

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
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Baltimore, Maryland 21244-1850



CENTER FOR MEDICARE

DATE: January 4, 2022
TO: Medicare Advantage Organizations, Cost Plans, and Prescription Drug Plan Sponsors
FROM: Jennifer R. Shapiro, Director, Medicare Plan Payment Group
SUBJECT: Medical Loss Ratio Data Form and Attestation Submission Reminder for Contract Year 2020

On June 11, 2021, CMS released a memo with the anticipated timeline for Contract Year (CY) 2020 medical loss ratio (MLR) submissions. CMS is releasing this memorandum to serve as a reminder and confirmation of the CY 2020 MLR timeline. The upload functionality for CY 2020 MLR data forms will be available in HPMS beginning January 7, 2022. The CY 2020 MLR data forms must be submitted in HPMS by **11:59 PM Pacific Time (PT) on Friday, February 4, 2022.**

The electronic attestation functionality for CY 2020 MLR will be available in HPMS beginning February 7, 2022. The CY 2020 MLR attestations must be submitted in HPMS by **11:59 PM Pacific Time (PT) on Wednesday, February 16, 2022.**

Each Medicare Advantage Organization (MAO), Cost Plan, and Part D Plan Sponsor is required to submit an MLR data form to CMS for each contract year pursuant to the regulations at 42 CFR §§ 422.2460 and 423.2460. The MLR data forms and electronic attestations must be submitted in the Health Plan Management System (HPMS) at: HPMS Home > Plan Bids > Medical Loss Ratio > CY2020. Instructions can be found at the same location.

MAOs, Cost Plans, and Part D Plan Sponsors whose CY 2020 contract(s) were terminated, consolidated, or withdrawn are required to submit an MLR data form that accounts for Part C and Part D revenue, including the risk adjustment reconciliation amounts. CMS will post the Part C and Part D risk adjustment reconciliation amounts by January 7, 2022 for CY 2020 contracts that terminated, consolidated, or withdrew at: HPMS Home > Risk Adjustment > Risk Adjustment > Risk Adjustment Reconciliation Amount. These values were obtained from the June 2021 MMR and the November 2021 MMR, for adjustment reason codes (ARC) 25 and 37. These values are prior to application of any sequestration (i.e., “gross” of any sequestration). This information may be used in the development of CY 2020 MLR reporting.

Questions regarding the Medicare MLR data form may be sent to MLRreport@cms.hhs.gov. Technical questions may be addressed to the HPMS Help Desk at hpms@cms.hhs.gov. Additional guidance regarding the MLR reporting requirements may be found at: <https://www.cms.gov/Medicare/Medicare-Advantage/Plan-Payment/medicallossratio.html>.

MLR guidance for Medicare-Medicaid Plans (MMPs) will be released separately; questions related to MMPs may be sent to MMCOcapsmodel@cms.hhs.gov. Thank you.