

December 15, 2020

Distributed Data Collection (DDC) for  
RISK ADJUSTMENT (RA) including HIGH COST  
RISK POOL (HCRP):

2020 BENEFIT YEAR (BY) EDGE SERVER STATUS  
REPORTING FOR FINAL ISSUER PARTICIPATION  
VERIFICATION

**EDGE Server Webinar Series X**

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# Session Agenda

- Session Purpose
- External Data Gathering Environment (EDGE) Server Status Window 2 Overview
- EDGE Server Status Data Page
- EDGE Server Status Window 2 Web Form
- Question and Answer (Q&A)
- Resources
- Closing Remarks

# Session Purpose

- This EDGE server status reporting session will provide information on the following topics:
  - Guidance on how to view the EDGE Server Status Data page
  - Guidance on how to complete the EDGE Server Status web form

# EDGE Server Status Window 2 Reporting Overview

# EDGE Server Status Window 2

- Allows a small population of issuers identified by CMS to make updates to the BY2020 EDGE server status information provided during Submission Window 1. For example:
  - If you indicated that you have **‘no enrollment’** in the respective market, but have approved plans offerings; or
  - If you indicated that you have **‘small group rollover,’** but have not confirmed acceptance of a Risk Adjustment Default Charge (RADC)



Issuers who have not been identified by CMS but need to update their EDGE Server Status may submit a request to [RARIPaymentsOperations@cms.hhs.gov](mailto:RARIPaymentsOperations@cms.hhs.gov).

# EDGE Server Status Window 2 New for Benefit Year 2020



- The EDGE Server Status Window 2 web form now has a **Refresh** button.
  - Selecting this button temporarily prevents the session from timing out to allow more time to gather or enter data.

# EDGE Server Status Window 2 Invitation Email

- On December 14, 2020, those Financial Management (FM) Community Users required to complete the EDGE Server Status Window 2 web form will receive an email notification from [RARIPaymentOperations@cms.hhs.gov](mailto:RARIPaymentOperations@cms.hhs.gov).
- The EDGE Server Status Window 2 web form must be completed by January 11, 2021 at 11:59 p.m. ET.



For a comprehensive overview on EDGE Server Status reporting for BY2020, please see the July 7, 2020 presentation slides posted on Registration for Technical Assistance Portal (REGTAP) at [https://www.regtap.info/reg\\_librarye.php?i=3328](https://www.regtap.info/reg_librarye.php?i=3328).

# EDGE Server Status Window 2 Resources

- In order to support the EDGE Server Status Window 2 reporting process, the **EDGE Server Status Window 2 User Guide** is available through the web form.
  - The User Guide provides step-by-step instructions for making EDGE Server Status updates during Submission Window 2.
- If users experience issues with the web form, please contact [RARIPaymentOperations@cms.hhs.gov](mailto:RARIPaymentOperations@cms.hhs.gov) for support.

# EDGE Server Status Window 2

## View EDGE Server Status Data Page

# EDGE Server Status Window 2

## View EDGE Server Status Data Page

- To access the View EDGE Server Status Data page:
  - Login to **FM Community**
  - Select the **EDGE Status** tab
  - Select the **View EDGE Server Status Data** button

# EDGE Server Status Window 2

## View EDGE Server Status Data Page (continued)

### EDGE Status

#### Instructions

To view your existing EDGE Server Status Data, select the **View EDGE Server Status Data** button.

**View EDGE Server Status Data**

#### Instructions

To view the information reported by your state's DOI, select the **View DOI Reported Information** button.

**View DOI Reported Information**

#### Instructions

CMS identified at least one HIOS ID/market that requires an EDGE Server Status update for benefit year (BY) 2020. The second window for submitting EDGE Server Status for BY 2020 is from **December 14, 2020** through **January 11, 2021** at 11:59 pm ET. To update your EDGE Server Status for your company's HIOS ID(s) and respective market(s), select the **EDGE Server Status Window 2** button.

**EDGE Server Status Window 2**

# EDGE Server Status Window 2

## View EDGE Server Status Data Page (continued)

- The table displays the current EDGE Server Status Data for all the HIOS ID(s)/market(s) associated with your company.
  - No action is required on this page; this information is for reference purposes only.

# EDGE Server Status Window 2

## View EDGE Server Status Data Page (continued)

### View EDGE Server Status Data

#### Instructions

The table below displays the current EDGE Server Status Data for all of the HIOS ID(s)/market(s) associated with your company.

Company Name: ABC Company

Benefit Year: 2020

#### BY 2020 EDGE Server Status/Market Participation

HIOS ID	Market	Issuer Market Status	Issuer Small Group Rollover Only	Issuer Active Reason	Issuer Inactive/Exempt Reason	Issuer Comments	Last Modified
11010	Individual Catastrophic	Active		Will submit EDGE data and has > 500 BMM statewide		Example text example text example text	11/10/2020
11010	Individual Non-Catastrophic	Active		Will submit EDGE data and has > 500 BMM statewide		Example text example text example text	11/10/2020
11011	Small Group	Active	Yes	Will submit EDGE data and has > 500 BMM statewide			11/10/2020
11012	Merged	Active		Will submit EDGE data and has < 500 BMM statewide			11/10/2020
22010	Small Group	Active		Will submit EDGE data and has > 500 BMM statewide			10/19/2020

#### Additional HIOS ID(s)

HIOS ID	Issuer Legal Business Name	State	Market	Issuer Small Group Rollover Only	Issuer Active Reason	Issuer Comments
11013	ABC Company	MD	Individual Catastrophic			This field is optional.
11014	Blue Sky, Inc.	MD	Small Group	No		This field is optional.

#### Small Group Rollover Only SCID/Plan Variant/Rating Area Combinations

HIOS ID	Total Number of SCID/Plan Variant/Rating Area Combinations	Discrepancy in SCID/Plan Variant/Rating Area Combination data provided in the CSV?	# of Missing SCID/Plan Variant/Rating Area Combinations	# of SCID/Plan Variant/Rating Area Combinations Appearing in CSV that are Open for Enrollment in 2020 (not Small-Group Rollover Only)	Issuer SCID/Plan Variant/Rating Area Combination Comments
11011	123	Yes, Missing data Yes, Additional data provided which is not Small Group Rollover Only	15	10	This field is optional.

#### Form Navigation

Select the **Exit** button to close the browser tab and return to the **EDGE Status** tab.

Select the **Request Resubmission** button to navigate to the **Request Resubmission Details** page, where you can request CMS approval to update any of your EDGE Server Status data.

Exit Request Resubmission

# EDGE Server Status Window 2 Web Form

# EDGE Server Status Window 2 Web Form

- To access the EDGE Server Status Window 2 web form:
  - Login to **FM Community**
  - Select the **EDGE Status** tab
  - Select the **EDGE Server Status Window 2** button



Only FM Users for whom CMS has identified at least one HIOS ID/market that requires an EDGE Server Status update for Benefit Year 2020 will have access to this button.

# EDGE Server Status Window 2 Web Form (continued)

## EDGE Status

### Instructions

To view your existing EDGE Server Status Data, select the **View EDGE Server Status Data** button.

[View EDGE Server Status Data](#)

### Instructions

To view the information reported by your state's DOI, select the **View DOI Reported Information** button.

[View DOI Reported Information](#)

### Instructions

CMS identified at least one HIOS ID/market that requires an EDGE Server Status update for benefit year (BY) 2020. The second window for submitting EDGE Server Status for BY 2020 is from **December 14, 2020** through **January 11, 2021** at 11:59 pm ET. To update your EDGE Server Status for your company's HIOS ID(s) and respective market(s), select the **EDGE Server Status Window 2** button.

[EDGE Server Status Window 2](#)

# BY2020 EDGE Server Status/ Market Participation Page

- The Market Participation by HIOS ID table contains the HIOS ID(s)/market(s) associated with your company that CMS identified for updating.
- For each HIOS ID/market listed in the table:
  - Select **Active**, **Inactive**, or **Exempt** to indicate Issuer Market Status, if necessary.

# BY2020 EDGE Server Status/ Market Participation Page (continued)

- Select **Yes** or **No** to indicate if the Issuer Market Status for the HIOS ID/market is Issuer Small Group Rollover Only, if necessary.
  - This column is only enabled if the market is a Small Group.
- If the status in the Issuer Market Status column is **Active**, select a status from the **Issuer Active Reason** column dropdown menu.
- If the status in the Issuer Market Status column is **Inactive** or **Exempt**, select a status from the **Issuer Inactive/Exempt Reason** Column Options dropdown menu.
- Enter **Issuer Comments** as needed.

# BY2020 EDGE Server Status/ Market Participation Page (continued)

## BY 2020 EDGE Server Status/Market Participation Table

Enter the Issuer Market Status for each HIOS ID/market listed in the table. Complete the additional required fields for each HIOS ID/market.

Please note that the session will timeout due to inactivity after 45 minutes. If you need additional time to complete your responses on this page, select the Save Session button.

The **Save Session** button does NOT save your information if you exit the web form. The web form must be completed in a single session. The Save Session button prevents the page from timing out temporarily.

HIOS ID ▲	Market ▼	Issuer Market Status ▼	Issuer Small Group Rollover Only ▼	Issuer Active Reason ▼	Issuer Inactive/Exempt Reason ▼	Issuer Comments ▼
11010	Individual Catastrophic	Active ▼		Will submit ED ▼	--None-- ▼	
11010	Individual Non-Catastrophic	Inactive ▼		--None-- ▼	Market Exit ▼	Enter comments here. Be as specific as possible. This field is limited to 1,000 characters.
11011	Small Group	Active ▼	Yes ▼	Will submit ED ▼	--None-- ▼	

# Summary Page

- Review the BY2020 EDGE Server Status/Market Participation table for accuracy.
- Select the **Edit** button to return to the **BY2020 EDGE Server Status/Market Participation** page to edit the **BY2020 EDGE Server Status/Market Participation** table, as needed.

# Summary Page (continued)



[Guidance](#)

## Summary

### Instructions

Review the information below to ensure accuracy prior to submitting the EDGE Server Status Window 2 Web Form.

### BY 2020 EDGE Server Status/Market Participation [Edit](#)

Select the [Edit](#) button to return to the [BY 2020 EDGE Server Status/Market Participation](#) page to edit the BY 2020 EDGE Server Status/Market Participation table.

HIOS ID	Market	Issuer Market Status	Issuer Small Group Rollover Only	Issuer Active Reason	Issuer Inactive/Exempt Reason	Issuer Comments
11010	Individual Catastrophic	Active		Will submit EDGE data and has > 500 BMM statewide		
11010	Individual Non-Catastrophic	Inactive			Market Exit	Enter comments here. Be as specific as possible. This field is limited to 1,000 characters.
11011	Small Group	Active	Yes	Will submit EDGE data and has > 500 BMM statewide		
11012	Merged	Active		Will submit EDGE data and has < 500 BMM statewide		
11013	Individual Catastrophic	Exempt			Offers only non-PPACA compliant plans	Enter comments here. Be as specific as possible. This field is limited to 1,000 characters.
11014	Small Group	Inactive	No		Liquidation	Enter comments here. Be as specific as possible. This field is limited to 1,000 characters.

# Summary Page (continued)

## Submitter Contact Information

First Name

Tiana

Last Name

Baker

Email Address

tbaker@email.com

Job Title

Consultant

Phone Number

(555) 123-4567

Phone Extension

342

**Note:** If the **Submitter Contact Information** is incorrect, you must exit the web form and make updates in the Maintenance tab.

## Form Navigation

Select the **Exit** button to close the web form. All information entered up to this point will be lost.

Select the **Continue** button to advance to the next page of the web form.

Exit

Continue

# Attestation Page

- The Attestation page requires the submitter to select the check box next to each attestation statement and enter the Attester contact information.
- The Attester must be an individual with the authority to legally and financially bind the company.
- The Attester does not have to complete the web form; however, the Attester must be aware of the BY2020 EDGE server status update.

# Attestation Page (continued)

## Attestation

### Instructions

Prior to completing the EDGE Server Status Window 2 process, an individual with the authority to legally and financially bind the company must attest to the information submitted in this web form.

To attest, the submitter must select the check box next to the attestation and complete the Attester Details.

Please note that the individual completing the web form does not need to be the Attester; however, the Attester must be aware of the EDGE Server Status Window 2 filing as they will be the individual contacted if CMS identifies an issue or has questions.

The red asterisk (\*) indicates required fields.

### Attestation

As of 11/12/20, I certify that the information entered for all of the HIOS ID(s) provided in this status submission is true, complete, and accurate to the best of my knowledge:

<input checked="" type="checkbox"/>	If my company becomes aware that the information contained in this EDGE Server Status Window 2 Web Form is incorrect, incomplete, or misreported, my company will promptly inform CMS. *
<input checked="" type="checkbox"/>	If CMS identifies an issue or has questions about the information submitted, then I agree to be a contact for responding to such questions. *
<input checked="" type="checkbox"/>	I acknowledge that failing to comply with the HHS-operated risk adjustment data requirements could result in my organization triggering the enforcement actions under 45 CFR § 153.740. *
<input checked="" type="checkbox"/>	I acknowledge that the data submitted to the EDGE server and made available for the permanent risk adjustment program established under Section 1343 of the Affordable Care Act may be subject to the False Claims Act. *
<input checked="" type="checkbox"/>	I am authorized to legally and financially bind my organization. *

### Attester Details ⌵

First Name*	Last Name*	Email Address*	Job Title*	Phone Number*	Phone Extension
<input type="text" value="Ariel"/>	<input type="text" value="Fishman"/>	<input type="text" value="afishman@email.com"/>	<input type="text" value="Analyst"/>	<input type="text" value="(555) 987-6543"/>	<input type="text" value="321"/>

### Form Navigation

Select the **Cancel** button to discard your changes and return to the previous page.

Select the **Exit** button to close the form. All information entered up to this point will be lost.

Select the **Submit** button to submit the EDGE Server Status Window 2 information and attestation.

# EDGE Server Status Window 2 Reporting Deadline

- The window for submitting 2020 EDGE Server Status begins December 14, 2020 through January 11, 2021 at 11:59 p.m. ET.



# EDGE Server Status Submission Window 3

- Submission Window 3 will open:
  - March 8, 2021 through April 9, 2021
- During this submission window, only issuers who selected the RADC will complete the web form to enter RADC-specific information.
- CMS will provide instructions for Submission Window 3 closer to that time period.

# Questions?

- To submit or withdraw questions by phone:
  - Dial “**star(\*)**, **pound (#)**” on your phone’s keypad to ask a question.
  - Dial “**star(\*)**, **pound (#)**” on your phone’s keypad to withdraw your question.
- Please Note: If you are listening to today’s session through your computer speakers and wish to ask a question, please take a moment to dial 1-866-408-4912 and enter your unique six-digit PIN.
  - Then dial “**star(\*)**, **pound (#)**” on your phone’s keypad.

# Upcoming Series X Webinar

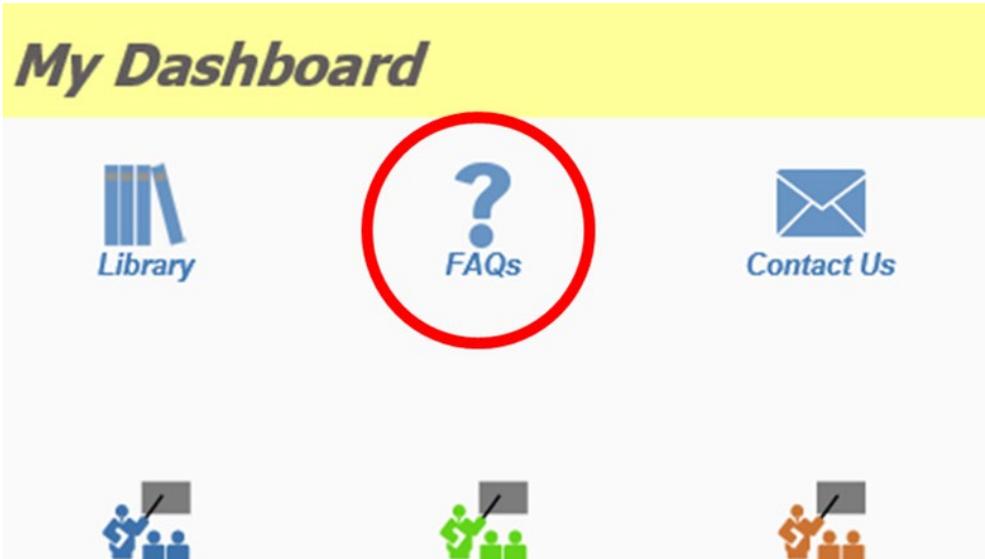
Webinar	Scheduled Event Date
<b>EDGE Server 35.0 Maintenance Release Preview, EDGE Server Business Rules (ESBR) Version 18.0, &amp; Operations and Maintenance Manual (O&amp;MM) Version 12.0 Updates</b>	<b>January 5, 2021</b>

# Resources

# Resources

Resource	Link/Contact Information
Center for Consumer Information and Insurance Oversight (CCIIO)	<a href="http://cms.gov/ccio/">http://cms.gov/ccio/</a>
Registration for Technical Assistance Portal (REGTAP) <ul style="list-style-type: none"><li>• Registration</li><li>• Inquiry Tracking and Management System (ITMS)</li><li>• Resource Library</li><li>• Frequently Asked Questions (FAQs)</li></ul>	<a href="https://www.REGTAP.info/">https://www.REGTAP.info/</a>

# FAQ Database on REGTAP



The FAQ Database is available at <https://www.regtap.info/>.

- The FAQ Database allows users to search FAQs by FAQ ID, Keyword/Phrase, Program Area, Primary and Secondary categories, Benefit Year, and Publish Date.

# DDC/EDGE Server Resource Page

- The DDC/EDGE Server Resource Page provides central access to job aids, announcements, documentation, FAQs, deadlines, and other resources.
- To access the DDC/EDGE Server Resource Page, click on the Program Area Pages icon on 'My Dashboard' or access the page at <https://www.REGTAP.info/ddc.php>.



**Distributed Data Collection (DDC) for Risk Adjustment (RA) Including High Cost Risk Pool (HCRP)/EDGE Server** [Get Adobe Reader](#)

**2018 RA Default Charge Data Submission**  
March 5 - April 12, 2019 (Deadline - 11:59 p.m. ET)

**Days Left**  
**28**

EDGE 30.0 Released to Test and Production Zones

**MARCH**  
**29**

**EDGE SERVER TIMELINE**  
click image to enlarge

**EDGE Core Resources**

<a href="#">EDGE Server Operations &amp; Maintenance Manual (O&amp;MM) V 4.0</a>	<a href="#">EDGE Server Business Rules (ESBR) V 11.0</a>	<a href="#">Interface Control Document (ICD) V 02.01.15</a>	<a href="#">EDGE Server Mailbox Assistance</a>
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Welcome to the Distributed Data Collection (DDC) for Risk Adjustment (RA) Including High Cost Risk Pool (HCRP)/EDGE Server page designed to assist you with locating accurate and valuable information regarding External Data Gathering Environment (EDGE) server data submission.

CMS conducts the EDGE Server Webinar Series training sessions with the purpose of providing issuers with additional information related to data submission, testing, timelines, submission scenarios, and any additional guidance related to the data submission or blackout periods.

Please visit [CCIIO's Regulation and Guidance Page](#) for further guidance.

**TOPICS OF INTEREST**

<a href="#">REFERENCE TABLE UPDATES</a>	<a href="#">BASELINE SUBMISSION</a>
<a href="#">DATA SUBMISSION</a>	<a href="#">ENROLLMENT SUBMISSION</a>
<a href="#">MEDICAL SUBMISSION</a>	<a href="#">PHARMACY SUBMISSION</a>
<a href="#">SUPPLEMENTAL SUBMISSION</a>	

[View More](#)

# DDC/EDGE Server Resource Page

(continued)

## TOPICS OF INTEREST

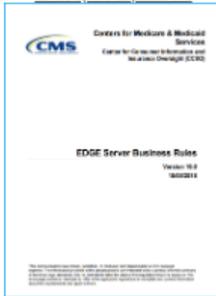
- [REFERENCE TABLE UPDATES](#)
- [BASELINE SUBMISSION](#)
- [DATA SUBMISSION](#)
- [ENROLLMENT SUBMISSION](#)
- [MEDICAL SUBMISSION](#)
- [PHARMACY SUBMISSION](#)
- [SUPPLEMENTAL SUBMISSION](#)

## EDGE Core Resources

[EDGE Server Operations & Maintenance Manual \(O&MM\) V 3.0](#)



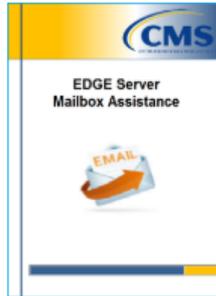
[EDGE Server Business Rules \(ESBR\) V 10.0](#)



[Interface Control Document \(ICD\) V 02.01.15](#)



[EDGE Server Mailbox Assistance](#)



[View More](#)

- For quick access to related documentation, users can find resources organized by Topics of Interest, such as 'Medical Submission' or 'Registration'.
- Users can also register for active EDGE Server training series, contact CMS, provide feedback, and more.

NEW TO REGISTRATION?  
click the button below

**REGISTER**  
for next event session



DO YOU HAVE FEEDBACK?  
click the button below

**PROVIDE FEEDBACK**  
to DDC



# Closing Remarks

# Closing Remarks

- Immediately following this session, you will be directed to complete a survey.
  - Please take a moment to submit any ideas, suggestions, or feedback you may have regarding DDC EDGE Server Series X.