



**Supporting and Enabling
Early Innovation to Prevent Teen Pregnancy
and Advance Adolescent Health (Tier 2A)
Funding Opportunity Announcement**

Technical Assistance Call for Applicants

U.S. Department of Health and Human Services
Office of Adolescent Health
January 27, 2015
2:30pm ET

- Review the ***Supporting and Enabling Early Innovation to Prevent Teen Pregnancy and Advance Adolescent Health (Tier 2A)*** Funding Opportunity Announcement, including the purpose, eligibility requirements, and how to apply for funds

Agenda for Today's Call

- Overview of OAH and TPP
- Overview of Tier 2A FOA
- Expectations of Grantees
- Application Content
- Application Submission Instructions
- Review and Selection Criteria
- Application Tips and Resources
- Questions

Important Call Logistics

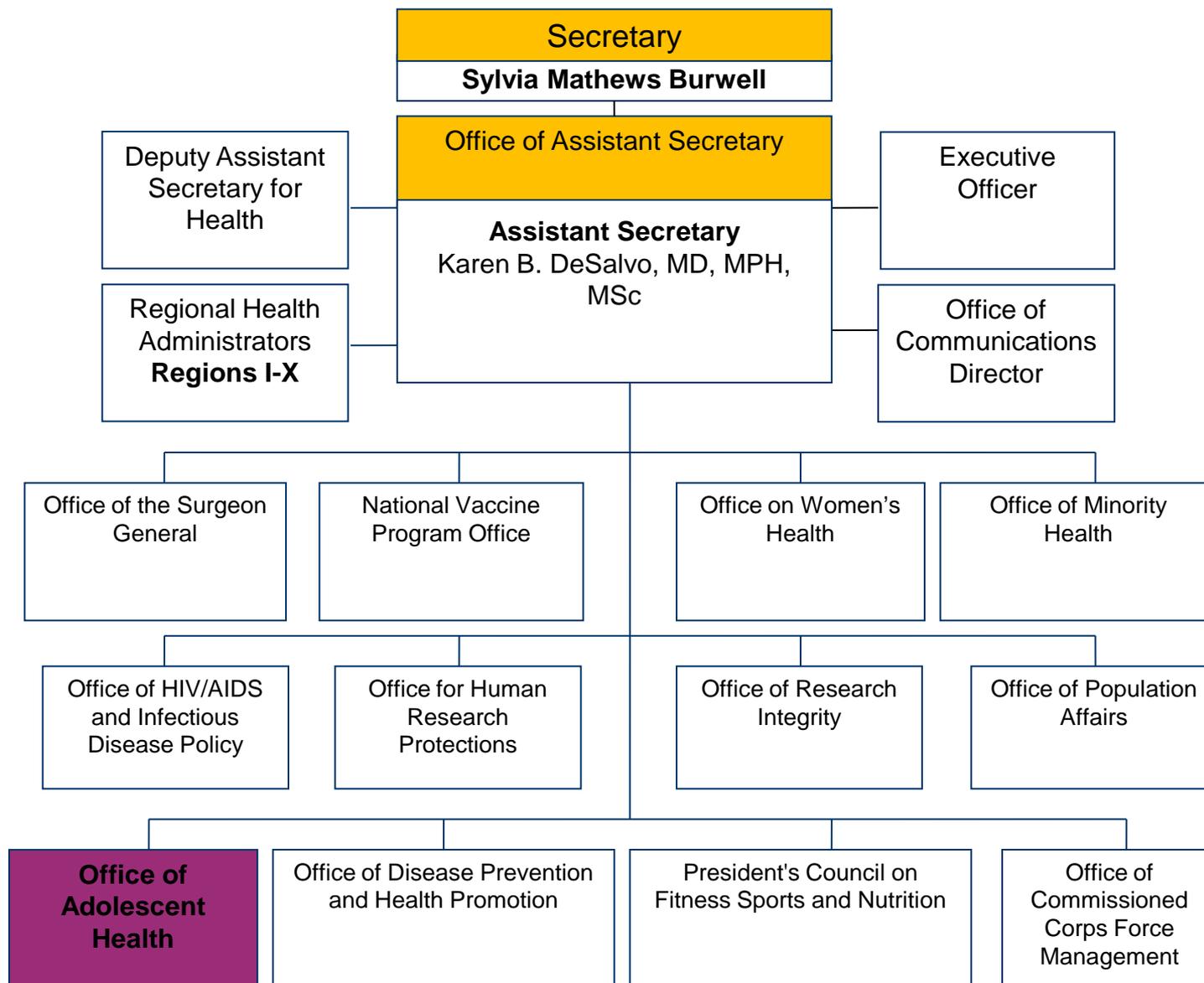
- Net-conference – Audio & Visual
 - 1-888-566-5780, passcode 3899321
- Listen-only mode
- Printing slides - printer icon on bottom right of screen
- Call materials posted to OAH website in 2-3 days
- Questions and Answers
 - Taking questions using the Q&A function on top of screen
 - Q&A period at the end of the TA call
 - Will not be answering questions specific to individual applications
 - Any questions not answered on today's call will be added to the list of FAQs on the OAH website within a few days



Overview of the Office of Adolescent Health

- Vision - To Advance Best Practices to Improve the Health and Well-being of America's Adolescents
- Key Activities
 - Coordinate adolescent health initiatives across HHS
 - Convener of Adolescent Health: Think, Act, Grow (TAG)
 - Administer and support
 - Teenage Pregnancy Prevention (TPP) Program
 - Pregnancy Assistance Fund
 - National Resource Center for HIV/AIDS Prevention Among Adolescents
 - Several National TPP Evaluations

OASH Organizational Chart



- Official signatory for obligating federal grant funds
- Official signatory for all grant business
- Monitor all business/financial transactions on grants for compliance to Federal Regulations (including interpretation of Federal Regulations)



Overview of the OAH Teen Pregnancy Prevention Program

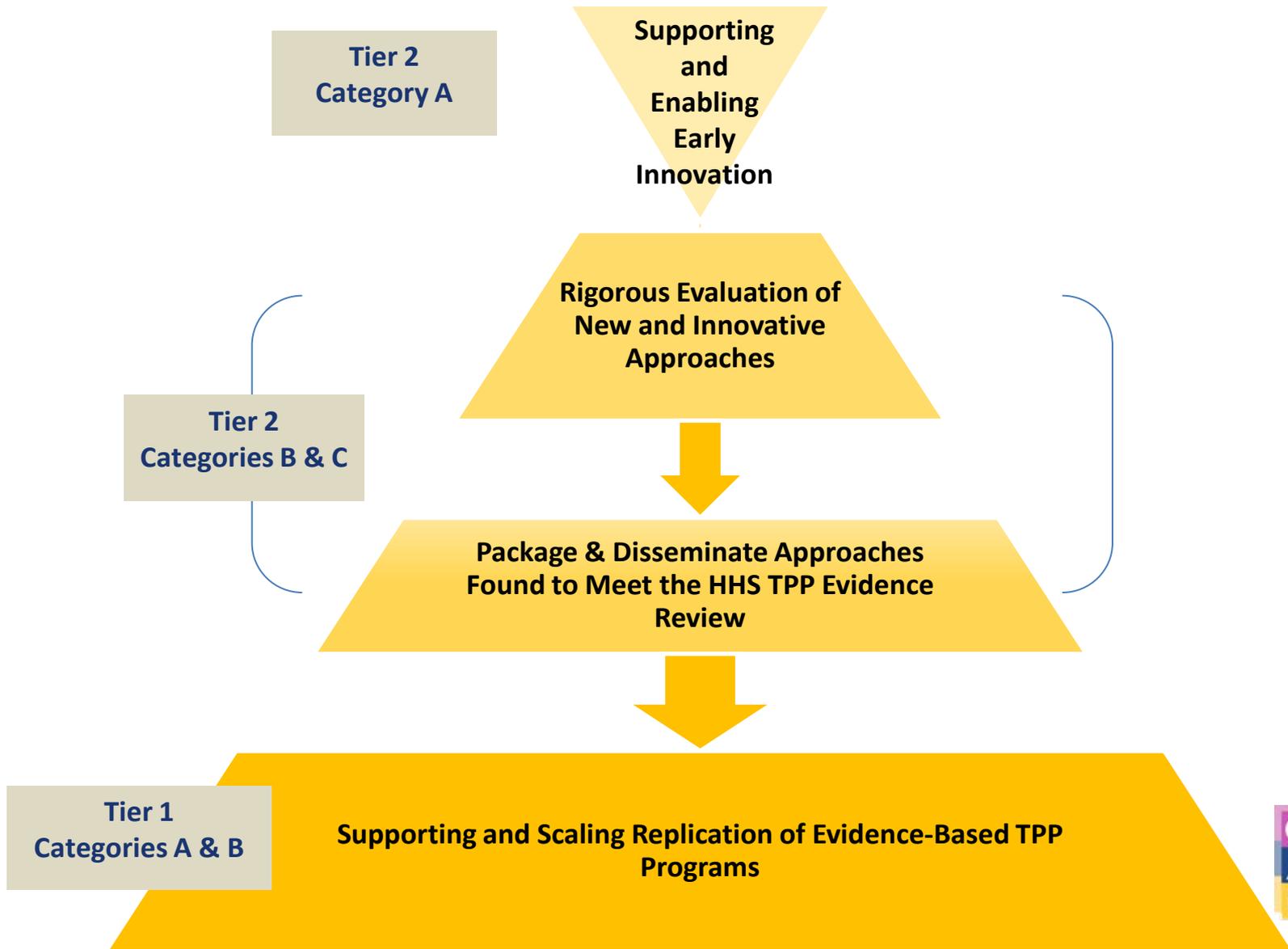
OAH Teen Pregnancy Prevention Program

- **Tier 1 – approx. \$70 million** for replication of program models proven effective through rigorous evaluation
- **Tier 2 – approx. \$24 million** for demonstration programs to develop and test additional models and innovative strategies
- **TPP Program Support (approx. \$7 million)**
 - Programmatic Training & Technical Assistance
 - Medical Accuracy Review
 - Performance Measures
 - Evaluation Training & Technical Assistance
 - Staffing and Overhead

FY2015 OAH TPP FOAs

FOA Title	Anticipated Total Annual Funding	Estimated # of Awards	Estimated Annual Award Amount	Due Date
Capacity Building to Support Replication of Evidence-Based TPP Programs (Tier 1A)	\$5 million	8	\$400,000 - \$750,000	April 1 st
Replicating Evidence-Based TPP Programs to Scale in Communities with the Greatest Need (Tier 1B)	\$60 million	60	\$500,000 - \$2,000,000	April 1 st
Supporting and Enabling Early Innovation to Advance Adolescent Health and Prevent Teen Pregnancy (Tier 2A)	\$3 million	2	\$1,000,000 - \$1,500,000	April 10th
Rigorous Evaluation of New or Innovative Approaches to Prevent Teen Pregnancy (Tier 2B)	\$18 million	20	\$400,000 - \$1,000,000	April 10 th
Effectiveness of TPP Programs Designed Specifically for Young Males (Tier 2C)	\$2 million	2-3	\$600,000 - \$1,000,000	March 3 rd

FY15 TPP FOAs- How They Fit Together





Introduction to Tier 2A

Supporting and Enabling Early Innovation to Prevent Teen Pregnancy and Advance Adolescent Health

**Before anything else –
Applicants should carefully read the
FOA in its entirety**

<http://www.hhs.gov/ash/oah/grants/2015%20FOAs/2015-tier2a-foa-info.html>

- The purpose of the **Tier 2A FOA (TPP Early Innovation Program)** is to establish two independent intermediaries that will select, fund, and support a portfolio of innovators (estimated 5-15 per year) across the country to design, test, and refine interventions to advance adolescent health and prevent teen pregnancy.
- One intermediary will focus on program innovation and another will focus on technology innovation.

1. Establish **two intermediaries** (technology and program focused) to select, fund, and support a portfolio of **innovators**.
2. Develop numerous **innovative interventions** that are prototyped, tested, refined, and well-designed
3. Develop & implement a **monitoring and evaluation plan** appropriate for early stage innovation, inclusive of performance measures.
4. **Communicate and disseminate** successes, lessons learned, and knowledge gained

Defining “Early Innovation”

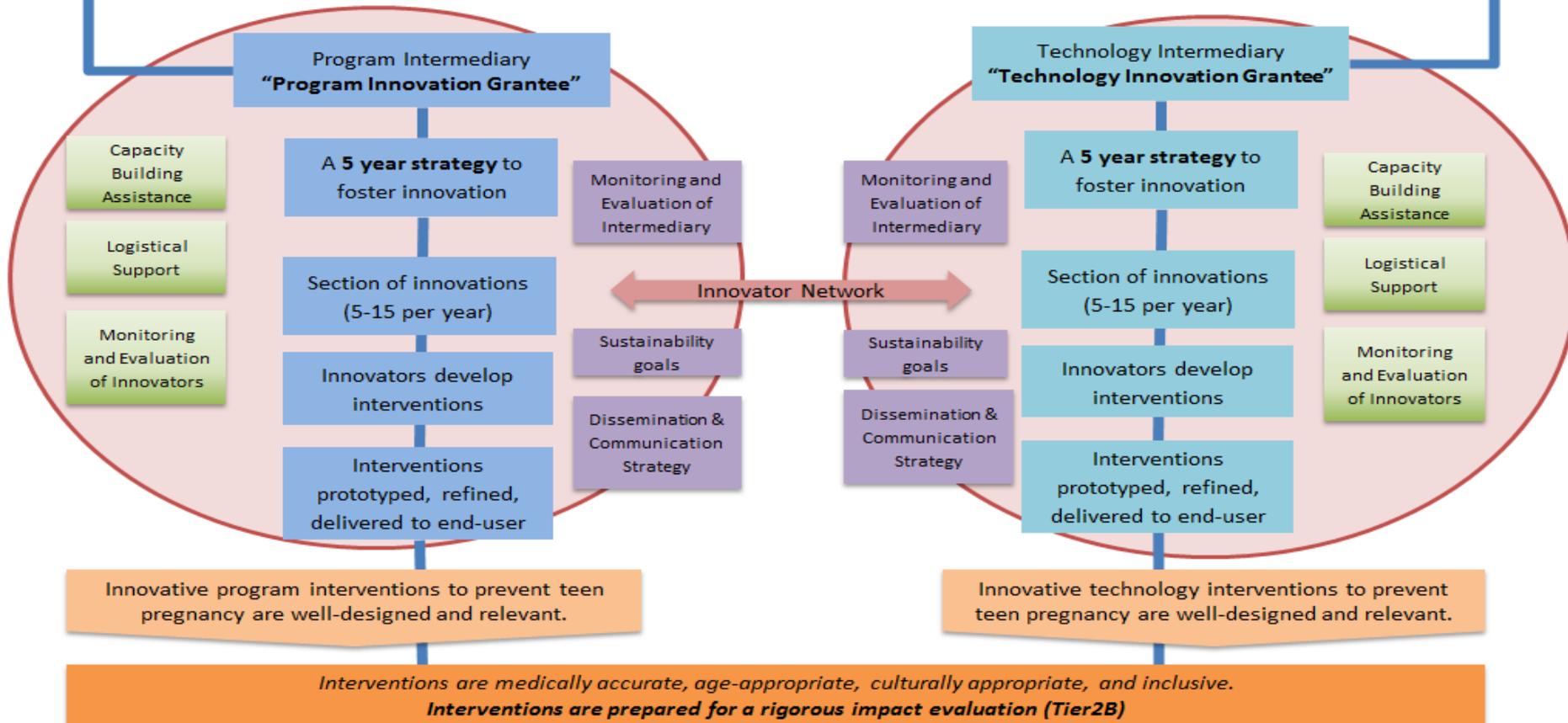
- Innovation – new or promising approaches, interventions, curricula, or strategies informed by scientific theory or empirical evidence that may lead to or have the potential to result in a substantial reduction in teen pregnancy rate, sexually transmitted infections (STIs) rates, and associated sexual risk behaviors
- Early Innovation - an innovative that is in the initial stages of development and is not yet ready to be rigorously evaluated

TPP Tier 2A Visual

OAH Tier 2, Category A Funding: Enabling and Supporting Early Innovation to Advance Adolescent Health and Prevent Teen Pregnancy

Goal: To enable and support early innovation to advance adolescent health and prevent teen pregnancy

- (1) Establish two intermediaries (technology and program focused) to select, fund, and support a portfolio of innovations across the country;
- (2) Develop numerous innovative interventions that are prototyped, tested, refined, and well-designed;
- (3) Develop and implement a monitoring and evaluation plan appropriate for early stage innovation, inclusive of performance measures;
- and (4) Communicate and disseminate successes, lessons learned, and knowledge gained to contribute to literature.



Who's Eligible to Apply?

- Nonprofit with or without 501C3 IRS status (other than institution of higher education)
- For-profit organizations (other than small business)
- Small, minority, and women-owned businesses
- Universities and colleges
- Research institutions
- Hospitals
- Community-based organizations
- Faith-based organizations
- Federally recognized or state-recognized American Indian/Alaska Native tribal governments
- American Indian/Alaska Native tribally designated organizations
- Alaska Native health corporations
- Urban Indian health organizations
- Tribal epidemiology centers
- State and local governments or their Bona Fide Agents (including the District of Columbia, the Commonwealth of Puerto Rico, the Virgin Islands, the Commonwealth of the Northern Mariana Islands, American Samoa, Guam, the Federal States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau)
- Political subdivisions of States (in consultation with States)



Expectations of Grantees

Overview of Grantee Expectations

- 1. Project Approach and Design**
- 2. Partnerships and Collaboration**
- 3. Monitoring and Evaluation**
- 4. Dissemination and Communication**
- 5. Experience and Capacity**
- 6. Project Management**
- 7. Challenges and Risks**

1. Project Approach and Design

- Focus area (program or technology)
- Five-year strategy to foster innovation
- Innovator Selection Plan
- Innovator Support Plan
- Work plan (as an appendix)
- Logic Model (as an appendix)

Five-Year Strategy to Foster Innovation

- Should be national in scope and result in reaching innovators from across the country (5-15 per year)
- Describe vision and planned approach for the project, including
 - Process for determining types of interventions to support
 - How portfolio will foster innovation

Program Materials

- Grantees will ensure that all program materials are medically accurate, complete, and age appropriate, and should ensure that all materials are culturally and linguistically appropriate, and inclusive of LGBTQ
- Review of materials will occur prior to the use of any materials in the OAH-funded grant
 - Grantees will conduct an initial review of materials for medical accuracy prior to submitting to OAH for final review
 - Grantees will review materials for age appropriateness, cultural and linguistic appropriateness, and inclusivity using guidance and templates provided by OAH

Innovator Selection Plan

- Use open, competitive, objective process for selecting innovators
 - Should not be pre-selected or limited to members of applicant's pre-existing network
 - Anticipate working with 5-15 innovators per year
 - OAH expects grantees to complete the selection of innovators within 10 months of receiving funding
- Can suggest one or multiple cohorts of innovators to support over course of project
- Innovator selection plan, number of innovators supported per year, and number of cohorts should align with five-year strategy

Innovator Support Plan

- Expected to provide support to innovators to ensure that they are able to successfully develop, prototype, and test their interventions
- Grantees will:
 - Assist innovators in developing milestones for the project
 - Provide capacity building assistance on reaching milestones
 - Provide logistical support for project management
 - Create a mechanism and opportunities to support networking and collaboration among innovators and with other relevant stakeholders

- Detailed Five-Year Work plan (as an appendix)
 - Goals, SMART objectives (specific, measureable, achievable, realistic, and time-framed), activities, and a timeline for overall project
 - First 6-12 months are a planning and readiness period
 - Example work plan templates included in **Appendix D**
- Logic Model for Overall Project (as an appendix)
 - Describes overall project, including inputs, activities, outputs, and outcomes
 - Example logic model template included in **Appendix E**

2. Partnerships and Collaboration

- Encouraged to engage partners, especially those with complimentary experience or expertise
- Partnerships should be formal, with roles, responsibilities, and expectations clearly outlined
- For secured partnerships: include signed MOUs in the Appendix
- For unsecured partnerships: description of partners needed and how they would be secured
- Other partners: OAH, the other intermediary, and innovators

3. Monitoring and Evaluation (M&E)

- M&E plan consistent with approach to foster innovation
- Evaluation at the intermediary level
 - Evaluate implementation and outcomes of the project
- Evaluation at the innovator level
 - Evaluate implementation of the intervention to determine feasibility and relevance, if it's being used as intended, and if it's having intended outcomes
- Collection and use of performance measure data for continuous quality improvement

- Grantees are expected to collect and report performance measures to OAH to assess implementation and determine if the interventions are observing intended outcomes
 - Broad categories of measures included in **Appendix F** of the FOA
 - Where performance measures are not feasible for the innovation projects funded, the grantee will need to develop and propose proxy measures more suitable to the intervention
- Grantees will be responsible for collecting and reporting performance measure data to OAH on its activities and the activities of its partners, including the innovation partners.
- Performance measures will be reported on a semi-annual basis

4. Dissemination and Communication

- Communicate key activities of the initiative to internal and external stakeholders
- Plan to publicize opportunity for innovators
- Market the launch of the initiative and innovator selection
- Communicate ongoing activities, milestones, evaluation results, and lessons learned throughout the initiative
- Use diverse dissemination and communication methods

5. Experience and Capacity

- The applicant and its partners should be able to collectively demonstrate experience:
 - Fostering early innovation
 - Developing and supporting interventions specific to the focus area (*program or technology*)
 - Related to teen pregnancy prevention and adolescent health
 - Launching a large, national initiative of similar size and scope
 - Monitoring partner performance
 - Collecting data and evaluating projects
 - Communicating and disseminating information
- The applicant must demonstrate that it has the organizational capacity to successfully manage the proposed project
- Include letters of reference (up to 2) in the Appendix

6. Project Management

- How applicant will manage, implement, and evaluate overall project
- Description of project team, including Project Director and key staff
- Clearly delineate roles and responsibilities of staff and their qualifications
- Describe role of formal partners
- Describe plan to monitor partners
- Include organizational chart, Resumes/CVs, Job Descriptions in the Appendix

7. Challenges and Risks

- Identification of risks
- Identification of challenges
- How to address the anticipated risks and challenges



Application Screening Criteria

Application Screening Criteria

Applications that fail to meet the screening criteria will not be reviewed and receive no further consideration:

- Applications submitted electronically via www.grants.gov by April 10, 2015
- Project Narrative section of the application must be double-spaced, on the equivalent of 8 ½ " x 11" inch page size, with 1" margins on all sides and font size not less than 12 points
- Project Narrative must not exceed 50 pages.
- Total application including Appendices must not exceed 100 pages
- Proposed budget does not exceed maximum indicated in Range of Awards



Application Content

Application Content Overview

- Project Abstract Summary Form
- Project Narrative (no more than 50 pages)
 - Project Approach and Design
 - Partnerships and Collaboration
 - Monitoring and Evaluation
 - Dissemination and Communication
 - Experience and Capacity
 - Project Management
 - Challenges and Risks
- Appendices
 - Logic Model, Work Plan, Timeline, Signed MOUs, Resumes/CVs, Job Descriptions, Organizational Chart, Letter(s) of Reference
- Budget Narrative
 - Combined, multi-year Budget Narrative
 - Detailed Budget Narrative for each year
- Required Forms – SF-424, SF-424A, SF-424B, SF-LLL

Application Page Limits

- Project Narrative – no more than 50 pages
 - Does Not Include – Project Abstract Summary Form, Appendices, Budget Narrative, or Required Forms (SF-424, SF-424A, SF-424B, SF-LLL)

- Appendices
 - Counts toward the total number of allowed pages

- Total Application – no more than 100 pages
 - Includes - Project Narrative and Appendices
 - Does Not Include – Project Abstract Summary Form, Budget Narrative, or Required Forms (SF-424, SF-424A, SF-424B, SF-LLL)

- Double-spaced
- 8 ½ x 11 inch (letter-size) pages
- 1-inch or larger margins on top, bottom, and both sides
- At least 12 point font
- Use easily readable typeface (i.e., Times New Roman or Arial)
- All pages, charts, figures, and tables should be numbered
- Tables may be single spaced and use alternate fonts but must be easily readable

- Applicants must complete the Project Abstract Summary form provided in the application package. The abstract will be used to provide reviewers with an overview of the application, and will form the basis for the application summary in grants management and program summary documents.
- The abstract should:
 - Specify focus area (program or technology)
 - Include project title, applicant organization name, address, Project Director name and contact information, website
 - Include goals and objectives, description of overall approach, key partners, and brief description of planned evaluation and communication activities

Project Narrative

- The Project Narrative should provide a clear and concise description of your project. HHS/OASH recommends that your project narrative include the following components in the following order:
 - Project Approach and Design
 - Partnerships and Collaboration
 - Monitoring and Evaluation
 - Dissemination and Communication
 - Experience and Capacity
 - Project Management
 - Challenges and Risks
- Detailed information on what to include for each component is on pages 37-46 of the FOA

Appendices

- Work plan
- Logic Model
- Signed MOUs
- Letters of Reference (up to 2)
- Resumes/CVs and Job Descriptions
- Organizational Chart(s)

- Applicants are required to:
 - Submit a combined multi-year budget narrative, and
 - A detailed budget narrative for each year of the potential grant
 - Personnel, Fringe, Travel, Equipment, Supplies, Contractual, Other, and Indirect
- Applicants should develop multi-year budgets based on level funding for each budget period (i.e., equal to exact dollar figure of the year one budget)
- Budget narrative should be detailed, reasonable, adequate, cost efficient, and aligned with the proposed work plan

Funding Restrictions

- Not less than 50% of the total budget should be awarded to directly support a portfolio of innovators to develop, test, and refine early innovations to prevent teen pregnancy and promote adolescent health
- No more than 10% of the total budget should be used for monitoring and evaluation activities, including the collection and reporting of performance measures
- Salary limitation (\$183,000)
 - Also applies to sub-awards/subcontracts
 - Excludes fringe and outside income

- Grantees will be encouraged to attend the following meetings and trainings and should include funds in the budget
 - One staff to an OAH-sponsored annual Project Director's Meeting
 - 2-3 staff to an OAH-sponsored annual Regional Training in years 2-4
 - 2-3 staff to the HHS Teen Pregnancy Prevention Conference every other year (2016, 2018, 2020)

Cost Sharing and Matching

- Cost sharing or matching funds are not required for this program
 - The applicant should describe any cost sharing or matching funds available and show how they will be used to support the program
- Although no statutory matching requirement for this FOA exists, leveraging other resources and related ongoing efforts to promote sustainability is strongly encouraged

Other required forms included as a part of the application package in [grants.gov](https://www.grants.gov)

- SF-424
- SF-424A
- SF-424b
- SF-LLL



Application Submission Instructions

- Available on grants.gov – <http://www.grants.gov>
- Search by FOA number (AH-TP2-15-001) or CFDA number (93.297)
- Carefully follow submission instructions on grants.gov
 - Required to provide a Dun and Bradstreet Data Universal Numbering System (DUNS) number when applying
 - Must register in the System for Account Management (SAM) prior to submission – allow minimum of 5 days to complete registration
 - Must renew SAM registration each year
- Run into trouble – contact grants.gov

■ Letter of Intent – February 1, 2015

- TPPTier2A@hhs.gov
- Attn: OAH TPP Tier 2A FOA, 1101 Wootton Parkway, Suite 700, Rockville, MD 20852

■ Application – April 10, 2015 by 5:00 pm ET

- Electronic submission via www.grants.gov
- Applicants must receive a written exemption from the Director, OASH Office of Grants Management to submit any way other than electronically

- Must obtain written exemption from Director, HHS/OASH Office of Grants Management 2 business days in advance of deadline to submit application outside of grants.gov
- Exemption request
 - Submitted via email to OGM
 - Provide details why technologically unable to submit electronically
 - Submit request at least 4 business days prior to application deadline
 - Specific information of what to include in email request on page 2 of FOA



Review and Selection Criteria

	Scoring Category	Points
1	Project Approach and Design	30 points
2	Partnerships and Collaboration	10 points
3	Monitoring and Evaluation	10 points
4	Dissemination and Communication	5 points
5	Experience and Capacity	20 points
6	Project Management	15 points
7	Challenges and Risks	5 points
8	Budget	5 points

- Initial review to ensure application meets:-
 - Application Screening Criteria (FOA page 30)
- Independent Review Panel
 - Comment and score based on Application Review Criteria (FOA pages 53-58)
- Federal staff review
 - Programmatic, budgetary, and grants management compliance
- Final award decisions by Director, OAH

- Official document notifying an applicant that an application has been approved for funding is the Notice of Award (NoA), approved by a Grants Management Officer at OASH OGM
- Grantees will receive an NoA via system notification from Grant Solutions and/or via email
- Include amount of money awarded, purposes of grant, length of project period, terms and conditions of grant award
- Anticipated Project Start Date – July 1, 2015



Application Tips

- Read the entire FOA and application kit BEFORE writing
- FOA is the primary guide for programmatic expectations
- Write the Project Abstract AFTER the entire narrative is complete
- Read the review criteria
- Read the application instructions
- Do NOT exceed the 50 page limit for the project narrative OR the total page limit of 100 pages for the full application
- Turn in application no later than 5pm ET on April 10, 2015
 - Allow time for any unforeseen difficulties with the on-line application process, etc.



Helpful Resources

- Link to FOA on grants.gov
- FAQs relevant to all TPP FOAs
- FAQs specific to each individual FOA
- Work plan & Logic Model template in Word
- TA Webinar Slides, Recording, and Transcript
- <http://www.hhs.gov/ash/oah/grants/open-grants.html>

Relevant Resources for Applicants

- Appendix C of the FOA include list of useful resources
 - Communication and Dissemination
 - Community Needs and Resource Assessment
 - Cultural and Linguistic Competence
 - Data on Adolescent Health and Teen Pregnancy
 - Evaluation
 - Goals and Objectives
 - Innovation
 - LGBTQ Youth and Inclusivity
 - Logic Models
 - Performance Measures
 - Piloting Programs
 - Positive Youth Development
 - Recruitment, Retention, and Engagement
 - Sustainability
 - Trauma-Informed Approach
 - Youth Friendly Clinical Services



Questions?

For questions about programmatic requirements:

- Contact the Office of Adolescent Health
 - Email – tpptier2a@hhs.gov
 - Phone - (240) 453-2846

For questions about administrative and budgetary requirements:

- Contact Roscoe Brunson in the Office of Grants Management
 - Email - roscoe.brunson@hhs.gov
 - Phone – (240) 453-8822



Thank you!