

DEPARTMENT OF HEALTH AND HUMAN SERVICES
Statistical Summary of FMFIA Material Weaknesses and Non-Conformances
Management Control

	Number of Material Weaknesses		
	Number reported for the first time in:	For that year, number that have been corrected:	For that year, number still pending:
Prior Years	344	336	3 1/
1997 Report	3	2	1
1998 Report	2	0*	1*
1999 Report	1	0	1
Total	349	338	6 ¹
Of the total number corrected, how many were corrected in 1999? <u>0</u>			

Financial Management Systems

	Number of Material Non-Conformances		
	Number reported for the first time in:	For that year, number that have been corrected:	For that year, number still pending:
Prior Years	11	8	0 2/
1997 Report	0	0	0
1998 Report	0	0	0
1999 Report	0	0	0
Total	11	8	0 ¹
Of the total number corrected, how many were corrected in 1999? <u>0</u>			

¹ The number of corrected and pending material weaknesses from prior years does not add to the total pending because: a) excludes 1 pending material weakness formerly reported by HHS for the Social Security Administration (SSA) is being reported by SSA in their accountability report; b) excludes 3 HCFA deficiencies formerly reported as material weaknesses, since these deficiencies are no longer material and, therefore, do not require reporting outside the agency; and c) includes an adjustment of -1 to reflect combining HCFA Medicare Secondary Payer (HCFA 89-01) with HCFA Accounts Receivable (HCFA 97-02).

² The number of corrected and pending material non-conformances does not add to the total reported because this number excludes 3 pending material non-conformances formerly reported by HHS for the Social Security Administration. SSA now reports on the status of those material non-conformances in their accountability report.

* Two 1998 material weaknesses for Medicare EDP Controls: (HCFA 98-01) and HCFA 98-02 have been combined into one material weakness with two parts and have been renumbered as HCFA 98-01a and HCFA 98-01b. This is consistent with the FY 1999 HCFA CFO financial statement audit.