

# Rigorous Evaluation of New or Innovative Approaches to Prevent Teen Pregnancy (Tier 2B) Funding Opportunity Announcement

Before we get started, please access the Tier 2B funding opportunity announcement and FAQs on the OAH website:

- [www.hhs.gov/ash/oah](http://www.hhs.gov/ash/oah)
- Click on **Grants** in the menu bar
- Click on **Open Grants** in the dropdown menu or on the right menu
- Click on the Tier 2B FOA
- Click on any of the resource listed – FAQs are listed on this page



# **Rigorous Evaluation of New or Innovative Approaches to Prevent Teen Pregnancy (Tier 2B): Technical Assistance Call for Applicants**

U.S. Department of Health and Human Services  
Office of Adolescent Health  
January 29, 2015  
3pm ET

# Purpose of Today's Call

- Review the Rigorous Evaluation of New or Innovative Approaches to Prevent Teen Pregnancy (Tier 2B) Funding Opportunity Announcement, including:
  - Purpose
  - Eligibility requirements
  - How to apply for funds
- Will **not** be answering questions specific to individual applications

# Agenda for Today's Call

- Overview of the Office of Adolescent Health
- Overview of the Teen Pregnancy Prevention Program
- Overview of the **TPP Tier 2B** Funding Announcement
- Expectations of Grantees
- Application Contents
- Application Submission Instructions
- Review and Selection Criteria
- Application Tips
- Helpful Resources
- Questions and Answers

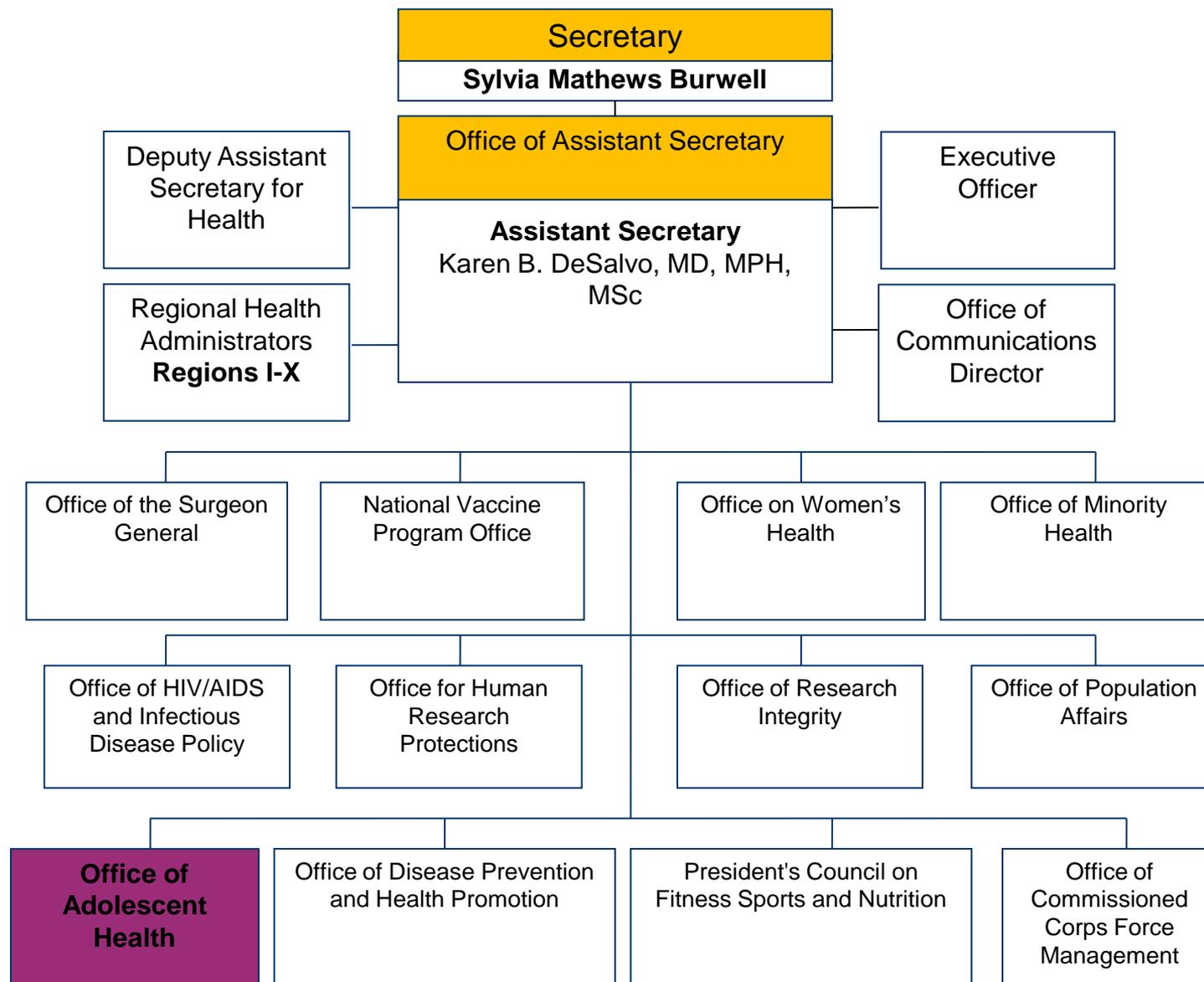
- Net-conference – Audio & Visual
  - 1-888-566-5780, passcode 3899321
- Listen-only mode
- Printing slides - printer icon on bottom right of screen
- Call materials posted to OAH website in 2-3 days
- Questions and Answers
  - Taking questions using the Q&A function on top of screen
  - Q&A period at the end of the TA call
  - Any questions not answered on today's call will be added to the list of FAQs on the OAH website within a few days



# Overview of the Office of Adolescent Health

- Vision - To Advance Best Practices to Improve the Health and Well-being of America's Adolescents
- Key Activities
  - Coordinate adolescent health initiatives across HHS
  - Convener of Adolescent Health: Think, Act, Grow (TAG)
  - Administer and support
    - Teenage Pregnancy Prevention (TPP) Program
    - Pregnancy Assistance Fund
    - National Resource Center for HIV/AIDS Prevention Among Adolescents
    - Several National TPP Evaluations

# OASH Organizational Chart



- Official signatory for obligating federal grant funds
- Official signatory for all grant business
- Monitor all business/financial transactions on grants for compliance to Federal Regulations (including interpretation of Federal Regulations)



# Overview of the OAH Teen Pregnancy Prevention Program

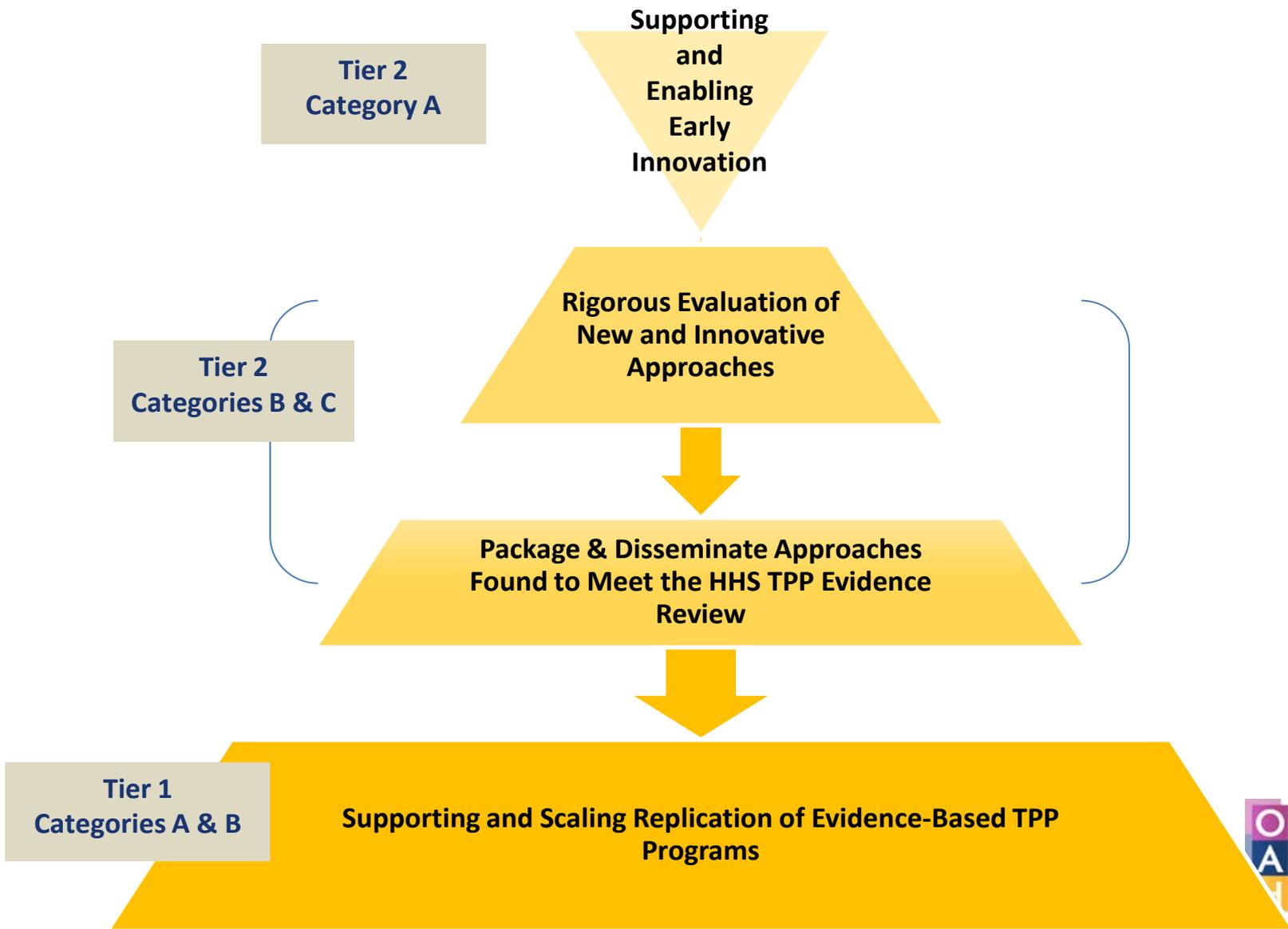
# OAH Teen Pregnancy Prevention Program

- **Tier 1 – approx. \$70 million** for replication of program models proven effective through rigorous evaluation
- **Tier 2 – approx. \$24 million** for demonstration programs to develop and test additional models and innovative strategies
- **TPP Program Support (approx. \$7 million)**
  - Programmatic Training & Technical Assistance
  - Medical Accuracy Review
  - Performance Measures
  - Evaluation Training & Technical Assistance
  - Staffing and Overhead

# FY2015 OAH TPP FOAs

FOA Title	Anticipated Total Annual Funding	Estimated # of Awards	Estimated Annual Award Amount	Due Date
Capacity Building to Support Replication of Evidence-Based TPP Programs (Tier 1A)	\$5 million	8	\$400,000 - \$750,000	April 1 <sup>st</sup>
Replicating Evidence-Based TPP Programs to Scale in Communities with the Greatest Need (Tier 1B)	\$60 million	60	\$500,000 - \$2,000,000	April 1 <sup>st</sup>
Supporting and Enabling Early Innovation to Advance Adolescent Health and Prevent Teen Pregnancy (Tier 2A)	\$3 million	2	\$1,000,000 - \$1,500,000	April 10 <sup>th</sup>
<b>Rigorous Evaluation of New or Innovative Approaches to Prevent Teen Pregnancy (Tier 2B)</b>	<b>\$18 million</b>	<b>20</b>	<b>\$400,000 - \$1,000,000</b>	<b>April 10<sup>th</sup></b>
Effectiveness of TPP Programs Designed Specifically for Young Males (Tier 2C)	\$2 million	2-3	\$600,000 - \$1,000,000	March 3 <sup>rd</sup>

# FY15 TPP FOAs- How They Fit Together





# **Introduction to Rigorous Evaluation of New or Innovative Approaches to Prevent Teen Pregnancy (Tier 2B)**

**Before anything else –  
Applicants should carefully read the  
FOA in its entirety**

## Purpose of Tier 2B FOA

- Increase the number of evidence-based TPP interventions available by rigorously evaluating new or innovative approaches for preventing teen pregnancy and related risk behaviors.
- Funding is for research and demonstration grants
  - Goal is to refine & rigorously test new or innovative approaches
  - NOT service delivery grants

# Target Population

- The target population for interventions funded under this announcement should be either:
  - Individuals,  $\leq 19$  years of age or under at program entry
  - Families of individuals who are  $\leq 19$  years
  - Professionals who work closely with individuals,  $\leq 19$  years
  - Males older than 19 years who are at high risk for fathering a teen pregnancy

- New or promising approaches, strategies, interventions, or curricula that are:
  - Informed by scientific theory or empirical evidence
  - Have the potential to substantially reduce teen pregnancy and/or associated sexual risk behaviors
- A broad range of approaches, strategies, interventions, or curricula could be eligible

# Defining Rigorous Evaluation

- High Quality Evaluation Design:
  - Randomized Control Trial (RCT)
  - Quasi-experimental Design (QED), or
  - Regression Discontinuity Design (RDD)
- Meets the standards of the HHS TPP evidence review for high or moderate rating
  - More information available in Appendix D or at <http://tppevidencereview.aspe.hhs.gov/EvidencePrograms.aspx>

# Interventions of Interest

- Expand and address gaps in the existing evidence-base for teen pregnancy prevention (see FOA pages 10-12)
  - Target population – older teens, males, LGBTQ youth, rural youth, Latino youth, Native American youth, expectant and parenting teens, foster care youth
  - Program delivery – Role and use of technology
  - Program focus – families, schools, neighborhoods, environments, addressing norms
  - Topics addressed – cultural norms, gender equity, economic and educational opportunities, healthy relationships
- Reduce existing disparities (see FOA pages 8-9)
- Serve high-need populations
- Use non-traditional delivery methods

## Interventions Not Eligible Under Tier 2B

- Evidence-based TPP programs identified by the HHS TPP Evidence Review
- Significant adaptations of evidence-based TPP programs identified by the HHS TPP Evidence Review
- Programs currently being rigorously evaluated under the OPHS/OAH-TPP PREP Tier2-2010 FOA
- Complete list of ineligible programs in **Appendix D** of the FOA

# Who's Eligible to Apply?

- Nonprofit with or without 501C3 IRS status (other than institution of higher education)
- For-profit organizations (other than small business)
- Small, minority, and women-owned businesses
- Universities and colleges
- Research institutions
- Hospitals
- Community-based organizations
- Faith-based organizations
- Federally recognized or state-recognized American Indian/Alaska Native tribal governments
- American Indian/Alaska Native tribally designated organizations
- Alaska Native health corporations
- Urban Indian health organizations
- Tribal epidemiology centers
- State and local governments or their Bona Fide Agents (including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federal States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau)
- Political subdivisions of States (in consultation with States)

- FOA, p. 34: Corrected anticipated start date to July 1, 2015
- FOA, Appendix C: Corrected 2 broken links
- FOA, Appendix D: Updated list of curriculum implemented under the 2010 TPP/PREP announcement



# Expectations of Grantees

# Overview of Grantee Expectations

- Engage the target population in development of the intervention
- Engage in 6-12 month planning and piloting period
- Implement and rigorously evaluate an innovative, promising intervention
- Ensure materials are medically accurate, age-appropriate, culturally & linguistically appropriate, and inclusive
- Collect and report performance measure data
- Document & package the intervention to be implementation ready
- Disseminate evaluation results and intervention information

# Proposed Intervention

- Should be promising, innovative, feasible, and likely to have significant impact
- Applicant should describe (FOA pages 13-16 and 43-46):
  - Intervention content and implementation
  - Target population and need
  - Theoretical framework supporting the intervention
  - Logic model for the intervention
  - Formative research
  - Scientific evidence supporting the intervention
  - Permission from copyright holder (if applicable)

# Engage the Target Population

- Describe strategies for the target population in the development and implementation of the intervention
- Goal is to ensure the intervention addresses needs, fills existing gaps, does not duplicate available programs/services, is a good fit, and is relevant and likely to resonate

# Planning and Piloting Period

- All grantees will be expected to engage in a planning, piloting and readiness period during the first 6-12 months of the grant
- During the planning period, grantees should:
  - Hire & train staff
  - Finalize partnerships
  - Finalize evaluation plan & obtain IRB approval
  - Pilot test intervention materials & evaluation instruments
  - Meet all other milestones in **Appendix G**
- Length of planning period depends on grantee's demonstrated readiness

# Implementing the Intervention

The applicant should describe:

- How and by whom the intervention will be implemented
  - Include signed MOUs (in the Appendix) with all implementation partners
- Core components of the intervention and plans for ensuring implementation with fidelity and quality
- Strategies planned to ensure participants are actively engaged and retained throughout the intervention
- Any additional activities planned to support implementation of the intervention
- Plans to implement the intervention in an environment that is safe and supportive

# Rigorous Evaluation of the Intervention

- All grantees are expected to conduct a rigorous impact evaluation of their proposed intervention against a counterfactual (control) condition that meets the standards for either a high or moderate rating on the HHS TPP Evidence Review
- Applicants must include a detailed evaluation design plan in their application (pages 49-60)
- All grantees will be required to participate in OAH's Evaluation Technical Assistance
- Grantees will work with OAH to refine, improve, pilot and make any necessary changes to the evaluation design or methods during the planning and piloting period
- Grantees are expected to be ready to implement the evaluation by the end of Year 1

# Rigorous Evaluation of the Intervention

- Evaluation designs consistent with the standards of the HHS TPP Evidence Review include RCTs, QEDs, or RDDs
- All randomized evaluations are expected to use the intent-to-treat framework for analyses
- Applicants are expected to have focused research questions and hypotheses that are relevant to the intervention and align with the logic model
  - Should commit to tracking at least 1 confirmatory outcome related to a measure of sexual risk behavior as described within the standards of the HHS TPP Evidence Review
  - Encouraged to define and validate the intervention core components
- Anticipate data collection at 3 points in time – baseline, 1 short-term follow-up, and 1 long-term follow-up
- Applicants are encouraged to collect additional outcomes that are relevant to the intervention

# Evaluation Reporting Expectations

<b>Milestone</b>	<b>Timing</b>
Revised evaluation design	Year 1
Evaluation abstract (2-3 pages)	Year 2
Implementation analysis plan (5-6 pages)	Year 2
CONSORT diagram and baseline equivalence tables	Twice yearly for the duration of data collection
Impact analysis plan (10-15 pages)	Year 3
Final impact evaluation report (20 pages)	Year 5
Final evaluation abstract (3-4 pages)	Year 5

- Grantees will ensure that all program materials are medically accurate, complete, and age appropriate, and should ensure that all materials are culturally and linguistically appropriate, and inclusive of LGBTQ
- Review of materials will occur prior to the use of any materials in the OAH-funded grant
  - Grantees will conduct an initial review of materials for medical accuracy prior to submitting to OAH for final review
  - Grantees will review materials for age appropriateness, cultural and linguistic appropriateness, and inclusivity using guidance and templates provided by OAH

- All grantees funded under this announcement will be expected to document their intervention with sufficient detail so it is implementation-ready and can be replicated by others.
- All grantees will be expected to provide to OAH a complete electronic package of the final intervention by the end of the grant.

- All grantees will be expected to disseminate evaluation results and intervention information
  - Evaluation Results
  - Lessons Learned
  - Successes & Challenges
- All grantees will be expected to develop a dissemination plan, including
  - Publication of at least 1 peer-reviewed journal
  - Presentations at professional conferences
  - Informal presentations to stakeholders

# Collection and Use of Performance Measures

- All grantees will be expected to collect & report a common set of performance measures (**Appendix H**)
- Report to OAH twice per year
- Categories include:
  - Reach
  - Dosage
  - Fidelity & Quality of implementation
  - Partnerships, Trainings, & Dissemination
  - Outcomes
  - Anticipated measures: cost, sustainability, linkages to healthcare
- Data should be linked to individual participants using non-identifying numbers
- Propose proxy measures if applicable for OAH review

- Each grantee and its partners are expected to collectively demonstrate experience:
  - Developing interventions
  - Implementing TPP programs
  - Implementing interventions with the target populations and within the target settings proposed
  - Overseeing and implementing a rigorous evaluation
  - Recruiting a sample of the target population large enough to meet sample size estimates
  - Successfully recruiting, engaging, and retaining the target population in evaluation
  - Disseminating evaluation findings
  - Collecting and using performance measure data
- The grantee is expected to have the organizational capacity to successfully manage the proposed project

- Grantees expected to have an experienced team to manage, implement, and evaluate the proposed intervention
- Grantees expected to have clear roles and responsibilities for all proposed staff, including the key staff
  - Principal Investigator (PI)/Project Director (PD)
  - Lead Evaluator
  - Project Coordinator/Manager (if applicable)
- Project team is expected to collectively have experience and training relevant to all aspects of the project
  - Include resumes for key staff in the appendices

- May use partnerships to successfully complete the project
- Partnerships to support intervention implementation and evaluation should be established in writing prior to the application submission
- Application should include signed MOUs (in the Appendix) with all partners that will assist with intervention implementation and evaluation
  - If the applicant is unable to secure MOUs at the time of the application, signed Letters of Commitment should be included



# **Application Responsiveness & Screening Criteria**

- Applications must meet the application responsiveness criteria to be reviewed for consideration (FOA page 36)
- The applicant must demonstrate that it:
  - Has included an Evaluation Design Plan in the Project Narrative as described on pages 49-60 of the FOA

Applications that fail to meet the screening criteria will not be reviewed and receive no further consideration:

- Applications submitted electronically via [www.grants.gov](http://www.grants.gov) by April 10, 2015
- Project Narrative section of the application must be double-spaced, on the equivalent of 8 ½ " x 11" inch page size, with 1" margins on all sides and font size not less than 12 points
- Project Narrative must not exceed 50 pages.
- Total application including Appendices must not exceed 100 pages
- Proposed budget does not exceed maximum indicated in Range of Awards
- Application meets the Application Responsiveness Criteria



# Application Content

# Application Content Overview

- Project Abstract Summary Form
- Project Narrative (no more than 50 pages)
  - Proposed Intervention
  - Intervention Implementation and Work plan
  - Collection and Use of Performance Measures
  - Evaluation Design Plan
  - Capacity and Experience
  - Project Management and Partnerships
- Appendices
  - Work plan, Logic Model, Letters of Support, Signed MOUs or Letters of Commitment, Resumes/CVs, Job Descriptions, Organizational Chart
- Budget Narrative
  - Combined, multi-year Budget Narrative
  - Detailed Budget Narrative for each year
- Required Forms – SF-424, SF-424A, SF-424B, SF-LLL

- Project Narrative – no more than 50 pages
  - Does **Not** Include – Project Abstract Summary Form, Appendices, Budget Narrative, or Required Forms (SF-424, SF-424A, SF-424B, SF-LLL )
- Total Application – no more than 100 pages
  - Includes - Project Narrative and Appendices
  - Does **Not** Include – Project Abstract Summary Form, Budget Narrative, or Required Forms (i.e., SF-424, SF-424A, SF-424B, SF-LLL)

- Double-spaced
- 8 ½ x 11 inch (letter-size) pages
- 1-inch or larger margins on top, bottom, and both sides
- At least 12 point font
- Use easily readable typeface (i.e., Times New Roman or Arial)
- All pages, charts, figures, and tables should be numbered
- Tables may be single spaced and use alternate fonts but must be easily readable

- One page summary of the proposed project
- Use the form provided within the application package
- Should include:
  - Project Title
  - Applicant contact information
  - Type of organization applying
  - Overarching goal(s)
  - Description of intervention to be implemented
  - Geographic area to be served
  - Target population
- May be published by HHS/OASH

- The Project Narrative should provide a clear and concise description of the project and include the following components:
  - Proposed Intervention
  - Intervention Implementation and Work plan
  - Collection and Use of Performance Measures
  - Evaluation Design Plan
  - Capacity and Experience
  - Project Management and Partnerships
- Detailed information on what to include for each component is on pages 43-65 of the FOA

- Impact Evaluation Design
  - Assignment methods, Research questions, Counterfactual, Target population, Recruitment methods, Consent methods, Tracking methods, Plans for retaining sample
- Data Collection Plan
  - Instruments, Timing, Procedures/modes, Sampling plan
- Implementation Evaluation Design
- Evaluation Process
  - Program monitoring, Evaluation monitoring, IRB approval, Evaluation timeline, Plans for dissemination, Limitations
- Evaluator Qualifications

***See pages 49-60 of the FOA for details about each section***

- Work plan
- Logic Model
- Signed MOUs
- Letters of Commitment
- Resumes/CVs
- Job Descriptions
- Organizational Chart

- Applications must include a detailed five-year work plan
  - Counted as a part of the appendix
  - Concise, easy-to-read overview of goals, strategies, objectives, measures, activities, timeline
  - SMART objectives (specific, measureable, achievable, realistic, and time-framed)
  - Identify, for each activity, the person(s) responsible, timeline for completing activities, and measures of success
  - Example work plan templates included in **Appendix E** and on the OAH website (in Word format)

- Applications must include a detailed logic model
  - Counted as a part of the appendix
  - Describes overall project, including inputs, activities, outputs, and outcomes
  - Example logic model template included in **Appendix F** and on the OAH website (in Word format)

- Include signed MOUs with all partners that will assist with intervention implementation and evaluation
- If unable to secure MOUs at the time of the application, signed Letters of Commitment should be included

- Applicants are required to:
  - Submit a combined multi-year budget narrative, and
  - A detailed budget narrative for each year of the potential grant
- Applicants should develop multi-year budgets based on level funding for each budget period (i.e., equal to exact dollar figure of the year one budget)
- Budget narrative should be detailed, reasonable, adequate, cost efficient, and aligned with the proposed work plan
- Budget should describe the cost estimated per proposed project, activity, or product.
- Budget Narrative does not count toward application page limits

- Funds may not be used:
  - For building alterations or renovations, construction, fundraising activities, political education or lobbying
  - To supplant/replace any non-Federal or other Federal funds that would otherwise be made available for the activity proposed in the application
  - To supplant on-going or usual activities of any organization involved in the project
  - To purchase or improve land, or to purchase, construct, or make permanent improvements to any building
  - To reimburse pre-award costs
- Salary Limitation: individual base salary may not exceed Executive Level II (\$183,300 ).

- Grantees should budget for the following meetings and trainings
  - PI/PD and Lead Evaluator to an Evaluation Training during the first grant year
  - One staff to an OAH-sponsored annual Project Director's Meeting
  - 2-3 staff to an OAH-sponsored annual Regional Training in years 2-4 (2017-2019)
  - 2-3 staff to the HHS Teen Pregnancy Prevention Conference every other year (2016, 2018, 2020)

- Cost sharing or matching funds are not required for this program
  - The applicant should describe any cost sharing or matching funds available and show how they will be used to support the program
- Although no statutory matching requirement for this FOA exists, leveraging other resources and related ongoing efforts to promote sustainability is strongly encouraged

- Application for Federal Assistance (SF-424)
- Budget Information for Non-construction Programs (SF-424A)
- Assurances for Non-construction Programs (SF-424B)
- Disclosure of Lobbying Activities (SF-LLL)



# Application Submission Instructions

- Available on grants.gov – <http://www.grants.gov>
- Search by FOA number (AH-TP2-15-002) or CFDA number (93.297)
- Carefully follow submission instructions on grants.gov
  - Required to provide a Dun and Bradstreet Data Universal Numbering System (DUNS) number when applying
  - Must register in the System for Account Management (SAM) prior to submission – allow minimum of 5 days to complete registration
  - Must renew SAM registration each year
- Run into trouble – contact grants.gov

- Letter of Intent – February 1, 2015
  - TPPTier2B@hhs.gov
  - Attn: OAH TPP 2B FOA, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852
  
- Application – April 10, 2015 by 5:00 pm ET
  - Electronic submission via Grants.gov
  - Applicants must receive a written exemption from the Director, OASH Office of Grants Management to submit any way other than electronically

- Must obtain written exemption from Director, HHS/OASH Office of Grants Management 2 business days in advance of deadline to submit application outside of grants.gov
- Exemption request
  - Submitted via email to OGM
  - Provide details why technologically unable to submit electronically
  - Submit request at least 4 business days prior to application deadline
  - Specific information of what to include in email request on page 4 of FOA



# Review and Selection Criteria

- Proposed Intervention (15 points)
- Intervention Implementation & Work plan (15 points)
- Performance Measures (5 points)
- Evaluation Design Plan (35 points)
- Capacity of Applicant Organization (10 points)
- Project Management and Partnerships (15 points)
- Budget (5 points)

- Initial review to ensure application meets:-
  - Application Screening Criteria (FOA pages 36-37)
  - Application Responsiveness Criteria (FOA page 36)
- Independent Review Panel
  - Comment and score based on Application Review Criteria (FOA pages 71-78)
- Federal staff review
  - Programmatic, budgetary, and grants management compliance
- Final award decisions by Director, OAH

- Official document notifying an applicant that an application has been approved for funding is the Notice of Award (NoA), approved by a Grants Management Officer at OASH OGM
- Grantees will receive an NoA via system notification from Grant Solutions and/or via email
- Include amount of money awarded, purposes of grant, length of project period, terms and conditions of grant award
- Anticipated Project Start Date – July 1, 2015



# Application Tips

- Read the entire FOA and application kit BEFORE writing
- FOA is the primary guide for programmatic expectations
- Write the Project Abstract AFTER the entire narrative is complete
- Read the review criteria
- Read the application instructions
- Do NOT exceed the 50 page limit for the project narrative OR the total page limit of 100 pages for the full application
- Turn in application no later than 5pm ET on April 10, 2015
  - Allow time for any unforeseen difficulties with the on-line application process, etc.



# Helpful Resources

- Link to FOA on grants.gov
- FAQs relevant to all TPP FOAs
- FAQs specific to each individual FOA
- Work plan & Logic Model template in Word
- TA Webinar Slides, Recording, and Transcript
- <http://www.hhs.gov/ash/oah/grants/open-grants.html>

- Review Protocol and Criteria available at
  - <http://tppevidencereview.aspe.hhs.gov/ReviewProtocol.aspx>

# Relevant Resources for Applicants

- Appendix C of the FOA include list of useful resources
  - Communication and Dissemination
  - Community Needs and Resource Assessment
  - Cultural and Linguistic Competence
  - Data on Adolescent Health and Teen Pregnancy
  - Evaluation
  - Evidence-Based TPP Programs
  - Fidelity and Adaptations
  - Goals and Objectives
  - LGBTQ Youth and Inclusivity
  - Logic Models
  - Performance Measures
  - Piloting Programs
  - Positive Youth Development
  - Recruitment, Retention, and Engagement
  - Sustainability
  - Trauma-Informed Approach
  - Youth Friendly Clinical Services



# Questions?

## **For questions about programmatic requirements:**

- Contact the Office of Adolescent Health
  - Email – [tpptier2b@hhs.gov](mailto:tpptier2b@hhs.gov)
  - Phone - (240) 453-2846

## **For questions about administrative and budgetary requirements:**

- Contact Roscoe Brunson in the Office of Grants Management
  - Email – [roscoe.brunson@hhs.gov](mailto:roscoe.brunson@hhs.gov)
  - Phone – (240) 453-8822



# Thank you!