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PROGRAM INSTRUCTION

TO: Offices of the Governors; Community-Based Child Abuse Prevention Program Grantees; State Agencies Administering or Supervising the Administration of titles IV-B and IV-E of the Social Security Act

SUBJECT: Availability of Fiscal Year (FY) 2007 Funds under the Community-Based Grants for the Prevention of Child Abuse and Neglect program created by title II of the Child Abuse Prevention and Treatment Act as amended by P.L. 108-36.

REFERENCES: The Keeping Children and Families Safe Act of 2003; title II of the Child Abuse Prevention and Treatment Act (CAPTA) (42 U.S.C. 5116 et seq.), as amended by P.L. 108-36, enacted June 25, 2003.

PURPOSES: The purpose of this Program Instruction is to (1) set forth the requirements for recipients of Community-Based Grants for the Prevention of Child Abuse and Neglect awards for FY 2007, and (2) provide guidance and instructions for the preparation and submission of the application.

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PART I: INTRODUCTION

A VISION FOR A COMMUNITY-BASED APPROACH TO CHILD ABUSE AND NEGLECT PREVENTION

The reauthorization of the Community-Based Family Resource and Support program (CBFRS) legislation, title II of the Child Abuse Prevention and Treatment Act (CAPTA), on June 25, 2003, as part of the Keeping Children and Families Safe Act 2003, presented a unique opportunity to build upon the strong foundation of the program developed by the States over the last several years. During the reauthorization, the CBFRS program was renamed “Community-Based Grants for the Prevention of Child Abuse and Neglect.” For our administrative convenience, this title II program is now referred to as the Community-Based Child Abuse Prevention (CBCAP) program.

This legislation has a rich history, beginning with the Child Abuse Prevention Federal Challenge Grants Act in 1984 -- the first law to direct Federal funds specifically to assist State efforts in preventing child abuse and neglect. Amendments to the law in 1992 and 1994 broadened the earlier legislation to include the provision of community-based child abuse prevention activities and family resource services. The 1996 amendments provided States with additional incentives to create statewide networks for ensuring the safety of children in their families and neighborhoods, and to support activities designed to prevent the occurrence, as well as the reoccurrence, of child maltreatment.

The changes in 2003 placed an emphasis on supporting community-based efforts to develop, operate, expand, enhance, and, where appropriate, to network, initiatives aimed at the prevention of child abuse and neglect, and to support networks of coordinated resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect. There was also a strong emphasis on demonstrating a meaningful commitment to parent leadership, including parents of children with disabilities, parents with disabilities, racial and ethnic minorities, and members of other underrepresented or underserved groups.

The legislation builds upon the previous program by maintaining many of the core provisions of the CBFRS program, while also emphasizing some additional elements to strengthen and support the health and well-being of families and to build the capacity of the State lead agencies. Although the emphasis on the statewide network is no longer mandatory in the CBCAP program, the lead agency’s role in maintaining and supporting the prevention network in the State is still considered a critically important function. The new legislation includes provisions requiring that lead agencies have the capacity to support community-based and prevention-focused programs and activities that (1) are based on State and community interagency partnerships, and (2) are implemented through an interdisciplinary, collaborative public-private structure that includes parents as full partners. Another prevailing theme of this legislation, which has been maintained, is that lead agencies are to seek innovative approaches to coordinating funding streams and leveraging additional resources to augment the Federal funds. The legislation continues to recognize that individual child abuse and neglect prevention

programs cannot stand alone: they are part of a larger statewide and national system of care for families, of which prevention is a key component.

The reauthorization of the program comes at a time when the Children's Bureau is also working to help States improve child welfare services. This is done by examining outcomes for children and families who receive services and assessing the systemic factors that affect a State's ability to achieve positive outcomes for children and families. Through the Child and Family Services Reviews (CFSR), the Children's Bureau is promoting practice principles believed to support improved outcomes for children and families. These principles include family-centered social work practice, community-based services, individualized services that respond to the unique needs of children and families, and strengthening parental capacity to protect and provide for their children. Findings from the reviews indicate that many States and communities lack adequate prevention and community-based services for families. There is also a need for comprehensive family assessments and for greater engagement of parents in the case planning process. Since the provision of prevention services and the emphasis on parent engagement have always been strong components of the CBCAP program, coordination between the State's child welfare agency and the CBCAP program can greatly contribute to overall child welfare system improvement.

The Administration has developed several major initiatives in areas that it feels will benefit children, adults, and society and help to strengthen families. The Department's Healthy Marriages, Responsible Fatherhood, Positive Youth Development, and Faith-based and Community initiatives are consistent with the overall goals of improving the safety, permanency and well-being of children. The CBCAP program provides opportunities to advance these areas within the field of child abuse prevention. Emphasis should be placed on identifying ways to incorporate these priorities into CBCAP programs in the States. (See Attachment 4 for an overview of these initiatives.)

The CBCAP program has been actively working to improve the evaluation capacity of the States and to promote the use of evidence-based and evidence-informed programs and practices. Over the last year, the Children's Bureau has worked closely with the CBCAP and PART Outcomes workgroups on a larger effort to articulate national outcome and efficiency measures for the CBCAP program. The CBCAP conceptual framework provides an overview of the primary purposes of the legislation, the relationship between the underlying conditions the program seeks to address and the main activities funded (direct and indirect), the outputs, and the short-term, intermediate and long-term outcomes for the program. (See Attachment 1)

The most effective way to meet the challenge of preventing child abuse and neglect is for all child abuse prevention and treatment programs, public and private, to work together in partnership with families and other disciplines such as social services, health and mental health, child care, early childhood, education, law enforcement and other advocacy groups in the community to achieve their common goals.

The CBCAP grantees are in a unique position of leadership as they assume responsibility for directing, leading and evaluating the network of public-private partnerships and the continuum of preventive services for children and families in their States. Our children's safety and well-being

will be best ensured when Federal, State, and faith-based and community agencies collaborate to better coordinate programs and services and be responsive to the needs of all families.

* * * * *

A. LEGISLATIVE BACKGROUND

On June 25, 2003, President George W. Bush signed the bill to reauthorize CAPTA through the Keeping Children and Families Safe Act of 2003 (P.L. 108-36). This legislation, among other things, amended title II of CAPTA and reauthorized and re-named the program previously known as the Community-Based Family Resource and Support (CBFRS) Grants program. The program is now known as Community-Based Grants for the Prevention of Child Abuse and Neglect or, for administrative purposes, the Community-Based Child Abuse Prevention (CBCAP) program.

The purposes of the CBCAP program are: (1) to support community-based efforts to develop, operate, expand, and enhance and, where appropriate, to network, initiatives aimed at the prevention of child abuse and neglect; (2) to support networks of coordinated resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and (3) to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.

A weblink to title II of CAPTA, as amended by P.L. 108-36, is included in Attachment 1.

B. USE OF FUNDS

Section 201(b) of the Act provides that funds made available to States under the CBCAP program must be used for:

- (1) Developing, operating, expanding, and enhancing community-based, prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate) that are accessible, effective, and culturally appropriate, and build upon existing strengths that --
 - (A) Offer assistance to families;
 - (B) Provide early, comprehensive support for parents;
 - (C) Promote the development of parenting skills, especially in young parents and parents with very young children;
 - (D) Increase family stability;
 - (E) Improve family access to other formal and informal resources and opportunities for assistance available within communities;
 - (F) Support the additional needs of families with children with disabilities through respite care and other services;

- (G) Demonstrate a commitment to meaningful parent leadership, including among parents of children with disabilities, parents with disabilities, racial and ethnic minorities, and members of underrepresented and underserved groups; and
 - (H) Provide referrals to early health and developmental services.
- (2) Fostering the development of a continuum of preventive services for children and families through State and community-based public and private partnerships.
 - (3) Financing the start-up, maintenance, expansion, or redesign of a variety of specific community-based and prevention-focused programs and activities that have been identified as unmet needs, and integrated with the network of child abuse prevention and family support programs in the State, to the extent practicable.
 - (4) Maximizing funding through leveraging of funds for establishing, operating, or expanding community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect.
 - (5) Financing public information activities that focus on the healthy and positive development of parents and children and promotion of child abuse and neglect prevention activities.

C. AVAILABILITY AND DISTRIBUTION OF FUNDS

At this time, the continuing resolution maintains all program funding at Fiscal Year (FY) 2006 levels. Thus, \$42.4 million available for the CBCAP program in Federal Fiscal Year (FFY) 2007. The Children’s Bureau will provide updated information on these amounts when it is available.

A certain amount must be set aside for specific legislative purposes, including: (1) one percent of the total appropriation “to make allotments to Indian tribes and tribal organizations and migrant programs;” (section 203(a)); (2) continued funding for a National Resource Center for CBCAP; and (3) continued funding for program support.

The remainder of the FY 2007 appropriation will be distributed to the States and Territories, as described below:

1. Grants to States:

A State¹ is eligible for a grant under the CBCAP program if, in accordance with the eligibility requirements of section 202, the State’s Chief Executive Officer (CEO) has designated a lead entity to administer funds under this program and

¹ In the absence of a definition in title II, we have interpreted “STATE” as having the meaning given the term in CAPTA, section 111 (5)[42 USC 5106g (5)], (i.e., “State” means each of the several States, the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Commonwealth of the Northern Mariana Islands.)

provided certain assurances. The eligibility requirements are described in detail in Part II of this Program Instruction.

Section 203(b)(1) provides that the funds available for distribution to the States under the CBCAP program will be allotted as follows:

- (a) **Seventy percent (70%)** of the funds will be allotted proportionately among the States based on the number of children under age 18 residing in each State², “except that no State shall receive less than \$175,000.”

NOTE: Given the increase in the FY 2005 appropriation, a decision was made to set the minimum grant for this 70% portion to \$200,000 so that all States may benefit from the increase. Future-year minimum grants will depend on the availability of funds appropriated annually for this program, “except that no State shall receive less than \$175,000.”

- (b) **Thirty percent (30%)** of the funds will be allotted proportionately among the States based on the amount of private, State or other non-Federal funds leveraged and directed through the currently designated State lead agency in the preceding fiscal year (i.e., FY 2006, 10/1/05 to 9/30/06). If the aggregate of the amounts of leveraged funds claimed by the States exceeds 30% of the amount appropriated, that part of the grant award will be reduced for each State on a pro rata basis. The requirements for leveraged funds are discussed in Part III-F.

2. **Grants to Territories:**

The following Territories are eligible to receive funds under the CBCAP program: the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. These Territories are eligible to submit either (1) a consolidated grant application in accordance with 45 CFR Part 97, **OR** (2) an independent application that meets all of the requirements set forth in this Program Instruction.

Consolidated Application: If a Territory chooses to submit a consolidated grant application, it need not submit an application under this Program Instruction. An eligible Territory that applies for a consolidated grant will receive the base amount of \$200,000 authorized under the CBCAP program. These grant funds will be included in the Territory’s consolidated grant.

Independent Application: If an eligible Territory submits an independent application that meets the requirements of this Program Instruction, the Territory is also eligible to submit a claim for its leveraged funds in accordance with

² The calculation of that portion of a State’s award under paragraph (A) of the formula will be computed by ACYF, using the most current population data provided by the Bureau of the Census, Department of Commerce.

section 203(b)(1)(b) above, and receive its proportionate share of those funds, in addition to receiving the base amount of \$200,000 for its population. If a Territory's independent application is approved, the designated lead agency of the Territory must ensure that the CBCAP grant funds it receives will be used only for the purposes set forth in Part I of this Program Instruction.

D. COORDINATION AND COLLABORATION WITH EXISTING PREVENTION ORGANIZATIONS

The CBCAP program is specifically authorized to foster the development of a continuum of preventive services for children and families through State and community-based collaborations and public-private partnerships. States have already established a broad range of Federal, State and locally-funded programs to support the prevention of child abuse and neglect and to provide community-based (and in several States, faith-based) resources to families. It is the responsibility of the lead agency to use the funds awarded under this authority to support programs and activities designed to strengthen and support families for the prevention of child abuse and neglect. Funds can also be used to provide leadership for networks of coordinated resources that will integrate existing services to address unmet needs that have been identified in the State. There are a range of programs that CBCAP may want to coordinate and integrate as part of their prevention network. Given the limited funding available for prevention services, lead agencies are strongly encouraged to find ways to partner with other public and private organizations serving the same populations and sharing the same goals and objectives. Please see Attachment 2 for a listing of some of these programs and initiatives.

The examples of programs are not meant to be all-inclusive. A strategic plan for the development of a network of prevention and family support programs should include existing child welfare, early childhood, child care, education, vocational rehabilitation, disability, health, mental health, job readiness, self-sufficiency, child and family development, community action, juvenile justice, domestic violence prevention, youth development, teen pregnancy prevention, housing, faith- and community-based programs, fatherhood and healthy marriage programs, and other human service organizations within the State.

E. COORDINATION WITH THE IV-B CHILD AND FAMILY SERVICES PLANS AND THE CHILD AND FAMILY SERVICES REVIEWS/PROGRAM IMPROVEMENT PLANS

Title IV-B, subpart 1, Child Welfare Services and subpart 2, Promoting Safe and Stable Families (PSSF), provide funds to State child welfare agencies for prevention services. PSSF, in particular, provides for community-based family support programs, services to families at risk or in crisis (family preservation programs and time-limited family reunification services), and adoption promotion and support services. Funds for these programs are directed toward State and local child welfare service delivery in order to achieve improved well-being for vulnerable children and their families, particularly those experiencing or at risk for abuse and neglect. In addition, States are required to develop a

strategic plan with goals and objectives for a continuum of care in a five-year comprehensive Child and Family Services Plan (CFSP) with annual updates on their progress. The plans must include:

- Current State information on the well-being of children and families, the needs of children and families, and the nature, scope and adequacy of existing child and family and related social services.
- An extensive consultation process with a wide array of representatives of State, local and tribal governments, and both public and private community-based agencies and organizations (this may also include faith-based organizations), with experience in administering programs for infants, children, youth, adolescents and families.
- A description of the ongoing service coordination process to improve access and deliver a range of services to children and families.
- Descriptions of training, technical assistance, evaluation and quality assurance activities.

Every year, States need to submit an Annual Performance and Services Report (APSR) to ACF. CBCAP programs can play a key role in providing input into this process.

The Child and Family Services Review (CFSR) process is another area in which CBCAP lead agencies are strongly encouraged to become more actively engaged. The CFSR process is a major effort to improve child welfare systems. All States completed an initial review by March 31, 2004. States are now preparing to start their second round of reviews. This is an opportunity for CBCAP programs to provide input into the Statewide Assessment process that has already started or will be starting soon. The CFSR process measures a State's substantial conformity with the State plan requirements in titles IV-B and IV-E of the Social Security Act. It identifies State performance on outcomes for the safety, permanency and well-being of children and reviews the systemic factors leading to child welfare outcomes. This process includes three major steps: (1) an assessment based on aggregate data and a State self-assessment completed in collaboration with a broad range of stakeholders (many of whom also collaborated on the CFSP); (2) an on-site review of cases and stakeholder interviews conducted with a Federal/State partnership team; and (3) a Program Improvement Plan (PIP), and if necessary, addressing the findings of the on-site review and statewide assessment.

Previous Program Instructions (PI) ACYF-CB-PI-02-05 and ACYF-CB-PI-03-05 discussed the requirement for States to integrate the CFSRs with their CFSP/APSR process. Findings from the States indicate that many States and communities lack adequate prevention and community-based services for families. There is also a need for comprehensive family assessments and for greater engagement of parents in the case planning process.

Since the provision of prevention services and the emphasis on parent engagement have always been strong components of the CBFRS/CBCAP program, greater coordination between the State's CFSR and the CFSP/APSR process and the development and operation of the CBCAP program are greatly encouraged. Please see Attachment 3 for

some suggested ways in which CBCAP lead agencies can work with these other planning efforts.

F. DEFINITIONS

It is expected that lead agencies will require local service providers to describe their services and programs according to the definitions outlined in the legislation so that there are common frames of reference within and across States in the implementation of this program. The following terms used in this Program Instruction have the same meaning given those terms in section 209 of the Act: (1) “children with disabilities”; (2) “community referral services”; (3) “community-based and prevention-focused programs and activities designed to prevent child abuse and neglect”; (4) “outreach services”; and (5) “respite care services”. See Attachment 2 for a link to the Child Abuse Prevention and Treatment Act, as amended by the Keeping Children and Families Safe Act of 2003.

The term “community-based and prevention-focused programs and activities to strengthen and support families to prevent child abuse and neglect” includes organizations such as family resource programs, family support programs, voluntary home visiting programs, respite care programs, parenting education, mutual support programs and other community programs or networks of programs that provide activities that are designed to prevent or respond to child abuse and neglect.

PART II: ELIGIBILITY REQUIREMENTS

A. STATE ELIGIBILITY

Designation of a Lead Entity:³

Before a State can apply for a FFY 2007 grant under the CBCAP program, the Chief Executive Officer (CEO) (i.e. Governor) of the State must designate a lead entity⁴ to administer the funds for the implementation of community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect. (section 202(1)(A))

³ The term “entity” is used with respect to a CEO’s designation of a State entity to administer the CBCAP program. Once an entity has been designated, and for purposes of meeting the application requirements, the term “agency” (or “lead agency”) will be used in lieu of “entity” or “lead entity”.

⁴ Section 202(1)(C)&(D) provides that, in determining which entity to designate, the CEO of the State should “give priority consideration equally to a trust fund advisory board of the State or to an existing entity that leverages Federal, State and private funds ...” Section 202(1)(D) provides that, “in the case of a State that has designated a State trust fund advisory board to be the lead entity... and in which one or more entities that leverages Federal, State and private funds... exist, the [CEO] shall designate the lead entity only after full consideration of the capacity and expertise of all entities desiring to be designated [as lead agency].”

The designation of a lead entity by the CEO should be based on a determination that the entity is the most appropriate organization to accomplish both the child abuse and neglect prevention activities and the family strengthening and support goals of the CBCAP program. That determination should be based on the demonstrated ability of the entity to (1) integrate child abuse and neglect prevention services and activities, and (2) leverage and blend State, Federal and private funds at the local level for these activities.

B. LEAD AGENCY ELIGIBILITY

1. Lead Entity Requirements:

As required by section 202(1)(B), the lead entity must:

- (a) Be an existing public, quasi-public, or nonprofit private entity that exists to strengthen and support families to prevent child abuse and neglect;⁵
- (b) Demonstrate the ability to work with other State and community-based agencies to provide training and technical assistance; and
- (c) Demonstrate the capacity to ensure the meaningful involvement of parents who are consumers and who can provide leadership in the planning, implementation, and evaluation of programs and policy decisions of the lead agency in achieving the outcomes of the program.

⁵ The designated agency is not required to have been established pursuant to State legislation, executive order, or other written authority of the State.

PART III: APPLICATION INSTRUCTIONS

The information presented in this section is intended to summarize the submission and review process for a CBCAP application, and to describe the content and documentation that must be provided with the application. These requirements include specific materials necessary to establish the eligibility of the lead agency to submit the application and of the leveraged funds to be matched by Federal funds.

A. PREPARATION AND FORMAT OF APPLICATION

1. Preparation:

The application must be prepared and submitted by the lead agency designated by the CEO of the State to administer and oversee the implementation of the programs and activities provided under this grant.

2. Format:

States are not required to submit a Standard Form 424, "Application for Financial Assistance" (although a State may use this form if it chooses). Applications may be submitted in formats best suited to the needs of the applicant. States are strongly encouraged, however, to follow the content outline suggested below:

- I. Submission Letter
- II. Lead Agency Identifying Information
- III. State CEO Documentation and Assurances
 - Lead Agency Designation Letter
 - Governor's Assurances
- IV. Lead Agency Assurances
- V. Leveraged Claim Form
- VI. Budget
- VII. Description of the Lead Agency and the Operation of the Network of Coordinated Community-based and Prevention-focused Programs and Activities
- VIII. Criteria for Funded Programs
- IX. Outreach Activities for Special Populations
- X. Plans for Parent Leadership and Involvement
- XI. Plan for Support, Training, Technical Assistance and Evaluation Assistance
- XII. Evaluation Plans, Including Plans for Peer Review
- XIII. Plan for Child Abuse Prevention Month, 2008
- XIV. Actions to Advocate for Systemic Change
- XV. Certifications (Lobbying)
- XVI. Attachments (optional)

Information regarding what should be included in the above sections is described in the next sections.

3. Accompanying Documents:

The application must be accompanied by the appropriate certifications, assurances, and other required documentation described throughout this Program Instruction.

B. SUBMISSION LETTER

Each application must be accompanied by a submission letter that is addressed to Joan E. Ohl, Commissioner, Administration on Children, Youth and Families, and signed by an appropriate official of the lead agency designated by the CEO to act for the State in administering the funds and assuming the obligations imposed by the terms and conditions of the grant award. The letter that officially transmits the application must include a description of the lead agency that will be responsible for the administration of funds and the oversight of prevention and family support programs funded through this program; (section 205(1)).

C. LEAD AGENCY IDENTIFYING INFORMATION⁶

Each application must include the following information:

- (1) The name, mailing address, email address and fax number of the lead agency.
- (2) The lead agency's Employer Identification Number (EIN) and DUNS⁷ number.
- (3) The name and telephone number of the program specialist responsible for the CBCAP grant program.
- (4) The name and telephone number of the fiscal agent responsible for the CBCAP grant program.

⁶ It is incumbent upon the lead agency to provide timely notification to the Federal program officer if there are any changes in the following lead agency information during the grant award period.

⁷ All applicants must have a Dun & Bradstreet number. On June 27, 2003, the Office of Management and Budget published in the *Federal Register* a new Federal Policy applicable to all Federal grant applicants. The policy requires Federal grant applicants to provide a Dun and Bradstreet Data Universal Numbering System (DUNS) number when applying for Federal grants on or after October 1, 2003. The DUNS number will be required whether an applicant is submitting a paper application or using the government-wide electronic portal (www.Grants.gov). A DUNS number will be required for every application for a new award or renewal/continuation of an award, including applications or plans under formula, entitlement and block grant programs submitted on or after October 1, 2003. Please ensure that your organization has a DUNS number. You may acquire a DUNS number at no cost by calling the dedicated toll-free DUNS number request line at 1-866-705-5711 or you may request a number online at www.dnb.com.

D. STATE CEO DOCUMENTATION AND ASSURANCES

Each application for FFY 2007 funding under the CBCAP program must include the following documentation by the State's Chief Executive Officer (CEO) or Governor:

- (a) The original signed letter from the CEO of the State that (1) designates the lead agency to receive the funds, and (2) contains a statement that the lead agency was designated only after giving full and equal consideration to the capacity and expertise of all entities desiring to be the lead agency (sections 202(1)(A)(C)&(D)) and
- (b) The official signed "State Chief Executive Officer's Assurance Statement"; (sections 202(2)&(3)). A copy of the CEO Assurance Statement is included as Attachment 6 in this Program Instruction.⁸

State CEO's Assurances Regarding the Lead Agency:

Section 202(2) and section 202(3) require the State CEO to provide assurances that the lead agency will provide or be responsible for providing:

- 1) Community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate) composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from private and public sector members, parents, and public and private nonprofit service providers and individuals and organizations experienced in working in partnership with families with children with disabilities; (section 202(2)(A))
- 2) Direction to an interdisciplinary, collaborative, public-private structure with balanced representation from private and public sector members, parents, including those with disabilities, and public sector and private nonprofit sector service providers; (section 202(2)(B))
- 3) Direction and oversight through identified goals and objectives, clear lines of communication and accountability, the provision of leveraged or combined funding from Federal, State and private sources, centralized assessment and planning activities, the provision of training, technical assistance, evaluation assistance and reporting and evaluation functions; (section 202(2)(C))
- 4) A demonstrated commitment to parental participation in the development, operation, and oversight of the community-based and prevention-focused programs and

⁸ To simplify procedures for the applicant, all statutory assurances contained in this Program Instruction are consolidated into two separate Assurance Statements, for signature by the State official who is responsible for making such assurances (i.e., the CEO of the State, or the appropriate lead agency administrator). These Assurance Statements are included as Attachments 6 and 7.

activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate); (section 202(3)(A))

- 5) A demonstrated ability to work with State and community-based public and private nonprofit organizations to develop a continuum of preventive, family-centered, comprehensive services for children and families; (section 202(3)(B))
- 6) Operational support (both financial and programmatic) and training, technical assistance and evaluation assistance to community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect, through innovative, interagency funding and inter-disciplinary service delivery mechanisms; (section 202(3)(C)) and
- 7) Integration of its efforts with individuals and organizations experienced in working in partnership with families with children with disabilities, parents with disabilities and with the child abuse and neglect prevention activities of the State, and a financial commitment to those activities; (section 202(3)(D))

Please note that a **new** Governor's letter and assurance statement must be included every year as part of the CBCAP application.

E. LEAD AGENCY ASSURANCES

The authorized official of the lead agency designated by the CEO to administer funds under the CBCAP program and assume the obligations imposed by the terms and conditions of the grant award must provide the following assurances:

- 1) A description of the inventory of current unmet needs and current community-based and prevention-focused programs and activities to prevent child abuse and neglect, and other family resource services operating in the State, will be incorporated into the Annual Performance Report submitted **on or before December 31, 2008**; (section 205(3))
- 2) Funds received under this title will supplement, not supplant, other State and local public funds designated for the startup, maintenance, expansion, and redesign of community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect; (section 205(5))
- 3) The State has the capacity to ensure the meaningful involvement of parents who are consumers, including those with disabilities, and who can provide leadership in the planning, implementation, and evaluation of the programs and policy decisions of the applicant agency in accomplishing the desired outcomes for such efforts; (section 205(6)) and

- 4) The applicant agency will provide the Secretary with reports at such time and containing such information as the Secretary may require **not later than December 31, 2008**; (section 205(13)).

The assurance statement must be signed by an authorized official of the agency designated by the CEO to act for the State in administering the CBCAP funds and assuming the obligations imposed by the terms and conditions of the grant award. A form for this assurance statement is included in this program instruction as Attachment 6 (sections 205(3),(5),(6)&(12)).

F. DOCUMENTATION OF LEVERAGED FUNDS FOR FEDERAL MATCHING FUNDS

Each application must include a statement that the fiscal year for which State, private and other non-Federal funds were leveraged for the purpose of submitting a claim under the CBCAP program for FFY 2007 is FFY 2006 (i.e., **October 1, 2005 - September 30, 2006**).

The following documentation of leveraged funds must be provided to receive CBCAP funds available for distribution under section 203(b)(1)(B), (i.e., 30 percent of the total allotment to States that is based on the leveraging of non-Federal funds):

1. Leveraged Funds that May Be Claimed:

Funds claimed as leveraged funds by a State under section 203(b)-(1)(B) may include any funds that were:

- (a) Leveraged by the State from private, State, or other **non-Federal** sources during **FFY 2006 (October 1, 2005 - September 30, 2006)**;
- (b) Directed through the CBCAP lead agency for FFY 2006; and
- (c) Budgeted and spent during **FFY 2006** for use in supporting community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect⁹.

Only funds that have **not** been used to leverage additional Federal funds under any other program may be claimed as leveraged funds for this program. For purposes of this application, State and non-federal funds that are being used to meet the maintenance of effort, match or other cost-sharing requirements for other Federal funding are **not** eligible to be claimed.

⁹ Leveraged funds that may be submitted for Federal matching funds are those non-Federal funds which, in the preceding fiscal year, were controlled by the CBCAP lead agency submitting the application, and were spent to provide the types of services and activities for which the current CBCAP Federal funds may be used, as specified by the legislation and described under the Use of Funds section of this Program Instruction.

2. Completion of Leveraged Funds Worksheet:

In order for a State to document funds claimed as leveraged funds, the application must contain a completed copy of the Leveraged Funds Worksheet (see Attachment 8), which includes (1) an itemization of the funds being claimed, and (2) an assurance statement that is to be signed by the responsible lead agency administrator and fiscal authority for the lead agency verifying the authenticity of the submitted claim.

G. ADDITIONAL APPLICATION REQUIREMENTS

1. Budget, Including State's 20 percent Cash Match. Each State application must include a budget for the development, operation and expansion of the community-based and prevention-focused programs and activities that verifies that the State will expend in non-Federal funds an amount equal to not less than 20 percent of the amount received under this title (**in cash, not in-kind**) for activities under this title; (section 205(4)). The budget should be presented in a format that clearly displays line-item expenditures for both the Federal and non-Federal funds.

The budget must allocate sufficient funds to provide for at least two representatives from the State to attend an annual 3-5 day Federally initiated CBCAP grantees conference. **Attendance at this meeting is a grant requirement.**

Up to 20 percent of available funds may be budgeted for administrative purposes. This does not apply to such program costs as necessary to provide training, technical assistance, evaluation assistance, evaluation, parent leadership and coordination for the prevention network.

The nature of the formula provision is such that States claiming leveraged funds will not know what their total grant award will be when the application is submitted. Consequently, a State with a leveraged funds claim will not know what will constitute its 20 percent match. Therefore, for application purposes, the 20 percent match should be determined based on the population-only portion of the formula. Attachment 8 includes a table showing an estimate of the amount each State will receive based on population. Within 30 days of receipt of the Grant Award Letter, the lead agency must submit an amended budget to reflect a 20 percent match of the full amount of the grant award.

Note: If the non-Federal match money in the budget submitted with the application is equal to or greater than 20 percent of the final grant award, the lead agency is not required to submit a post-award budget amendment.

2. Description of the lead agency. This section describes the interdisciplinary, collaborative, public-private structure, including its representation from private and public sector parents and service providers, that will direct and support networks of

coordinated child abuse prevention resources and activities to better strengthen and support families, and how the structure will direct the network; (section 202(2)(B)).

This section should also include a description of how programs and activities will operate; and how community-based and prevention-focused programs and activities provided by public and private, nonprofit organizations, including faith-based programs and those funded by programs under this Act, will be integrated into a developing continuum of family-centered, holistic, preventive services for children and families; (section 205(2)).

3. Criteria for Funded Programs. Each application must include a description of the criteria that the lead agency will use to develop, or select and fund, community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect; (section 205(7)).

This requirement may be met in whole or in part by the inclusion of the State's current announcement of the priority for local grant awards or Request for Proposals (RFP) for CBCAP funds.

4. Outreach Activities for Special Populations. Each application must include a description of outreach activities that the lead agency and the community-based and prevention-focused programs and activities will undertake to maximize the participation of parents, racial and ethnic minorities, children and adults with disabilities and members of other underserved or underrepresented groups; (section 205(8)).

Parents with mild to moderate disabilities are frequently underserved and should also be considered a target population for this outreach. Research has demonstrated the importance of fathers in the healthy development of children. Fathers are an often-overlooked population and care should be taken to include them in the planning of outreach activities. States are encouraged to describe any other special populations that they include in their outreach plans to meet local needs.

5. Plan for Parent Leadership and Involvement. Each application must include a description about how the Lead Agency will implement activities and training to enhance parent participation and leadership in the upcoming year. Plans can include a description of how parents are involved in the planning, implementation and evaluation of funded programs and the network.
6. Plan for Support, Training, Technical Assistance and Evaluation Assistance. Each application must include a plan for providing operational support, training, technical assistance and evaluation assistance to community-based, prevention-focused programs and activities for the development, operation, expansion and enhancement of such activities; (section 205(9)).

These plans should include training and technical assistance to foster understanding, appreciation and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect. This training may also focus on enhancing cultural competence across all funded programs and activities. Plans should also include training and technical assistance to foster the promotion of strong families. Plans should include a description of how the lead agency will provide assistance to their funded programs on developing evaluation plans which may include quantitative and qualitative methods. States are encouraged to identify training and technical assistance efforts that link with the CFSR/PIP and CFSP/APSR processes, as appropriate.

This plan should indicate the training and technical assistance (T/TA) to be provided in the coming fiscal year, as opposed to an accounting of the T/TA provided in the prior fiscal year.

7. Evaluation. Each application must include a description of how the lead agency's activities, and those of the network and its members, where appropriate, will be evaluated; (section 205(10)). States are encouraged to include evaluation activities which assess culturally competent practices and parent leadership across all funded programs and activities. This section should describe an overall evaluation plan and approach which includes the peer review process the State will conduct under this program; (section 207(7)). States are strongly encouraged to develop evaluation plans which incorporate quantitative and qualitative data collection methods.

States must include plans for meeting the reporting requirements regarding the OMB Program Assessment Rating Tool (PART) and the national outcomes for the CBCAP program, as appropriate. At a minimum, States must provide data about the percentage of CBCAP total funding used to support evidence-based and evidence-informed programs and practices.

States which have allocated a large portion of their CBCAP for network support/development are strongly advised to develop strategies and methods to evaluate the effectiveness of their network and its activities, as well as their funded programs.

8. Child Abuse Prevention Month, 2008. Each application must include a description of the activities the lead agency will coordinate or participate in, and the network's role in the promotion and observance of Child Abuse Prevention Month during April 2007.
9. Actions to Advocate for Systemic Changes. Each application must include a description of the actions that the lead agency will take to advocate systemic changes in State policies, practices, procedures and regulations to improve the delivery of community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect; (section 205(11)).

If the lead agency is actively involved in the CFSR/PIP or IV-B planning process, please describe the extent of the involvement and other relevant information. Please also include other actions that involve other statewide public and private interagency systems change efforts.

This description should not be a report of actions accomplished, but a description or approach to be implemented in the coming fiscal year to identify and advocate for systemic change. It would be especially appropriate to include network activities and support for the proposed actions.

H. CERTIFICATIONS

1. **Certification Regarding Lobbying and Disclosure of Lobbying Activities Forms**

Pursuant to 45 CFR Part 93, the Certification Regarding Lobbying Form (see Attachment 10) must be signed and submitted with the State's CBFRS program application. If applicable, a Standard Form LLL, which discloses lobbying activities, also must be submitted.

2. **Other Certifications** (Attachment 10)

The signature on the State's CBCAP Program application by an authorized official attests to the applicant's intent to comply with the following other certification:

- (a) Certification Regarding Environmental Tobacco Smoke

No additional forms are needed for the certification listed above.

I. SUBMISSION OF APPLICATION

1. An original and one copy to the Office on Child Abuse and Neglect (OCAN)

The signed original, including all attachments, must be submitted to the CBCAP Federal Project Officer at the Office on Child Abuse and Neglect **by May 15, 2007.**

The Federal Government is continually striving to promote efficiency in the management of its programs. As such, states are strongly encouraged to submit the application electronically. If the State submits the application electronically, it must include the appropriate electronic signatures from authorized officials. Electronic applications should be sent by email to: cbcaphhs.gov by the due date. Applications must be submitted in Microsoft Word or Adobe Acrobat format.

However, if a State chooses to submit the application to OCAN by regular mail, commercial delivery, or by hand, it should be addressed to:

Community-Based Child Abuse Prevention Program
Office on Child Abuse and Neglect
Attention: Melissa Lim Brodowski
1250 Maryland Ave., S.W.
8th Floor, # 8127
Portals Building
Washington, D.C. 20024
Phone: 202-205-2629
Email: Melissa.brodowski@acf.hhs.gov

2. One Copy to Regional Office

One copy of the grant application, including all attachments, must be submitted to the Federal Regional Office (RO) that serves the applicant's State. This copy may be submitted electronically to the RO contact. An RO contact list is included as Attachment 11.

Regional Office staff will conduct an initial review of the application and submit their findings and recommendations to OCAN.

In addition to participation in application reviews, RO staff will be active in the administration of this program. The review of a State's application will enable RO staff to become familiar with the State's CBCAP program, and, to the extent appropriate, facilitate linkages with other programs in the State. Additionally, RO staff will be able to provide technical assistance to lead agencies, as requested, and otherwise be a resource to the lead agency.

**PART IV:
ADDITIONAL INFORMATION**

A. CLOSING DATE FOR RECEIPT OF APPLICATIONS

The closing date for receipt of all applications under title II of CAPTA for the Community-Based Grants for the Prevention of Child Abuse and Neglect program is **May 15, 2007.**

B. GRANT ADMINISTRATION REGULATIONS

The regulations that apply to the administration of these grants are contained in 45 CFR Part 92, "Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments." (See Attachment 1 for a weblink to the 45 CFR Part 92 revised regulations.)

C. EXPENDITURE OF FUNDS

A State must obligate these Federal funds not later than **three** years after the end of the Federal fiscal year in which the funds are allocated, and they must be liquidated by no later than two years after that. This means that the FY 2007 funds must be obligated by September 30, 2010 and liquidated by September 30, 2012. The Office of Administration, Office of Grants Management, in cooperation with the Administration on Children, Youth and Families (ACYF), will review the State's financial reports. If the State does not fully obligate or liquidate these funds within the required time periods, all unobligated and unliquidated funds will be recouped for return to the Treasury.

D. REPORTING REQUIREMENTS

Under the provisions of CFR Part 92, each State receiving a grant under the CBCAP program must provide both a financial report and a program report. These reports are required annually and are due 90 days after the close of each FFY (i.e., on or before December 31, of 2008, 2009 and 2010). A grant year runs 12 months from the date of issuance of the award. The project period for the CBCAP grant is 36 months with an additional 24 months to liquidate.

1. **Financial Reports:**

Total expenditures under the CBCAP program (**including the 20 percent match**) are to be reported by the lead agency, using the Financial Status Report (Standard Form 269A). Each financial report must cover an entire 12-month period. The annual financial status reports are due no later than 90 days after September 30 of each year. Final Financial Status Reports **are due 90 days after the end of the grant period** (i.e., December 31, 2012). Financial reports are to be mailed concurrently to the State's ACF Regional Administrator (see Attachment 10), and to:

Administration for Children and Families
Office of Administration
Office of Grants Management
Division of Mandatory Grants
Attention: Rachel Hickson
370 L'Enfant Promenade, SW
Washington, D.C. 20447
Phone: 202-401-4569
Email: rachel.hickson@acf.hhs.gov

CPCAP grantees can also submit their financial status reports (SF-269) using the Internet-based On Line Data Collection (OLDC) system. The web address for OLDC is <https://extranet.acf.hhs.gov/oldc/>.

Access to the system is requested by using the Request for OLDC Access form, available on the OLDC Help/FAQ site at <https://extranet.acf.hhs.gov/oldcdocs/materials.html>. Each staff person who will play a role in OLDC needs a request form. Access is controlled by user names, passwords, and job types as well as user roles. Completed forms can be faxed (202-401-5644) or e-mailed (rachel.hickson@acf.hhs.gov) to Rachel Hickson in the Division of Mandatory Grants. Some personnel reporting CBCAP financial information may already use or have access to the OLDC system for other grant programs.

2. **State Program Report:**

- a. **General Requirement.** Each State's annual program report (due December 31st) should (1) document activities conducted during the grant award period on which the report is based, and (2) provide specific information to demonstrate compliance with the requirements for these funds.
- b. **Performance Measures.** Section 207 of the Act provides that any State receiving a grant under the CBCAP program shall, through reports provided to the Secretary, do the following:
 - (1) Demonstrate (through contracts, interagency agreements and other means) the effective development, operation and expansion of community-based and prevention-focused programs and activities that meets the requirements of the CBCAP program; (section 207(1))
 - (2) Provide the inventory and description of the services provided to families by local programs that meet identified community needs, including core and optional services as described in section 202 of this legislation; (section 207(2))
 - (3) Demonstrate that they have addressed the unmet needs identified by the inventory and description required by section 205(3) of this legislation; (section 207(3))
 - (4) Include a description of the number of individuals and families served, noting and including families with children with disabilities, parents with disabilities and the involvement of a diverse representation of families in the design, operation and evaluation of community-based and prevention-focused programs and activities funded under this legislation; (section 207(4)). The participant numbers should be included for all individuals who received direct preventative services. Parents and children with disabilities who received direct preventative services should be reported as a subset of the total number of individuals served. The numbers for

individuals who received public awareness or public education activities should be counted separately. States can also choose to report the number of individuals who received training and technical assistance from the Lead Agency as a separate total. (See Attachment 3)

- (5) Include a description of the actual outreach activities for special populations conducted by the lead agency during the reporting period;
- (6) Describe the lead agency's activities to ensure the leadership of parents in the ongoing planning, implementation, and evaluation of CBCAP programs; (section 207(8))
- (7) Include a description of the training, technical assistance and evaluation assistance activities conducted or sponsored by the lead agency during the reporting period;
- (8) Include a description of the Child Abuse Prevention Month activities conducted during the reporting period;
- (9) Provide evaluation data on the outcomes of programs and activities funded under this program. This should include the following:
 - data regarding the OMB PART reporting requirements and the national outcomes for the CBCAP program, as appropriate;
 - for the efficiency measure, provide data on the percentage of total funding that supports evidence-based (EBP) and evidence-informed programs (EIP) and practices. More information about these requirements is included in the document, "Guidelines for CBCAP Lead Agencies on EBP EIP." For more information, see www.friendsnrc.org
 - a demonstration of the high level of satisfaction among families who have used the services of the CBCAP program; (section 207(5));
 - a description of the results of the peer review process conducted under the State program; (section 207(7)).
 - evaluation data, where appropriate and available, on the effectiveness of funded programs, the lead agency, and the network;
- (10) Demonstrate (through information and documentation) the establishment or maintenance of innovative funding mechanisms, at the State or community level, that blend Federal, State, local and private funds, and innovative, interdisciplinary service delivery

mechanisms for the development, operation, expansion and enhancement of CBCAP programs;

- (11) Describe the lead agency's actions to advocate for systemic change during the reporting period. Include the lead agency's involvement in statewide systemic change efforts such as the CFSR/PIP, IV-B plans, and other related interagency collaboration activities, as appropriate.

c. **Final Program Report.** The final program report must include:

- (1) All of the information listed above; and
- (2) A statement indicating how the lead agency, in conjunction with the collaborative network, plans to continue to improve its system of child abuse and neglect prevention and the delivery of family resource services.

d. **Submission of Program Reports.**

States are strongly encouraged to submit the Annual Report electronically. If the State submits the application electronically, it must include the appropriate electronic signatures from authorized officials. Electronic submissions should be sent by email to: cbcaphhs@acf.hhs.gov by December 31, 2008. Applications must be submitted in Microsoft Word or Adobe Acrobat format.

In addition, one copy of the Program Reports should be sent electronically or mailed to the State's ACF Regional Administrator.

If a State chooses to submit the Annual Report to OCAN by regular mail, commercial delivery, or by hand, it should be addressed to:

Community-Based Child Abuse Prevention Program
Office on Child Abuse and Neglect
Attention: Melissa Lim Brodowski
1250 Maryland Ave., SW
8th Floor, #8127
Portals Building
Washington, D.C. 20024
Phone: 202-205-2629
Email: Melissa.brodowski@acf.hhs.gov

**E. INTERGOVERNMENTAL REVIEW OF FEDERAL PROGRAMS
(EXECUTIVE ORDER 12372)**

This program has been excluded from the provisions of Executive Order 12372, “Intergovernmental Review of Federal Programs,” and 45 CFR Part 100, “Intergovernmental Review of Department of Health and Human Services Programs and Activities” (52 FR 161).

F. PAPERWORK REDUCTION ACT

An agency may not conduct or sponsor, and a person is not required to respond to a collection of information unless it displays a currently valid OMB Control Number. Public reporting burden for this collection of information is estimated to average 40 hours per application response, and 24 hours per Annual Program Report response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection of information.

In accordance with the Paperwork Reduction Act of 1995 (P.L. 104-13), the information collection requirements in this Program Instruction have been approved through **June 30, 2008** by the Office of Management and Budget under **OMB Control Number: 0970-0155**.

Catalog of Federal Domestic Assistance # 93.590

G. ADDRESS INQUIRIES TO:

Community-Based Child Abuse Prevention Program
Office on Child Abuse and Neglect
Attention: Melissa Lim Brodowski
1250 Maryland Ave., SW
8th Floor, #8127
Portals Building
Washington, D.C. 20024
Phone: 202-205-2629
Email: mbrodowski@acf.hhs.gov

H. EFFECTIVE DATE

Upon Issuance

_____/s/_____
Joan E. Ohl
Commissioner

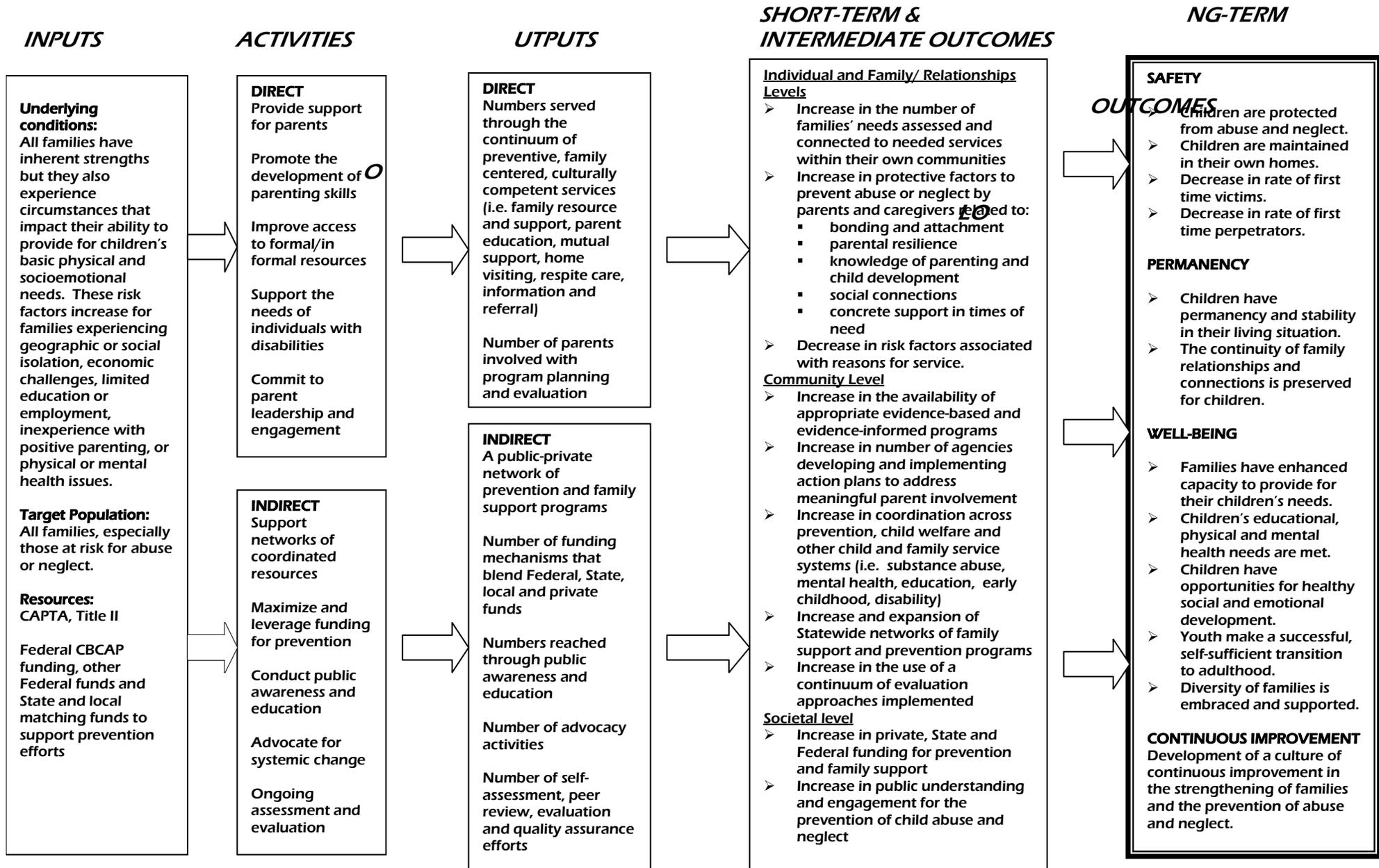
Attachments

PART V: ATTACHMENTS

- Attachment 1:** CBCAP Conceptual Framework
- Attachment 2:** Links to Various Resource Materials
- a) [Keeping Children and Families Safe Act 2003
Child Abuse Prevention and Treatment Act 2003
Title II, Community-Based Grants for the Prevention of
Child Abuse and Neglect, 2003](#)
 - b) [45 CFR Part 92](#)
 - c) [Community-Based Grants for the Prevention of Child
Abuse and Neglect Contact Lists](#)
 - d) [Guidelines for CBCAP Lead Agencies on Evidence-
Based and Evidence Informed Programs and Practices
\(PART efficiency measure guidelines\)](#)
- Attachment 3:** CBCAP Annual Report Participant Numbers Guidelines
- Attachment 4:** List of Programs for Potential Coordination and Collaboration
With CBCAP
- Attachment 5:** Coordination and Collaboration with the CFSR/PIP and
CFSP/APSR Processes
- Attachment 6:** Overview of the HHS/ ACF Healthy Marriage, Responsible
Fatherhood, Positive Youth Development, Rural, Faith-Based
and Community Initiatives
- Attachment 7:** State Chief Executive Officer Assurance Statement
- Attachment 8:** State Lead Agency Assurance Statement
- Attachment 9:** Leveraged Funds Worksheet
- Attachment 10:** State Grants Based on Population-Only Portion of the
CBCAP Funds
- Attachment 11:** Certifications
- Attachment 12:** Regional Offices Contact List

CBCAP CONCEPTUAL FRAMEWORK (revised 10-19-06)

The purposes of the CBCAP program are: (1) to support community-based efforts to develop, operate, expand, and enhance, and where appropriate to network, initiatives aimed at the prevention of child abuse and neglect; (2) to support networks of coordinated resources and activities to better strengthen and support families to reduce the likelihood of child abuse and neglect; and (3) to foster understanding, appreciation, and knowledge of diverse populations in order to effectively prevent and treat child abuse and neglect.



Links to Various Resource Materials

Please visit the websites listed below to download the relevant resource materials for this Program Instruction.

- a. Keeping Children and Families Safe Act, 2003, Child Abuse Prevention and Treatment Act, Title II Community-Based Grants for the Prevention of Child Abuse and Neglect
http://www.acf.hhs.gov/programs/cb/laws/capta03/capta_manual.pdf
- b. 45 CFR Part 92
<http://frwebgate.access.gpo.gov/cgi-bin/get-cfr.cgi?TITLE=45&PART=92&SUBPART=A&TYPE=text>
- c. Community-Based Child Abuse Prevention Program Lead Agency Contacts
<http://www.friendsnrc.org/state-lead-agency-contacts>
- d. Guidelines for CBCAP Lead Agencies in Evidence-based and Evidence-informed Programs and Practices (OMB PART Efficiency measure reporting)
<http://www.friendsnrc.org/teleconference-and-webinar-archive/2007-teleconference-and-webinar-archive/190-february-2007-engaging-parents-in-child-abuse-prevention-activities>

Attachment 3: CBCAP Annual Report Numbers Guidelines

| CBCAP Annual Report Participant Numbers Reporting Guidelines | | |
|---|--|------------------------|
| | DATA | CHARACTERISTICS |
| Table I. | Children, Parents/ Caregivers and Families Who Received Preventive Direct Services | |
| | From the State During the Year - FFY2005 Community-Based Child Abuse Prevention Program (CBCAP) Grant | |
| SUMMARY DATA DEFINITION | | |
| <p>Preventive direct services under CBCAP are beneficial activities aimed at preventing child abuse and neglect. Such activities may be directed at the general population or specific populations identified as being increased risk of abusing or neglecting their children. The primary focus of these activities are to better strengthen and support families by increasing protective factors and reducing the risk factors that can reduce the likelihood of abuse or neglect. The five primary protective factors to be increased by the preventive direct services include: bonding and attachment, parental resilience, knowledge of parenting and child development, social connections, and concrete support in times of need. The primary risk factors that may be addressed include caregiver problems with mental health, substance abuse, and family and community violence, and other negative conditions in the child and family's life situation. Ultimately, the goals of these activities are to increase the strength and stability of families, to increase parents' confidence and competence in their parenting abilities, to afford children a stable and supportive environment and to increase the safety, permanency and well-being of children and families. Such activities do not include information and referral, one-time public education events, or public awareness campaigns.</p> | | |
| INSTRUCTIONS / ERROR CONDITIONS | | |
| <p>This is the number of children and number of families who received services aimed at preventing child abuse and neglect during the year. These services may be directed at specific populations identified as being at increased risk of becoming abusive or they may provide direct services to the general population. Direct services means that the services must be provided to an individual or family and the <u>planned</u> duration of the services should be more than a one-time event. Some examples of preventative direct services include: voluntary home visiting, parenting programs, parent mutual support, respite care, family resource centers, or other family support programs. If the participant only attends the direct service for one-time and drops out, they should still be counted in this category since the planned duration was for more than one-time.</p> <p>The data should reflect recipients of direct services funded by the CBCAP program. Direct services funded by CBCAP should reflect the Federal CBCAP funds plus the amount for the State's required 20% match as reflected in their application for this year's funding. (Note: Some States include more than a 20% match in their application). Since a number of States blend the CBCAP with other Federal, State and local funding, these States will need to indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of the total.</p> <p>The data should not include recipients of information and referral services, one-time public education events, and other public awareness campaigns. The recipients of these activities should be counted <u>separately</u> as part of Public Awareness Activities (see Table III).</p> <p>The items in this section request data on recipients of preventive direct services under the CBCAP program. Data on the number of children, parents and the number of families receiving these services is requested. The three possibilities are provided since some programs report by "family," "parent/ caregiver" and others report by "child." In answering these questions, to the extent possible, you should NOT duplicate your counts.</p> <p>This is the number of children, parents/ caregivers, and families who received services aimed at preventing child abuse and neglect during the year funded by a Community-Based Child Abuse Prevention Program (CBCAP) Grant. The total number should also include the number of adults/ children with disabilities who are receiving direct services.</p> | | |
| STATE DATA | | |

Can the State provide data for this item? (Y=Yes, N=No) []

Total Number of Children who received preventative direct services:

Total Number of Parents/ caregivers who received preventative direct services:

Total Number of Families who received preventative direct services:

STATE COMMENTARY / CONSTRUCTION LOGIC

The State should provide additional information about the numbers being reported above and the primary source of the data. If multiple funding sources are included in the recipient numbers, please indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of that total. If no data is available, the State should provide an explanation why the data cannot be reported.

CBCAP Annual Report Participant Numbers Reporting Guidelines

| DATA | | CHARACTERISTICS |
|--|--|-----------------|
| Table II. | Children, Parents/ Caregivers with Disabilities Who Received Preventive Direct Services | |
| | From the State During the Year - FFY2005 | |
| | Community-Based Child Abuse Prevention Program (CBCAP) Grant | |
| SUMMARY DATA DEFINITION | | |
| <p>Preventive direct services under CBCAP are beneficial activities aimed at preventing child abuse and neglect. Such activities may be directed at the general population or specific populations identified as being increased risk of abusing or neglecting their children. The primary focus of these activities are to better strengthen and support families by increasing protective factors and reducing the risk factors that can reduce the likelihood of abuse or neglect. The five primary protective factors to be increased by the preventive direct services include: bonding and attachment, parental resilience, knowledge of parenting and child development, social connections, and concrete support in times of need. The primary risk factors that may be addressed include caregiver problems with mental health, substance abuse, and family and community violence, and other negative conditions in the child and family's life situation. Ultimately, the goals of these activities are to increase the strength and stability of families, to increase parents' confidence and competence in their parenting abilities, to afford children a stable and supportive environment and to increase the safety, permanency and well-being of children and families. Such activities do not include information and referral, one-time public education events, or public awareness campaigns.</p> | | |
| INSTRUCTIONS / ERROR CONDITIONS | | |

This is the number of children and adults/ caregivers with disabilities who received services aimed at preventing child abuse and neglect during the year. These services may be directed at specific populations identified as being at increased risk of becoming abusive or they may provide direct services to the general population. Direct services means that the services must be provided to an individual or family and the planned duration of the services should be more than a one-time event. Some examples of preventative direct services include: voluntary home visiting, parenting programs, parent mutual support, respite care, family resource centers, or other family support programs. If the participant only attends the direct service for one-time and drops out, they should still be counted in this category since the planned duration was for more than one-time.

The data should reflect recipients of direct services funded by the CBCAP program. Direct services funded by CBCAP should reflect the Federal CBCAP funds plus the amount for the State's required 20% match as reflected in their application for this year's funding. (Note: Some States include more than a 20% match in their application). Since a number of States blend the CBCAP with other Federal, State and local funding, these States will need to indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of the total.

The data should not include recipients of information and referral services, one-time public education events, and other public awareness campaigns. The recipients of these activities should be counted separately as part of Public Awareness Activities (see Table III).

The items in this section request data on recipients (adults/ children) of preventive direct services under the CBCAP program who also have a disability. The definition of a person with disability has the same meaning for a child or adult with disability under the Individuals with Disabilities Education Act (IDEA). (For more information, visit: <http://ericec.org/digests/e560.html>)

Data on the number of children and/ or parents with disabilities receiving these services is requested. **The numbers for Table II should be a subset of the total numbers from Table I.**

This is the number of children and/or parents/ caregivers with disabilities who received direct services aimed at preventing child abuse and neglect during the year funded by a Community-Based Child Abuse Prevention Program (CBCAP) Grant.

STATE DATA

Can the State provide data for this item? (Y=Yes, N=No) []

Total Number of Children with disabilities who received preventative direct services:

Total Number of Parents/ caregivers with disabilities who received preventative direct services:

STATE COMMENTARY / CONSTRUCTION LOGIC

The State should provide additional information about the numbers being reported above and the primary source of the data. If multiple funding sources are included in the recipient numbers, please indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of that total. If no data is available, the State should provide an explanation why the data cannot be reported.

CBCAP Annual Report Participant Numbers Reporting Guidelines

DATA

CHARACTERISTICS

Table III.

Individuals Who Received Public Awareness or Public Information Activities

From the State During the Year - FFY2005

Community-Based Child Abuse Prevention Program (CBCAP) Grant

SUMMARY DATA DEFINITION

Public awareness or public education activities under CBCAP are beneficial activities that focus on the healthy and positive development of parents and the promotion of child abuse and neglect prevention activities. These activities can include public education and outreach, information and referral regarding community and social services that are available for families, and public awareness campaigns. Such activities are usually directed at the general population but may also be targeted for specific populations or communities identified at increased risk of abuse or neglect. The primary focus of these activities are to better strengthen and support individuals, families, the community and society by providing information about available family support and prevention resources in the community, increasing the public understanding of the importance of the prevention of child abuse and neglect and increasing community ownership and involvement in prevention activities. Over the long term, it is anticipated that these activities contribute to increasing the safety, permanency and well-being of all children and families.

INSTRUCTIONS / ERROR CONDITIONS

This is the number of individuals received public awareness or public education activities aimed at preventing child abuse and neglect during the year. These services may be directed to the general population or at specific populations identified as being at increased risk of abuse or neglect. These activities may be a one-time event or a series of public education and information sessions. These activities may also include provide information and referral to the community through the telephone, in-person, or through a mail out or website. Some examples of public awareness, public education or information and referral activities include: Blue Ribbon or other Child Abuse Prevention Month campaigns, conducting a public information fair at a local festival, presenting information about child abuse prevention to various agencies or the general public, television or radio ads, newsletter mailing, parent support hotlines, information and referral websites, etc.

The data should reflect the individuals who received or were exposed to the public awareness or public education activities funded by the CBCAP program. Direct services funded by CBCAP should reflect the Federal CBCAP funds plus the amount for the State's required 20% match as reflected in their application for this year's funding. (Note: Some States include more than a 20% match in their application). Since a number of States blend the CBCAP with other Federal, State and local funding, these States will need to indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of the total.

The items in this section request data on recipients of public awareness or public education activities conducted under the CBCAP program. Data on the number of individuals receiving or exposed to these activities is requested. Since it is difficult to provide an exact number of individuals who may have received the public awareness or public education activities, States are advised to provide the most accurate estimate based on the number of participants that reasonably received these activities. For example, the total can include the number of participants in public education session or workshop, the number of newsletters mailed out, the number of individuals who called a parent support line, the number of people exposed to the television or radio ads, etc.

This is the number of individuals who received public awareness and/or public education activities aimed at preventing child abuse and neglect during the year funded by a Community-Based Child Abuse Prevention Program (CBCAP) Grant.

STATE DATA

Can the State provide data for this item? (Y=Yes, N=No) []

Total number of individuals who received or were exposed to public awareness or public education activities:

STATE COMMENTARY / CONSTRUCTION LOGIC

The State should provide additional information about the numbers being reported above and the primary source of the data. If multiple funding sources are included in the recipient numbers, please indicate the total funding from all other sources, including CBCAP, and indicate the percentage of CBCAP funding that is part of that total. If no data is available, the State should provide an explanation why the data cannot be reported.

ATTACHMENT 4

List of Programs for Potential Coordination and Collaboration with CBCAP

Respite Care

Respite care programs are designed to alleviate social, economic and financial stress among families of children with disabilities or children who are chronically or terminally ill. They provide short-term, in-home or out-of-home nonmedical child care. Respite care provides families or primary caregivers with periods of temporary relief from the pressures of demanding child care routines and lessens the intensity of severe family stress. Respite care programs generally provide 24-hour services, access to medical services, referral to counseling and therapy, staff training including child abuse/neglect reporting responsibilities and public awareness efforts. (For information about these programs in your State, visit the ARCH National Respite and Resource Center website at: <http://www.archrespite.org>).

Respite services continue to be an important component of a full continuum of child abuse prevention and family resource services, and are included as services for funding under the CBCAP program. (See section 207(3), section 209(3)(B) and (c)(v)&(5).)

Crisis Nurseries

Crisis nurseries are child care facilities that provide a safe environment when the chance of neglect or abuse in the home increases. The programs offer parents the option of “time out,” as a preventive measure to reduce the likelihood of child maltreatment. They are designed to: (1) offer a safe environment as a resource for children at risk of abuse; (2) deliver non-punitive, non-threatening services as a resource to care-givers of at-risk children, and (3) utilize existing community-based services to further diminish the potential for the maltreatment of children in families experiencing crisis. (For information about programs in your State, visit the website <http://www.archrespite.org>.)

Child Care Programs

The Child Care and Development Fund (CCDF), established as a result of the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (Pub. L. 104-193) assists low-income families and those transitioning off welfare to obtain child care so they can work or attend training and education programs. This program provides subsidized child care services to eligible parents as long as the child care providers meet basic health and safety requirements set by States and Tribes, including the prevention and control of infectious diseases, environmental safety, and minimum health and safety training. For more information, please visit the National Child Care Information Center at: <http://nccic.org/>.

Head Start Programs

Head Start is a Federal program for infants, toddlers, and preschool children from low-income families. The Head Start program is operated by a variety of faith- and community-based agencies, including Community Action Agencies, nonprofit organizations, and school systems. These programs provide comprehensive services to meet the educational, emotional, social, health, nutritional and psychological needs of our most vulnerable children, including children with disabilities. An essential component of every Head Start program is the involvement of parents in parent education, program planning and other administrative and managerial activities. For more information, visit the Head Start Information and Publication Center at: <http://www.headstartinfo.org/>

The Administration on Developmental Disabilities (ADD)

ADD is the lead agency within the U.S. Department of Health and Human Services, Administration for Children and Families, responsible for planning and carrying out programs which promote the self-sufficiency and protect the rights of persons with developmental disabilities. ADD's major goal is to work in partnership with State governments, local communities and the private sector to increase the social and economic integration of individuals with developmental disabilities.

ADD funds Family Support 360 grantees which are used to support one-stop centers to provide family support services for families of individuals with disabilities. There are currently 21 grantees across the country funded for this purpose and CBCAP Lead Agencies are strongly encouraged to collaborate with these entities when they are present in their States. For more information on this program, visit: <http://www.acf.hhs.gov/programs/add/pns/pnsfs360.html>

For more information about ADD, visit their website at: <http://www.acf.hhs.gov/programs/add/>

Child Support Enforcement Access and Visitation Programs

Funds are provided to enable States to create programs which support and facilitate access and visitation by non-custodial parents with their children. Activities may include mediation, counseling, education, development of parenting plans, visitation enforcement and development of guidelines for visitation and alternative custody arrangements. For more information, please visit the ACF Office of Child Support Enforcement at: <http://www.acf.hhs.gov/programs/cse/>.

Temporary Assistance to Needy Families (TANF)

The TANF program, which is time limited, assists families with children when the parents or other responsible relatives cannot provide for the family's basic needs. The Federal government provides grants to States to run the TANF program. States have broad flexibility to carry out their programs. The States, not the Federal government, decide on the design of the program, the type and amount of assistance payments, the range of other services to be provided, and the rules for determining who is eligible for benefits. To get the location of your local or State welfare office, you can go to the web site sponsored by the American Public Human Services Association at <http://www.aphsa.org/home/StateContacts.asp>.

Maternal and Child Health Title V Program

The specific purpose of the title V Block Grants to the States is the creation of Federal-State partnerships to develop service systems in our nation's communities that can meet the critical challenges facing maternal and child health, including (partial list): significantly reducing infant mortality; providing comprehensive care for women before, during, and after pregnancy and childbirth; providing preventive and primary care services for children and adolescents; providing comprehensive care for children and adolescents with special health care needs; preventing injury and violence; and putting into community practice national standards and guidelines (e.g., prenatal care; healthy and safe child care; and health supervision of infants, children, and adolescents). For more information, visit:

<https://performance.hrsa.gov/mchb/mchreports/Search/search.asp>

Faith-based and community organizations (often referred to as FBO/CBOs).

These organizations are a vitally important resource in our communities. They demonstrate care and compassion to those most in need by providing a rich diversity of programs, small and large. Faith-based and community organizations have unique strengths that government cannot duplicate. They often operate very close to the daily lives of individuals and families in need and thus can reach needy individuals and families that government cannot. For more information about the HHS Center for Faith-Based and Community Initiatives, visit:

<http://www.hhs.gov/fbci/>.

For more information about the Compassion Capital Fund at ACF, which helps faith-based and community groups build capacity and improve their ability to provide social services to those in need, please visit: <http://www.acf.hhs.gov/programs/ccf/>.

Various Prevention and Family Support Programs

There are a variety of prevention and family support programs existing across the country. The report, *Emerging Practices in the Prevention of Child Abuse and Neglect* provides an overview of the landscape of prevention programs. A copy of the report is available at:

<http://www.childwelfare.gov/preventing/programs/whatworks/report/report.pdf>

These programs range from national models implemented broadly in States, to unique local agency programs with a long history of service to their communities. They are funded from diverse sources and contribute to a network that provides a full continuum of community-based family resource services. A few examples of such programs are:

Home Instruction Program for Preschool Youngsters (HIPPY). HIPPY is an early childhood instruction program for educationally disadvantaged parents to use with their preschool children. Paraprofessional home visitors from the community instruct parents in the use of HIPPY materials. For more information, visit their website at

<http://www.hippyusa.org/>

Nurse Family Partnership (NFP). NFP is a home visiting program that uses public health nurses, to help improve the health and social functioning of low income, first-time mothers, their babies, and families. For more information, visit their website at:

<http://www.nursefamilypartnership.org/>

Parents As Teachers (PAT). PAT is a home-school-community partnership for parents of children 0-3 that is designed to help parents give their children the best possible start in life by providing timely information on child development and ways to encourage learning. For more information, visit their website at:

<http://www.parentsasteachers.org/>

Parents Anonymous. Parents Anonymous is a national organization that oversees a network of accredited organizations and the operation of approximately 1,000 Parents Anonymous Programs which offer mutual support and self-help services to alleviate the stresses of parenting, build self-esteem, teach new parenting skills, and promote parent leadership. To find out about PA chapters in your State, please visit their website at:

<http://www.parentsanonymous.org>

Prevent Child Abuse America (PCA). PCA is a national organization with State and local chapters in every State. Their mission is to provide information, public education and awareness and various services for the prevention of child abuse and neglect. For more information about PCA chapters in your State, visit their website at:

<http://www.preventchildabuse.org/>. In addition, PCA collaborates with Circle of Parents, a national network of parent mutual support programs. For more information, visit: <http://www.circleofparents.org>

ATTACHMENT 5

Coordination and Collaboration with the Child and Family Services Review (CFSR)/Program Improvement Plan (PIP) and the Child and Family Services Plan (CFSP)/Annual Progress and Services Report (APSR) Processes

Below are some suggested ways in which the CBCAP programs can work more closely with the child welfare agencies in their States:

- CBCAP lead agency contacts and/or members of their prevention network can become more active participants in various stakeholder meetings and planning committees related to the CFSR/Program Improvement Plan (PIP) and Child and Family Services Plan (CFSP)/Annual Progress and Services Report (APSR). Review the goals and objectives of the CFSR/PIP and CFSP/APSR and identify which of them are related to child abuse prevention, family support and family strengthening. Schedule meetings with representatives from the child welfare agency to discuss ways to collaborate and coordinate efforts. The ACF Regional Office is also available to help facilitate this process.
- CBCAP lead agencies can use the relevant sections of the CFSR Statewide Assessment, PIP and/or CFSP that pertains to prevention programs as part of the required description of the inventory of unmet needs in the State.
- Prepare the CBCAP plan by incorporating the relevant goals and objectives contained in the State's CFSR/PIP and CFSP/APSR. Lead agencies may choose to place a stronger emphasis on prevention-related goals identified in these two processes as part of their CBCAP program plans. For example, if the CFSR finds that the lack of prevention resources is a prime concern, and the State child welfare agency is required to redirect its resources to address this area, the CBCAP program may identify specific programs and activities that will be focused on those issues.
- CBCAP lead agencies can work with the child welfare agency to pool the IV-B prevention and family support funding with their CBCAP funds and issue a joint Request for Proposals to fund programs that meet the shared goals and objectives of the two programs.
- CBCAP lead agencies can work with the State's child protective services (CPS) system to plan and develop triage procedures to refer children not at imminent risk of harm to community organizations or voluntary preventive services. Developing such triage procedures is a provision of the Basic State Grant for CPS agencies outlined in the Child Abuse and Treatment Act, 2003 requirements.
- ACF also believes that Responsible Fatherhood, Positive Youth Development, Faith-based and Community outreach, and Rural Initiatives merit special attention. CBCAP

lead agencies are encouraged to identify ways to support the efforts of these initiatives.
Please see Attachment 6 for more information.

For more information about the Child Welfare Services and Promoting Safe and Stable Families programs, contact: Vicki Wright at (202) 401-0406, email: vicki.wright@acf.hhs.gov.

For a listing of the State contacts for PSSF programs, please visit:
http://www.childwelfare.gov/pubs/reslist/rl_dsp.cfm?rs_ID=7&rate_chno=11272

For more information about the Child and Family Services Reviews, please visit the Children's Bureau website at: <http://www.acf.hhs.gov/programs/cb>

ATTACHMENT 6

Overview of the HHS/ACF Healthy Marriage, Responsible Fatherhood, Positive Youth Development, Rural, and Faith-Based and Community Initiatives

HHS/ACF Key Priorities and Initiatives

The current Administration has developed several major initiatives in areas that it feels will benefit children, adults and society. The Department's Healthy Marriages, Responsible Fatherhood, Positive Youth Development, Rural, and Faith-based and Community initiatives can be promoted among the populations that ACF serves. All should fit with the overall goals of the safety, permanency and well-being of children. We encourage States to propose creative approaches to achieving the goals of these initiatives in the context of the child abuse prevention and child welfare system. The following is a brief description of each initiative:

Healthy Marriage

The Healthy Marriage initiative encourages services to strengthen parental relationships and promote healthy marriages. This initiative's mission is "Helping couples, who choose marriage for themselves, to develop the skills and knowledge necessary to form and sustain healthy marriages." The Administration for Children and Families (ACF) is working with its partners across the country to develop community-wide initiatives to promote healthy marriages and strengthen families. These initiatives will bring together government agencies, faith-based and community organizations, and other partners to offer the knowledge, skills and resources needed to build and sustain healthy marriages. If your State is interested in starting a healthy marriage initiative, or if you are aware of a community initiative already forming, ACF can support those efforts. The next two pages provide more details on this initiative.

Responsible Fatherhood

This initiative's goal is to help men become responsible, committed and involved fathers. The following principles guide the Responsible Fatherhood initiative: (1) all fathers can be important contributors to the well-being of their children; (2) parents are partners in raising their children, even when they do not live in the same household; (3) the roles fathers play in families are diverse and related to cultural and community norms; (4) men should receive the education and support necessary to prepare them for the responsibility of parenthood; and (5) government can encourage and promote father involvement through its programs and through its own workforce policies. For more information, visit <http://fatherhood.hhs.gov/Marriage/index.shtml>

Positive Youth Development

This initiative includes promoting a philosophy of working with youth that models ongoing relationships with adult mentors; safe places with structured activities; healthy lifestyles; opportunities to acquire marketable skills; and opportunities for community service and civic participation. The Positive Youth Development effort involves several agencies within ACF. The Family and Youth Services Bureau (FYSB) and the Children's Bureau emphasize positive

youth development in the Runaway and Homeless Youth Discretionary Grant program and in the Chafee Foster Care Independence Program, respectively. ACF provides additional technical assistance to States through its National Resource Center on Youth Development. For more information, visit: www.nrcys.ou.edu/nrcyd.htm.

Faith-Based and Community Initiatives

In January 2001, President Bush issued Executive Order 13198 that established five (5) Centers for Faith-Based and Community Initiatives within five Federal Departments, one of which was the U.S. Department of Health and Human Services. The President charged each of these Centers with coordinating Department efforts to eliminate policy barriers that prevent faith-based and other community organizations from participating in the provision of social services. We encourage States to include faith-based and community organizations in the coordination of title IV-B and CBCAP related community programs and to include these organizations as sub-grantees or contractors as appropriate. For more information about the HHS Center for Faith-Based and Community Initiatives, visit: <http://www.hhs.gov/fbci/>.

Rural Initiative

The Department's Rural Initiative is aimed at doing "a better job of expanding and improving the provision of health care and social services in rural America." There are 54 million Americans who live in rural areas. We encourage States to determine ways to enhance and strengthen programs and services in their rural areas. For more information, visit the HHS Rural Assistance Center at: <http://www.raconline.org>.

Healthy Marriage Matters to ACF

Background

Research suggests that family structure is related to child well-being. All things being equal, children who grow up in married, two-parent families do better on a host of outcomes than those who do not. Further, many social problems affecting children, families, and communities could be prevented if more children grew up in healthy, intact families. Examples of social science findings include:

- Married couples seem to build more wealth on average than singles or cohabiting couples, thus decreasing the likelihood that their children will grow up in poverty.
- Children who live in a two-parent, married household enjoy better physical health, on average, than children in non-married households.
- Marriage reduces the risk of adults and children either perpetrating, or being victimized by, violent crime.

Congress acknowledged the importance of married-couple families when it reformed the welfare system in 1996. The 1996 legislation stipulated that three out of the four purposes of the Temporary Assistance for Needy Families (TANF) program either directly or indirectly promote healthy marriages. President Bush echoed this sentiment when he indicated that healthy marriages would be a focus of his Administration. In proclaiming National Family Week in November 2001, he noted:

"My Administration is committed to strengthening the American family. Many one-parent families are also a source of comfort and reassurance, yet a family with a mom

and dad who are committed to marriage and devote themselves to their children helps provide children a sound foundation for success. Government can support families by promoting policies that help strengthen the institution of marriage and help parents rear their children in positive and healthy environments.”

ACF Healthy Marriage Initiative

More recently, ACF began a healthy marriage initiative. This initiative aims to **help couples who choose marriage for themselves to develop the skills and knowledge necessary to form and sustain healthy marriages**. In practical terms, it involves:

- *Developing demonstrations.* In consultation with states, a number of communities have approached ACF to conduct healthy marriage demonstration projects. These are broad-based efforts to work with key community sectors (e.g., local governments, businesses, civic organizations, nonprofits) to strengthen marriages.
- *Emphasizing marriage in Federal programs.* ACF’s program offices will promote healthy marriages in every appropriate program. For example, marriage education and enrichment services could be provided, alongside existing services, to low-income couples who utilize Refugee Resettlement, Children’s Bureau, Community Services, or TANF services.
- *Conducting research.* This initiative will use existing funds to explore the types of marriage strengthening services that exist and their effectiveness, so that future resources can be targeted more wisely.
- *Training.* The initiative will provide training about healthy marriage issues to interested Federal ACF staff.

This initiative is *not* about:

- Trapping anyone in an abusive or violent relationship.
- Forcing anyone to get or stay married.
- Running a Federal dating service.
- Withdrawing supports from or diminishing in any way, either directly or indirectly, the important work of single parents.

Additional Information

ACYF-CB-PI-02-05 included numerous suggestions for implementation of the Healthy Marriage initiative. This PI is available from the Children’s Bureau website at:

<http://www.acf.dhhs.gov/programs/cb/laws/pi/pi00index.htm#2002>.

For more information on the Healthy Marriage initiative, visit the ACF website at:

<http://www.acf.hhs.gov/healthymarriage/>

**COMMUNITY-BASED GRANTS FOR THE PREVENTION OF
CHILD ABUSE AND NEGLECT PROGRAM
(TITLE II OF THE CAPTA AMENDMENTS OF 2003 (P.L. 108-36))**

STATE CHIEF EXECUTIVE OFFICER'S ASSURANCE STATEMENT

As Chief Executive Officer of the State of _____, I am providing the following assurance that I have designated _____ to be the lead agency, administer the funds, and assume the obligations imposed by the terms and conditions of the Community-Based Grants for the Prevention of Child Abuse and Neglect award. I further assure that the lead agency will provide or will be responsible for providing--

- (A) Community-based and prevention focused programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate) composed of local, collaborative, public-private partnerships directed by interdisciplinary structures with balanced representation from private and public sector members, parents, and public and private nonprofit service providers and individuals and organizations experienced in working in partnership with families with children with disabilities;
- (B) Direction to an interdisciplinary, collaborative, public-private structure with balanced representation from private and public sector members, parents, and public sector and private nonprofit sector service providers;
- (C) Direction and oversight to the network through identified goals and objectives, clear lines of communication and accountability, the provision of leveraged or combined funding from Federal, State and private sources, centralized assessment and planning activities, the provision of training, technical assistance, evaluation assistance and reporting and evaluation functions.
- (D) A demonstrated commitment to parental participation in the development, operation, and oversight of the community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect (through networks where appropriate);
- (E) A demonstrated ability to work with State and community-based public and private nonprofit organizations to develop a continuum of preventive, family-centered, comprehensive services for children and families;
- (F) The capacity to provide operational support (both financial and programmatic) and training, technical assistance, and evaluation assistance to community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect, through innovative, interagency funding and inter-disciplinary service delivery mechanisms; and
- (G) Integration of its efforts with individuals and organizations experienced in working in partnership with families with children with disabilities, parents with disabilities and with the child abuse and neglect prevention activities of the State, and demonstrate a financial commitment to those activities.

(Signature of Chief Executive Officer)

(Date)

**COMMUNITY-BASED GRANTS FOR THE PREVENTION OF
CHILD ABUSE AND NEGLECT PROGRAM
(TITLE II OF THE CAPTA AMENDMENTS OF 2003 (P.L. 108-36))**

STATE LEAD AGENCY ASSURANCE STATEMENT

STATE:

LEAD AGENCY:

On behalf of the above named agency, which has been designated by the Chief Executive Officer of the State to be the Lead Agency responsible to administer the funds and assume the obligations imposed by the terms and conditions under the Community-Based Grants for the Prevention of Child Abuse and Neglect award, the following assurances are provided:

- (A) A description of the inventory of current unmet needs and current community-based and prevention-focused programs and activities to prevent child abuse and neglect, and other family resource services operating in the State, will be included with the December 31, 2008 Annual Performance Report (and subsequent year's reports due on the same date);
- (B) Funds received under this title will supplement, not supplant, other State and local public funds designated for the start-up, maintenance, expansion, and redesign of community-based and prevention-focused programs and activities to strengthen and support families to prevent child abuse and neglect;
- (C) The State has the capacity to ensure the meaningful involvement of parents who are consumers and who can provide leadership in the planning, implementation, and evaluation of the programs and policy decisions of the applicant agency in accomplishing the desired outcomes for such efforts; and
- (D) The lead agency will provide the Secretary with reports at such time and containing such information as the Secretary may require, not later than December 31, 2008 (and every year on the same date for the life of the grant).

(Signature of Responsible Lead Agency Administrator)

(Typed Name and Title of Administrator)

(Date)

LEVERAGED FUNDS WORKSHEET for FY 2007 APPLICATION

STATE: _____

LEAD AGENCY: _____

| AMOUNT OF CLAIM | DATE(S) FUNDS WERE RECEIVED AND BUDGETED BY LEAD AGENCY ----- BUDGETED/SPENT | SOURCE OF FUNDS BEING CLAIMED | PURPOSE FOR WHICH FUNDS WERE BUDGETED AND SPENT |
|-----------------|--|-------------------------------|---|
| \$ | | | |
| \$ | | | |
| \$ | | | |
| \$ | | | |
| \$ | | | |
| \$ | | | |

STATE: _____

LEAD AGENCY: _____

Page ___ of ___

| AMOUNT OF CLAIM | DATE(S) FUNDS WERE RECEIVED AND BUDGETED BY LEAD AGENCY ----- BUDGETED/SPENT | SOURCE OF FUNDS BEING CLAIMED | PURPOSE FOR WHICH FUNDS WERE BUDGETED AND SPENT |
|------------------------|--|--------------------------------------|--|
| \$ | | | |
| \$ | | | |
| \$ | | | |
| \$ | | | |
| \$ | | | |

| AMOUNT OF CLAIM | DATE(S) FUNDS WERE RECEIVED AND BUDGETED BY LEAD AGENCY ----- BUDGETED/SPENT | SOURCE OF FUNDS BEING CLAIMED | PURPOSE FOR WHICH FUNDS WERE BUDGETED AND SPENT |
|-----------------|--|-------------------------------|---|
| \$ | | | |
| \$ | | | |
| \$ | | | |

INCENTIVE CLAIM ASSURANCE: All amounts figured into this claim are non-Federal monies that have been leveraged by the State, directed through the CBCAP lead agency submitting the application, and **budgeted and spent** in FFY 2006 (i.e. 10/1/05 – 9/30/06) to support community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect, as defined in the legislation, that coordinate resources among a range of existing public and private organizations for the purposes defined under this Title. No funds claimed here have been claimed to leverage any other source of Federal funds. State and non-federal funds that are being used to meet the maintenance of effort, match or other cost-sharing requirements for other Federal funding are **not eligible to be claimed.**

TOTAL CLAIM

\$

PREPARED BY:
(Fiscal Agent) (Date)

SUBMITTED BY:
(Lead Agency Authority) (Date)

LEVERAGED FUNDS - EXAMPLE WORKSHEET

STATE: _____

LEAD AGENCY: _____

| AMOUNT OF CLAIM | DATE(S) FUNDS WERE RECEIVED AND BUDGETED BY LEAD AGENCY ----- BUDGETED/ SPENT | | SOURCE OF FUNDS BEING CLAIMED | PURPOSE FOR WHICH FUNDS WERE BUDGETED AND SPENT |
|-----------------|---|---------|----------------------------------|--|
| \$ 37,948.00 | Monthly Instal. May'06-Sept'06 | 10/1/05 | Tax Checkoffs/Pvt. Contributions | 3 respite care programs for rural communities in XXX county, XXX county, and XXX county, to expand services available through our Inter-agency Agreement with "Child Care Services". |
| \$ 1,500,000.00 | 7/1/06 | 9/1/06 | State Appropriation | 129 (one per county) School-based Parent Education programs for young & single parents |
| \$ 50,000.00 | 11/9/05 | 5/19/06 | Foundation Gift (United Way) | 5 training programs (one in each geographic region) to recruit & train parents as community interagency leaders/partners. |

INCENTIVE CLAIM ASSURANCE: All amounts figured into this claim are non-Federal monies that have been leveraged by the State, directed through the CBCAP lead agency submitting the application, and budgeted and spent in FFY 2006 (i.e. 10/1/05 – 9/30/06) to support community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect, as defined in the legislation, that coordinate resources among a range of existing public and private organizations for the purposes defined under this Title. No funds claimed here have been claimed to leverage any other source of Federal funds. State and non-federal funds that are being used to meet the maintenance of effort, match or other cost-sharing requirements for other Federal funding are not eligible to be claimed.

| | | |
|--|--|-----------------|
| <u>TOTAL CLAIM</u> \$ 1,587,948.00 | PREPARED BY: _____ (Fiscal Agent) | _____ (Date) |
| | SUBMITTED BY: _____ (Lead Agency Authority) | _____ (Date) |

DIFFERENTIATING BETWEEN LEVERAGED AND MATCH FUNDS FOR PURPOSES OF THE CBCAP PROGRAM

Leveraged Funds are those funds that were received by the CBCAP lead agency from private, State, or other non-Federal sources during the prior Federal Fiscal Year (FFY), and obligated and spent by the CBCAP lead agency during that same time frame to provide the types of services and activities for which the actual CBCAP Federal funds may be used.

All funds leveraged by your State and claimed when submitting the CBCAP application must have been:

- **Leveraged** by your State from private, State, or other **non-Federal** sources during the prior Federal Fiscal Year.
- **Directed** through the CBCAP lead agency submitting the application. (**Note:** Leveraged funds must be controlled by the CBCAP lead agency submitting the application. If the State lead agency has changed and the current lead agency submitting the application is not the same lead agency through which the leveraged funds were directed and obligated or spent in the prior Federal Fiscal Year, the current lead agency cannot submit a leveraged funds claim. In that case, your total grant award would be the amount determined by population of children under 18 years of age).
- **Budgeted and spent** (spent, obligated, restricted) during the prior Federal Fiscal Year in supporting services and activities consistent with a network of community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect.
- **Used** (spent, drawn down) during the prior Federal Fiscal Year to support services and activities for which the actual CBCAP Federal grant funds may be used.

Only funds that have not been used to leverage additional Federal funds under any other program may be claimed as leveraged funds for this program. State and non-federal funds that are being used to meet the maintenance of effort, match or other cost-sharing requirements for other Federal funding are not eligible to be claimed.

Match funds are those funds from non-Federal sources that are included in the current application budget to supplement the Federal portion of the grant and must amount to at least 20% (in cash, not in-kind) of the current application grant award for activities under this title.

All Funds included as match funds in the current application budget **must** be:

- **Received** by the CBCAP lead agency from private, State, or other **non-Federal** sources for use during the current Federal Fiscal Year in which the application is being submitted.
- **Budgeted** for the current Federal Fiscal Year in supporting a network of community-based and prevention-focused programs and activities designed to strengthen and support families to prevent child abuse and neglect.
- **Used** during the Federal Fiscal Year in which it was applied to supplement the Federal portion of the grant to support services and activities for which the CBCAP Federal grant funds may be used.
- **In cash, not in-kind**, and amount to at least 20% of the grant award. (**Note:** There is no requirement to include more than a 20% match of non-Federal funds in the budget. However, if the State has a sizeable leveraged funds claim that ensures their grant award will be greater than the population allocation, the State may wish to figure in a higher percentage for match so that they do not have to submit a budget amendment in October.

The State may claim the money that it used for match in Federal Fiscal Year 2006 as part of their leveraged funds claim for their Federal Fiscal Year 2007 application, as long as this money is not leveraged to claim for any other source of Federal funds and the lead agency has remained the same for FY 2006 and FY 2007.

TENTATIVE POPULATION ALLOCATION OF CBCAP FUNDS - FFY 2007

IMPORTANT NOTE: The following figures represent our best estimate of what each State, Puerto Rico, and the District of Columbia will receive for the population portion of their grant award allocation. These figures are based on a 70% formula figure, census figures available; and an assumption that each of the Territories will receive the base allowance of \$200,000. These figures could change if census figures are updated, if there are additional set-asides in the appropriation, or if State participation differs from what is predicted at this time. The amount of funds available and population fluctuations have impacted the population distribution amounts for most of the states over last year's estimated allocation. **NOTE:** The base allowance has been increased as a result of the increase in the overall appropriation for the CBCAP Program in FY2005. Future years' base allowances will be subject to the availability of funds.

For purposes of submitting the application, each State may use this amount when calculating its budget and determining the 20% matching funds obligation as set forth in section III-G-1 of the Program Instruction. Since this amount is only tentative, each State must submit a revised budget to OCAN, to complete the application, not later than October 31, 2007. The revised budget must be based on the actual amount awarded to the State, as verified in the Grant Award letter. For those States providing matching funds in excess of 20%, if the excess amount meets the 20% requirement of the final grant award, such States do not need to submit budget amendments.

| | | | |
|----------------|-------------|--------------------|-------------|
| ALABAMA | \$ 377,296 | MONTANA | \$ 200,000 |
| ALASKA | \$ 200,000 | NEBRASKA | \$ 200,000 |
| AMERICAN SAMOA | \$ 200,000 | NEVADA | \$ 215,066 |
| ARIZONA | \$ 547,182 | NEW HAMPSHIRE | \$ 200,000 |
| ARKANSAS | \$ 233,915 | NEW JERSEY | \$ 748,463 |
| CALIFORNIA | \$3,358,998 | NEW MEXICO | \$ 200,000 |
| COLORADO | \$ 408,724 | NEW YORK | \$1,573,885 |
| CONNECTICUT | \$ 289,097 | NORTH CAROLINA | \$ 741,275 |
| DELAWARE | \$ 200,000 | NORTH DAKOTA | \$ 200,000 |
| DC | \$ 200,000 | N. MARIANA ISLANDS | \$ 200,000 |
| FLORIDA | \$1,408,388 | OHIO | \$ 955,265 |
| GEORGIA | \$ 818,026 | OKLAHOMA | \$ 295,444 |
| GUAM | \$ 200,000 | OREGON | \$ 294,269 |
| HAWAII | \$ 200,000 | PENNSYLVANIA | \$ 975,217 |
| IDAHO | \$ 200,000 | PUERTO RICO | \$ 357,337 |
| ILLINOIS | \$1,122,119 | RHODE ISLAND | \$ 200,000 |
| INDIANA | \$ 554,941 | SOUTH CAROLINA | \$ 355,640 |
| IOWA | \$ 232,246 | SOUTH DAKOTA | \$ 200,000 |
| KANSAS | \$ 233,452 | TENNESSEE | \$ 481,429 |
| KENTUCKY | \$ 339,353 | TEXAS | \$2,190,299 |
| LOUISIANA | \$ 397,342 | UTAH | \$ 257,089 |
| MAINE | \$ 200,000 | VERMONT | \$ 200,000 |
| MARYLAND | \$ 485,736 | VIRGINIA | \$ 631,706 |
| MASSACHUSETTS | \$ 504,804 | VIRGIN ISLANDS | \$ 200,000 |
| MICHIGAN | \$ 873,959 | WASHINGTON | \$ 513,920 |
| MINNESOTA | \$ 425,707 | WEST VIRGINIA | \$ 200,000 |
| MISSISSIPPI | \$ 259,162 | WISCONSIN | \$ 448,702 |
| MISSOURI | \$ 477,174 | WYOMING | \$ 200,000 |

ATTACHMENT 11

CERTIFICATIONS

Information and required forms for the certifications listed below are available at:
<http://www.acf.hhs.gov/programs/ofs/forms.htm#certifications>

Certification Regarding Lobbying

Disclosure of Lobbying Activities

Certification Regarding Environmental Tobacco Smoke

REGIONAL OFFICE
CHILD ABUSE AND NEGLECT CONTACTS FOR CBCAP

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Vermont

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