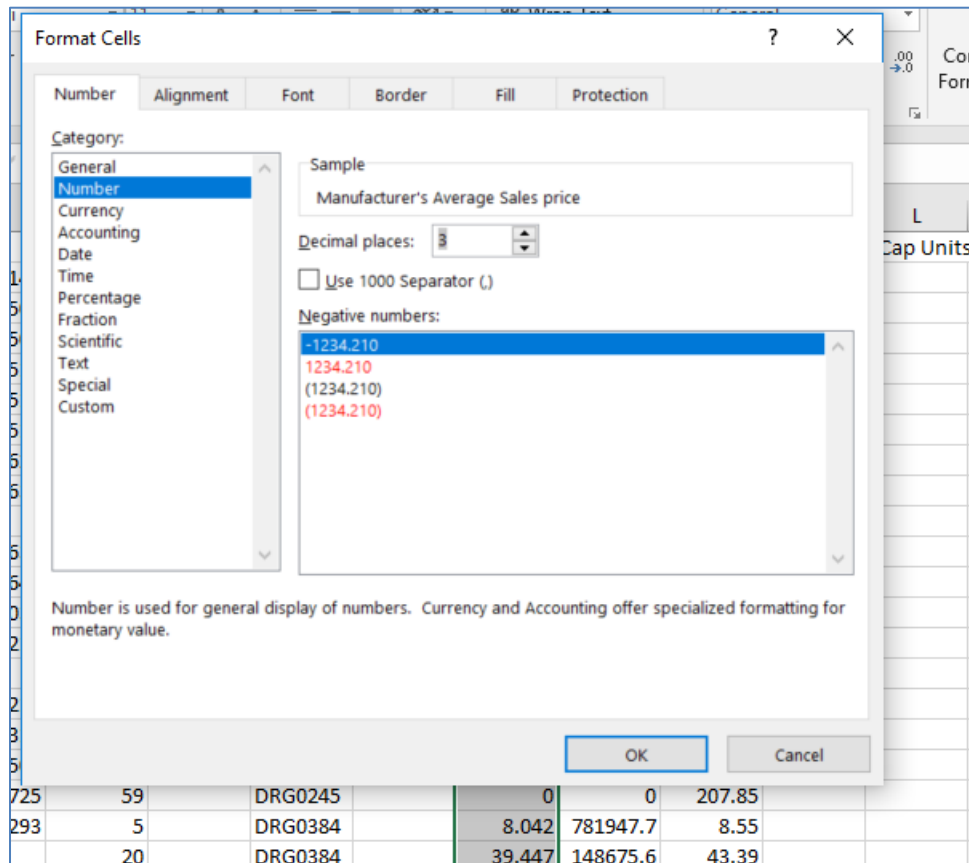
Figure 11-13: Upload Restate Financial Data Format Cells Number Custom Editing Example



Note: For Manufacturer’s Average Sales Price, Number of ASP Units, Wholesale Acquisition Cost, and Number of CAP Units Excluded, select “Number.”

- Manufacturer’s Average Sales Price: Change the number of decimal places to “3,” click on the **OK** button, and repeat from Step 6 for any other column changes
- Number of ASP Units: Change the number of decimal places to “3,” click on the **OK** button, and repeat from Step 6 for any other column changes
- Wholesale Acquisition Cost: Change the number of decimal places to “3,” click on the **OK** button, and repeat from Step 7 for any other column changes
- Number of CAP Units Excluded: Change the number of decimal places to “3,” click on the **OK** button, and repeat from Step 7 for any other column changes

Figure 11-14: Upload Restate Financial Data Screen – Re-Styled Financial Data Successfully



10. Save the file and go back to Step 2.

Note: Be sure that you do NOT change any of the column headers, as that will invalidate the upload.

Note: Any time that you have to retrieve a file to edit, you will have to perform Steps 6 through 8 again, before you resave the file.

12. Drug Certification

Drug certification is a process where a drug manufacturer certifies the accuracy of the drug data. In this section, data are selected and marked for immediate certification or later certification. Selection may be one drug product item, a list of drug items or all drug items pending certification for a manufacturer. The Drug Manufacturer gathers required quarterly drug data and submits it to the ASP application for ASP pricing. The Drug Manufacturer certifies that the data reported are correct.

With the appropriate user access, the ASP Application provides drug manufacturers the ability to certify the accuracy of drug data that have been previously submitted. This is for the Certifier to perform the following steps to certify drug data online.

1. Log into the application, click on **Certification** from the menu on the left side of the screen, and then click on **Drug Certification**.

The “Drug Certification” screen displays, as shown in Figure 12-1.

Figure 12-1: Drug Certification Screen

The screenshot shows the 'Drug Certification' interface. At the top, there's a navigation bar with 'Medicare Part B Average Sales Price' and 'Drug Certification'. A sidebar on the left contains 'Home', 'Verify One Time Password (OTP)', 'Certification', 'Drug Certification', 'Assumptions', and 'Help'. The main area has a form to 'Choose a reporting period and a certification status' with dropdowns for 'Certifying Data for: Q1 2019', 'Select Option: Drug data pending certification', and 'Manufacturer Name: View All'. There's a 'Drug Identifier' input field and a 'Submit' button. Below the form, a table displays three rows of drug data, all with a 'SAVED' status. A note indicates that '**' denotes product data has been modified between current and prior quarter.

Drug Identifier	Generic (Brand Name)	Manufacturer's ASP	Number of ASP units	Wholesale Acquisition Cost	Number of Cap Units Excluded	Status	Drug Details	Certify
66995-0003-02	DRG0361	444.444	333.333	555.555	111.111	SAVED	Product	<input type="checkbox"/>
66995-0005-01	DRG0231	555.555	444.444	666.666	222.222	SAVED	Product	<input type="checkbox"/>
66995-0011-03	DRG0231	666.666	555.555	777.777	333.333	SAVED	Product	<input type="checkbox"/>

2. Select a Reporting Period from the dropdown list, a certification status, the manufacturer name (optional), and the drug identifier (optional), and click on the **Submit** button.

The status for the drug information displays as “SAVED,” as shown in Figure 12-2.

Figure 12-2: Selected Drugs to be Certified

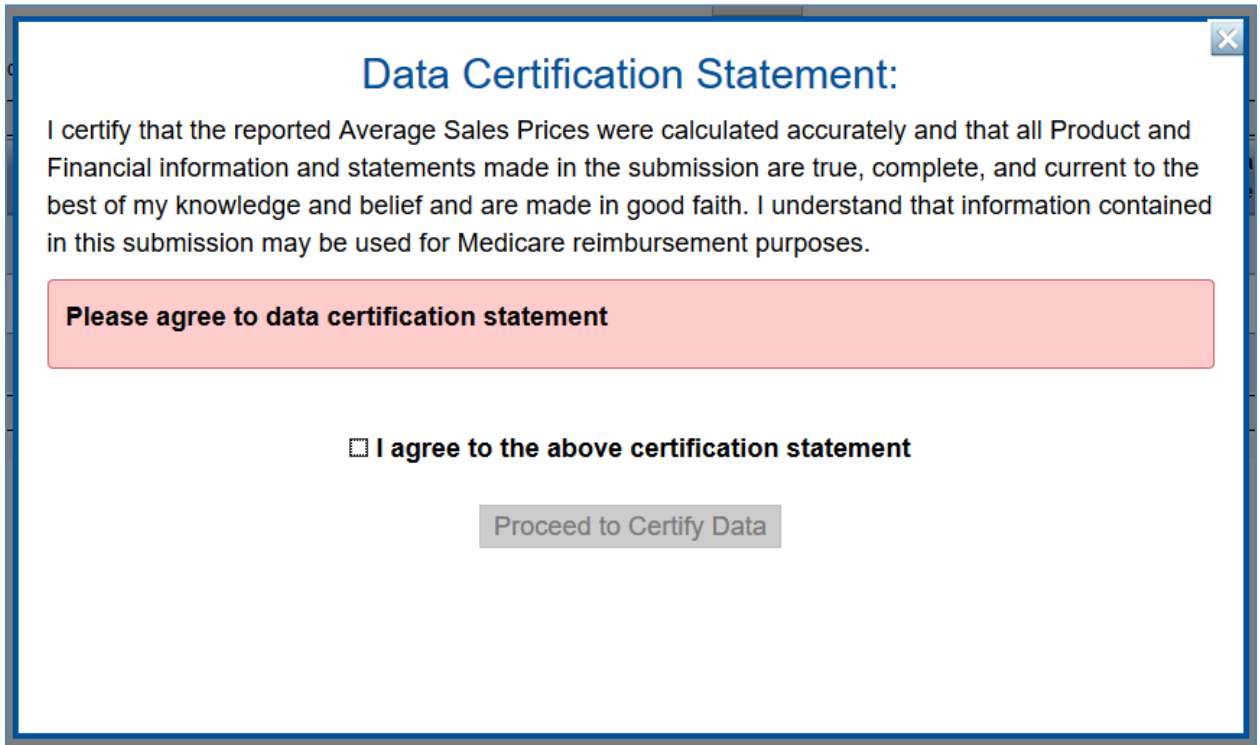
This screenshot is identical to Figure 12-1, but with the 'Manufacturer Name' dropdown menu set to 'MAUREEN TEST' and the 'Drug Identifier' input field containing the value '66995'. The table below still shows the same three rows of drug data, all with a 'SAVED' status.

Drug Identifier	Generic (Brand Name)	Manufacturer's ASP	Number of ASP units	Wholesale Acquisition Cost	Number of Cap Units Excluded	Status	Drug Details	Certify
66995-0003-02	DRG0361	444.444	333.333	555.555	111.111	SAVED	Product	<input type="checkbox"/>
66995-0005-01	DRG0231	555.555	444.444	666.666	222.222	SAVED	Product	<input type="checkbox"/>
66995-0011-03	DRG0231	666.666	555.555	777.777	333.333	SAVED	Product	<input type="checkbox"/>

3. Select the drugs to be certified by clicking the “Certify” check box of the individual drugs and clicking on the **Certify Selected Data** button, or by clicking on the **Certify All Data** button at the bottom of the page. If a drug is checked inadvertently, click on the **Reset All Checked Drugs** button to clear the “Certify” check boxes.

A “Data Certification Statement” window displays, as shown in Figure 12-3.

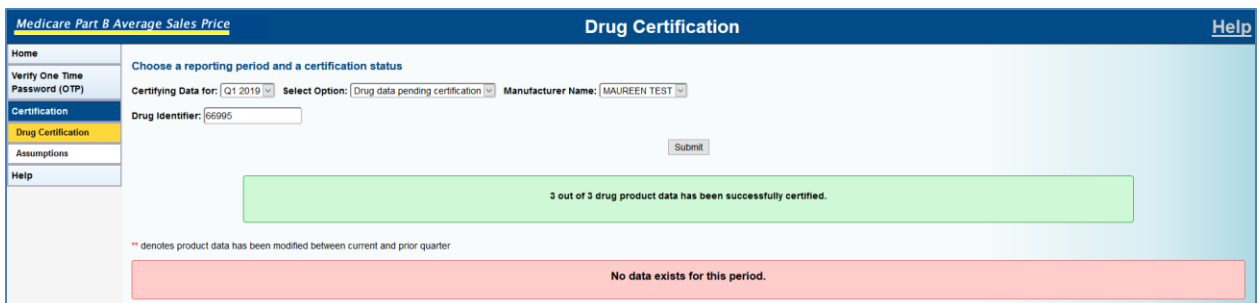
Figure 12-3: Data Certification Statement



4. Review the statement, click on the checkbox next to “I agree to the above certification statement,” and click on the **Proceed to Certify Data** button.

A message displays stating that the data have been successfully certified, as shown in Figure 12-4.

Figure 12-4: Drug Information Successfully Certified



Appendix A: Record of Changes

Table A-1: Record of Changes

Version Number	Date	Author/Owner	Description of Change
1.0	03/23/2018	Maureen Campbell	Initial Release
1.1	04/26/2018	Maureen Campbell	Section 4.1: Add Product Data - Increased the requirements for “Strength of the Product” from 250 characters to 500 characters; made changes for requirements for “FDA Application Number,” “FDA Approval Type,” “FDA Approval Date,” and “Alternate ID.”
1.1	04/26/2018	Maureen Campbell	Section 4.3: Update Product Data - Increased the requirements for “Strength of the Product” from 250 characters to 500 characters; made changes for requirements for “FDA Application Number,” “FDA Approval Type,” “FDA Approval Date,” and “Alternate ID.”
1.1	04/26/2018	Maureen Campbell	Section 7.1: Restate Online - Increased the requirements for “Strength of the Product” from 250 characters to 500 characters; made changes for requirements for “FDA Application Number,” “FDA Approval Type,” and “FDA Approval Date.”
2.0	3/28/2019	Maureen Campbell	Globally: Changes to reflect changes in the application for Release 9
2.1	5/29/2019	Maureen Campbell	Section 5: Compliance Summary – Submitter – replaced section; Globally: changed FDA Application Number requirements from 9 digits to 10 digits; replaced most screenshots to reflect the absence of reporting period on the screens. Added Note about the Refresh button when uploading files. Increase Manufacture ASP and WAC digits increased from 6 to 10. Added Compliance Summary – Certifier and Assumptions – Certifier sections.
2.2	01/10/2023	Felicia Brown	Section 1 and 2.1: revised language
2.3	01/12/2023	Jenn Palmer	Added Appendix C, updated formatting, Added Figure 3-1 and corresponding instructions.

Appendix B: Acronyms

Table B-1: Acronyms

Acronym	Definition
ALT ID	Alternate Identification
AMP	Average Manufacturer Price
ARS	Acceptable Risk Safeguards
ASP	Average Sales Price
AWP	Average Wholesale Price
CAP	Competitive Acquisition Pricing
CHIP	Children's Health Insurance Program
CLFS	Clinical Laboratory Fee Schedule
CM	Center for Medicare
CMCS	Center for Medicaid and CHIP Services
CMS	Centers for Medicare & Medicaid Services
CSV	Comma-Separated Values
DAS	Division of Ambulatory Services
DCCA	Data Computer Corporation of America
EIDM	Enterprise Identity Management
ESRD	End Stage Renal Disease
EUA	Enterprise User Administration
FDA	Food and Drug Administration
FFS	Fee-for-Service
FFSDCS	Fee-for-Service Data Collection System
HHS	Health and Human Services
IVR	Interactive Voice Response
MFA	Multi-Factor Authentication
MMA	Medicare Modernization Act

Acronym	Definition
NDC	National Drug Code
OIG	Office of the Inspector General
OPPS	Outpatient Prospective Payment System
OTP	One Time Password
PII	Personally Identifiable Information
RIPD	Remote Identity Proofing
SMS	Short Message Service
SNOW	Service Now
URL	Uniform Resource Locator
WAC	Wholesale Acquisition Cost
XLC	eXpedited Life Cycle

Appendix C: Field Definitions

1. Table 1 contains the field definitions for drugs and biologicals reported on the NDC or CMS-specified unit level.

Most ASP reporting is done at the NDC level where the ASP corresponds to the amount of drug represented by that NDC. However, for a limited number of products, reporting at the NDC unit level is not appropriate and must be done at a CMS-specified unit level. A list of such drug products is maintained on the CMS website at:

<http://www.cms.gov/McrPartBDrugAvgSalesPrice/>. For these drugs and biologicals, manufacturers will still submit ASP sales data for an NDC, but will do so on an ASP unit level specified in this list.

Table C-1: Field Definitions for Drugs and Biologicals

Field Name	Field Definition
Manufacturer's Name	The reporting manufacturer's name.
11-Digit National Drug Code (NDC1)	The NDC1 is the first 5 digits of the 11 digit National Drug Code that identifies the labeler. The 11 digit NDC consists of the NDC1, NDC2, and NDC3, which identifies the labeler, product, and package size.
11-Digit National Drug Code (NDC2)	The NDC2 is the sixth through the ninth digits of the 11 digit National Drug Code that identifies the product. The 11 digit NDC consists of the NDC1, NDC2, and NDC3, which identifies the labeler, product, and package size.
11-Digit National Drug Code (NDC3)	The NDC3 is the last 2 digits of the 11 digit National Drug Code that identifies the package size. The 11 digit NDC consists of the NDC1, NDC2, and NDC3, which identifies the labeler, product, and package size.
Alternate ID	Numeric or alphanumeric alternate identifier (ex: an NHRIC or UPC number) used when an 11 digit NDC is not available.
Manufacturer's Average Sales Price	ASP for a corresponding ASP unit rounded to 3 or more decimal places.
Number of ASP Units	The number of ASP units sold.
Wholesale Acquisition Cost (WAC)	The WAC for a corresponding ASP unit in effect on the last day of the reporting period. WAC is defined in Section 1847A(c)(6)(B) as "the manufacturer's list price for the drug or biological to wholesalers or direct purchasers in the United States, not including prompt pay or other discounts, rebates or reductions in price, for the most recent month for which the information is available, as reported in wholesale price guides or other publications of drug or biological pricing data." CMS further clarified, in 70 FR 70221, that manufacturers must report WAC for all single source drugs and biologicals (including new drugs) each reporting period. Manufacturers must report the WAC in effect on the last day of the reporting period.

Field Name	Field Definition
Name of Drug or Biological	The trade or brand name of the product or the active ingredient name.
Strength of the Product	The dosage strength of one item (e.g.: 250 mg tablet, 20 mg/ml solution, 1 IU).
Volume Per Item	The amount in one item (ex: 10 ml in one vial, or 500 tablets in one bottle).
Number of Items Per NDC	The number of items in the 11-digit NDC (ex: if an NDC packaged as a box contains 4 vials, the number of items per NDC is 4).
Expiration Date of Final Lot Sold	The expiration date of the final lot sold must be reported to CMS once at the end of utilization of the NDC or when there are no sales for three consecutive quarters. For ASP purposes, "at the end of utilization" means the manufacturer will not make sales of that NDC to any purchaser.
Date of First Sale	Report for NDCs first sold on or after 04/01/2006. Report at least once and no later than with the first ASP report.
Number of CAP Units Excluded	Beginning with the 3Q2006 reporting period, report the number of whole or fractional units administered to a beneficiary by a Part B Drug Competitive Acquisition Program participating physician excluded from the ASP calculation.
FDA Application Number	The application number assigned by the Food and Drug Administration (FDA).
FDA Application Supplement Number	The application number assigned by the Food and Drug Administration (FDA).
Additional FDA Application Supplement Number #1	The application supplement number assigned by the Food and Drug Administration (FDA).
Additional FDA Application Number #2	The application number assigned by the Food and Drug Administration (FDA).
Additional FDA Application Supplement Number #2	The application supplement number assigned by the Food and Drug Administration (FDA).
FDA Final Pre-Marketing Approval Date	This is the original date that the FDA granted approval for the drug (NDA), biological (BLA), or pre-marketing application (PMA).
FDA Approval Type	The type of FDA approval for the product.
Description of FDA Approval Type	If Other was specified in the column 'FDA Approval Type,' please specify the type.

2. Table 2 contains the field definitions for dermal grafting products.

Some dermal grafting products are not assigned an NDC. Instead, manufacturers identify them using product codes, which can be catalog numbers, Universal Product Codes (UPCs), or other unique identifiers. If an NDC is not available, the UPC or other unique identifier must be entered in the field “Alternate ID”. Manufacturers may not convert a UPC or other alternative identifier to an NDC format by adding zeros or removing numbers. Additionally, where the strength of a dermal grafting product must be described in units of area, manufacturers must report in units of square centimeters. Dermal grafting products that are sold in customized or irregularly shaped sheets must be quantified and reported using square centimeters. Other units of measure such as “square inches”, “each”, “sheet”, etc are not acceptable. Dermal grafting products that are sold in powder, foam, or liquid form must be quantified and reported in metric measures such as grams, milligrams, or milliliters.

Table C-2: Field Definitions for Dermal Grafting Products

Field Name	Field Definition
Manufacturer's Name	The reporting manufacturer's name.
11-Digit National Drug Code (NDC1)	The NDC1 is the first 5 digits of the 11 digit National Drug Code that identifies the labeler. The 11 digit NDC consists of the NDC1, NDC2, and NDC3, which identifies the labeler, product, and package size.
11-Digit National Drug Code (NDC2)	The NDC2 is the sixth through the ninth digits of the 11 digit National Drug Code that identifies the product. The 11 digit NDC consists of the NDC1, NDC2, and NDC3, which identifies the labeler, product, and package size.
11-Digit National Drug Code (NDC3)	The NDC3 is the last 2 digits of the 11 digit National Drug Code that identifies the package size. The 11 digit NDC consists of the NDC1, NDC2, and NDC3, which identifies the labeler, product, and package size.
Alternate ID	Numeric or alphanumeric alternate identifier (ex: an NHRIC number or UPC) used when an 11 digit NDC is not available.
Manufacturer's Average Sales Price	ASP rounded to 3 or more decimal places. Report the ASP per package, as identified by the NDC or alternate ID (ex: for an NDC or Alternate ID that represents a box of five 2 cm x 3cm grafts, report the ASP per box of five).
Number of ASP Units	Report the number of packages sold (ex: for an NDC or Alternate ID that represents a box of five 2 cm x 3cm grafts, report the number of boxes sold).

Field Name	Field Definition
Wholesale Acquisition Cost (WAC)	<p>The WAC in effect on the last day of the reporting period. Report the WAC per package.</p> <p>WAC is defined in Section 1847A(c)(6)(B) as “the manufacturer’s list price for the drug or biological to wholesalers or direct purchasers in the United States, not including prompt pay or other discounts, rebates or reductions in price, for the most recent month for which the information is available, as reported in wholesale price guides or other publications of drug or biological pricing data.” CMS further clarified, in 70 FR 70221, that manufacturers must report WAC for all single source drugs and biologics (including new drugs) each reporting period. Manufacturers must report the WAC in effect on the last day of the reporting period.</p>
Name of Drug or Biological	The trade or brand name of the product or the active ingredient name.
Strength of the Product	For products sold in sheets: the total square centimeters in one item (ex: a 6cm x 8cm item is 48 sq cm).
Volume Per Item	Use this field for dermal grafting products that are reported in units of volume, for example liquids. Report the volume amount in one item, include the metric unit of measurement, such as cc or ml. Enter “1” for powders and sheets.
Number of Items Per NDC	The number of items in the 11-digit NDC or Alternative ID (ex: for an NDC or Alternate ID that has 5 grafts in a package, the number of items per NDC is 5).
Expiration Date of Final Lot Sold	The expiration date of the final lot sold must be reported to CMS once at the end of utilization of the NDC or Alternate ID when there are no sales for three consecutive quarters. For ASP purposes, “at the end of utilization” means the manufacturer will not make sales of that NDC or Alternate ID to any purchaser.
Date of First Sale	Report for NDCs/Alternate IDs first sold on or after 04/01/2006. Report at least once and no later than with the first ASP report.
Number of CAP Units Excluded	Beginning with the 3Q2006 reporting period, report the number of whole or fractional units administered to a beneficiary by a Part B Drug Competitive Acquisition Program participating physician excluded from the ASP calculation.
FDA Application Number	The application number assigned by the Food and Drug Administration (FDA).
FDA Application Supplement Number	The application supplement number assigned by the Food and Drug Administration (FDA).
Additional FDA Application Number #1	The application number assigned by the Food and Drug Administration (FDA).
Additional FDA Application Supplement Number #1	The application supplement number assigned by the Food and Drug Administration (FDA).

Field Name	Field Definition
Additional FDA Application Number #2	The application number assigned by the Food and Drug Administration (FDA).
Additional FDA Application Supplement Number #2	The application supplement number assigned by the Food and Drug Administration (FDA).
FDA Final Pre-Marketing Approval Date	This is the original date the FDA granted approval for the drug (NDA), biological (BLA), or pre-marketing application (PMA).
FDA Approval Type	The type of FDA approval for the product.