

Exhibit 300 (BY2008)

PART ONE	
OVERVIEW	
1. Date of Submission:	2007-02-05
2. Agency:	009
3. Bureau:	38
4. Investment Name:	CMS Medicare Program Integrity
5. UPI:	009-38-01-09-01-1010-00
6. What kind of investment will this be in FY2008?	
Operations and Maintenance	
7. What was the first budget year this investment was submitted to OMB?	
FY2003	
8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.	
<p>The Medicare Integrity Program (MIP) Systems investment is designed to foster appropriate and predictable payments and promote fiscal integrity. Without MIP CMS could not insure that critical program integrity safeguards were in place and would not have the capability to partner with other Federal agencies in efforts to identify fraud and abuse in CMS programs and to assess the payment accuracy of the Medicare program. MIP is a mixed life-cycle investment in the control phase of the CPIC life-cycle. MIP is comprised of the following components: Health Care Information Systems Development (HCIS) HCIS is a next-generation desktop tool for viewing summary information about Medicare claims utilization and enrollment data. It provides a wide variety of pre-defined summary views of CMS data. HCISMod helps users identify data trends and aberrations, perform comparisons and rankings. HCISMod is used primarily by OFM's Program Integrity Group, Program Safeguard Contracts, OIG, and DOJ for payment investigation issues.. HCISMod is an integral piece of the data warehouse/data mart architecture being developed at CMS. Provider Statistical & Reimbursement Report (PS&R) The PS&R Report is a key component in the Medicare payment cycle. It is the only tool used by Fiscal Intermediaries (FIs) to accumulate the statistical and reimbursement data applicable to the Medicare claims processed. It summarizes the claims processing activities and payments made to participating Medicare providers by fiscal intermediaries for all Medicare covered services. This PS&R data is subsequently utilized to effectuate final settlement of a provider's Medicare cost report. The PS&R Report summarizes these data on reports that are used by providers and FIs to complete key elements of the Medicare cost report. Limited Online Access System Currently, paid claims history (42 months) for seven Fiscal Intermediaries is consolidated in a DB2 UDB data warehouse deployed on Unix-based servers maintained in the Mutual of Omaha Data Center. The integrated JAVA-developed client Decision Support Application (DSA) provides a user-friendly interface to the warehouse and includes security rules that limit user access to only their FI claims. This DSA application allows users to select required data elements to satisfy their informational requirements, with query results returned to users in a Microsoft Access database.</p>	
9. Did the Agency's Executive/Investment Committee approve this request?	
yes	
9.a. If "yes," what was the date of this approval?	
2006-06-23	
10. Did the Project Manager review this Exhibit?	
yes	
12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.	
yes	
12.a. Will this investment include electronic assets (including computers)?	
yes	
12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)	
no	
13. Does this investment support one of the PMA initiatives?	

yes
<i>If yes, select the initiatives that apply:</i>
Budget Performance Integration
Competitive Sourcing
Expanded E-Government
Financial Performance
Human Capital
<i>13.a. Briefly describe how this asset directly supports the identified initiative(s)?</i>
MIP Systems support the financial performance initiative by improving payment processes and preventing/detecting fraud/abuse thus allowing Medicare. MIP enables CMS to insure critical program integrity safeguards are in place by providing systems to collect /tabulate savings and other measures, assist in reducing the Medicare payment error rate by identifying aberrant billing practices when they occur, and provide increased control over expenditures by consolidating/tabulating cost data.
<i>14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?</i>
no
<i>14.a. If yes, does this investment address a weakness found during the PART review?</i>
no
<i>15. Is this investment for information technology (See section 53 for definition)?</i>
yes
<i>16. What is the level of the IT Project (per CIO Council's PM Guidance)?</i>
Level 3
<i>17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)</i>
(1) Project manager has been validated as qualified for this investment
<i>18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?</i>
no
<i>19. Is this a financial management system?</i>
no
<i>19.a. If yes, does this investment address a FFMA compliance area?</i>
no
<i>19.a.2. If no, what does it address?</i>
Fraud and abuse.
<i>20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)</i>
Hardware 15
Software 15
Services 70
<i>21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?</i>
no
<i>22. Contact information of individual responsible for privacy related questions.</i>
<i>Name</i>
Maribel Franey
<i>Phone Number</i>
410-786-0757
<i>Title</i>

Director, Privacy Compliance

Email

Maribel.Franey@cms.hhs.gov

23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?

yes

SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY	BY
	-2005	2006	2007	2008
Planning Budgetary Resources	0.000	0.000	0.000	0.000
Acquisition Budgetary Resources	0.000	0.000	0.000	0.000
Maintenance Budgetary Resources	7.733	20.429	21.753	19.814
Government FTE Cost	1.100	1.150	1.250	1.300
# of FTEs	16	16	16	16

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

3. If the summary of spending has changed from the FY2007 President's budget request, briefly explain those changes.

N/A

PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
-------------	-----------------------------	---------------------	--------------------------------------	-------------------------------------	-------------------------------------

1	2006	Foster appropriate and predictable payments and high quality care	Percent of payment programs for which CMS has a sensitive measure	50% of payment programs for which CMS has a sensitive measure	75% of payment programs for which CMS has a sensitive measure	TDB
2	2006	Promote the fiscal integrity of CMS programs and be an accountable steward of public funds	Percent of Medicare dollars paid correctly	92% of Medicare dollars paid correctly	93% of Medicare dollars paid correctly	94% of Medicare dollars paid correctly
3	2007	Foster appropriate and predictable payments and high quality care	Percent of payment programs for which CMS has a sensitive measure	75% of payment programs for which CMS has a sensitive measure	90% of payment programs for which CMS has a sensitive measure	TDB
4	2007	Promote the fiscal integrity of CMS programs and be an accountable steward of public funds	Percent of Medicare dollars paid correctly	93% of Medicare dollars paid correctly	94% of Medicare dollars paid correctly	TDB

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at www.egov.gov.

Table 2

	Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
1	2006	Mission and Business Results	Payments	Percent of payment types covered	50% as of 2005	Increase percent of payment types covered to 75%	TBD
2	2006	Customer Results	Service Efficiency	Percent of contractors using system	0% as of 2005	Increase percent of contractors covered to 18%	TBD
3	2006	Processes and Activities	Efficiency	Percent of new contractors covered	50% as of 2005	Increase percent of new contractors in system to 70%	TBD
4	2006	Technology	Compliance and Deviations	Percent of systems converted	0% as of 2005	Increase percent of systems converted to 25%	TBD
5	2007	Mission and Business Results	Payments	Percent of payment types covered	75% as of 2006	Increase percent of payment types covered to 90%	TBD
6	2007	Customer Results	Service Efficiency	Percent of contractors using system	18% as of 2006	Increase percent of contractors covered to 40%	TBD
7	2007	Processes and Activities	Efficiency	Percent of new contractors covered	70% as of 2006	Increase percent of new contractors in system to 90%	TBD
8	2007	Technology	Compliance and Deviations	Percent of systems	25% as of 2006	Increase percent of systems converted	TBD

				converted		to 50%	
--	--	--	--	-----------	--	--------	--

EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

1.a. If no, please explain why?

Not Applicable.

2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

CMS Medicare Integrity Program (MIP) Systems. Renamed for FY08.

2.b. If no, please explain why?

Not Applicable.

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Data Exchange	MIP provides data exchange for FWA.	Data Management	Data Exchange			No Reuse	10
2	Data Warehouse	MIP provides data warehouse capabilities for FWA.	Data Management	Data Warehouse			No Reuse	10
3	Loading and Archiving	This investment provides archiving capabilities for FWA activities.	Data Management	Loading and Archiving			No Reuse	20
4	Meta Data Management	Maintain accurate data to support validation of payments and to support FWA activities.	Data Management	Meta Data Management			No Reuse	5

5	Forensics	Ability to review accuracy of payments.	Analysis and Statistics	Forensics			No Reuse	30
6	Ad Hoc	Ability to produce Ad-Hoc reports to support FWA detection and payment accuracy.	Reporting	Ad Hoc			No Reuse	4
7	Standardized / Canned	Ability to review accuracy of payments.	Reporting	Standardized / Canned			No Reuse	10
8	Graphing / Charting	Ability to review accuracy of payments.	Visualization	Graphing / Charting			No Reuse	1
9	Access Control	Role based access to data.	Security Management	Access Control			No Reuse	10

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Forensics	Component Framework	Business Logic	Platform Independent	Excel, SAS, COGNOS
2	Data Exchange	Component Framework	Data Interchange	Data Exchange	Network Data Mover (NDM)
3	Data Exchange	Component Framework	Data Management	Database Connectivity	ORACLE, DB2
4	Forensics	Component Framework	Data Management	Reporting and Analysis	ORACLE, DB2
5	Standardized / Canned	Component Framework	Presentation / Interface	Content Rendering	ORACLE, DB2
6	Ad Hoc	Component Framework	Presentation / Interface	Dynamic Server-Side Display	SAS, COGNOS
7	Graphing / Charting	Component Framework	Presentation / Interface	Static Display	Excel, SAS, COGNOS
8	Access Control	Component Framework	Security	Supporting Security Services	Excel, SAS, COGNOS
9	Data Exchange	Service Access and Delivery	Access Channels	Web Browser	Internet Explorer
10	Data Exchange	Service Access and Delivery	Delivery Channels	Internet	Internet Explorer, Email
11	Data Exchange	Service Access and Delivery	Delivery Channels	Intranet	Internet Explorer
12	Standardized / Canned	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508

13	Meta Data Management	Service Interface and Integration	Interoperability	Data Transformation	ORACLE, DB2
14	Data Warehouse	Service Platform and Infrastructure	Database / Storage	Database	SAS, COGNOS
15	Loading and Archiving	Service Platform and Infrastructure	Database / Storage	Database	SAS, COGNOS

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

no

5.a. If yes, please describe.

Not Applicable.

6. Does this investment provide the public with access to a government automated information system?

no

6.a.1. If yes, provide the specific product name(s) and version number(s) of the required software and the date when the public will be able to access this investment by any software (i.e. to ensure equitable and timely access of government information and services).

Not Applicable.

PART THREE

RISK

You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.

Answer the following questions to describe how you are managing investment risks.

1. Does the investment have a Risk Management Plan?

yes

1.a. If yes, what is the date of the plan?

2003-12-01

1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?

no

COST & SCHEDULE

1. Was operational analysis conducted?

yes

1.a. If yes, provide the date the analysis was completed.

2006-11-30

What were the results of your operational analysis?

The systems are performing as expected. Data that respondents input is generally on time. Reports the systems produce are generally meet program needs. Costs are justified and do not exceed plans.